

GRACE storage-runoff hystereses reveal the dynamics of regional watersheds

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1 **Abstract**

2 We characterize how regional watersheds function as simple, dynamic systems through a
3 series of hysteresis loops using measurements from NASA’s Gravity Recovery and Climate
4 Experiment (GRACE) satellites. These loops illustrate the temporal relationship between
5 runoff and terrestrial water storage in three regional-scale watersheds ($>150,000 \text{ km}^2$) of
6 the Columbia River Basin, USA and Canada. The shape and size of the hysteresis loops are
7 controlled by the climate, topography, and geology of the watershed. The direction of the
8 hystereses for the GRACE signals move in opposite directions from the isolated
9 groundwater hystereses. The subsurface water (soil moisture and groundwater) hystereses
10 more closely resemble the storage-runoff relationship of a soil matrix. While the physical
11 processes underlying these hystereses are inherently complex, the vertical integration of
12 terrestrial water in the GRACE signal encapsulates the processes that govern the non-linear
13 function of regional-scale watersheds. We use this process-based understanding to test how
14 GRACE data can be applied prognostically to predict seasonal runoff (mean Nash-Sutcliffe
15 Efficiency of 0.91) and monthly runoff during the low flow/high demand month of August
16 (mean Nash-Sutcliffe Efficiency of 0.77) in all three watersheds. The global nature of
17 GRACE data allows this same methodology to be applied in other regional-scale studies,
18 and could be particularly useful in regions with minimal data and in trans-boundary
19 watersheds.

20

21 1. Introduction

22 At the most fundamental level, watershed processes can be described as the
23 collection, storage, and release of water (Black, 1996; McDonnell et al., 2007). The runoff
24 from these processes is governed by threshold mediated relationships across scales that
25 result in storage–runoff hystereses (Spence, 2010). These threshold relationships between
26 storage and runoff (S – R) are not uniform across a watershed, functioning as a series of
27 discontinuous processes in soils and hillslopes that provide an integrated S – R relationship at
28 the watershed scale (Spence, 2010). Kirchner (2009) described the S – R relationship to be
29 non-linear and stated that watersheds typically function as dynamic systems governed by
30 their unique climate and geology. These conceptual models of hydrologic behaviors help
31 provide a process-based understanding of watersheds as dynamic environmental systems
32 (Aspinall, 2010), and identify connections that advance hydrologic science and hydrologic
33 prediction (Wagener et al., 2007).

34 At the local scale, *in situ* instrumentation can quantify the non-linear relationship
35 between streamflow and water stored in a watershed as snow, soil moisture, groundwater
36 and reservoirs (Appleby, 1970; Brutsaert, 2008; Kirchner, 2009; Sayama et al., 2011).
37 These four primary storage components, along with climate, topography, and geology
38 govern the fluxes of water through a catchment, and play an important role in the hysteretic
39 nature of storage and runoff dynamics (McGlynn and McDonnell, 2003; McNamara et al.,
40 2011). Knowledge of these processes is fundamental to developing an understanding of a
41 watershed’s hydrologic behavior. However, observations over larger regions can be
42 technically challenging and costly, and *in situ* measurements from small basins do not
43 necessarily represent the complexity inherent to watersheds at more broad scales (Spence,

44 2010). This scaling problem limits our understanding and predict regional hydrologic
45 processes, which is often the practical scale of watershed management (Blöschl, 2001;
46 Western et al., 2002; Skøien et al., 2003; Peel and Blöschl, 2011; Thompson et al., 2011).

47 In the absence of broad-scale observations, past hydrological studies have typically
48 relied on *in situ* measurements as a proxy for regional scale hydrological processes. For
49 example, in higher latitude or mountainous regions measurements of snow water storage
50 have provided a simple metric that has been used in water resource planning for decades
51 (Cayan, 1996; United States Army Corps of Engineers, 2001), and are often correlated to
52 streamflow gauged downstream (Dozier, 2011). While informative, this approach can often
53 provide hydrological forecasts that are misleading, because point-based measurements do
54 not fully represent the broad-scale variability of rugged mountain terrain (Dozier, 2011;
55 Nolin, 2012; Webster et al., 2014; Ayala et al., 2014). Similarly, measurements of soil
56 moisture in the upper 2000 mm of the soil rely on point-based data that are often distributed
57 at the regional scale, but do not effectively represent the true variability of soil moisture
58 found at the regional scale (Western et al., 2002; Brocca et al., 2010). A complete
59 understanding of groundwater stores and fluxes (deeper than 2000 mm) at regional scales
60 also remains elusive, despite its increasing importance in water resources management
61 (Wagner et al., 2007; Gleeson et al., 2012; Famiglietti and Rodell, 2013; Barthel, 2014). In
62 addition to contributing to runoff, groundwater serves as an important water resource for
63 consumptive use (Gleeson et al., 2012).

64 While local-scale methods have been applied with moderate success in the past,
65 current trends in climate and in consumptive water demand suggest that long-term changes
66 in hydrological fluxes will have a major impact at the regional scale (Milly et al., 2008). As

67 a result, the supply and demand of water is also expected to shift, especially at the regional
68 scale (Wagner et al., 2010; Gleick, 2014a).

69 Hydrologic models can help address the questions of scale and bridge the gap
70 between local scale observations and regional-scale processes by estimating the primary
71 components of water storage (snow, soil moisture, reservoir, and groundwater) across a
72 larger spatial grid. Regional-scale modeling approaches are integrated into water resource
73 management operations for navigation, human consumptive use, irrigation, and hydropower
74 (Payne et al., 2004; Rodell et al., 2004). Models can also be applied diagnostically to test
75 scientific hypotheses and provide a better understanding of the physical processes that
76 govern real world systems, such as the connections between snowmelt, streamflow, and
77 groundwater (Beven, 2007, 2010; Moradkhani and Sorooshian, 2008; Kirchner, 2009;
78 Clark et al., 2011; Capell et al., 2012). Despite their utility, developing and validating a
79 model can be both time consuming and reliant on multiple data inputs, which even in the
80 most well-instrumented basins provides sparse geographic coverage (Bales et al., 2006;
81 Zang et al., 2012). The lack of an integrated measurement of water storage and streamflow
82 has limited regional-scale hydrologic insights to model-based studies (Koster et al., 2010;
83 Mahanama et al., 2011).

84 Since 2002, broad-scale measurements of changes in the amount of water stored
85 across and through the earth have been available from NASA's Gravity Recovery and
86 Climate Experiment (GRACE) satellites (Tapley et al., 2004). GRACE measures monthly
87 changes in the Earth's gravitational field that are proportional to regional changes in total
88 water storage (Wahr et al., 2006). GRACE satellites provide a monthly record of terrestrial
89 water storage anomalies (*TWSA*), which represent the changes in the vertical sum of water

90 at the Earth's surface stored in snow, surface, soil and groundwater. Water losses to runoff
91 and evapotranspiration are implicit in the GRACE storage signal, which greatly simplifies
92 calculations of changes in terrestrial water storage.

93 GRACE data, coupled with modeled and measured variations of water stored in
94 snow, surface reservoirs and soils, have successfully been decomposed to quantify regional
95 groundwater changes (Rodell et al., 2009; Famiglietti et al., 2011; Voss et al., 2013; Castle
96 et al., 2014) and have contributed to improving water balance calculations (Zaitchik et al.,
97 2008; Li et al., 2012). More recent efforts have quantified the relationship between regional
98 water storage and specific streamflow events (Reager and Famiglietti, 2009; Reager et al.,
99 2014). Riegger and Tourian (2014) coupled GRACE data using data-driven and model-
100 based approaches to better understand the relationship between storage and runoff across
101 climatic zones globally. Their study found that coupled liquid storage is linear to runoff,
102 and that in climatic regions with snow and ice the relationship between storage and runoff
103 is more hysteretic. These novel analyses, which are more diagnostic in nature, have
104 provided new insights into regional watershed hydrology using GRACE measurements as a
105 core data input. These studies have not explored how topography and geology can also help
106 describe the $S-R$ relationship of regional watersheds. Nor did these studies examine the
107 ability of GRACE measurements to predict seasonal runoff.

108 In this paper, we use terrestrial water storage data from GRACE to better
109 understand the hydrology of regional watersheds and the relationship between storage and
110 runoff. The temporal relationships between coincident *TWSA* and discharge observations at
111 three scales in the Columbia River Basin (CRB) of western North America are investigated
112 using climate, topography, and geology as a framing principle to describe the shape of the

113 storage-streamflow hysteresis. We associate regional and temporal differences in the
114 hystereses with varying watershed dynamics. Finally, we compare the prognostic abilities
115 of GRACE observations with individual modeled estimates of snow and soil moisture to
116 predict seasonal streamflow at regional scales.

117 **2. Study Area**

118 Our study area is the Columbia River Basin (CRB; 41-53°N and 110-122°W; Fig.
119 1). This basin has dry summers and wet winters. Up to 70% of annual precipitation falls
120 between November and March, 50–60% of which occurs as snow (Serreze et al., 1999;
121 Nolin et al., 2012). The spring months (April to June) are also wet, but warmer.
122 Precipitation during the spring combines with snowmelt to swell rivers and potentially
123 exacerbate flooding. Snowmelt also serves as a critical component of the hydrologic cycle,
124 recharging aquifers and filling streams later in the year. These contributions bridge the
125 temporal disconnect between wet winters and dry summers when demand is at its peak as
126 farmers, fish, hydropower and municipal users vie for over-allocated water resources
127 (United States Army Corps of Engineers, 2001; Oregon Water Supply and Conservation
128 Initiative, 2008). However, concerns with winter surplus and summer scarcity are not
129 uniform across the CRB, since climate and geology vary greatly (Nolin, 2012). Two of the
130 study watersheds, the Upper Columbia (155,000 km²) and the Snake River basin (182,000
131 km²), represent distinctly different climatic, topographic, and geologic provinces of the
132 CRB (described and illustrated in Fig. 1). The Upper Columbia is wet and is characterized
133 by steep topography of fractured rock and poor groundwater storage. In contrast, the arid
134 Snake River basin is bowl-shaped with mountains on three sides. The interior of the Snake
135 River basin is a broad plain with well-developed soils underlain by a highly transmissive

136 aquifer (Whitehead, 1992, Fig. 1). The Columbia River at The Dalles (614,000 km²)
137 encompasses the Upper Columbia and the Snake River sub-basins, and its climate and
138 geology are an integration of the two (Fig. 1). A distinct climatic feature of the Columbia
139 River at The Dalles is the western slope of the Cascade Mountains, where over 3000 mm of
140 mean annual precipitation at higher elevations sustains a considerable seasonal snowpack.
141 The scale of this study was constrained to watersheds larger than 150,000 km², the optimal
142 minimum geographic limit of GRACE data (Yeh et al., 2006; Landerer and Swenson,
143 2012).

144 **3. Methods and Data**

145 We used 108 months of GRACE and streamflow data over nine water years (WY;
146 Oct – Sep; 2004–2012). This data comprises positive, neutral, and negative phases of the El
147 Niño-Southern Oscillation and negative and positive phases of the Pacific Decadal
148 Oscillation (Feng et al., 2014; Iizumi et al., 2014). As a result, the data provides years of
149 above- and below-average precipitation, snowpack, and streamflow for the region. The
150 three watersheds were delineated upstream from United States Geological Survey (USGS)
151 stream gages at 1° resolution, which is the resolution of GRACE data. In the CRB, these
152 grid cells represent a dimension of approximately 80 km by 120 km. The Upper Columbia
153 consists of the area upstream of the Columbia River at the International Boundary gage
154 (USGS 12399500), just downstream of the confluence of the Columbia and Pend-Oreille
155 Rivers. The Pend-Oreille is a major watershed in the upper portions of the CRB. The Snake
156 River gage at Weiser (USGS 13269000) provides gauged streamflow data above Hell’s
157 Canyon Reservoir, the largest impoundment in the Snake River basin. The USGS gage at
158 The Dalles (USGS 14105700) provides the most downstream streamflow data for the CRB.

159 Monthly mean runoff (R ; mm) was calculated for each of the three gages using the USGS
160 streamflow data.

161 Measurements of $TWSA$ were obtained from the GRACE RL-05 (Swenson and
162 Wahr, 2006; Landerer and Swenson, 2012) data set from NASA's Tellus website
163 (<http://grace.jpl.nasa.gov>). The errors present in the gridded GRACE data exist primarily as
164 a result of truncation (i.e., a low number of harmonics) in the spherical harmonic solution,
165 and smoothing and systematic noise removal (called "de-stripping") that is applied after
166 GRACE level-2 processing to remove spatially correlated noise (called "stripes") (Swenson
167 and Wahr, 2006). This smoothing tends to smear adjacent signals together (within the
168 radius of the filtering function), resulting in smaller signals being lost, and larger signals
169 having a coarser footprint and a loss of spatial information.

170 To restore the GRACE signal lost during processing, the data were scaled using 1°
171 Land-Grid Scale Factors produced by putting a 1° land surface model through identical
172 processing (truncation and filtering) as the GRACE solutions, then measuring the decrease
173 in the signal amplitude at each 1° grid. These procedures are described on the Tellus
174 website and detailed in Landerer and Swenson (2012). Monthly 1° GRACE estimates of
175 $TWSA$, and the associated 1° leakage and measurement errors, were spatially averaged over
176 each of the three study watersheds following the procedures described in the Tellus
177 website.

178 GRACE represents monthly storage anomalies relative to an arbitrary record-length
179 mean value, analogous to the amount of water above or below the long-term mean storage
180 of a bucket, and should balance with the equation:

181
$$\Delta Storage = TWSA = \Delta GW + \Delta SM + \Delta SWE + \Delta RES \quad (1)$$

182 where all components are at monthly time steps; *GW* represents groundwater, *SM*
183 represents soil moisture (from 0–2000 mm depth), *SWE* represents snow water equivalent
184 (the equivalent depth of water held in snowpack), and *RES* represents reservoir storage. The
185 Δ used here represents the anomaly from the study-period mean, rather than a monthly
186 change. To isolate monthly groundwater storage anomalies ($\Delta GW = GWSA$) in the above
187 equation, ΔSM , ΔSWE and ΔRES estimates were subtracted from the monthly *TWSA* data
188 using methods described in Famiglietti et al. (2011). Similarly, the combined signal of
189 water storage anomalies of subsurface moisture ($TWSA_{sub}$), *SM* and *GW*, was isolated by
190 subtracting *SWE* and *RES* from *TWSA* values.

191 Monthly *SM* values over the study basins were obtained from the mean of the North
192 American and Global Land Data Assimilation Systems (NLDAS at 1/8° resolution
193 (Cosgrove et al., 2003) and GLDAS at 1/4° resolution (Rodell et al., 2004), respectively),
194 and were spatially averaged over the three study watersheds. Monthly 1-km resolution *SWE*
195 values were obtained from the mean of NLDAS and Snow Data Assimilation System
196 (SNODAS; National Operational Hydrologic Remote Sensing Center, 2004) and were
197 spatially averaged over the three watersheds. SNODAS data were used in place of the
198 GLDAS data product, which considerably underestimated *SWE* in mountainous areas when
199 compared to point-based measurements. Changes in monthly reservoir storage were
200 calculated for the five largest reservoirs in the CRB (see Table A1). Other smaller
201 reservoirs in the CRB were excluded when it was determined that fluctuations in their
202 levels were below the detection limits of GRACE.

203 Like all measurements, estimates of *TWSA* from GRACE contain error. For all of
204 the study basins, the range of error is well below the *TWSA* signal strength, approximately
205 an order of magnitude below the annual amplitude (200 – 300 mm) of the *TWSA* signal in
206 the CRB. The basin-averaged *TWSA* errors (time invariant) for the three study basins are 37
207 mm (Upper Columbia), 22 mm (Snake), and 25 mm (The Dalles).

208 The model data from LDAS and SNODAS simulations are driven by *in situ*
209 measurements, and represents the best available data for broad scales. We address any
210 structural error from an individual model by using an ensemble of outputs. Calculation of
211 the error in individual terms followed standard methodologies (Famiglietti et al., 2011),
212 where error in *SM* is the mean monthly standard deviation, and standard errors for *SWE* and
213 *RES* are 15% of mean absolute changes. *GWSA* and *TWSA_{sub}* anomaly errors are calculated
214 as the sum of basin-averaged errors (added as variance) in the individual terms in each
215 respective calculation (eq. 1), including the error in *TWSA* (Swenson et al., 2006). The
216 basin-averaged error variance for *GWSA* (time invariant) in the three study basins are 45
217 mm (Upper Columbia), 26 mm (Snake), and 33 mm (The Dalles). For *TWSA_{sub}* these values
218 are 37 mm (Upper Columbia), 22 mm (Snake), and 25 mm (The Dalles). The individual
219 error components (*SM*, *SWE*, *RES* respectively) for each basin are Upper Columbia (24
220 mm, 6 mm, 0.01 mm), Snake (14 mm, 3 mm, 0.01 mm), and The Dalles (21 mm, 4 mm,
221 0.01mm). Note that these error estimates are distributed across an entire regional watershed
222 and do not represent the error at individual monitoring sites. A time series of these values
223 and basin-averaged errors is provided in Fig. 2.

224 Based on an approach similar to Reager et al. (2014) and Riegger and Tourian
225 (2014), we plotted the temporal relationship between *TWSA* and *R* to examine hysteresis

226 relationships in all three of the study watersheds for each individual water year and for the
227 monthly mean across all water years. Expanding from the integrated terrestrial component
228 of water storage, we also plotted the relationships of $TWSA_{\text{sub}}$ and $GWSA$ with R . We
229 examined the branches of these hysteresis plots to better understand how the size, shape,
230 and direction of the hystereses varied across years in each of the three regional watersheds.

231 In order to verify groundwater hysteresis, we compared the GRACE-derived $GWSA$
232 to groundwater depths from well measurements at 33 sites throughout the study region (Fig.
233 1 and Table A2). These data were normalized by their standard deviation, and the mean of
234 the 33 wells was calculated. The standard deviation of the GRACE-derived $GWSA$ for The
235 Dalles was normalized to provide a direct comparison of $GWSA$ and *in situ* measurements.

236 We further hypothesized that because peak SWE accumulation occurs between
237 February and April, that $TWSA$ for these months could be used to predict R for an
238 individual month and the cumulative seasonal runoff (R_{season}) that occurs after peak SWE
239 accumulation. To test this prognostic hypothesis we used a two-parameter power function
240 (The MathWorks, 2013):

$$241 \quad R_{\text{predicted}} = a(TWSA_{\text{month}})^b + c \quad (2)$$

242 where $R_{\text{predicted}}$ is runoff for the predicted time interval; $TWSA_{\text{month}}$ represents terrestrial
243 water storage for an individual month, and a, b, and c are fitted parameters from the power
244 function.

245 We tested this relationship for $TWSA$ in February, March and April to predict R_{season}
246 (April – September) and for the individual months of July (R_{July}), August (R_{Aug}), and
247 September (R_{Sep}); these represent the lower-flow months when demand is near its peak.

248 Additionally, we tested and compared the modeled-values of *SWE* from NLDAS and
249 SNODAS and *SM* from NLDAS and GLDAS, and the model-derived values of $TWSA_{\text{sub}}$ to
250 predict R_{season} and for the individual months using the same power-function analysis.

251 Because our data set was constrained to nine water years, we used a double-pass
252 approach to fit and test the empirical relationship between S – R . This approach allowed us
253 double our data inputs for calculating standard hydrologic evaluation metrics such as Root
254 Mean Square Error (RMSE), standard Nash-Sutcliffe Efficiency (NSE) and Coefficient of
255 Determination (R^2); (Legates and McCabe, 1999; Nash and Sutcliffe, 1970). The nine years
256 were divided into two sets (Set 1, even years 2004–2012; Set 2, odd years 2005–2011). The
257 first pass calculated the power function of S – R to Set 1, and the parameters were then tested
258 against Set 2. The roles of the datasets were then reversed and the datasets were again
259 tested against each other. The empirical model results from Sets 1 and 2 were then
260 combined into a single set of solutions for the model fit and tested against measured values
261 to calculate RMSE, NSE, and R^2 . In order to maximize the limited data inputs, once we
262 tested the two independent sets for model performance, we combined the input data values
263 into a single set for all nine years to calculate a single power function curve. The observed
264 data were tested against the simulated data from the complete, but limited data record. The
265 final model curve was fit to these data, and the evaluation metrics include all of the years
266 for each respective dataset.

267 **4. Results**

268 **4.1. Storage-runoff hysteresis**

269 The filling and emptying of the study basins at the regional-scale over the course of
270 an individual WY results in a hysteretic relationship between storage and runoff (Fig. 3a).
271 The hysteresis loops begin at the onset of the wet season in October, with *TWSA* increasing
272 (Figs. 3a, 4a-c) as precipitation is stored as snow and soil moisture. An increase in storage
273 that is not offset by an increase in discharge indicates a predominance of snow inputs and
274 the freezing of soil water. The lower branch of the hysteresis plot (storage increase
275 unmatched by runoff) can be used to estimate cumulative snow water equivalent and soil
276 moisture in the basin. This is the water that later contributes to streamflow and groundwater
277 recharge in the spring.

278 The hysteresis shifts direction from Feb-Apr (inflection 1, Fig. 3a), and represents
279 each watershed's transition from storage to release. This response is evident (Figs. 3a, 4a-c,
280 and 5), as each hysteresis loop contains a vertical branch of the curve during which storage
281 is relatively constant, but streamflow increases rapidly. This timing also represents the
282 groundwater recharge branch of the loop. As snow melts and the ground thaws, runoff is
283 generated, recharge into soils occurs, and basins tend to be at peak storage during this
284 branch. Storage losses and additional precipitation inputs during this period are re-
285 organized internally. A second shift (inflection 2, Fig. 3a) occurs from Apr-June when peak
286 *TWSA* begins to decrease, representing spring snowmelt and a switch from precipitation
287 that falls primarily as snow to rain; these combine to contribute to peak *R*.

288 Once peak *R* values are reached, the loop shifts direction a third time (inflection 3,
289 Fig. 3a), receding on both axes as contributions from snowmelt diminish while presumably
290 groundwater sustains streams and provides a source for irrigated agriculture. During this
291 period, the relationship between *TWSA* and discharge is more linear, corresponding to

292 baseflow-driven runoff processes in which each monthly change in storage causes a
293 proportional change in the generation of streamflow.

294 The hysteresis plots of $TWSA-R$ for an individual water year demonstrate that the
295 timing and quantity of precipitation governs the size of a hysteresis loop for an individual
296 WY (Figs. 3a, 4a-c, 5). For instance wet years (e.g., 2008) have bigger loops, while dry
297 years (e.g., 2005) are more compressed along both axes. However, the general shape of the
298 loops is distinct for each basin. Plotting multiple WYs provides a family of curves for each
299 basin that helps describe how climate, topography, and geology govern the timing and
300 magnitude of the relationship between $TWSA$ and R (Figs. 3a, 5).

301 **4.2. Subsurface water ($TWSA_{sub}$) – runoff hysteresis**

302 The $TWSA_{sub}$ hysteresis curve contracts horizontally when the snow signal is
303 removed from $TWSA$ values for both the Upper Columbia and The Dalles (Figs. 3b, 4d-f),
304 which collapses the loops and takes a form similar to a plot-scale hysteresis of soil. In the
305 initial stages of the WY, the direction of the curve changes directions 2-3 times along the
306 $TWSA$ axis. Similar hysteresis fluctuations have also been documented at the more local
307 scale as the soil profile moves towards saturation (Penna et al., 2011). Peak $TWSA_{sub}$ occurs
308 in June, which corresponds to the spring melt of mountain snowpack and the end of the wet
309 season (Figs. 4d-f). In contrast to the near linear relationship between $TWSA_{sub}$ and R in the
310 Upper Columbia and The Dalles, the Snake River retains a more complex relationship. In
311 this watershed the hysteresis curve still retains a loop, but the timing of maximum $TWSA_{sub}$
312 is also earlier, reaching its peak during March and April (Fig. 4e). It is also noteworthy that

313 in the Snake River the $TWSA_{sub}-R$ hysteresis loop temporally progresses in the opposite
314 direction, but stays in phase with precipitation inputs.

315 **4.3. Groundwater-runoff hysteresis**

316 The hysteresis loops describing the temporal relationship between $GWSA$ and R are
317 equally informative, with one distinct difference—they temporally progress in opposite
318 directions of the hysteresis loops of $TWSA$ and R (Fig. 3). For all three watersheds, $GWSA$
319 decreases from Oct–Feb/Mar (Fig. 4h-j), which is out of phase with the onset of the wet
320 season. $GWSA$ does not shift towards positive gains until early spring and the initial stages
321 of melt before reaching its maximum in June. From June-Sept, $GWSA$ decreases minimally
322 across all years during the runoff recession limb, indicating groundwater contributions to
323 streamflow. This decreasing $GWSA$ signal does not stand out in Fig 2, as during WY 2011
324 the $GWSA$ increased from 12.8 mm in June to 71.2 mm in September due a large snowpack
325 that melted several months later than normal. This considerable anomaly muted the overall
326 $GWSA$ recession from June-Sept that is found in all other water years (supplementary data
327 and the interactive visualizations described subsequently).

328 The 33 point-specific well data located across the CRB show considerable
329 individual variability throughout a water year, and the mean of the normalized standard
330 deviations of well levels was close to zero for all months. The temporal variability for the
331 well data provides no discernable correlation with the derived $GWSA$ signal (Fig. A1).

332 **4.4. Individual basin hysteresis plots of $TWSA$, $TWSA_{sub}$, $GWSA$ and R**

333 Of the three study basins, the Upper Columbia is the most hydrologically active,
334 showing the largest annual range for $TWSA$, $TWSA_{sub}$, $GWSA$, and R (Fig. 6). The $TWSA-R$

335 hysteresis loops are more open (Fig. 4), corresponding to the fluxes of water moving
336 through watershed. When *SWE* is removed and subsurface water is highlighted, the
337 $TWSA_{sub-R}$ hysteresis loops collapse horizontally and more closely resemble the hystereses
338 associated with soil (Figs. 4d). However the inter-annual range ($WY_{max} - WY_{min}$) for
339 $TWSA_{sub}$ in the Upper Columbia is considerably greater than the other two basins (median
340 range = 234 mm; Fig. 6). As the hysteresis reverses directions for $GWSA-R$, the loops shift
341 to a more open shape (Figs. 4d), but the inter-annual range remains similar.

342 In contrast to the rapid response of the Upper Columbia, the Snake River receives
343 ~60% less annual precipitation, but has an annual $TWSA$ range that is only 22% less
344 (median annual range = 192 mm; $R=7$ mm; Figs. 4, 5, and 6). However, the $TWSA$
345 hysteresis loops for the Snake River are collapsed vertically (Fig. 4b). In the more arid
346 Snake River, removing the snow signal does not collapse the $TWSA_{sub-R}$ hysteresis loops
347 ($TWSA_{sub} = 89$ mm). Similarly, the $GWSA$ loops suggest that subsurface moisture plays a
348 more prominent role in the Snake River.

349 The climate, topography, and geology of the Columbia River at The Dalles are an
350 integration of the Upper Columbia and Snake River, seen in the shape of the hysteresis
351 loops (Figs. 4, 5, 6; median annual range $TWSA=195$ mm; $R=27$ mm). The period from
352 Feb–June more closely resembles the Snake River basin, with gradual increases in $TWSA$
353 and sharp increases in R . The slope of the recession from June-Sept has the same general
354 shape for The Dalles as the Upper Columbia (Figs. 4a, 4c), presumably from snowmelt-
355 generated runoff.

356 Interactive visualizations that compliment all of the hysteresis figures are online at:
357 https://public.tableau.com/profile/sprolese - !/vizhome/GRACE_hystereses/WSADash.

358 **4.5. Streamflow forecasting**

359 We next present how *TWSA* was applied prognostically to predict streamflow.
360 Using the double-pass calibration and validation approach, *TWSA*_{Mar} provided the best
361 overall predictive capabilities for R_{season} with a mean NSE ($\overline{\text{NSE}}$) and mean R^2 ($\overline{R^2}$) of 0.75
362 and 0.91, respectfully (Fig. 7a, Table 1), for all three basins. The Dalles had the highest
363 NSE and R^2 , and lowest RMSE values (0.98, 0.98, 6 mm; Table 1). The results in the
364 Upper Columbia were also robust (0.82, 0.86, 33 mm; Table 1), while the Snake River
365 performed with less skill (0.46, 0.59, and 14 mm, Table 1). Applying *TWSA*_{April} also
366 provided similar results, but with a lower degree of skill in predicting R ($\overline{\text{NSE}} = 0.57$, $\overline{R^2} =$
367 0.69). *TWSA*_{Apr} provided improved predicted capabilities in the Upper Columbia (0.87,
368 0.88, and 28 mm, Table 1), but inferior results in the other two watersheds. *TWSA*_{Feb} had a
369 low degree of skill in predicting R in all three watersheds (Table A3).

370 *TWSA*_{Mar} and *TWSA*_{April} also served as a good predictor of monthly runoff in July
371 and August for the Upper Columbia and to a lesser degree in The Dalles (Tables 1 and A3).
372 In the Snake River, *TWSA* did not serve as a good predictor for R in an individual month.

373 Snowpack and soil moisture play a considerable role in the hydrology of the CRB
374 and are commonly used to help predict water demand and availability later in the year
375 (Koster et al., 2010). We compared the capabilities of the modeled snow (*SWE*) and soil
376 moisture (*SM*) products to predict R to the skill of measured GRACE *TWSA* data (Table 1).
377 In the Upper Columbia and The Dalles, *TWSA*_{Mar} predicts seasonal and monthly runoff

378 (July and August) with considerably more skill than *SWE* or *SM* (Figure 7, Table 1). In the
379 Snake River, *SM*_{Mar} has a higher degree of skill than *TWSA*_{Mar} in predicting R_{season} and R_{Aug} .
380 *SWE*_{Mar} provided inferior results in all three watersheds, but with some predictive skill in
381 the Upper Columbia and The Dalles (NSE of 0.24 and 0.46 respectively, Table 1). In all
382 three watersheds, *TWSA*_{sub} provided extremely poor predictions (Tables 1 and A3).

383 When the results of the empirical model using two independent sets of data proved
384 robust for some of the storage metrics, the observed data were tested against the simulated
385 data from the complete, but limited data record. The performance of the empirical model
386 improved using the complete data set (Tables 2 and A4), with the same general results.
387 *TWSA*_{Mar} provided the best model fit for seasonal runoff in the Upper Columbia (NSE =
388 0.93, RMSE = 19.8 mm) and The Dalles (NSE = 0.98, RMSE = 5.7 mm). In the Snake
389 River, predictive capabilities improved more dramatically (NSE = 0.83, RMSE = 7.4 mm),
390 but soil moisture still served as a better predictor of seasonal streamflow (NSE = 0.93,
391 RMSE = 5.2 mm). Similarly, *TWSA*_{Mar} provided the best model fit for runoff in August, one
392 of the drier months when demand is at its peak (Tables 2 and A4).

393 **5. Discussion**

394 **5.1. Storage-runoff hysteresis**

395 Decades of data collection and monitoring at individual gage sites indicate that
396 watersheds collect, store and release water. Using one integrated measurement from the
397 GRACE satellites, our results show these same processes at the regional scale in the
398 hysteresis loops of storage (*TWSA*) and runoff (*R*). While hystereic processes have
399 previously been identified in local-scale measurements (McDonnell, 2003; McGlynn and

400 McDonnell, 2003), only recently has streamflow-storage hysteresis been identified at the
401 regional scale (Riegger and Tourian, 2014).

402 Our work builds on Riegger and Tourian's (2014) results, and employs GRACE data to
403 describe how regional watersheds function as integrated, non-linear systems governed by
404 climate, topography, and geology. Climate controls the size of the hysteresis loops by
405 providing a first-order control on hydrologic inputs and the storage of solid water, which in
406 turn governs the ranges of *TWSA* and *R*. However, runoff response to precipitation and
407 snowmelt does not act independently from topography and geology (Jefferson et al., 2008;
408 Tague et al., 2008), which controls how liquid water is stored and routed through a
409 watershed, even at the regional scale. The climatic, topographic, and geological
410 characteristics of each watershed provide an explanation of the *S–R* relationship that govern
411 the shape and size of its respective hysteresis curve. GRACE offers a single, integrated
412 measurement of changes in water storage through and across a watershed that can be
413 applied to predict regional streamflow using an empirical model. Where these predictive
414 capabilities succeed and fail help better describe the climatic, topographic, and geological
415 characteristics in each watershed.

416 For example, in the Upper Columbia, steep topography and wet climate fills subsurface
417 storage quickly before reaching a threshold in April or May. This transition represents the
418 watershed's transition from winter storage to spring runoff. After this watershed-scale
419 threshold is reached, the steep topography moves snowmelt and rain quickly through the
420 terrestrial system and into the river channel until cresting in June (Figs. 4, 5, and 6),
421 followed by declines in *TWSA* and *R* from June-September. These large fluxes of water

422 create a more open hysteresis loop, expanding non-linearly on both the horizontal and
423 vertical axes.

424 The Upper Columbia also has the broadest range of annual $TWSA_{sub}$ and $GWSA$ during
425 the study period (Figs. 5 and 6), despite having limited aquifer capacity. Conceptually, this
426 demonstrates that the upper limit of storage is greater than in the Snake River or The
427 Dalles, but that it also loses the most water. Its minimums at the end of the WY are also the
428 lowest (median $TWSA_{Sep} = -98\text{mm}$; Figs. 5 and 6). This range across $TWSA$, $TWSA_{sub}$, and
429 $GWSA$ supports the conceptual model that the watershed fills during the wet season, and is
430 then drained more quickly due to steep topography and limited water storage. The
431 predictive capability of $TWSA$ also strongly suggests that the components and temporal
432 relationships of storage across this watershed are interconnected, and that incorporating
433 April snowpack improves the model results.

434 In contrast, the arid Snake River basin provides a very different family of hysteresis
435 curves (Figs. 4, 5) that identify groundwater and soil moisture as primary components of
436 watershed function. The curves are compressed vertically (R) as compared to the Upper
437 Columbia, and are more constrained horizontally (Fig. 6). The onset of spring melt runoff
438 in February does not deplete $TWSA$ for the Snake River. Instead, $TWSA$ continues to
439 increase until May, when peak runoff occurs. As $TWSA$ decreases to the end of the water
440 year in September, the median $TWSA_{Sep}$ measurement (-78 mm) is 20 mm greater than in
441 the Upper Columbia. This indicates that the lower drainage threshold of the Snake River
442 watershed is relatively greater than the Upper Columbia, potentially explained by a less
443 severe topography and higher aquifer capacity.

444 The $TWSA_{sub}$ hysteresis curves in the Snake River retain a similar shape to the
445 $TWSA$ signal. While they reverse direction they do stay temporally connected to the onset
446 of the wet season in October, indicating that subsurface moisture is a central control on the
447 filling of the watershed through May. The capabilities of SM to empirically predict R better
448 than $TWSA$ further highlight the importance of subsurface water in this watershed. The
449 intra-annual range of $GWSA$ in the Snake River is also more limited than in the more
450 hydrologically responsive Upper Columbia. This more limited range of data supports the
451 conceptual model of a watershed that retains comparatively more winter precipitation in
452 soils and aquifers throughout the spring season, and that sustains flow later in the year and
453 until the onset of melt the following winter.

454 The greater Columbia River Basin upstream from The Dalles integrates the climatic,
455 topographic, and geologic characteristics of the Snake River and Upper Columbia as well
456 as other areas within the CRB. The western slope of the Cascades (Fig. 1), which is outside
457 of the Upper Columbia, accumulates up to several meters of SWE each winter. Due east of
458 the Cascades, a broad basalt plain that provides aquifer storage helps dampen the snowmelt
459 pulse in the spring. The hysteresis loops for The Dalles reflect these combined
460 characteristics.

461 Storage at The Dalles increases along the horizontal axis ($TWSA$) until peak storage
462 is reached in March or April (Figs 3, 4, and 5). This $TWSA$ threshold of approximately 100
463 mm responds with an increase in R that continues through June. In July, the hysteresis
464 begins to recede along both axes closing out the loop. The $GWSA$ has the most limited
465 range, potentially explained by the extensive basalt aquifer moderating the relationship
466 between storage and runoff. In The Dalles, $TWSA_{Sep}$ has a median value of -88mm (Fig. 6),

467 between the lower drainage thresholds of the Upper Columbia and Snake River watersheds;
468 indicating an integration of the contributing climate, topography, and geology.

469 **5.2. Distinguishing the difference between $TWSA_{sub}$ and $GWSA$**

470 Conceptually $TWSA_{sub}$ represents changes in the amount of water stored as soil
471 moisture and groundwater, where as $GWSA$ represents water changes greater than 2000mm
472 below the soil surface. The goals of evaluating these metrics were to see if monthly changes
473 in soil moisture were linked to changes in groundwater storage, and the role of snowpack in
474 the $S-R$ relationship.

475 The $TWSA_{sub}$ hysteresis curves in the Upper Columbia and The Dalles collapse into
476 a more linear relationship that is more commonly associated with the $S-R$ relationship of a
477 soil matrix (Fig. 3 and 4). These stand in contrast to the Snake River where the $TWSA_{sub-R}$
478 hystereses retain a loop, indicating a more complex relationship between storage and
479 runoff. The hydrological processes that create these differences warrant investigation, but
480 lie outside the scope of this study.

481 Although the annual fluctuations of SM are similar in all three basins (Fig. 2), its
482 impact in the Upper Columbia is more pronounced. This watershed has poor groundwater
483 storage, and relies on soils to provide seasonal storage of rain and snowmelt. In the Upper
484 Columbia once the SM signal is removed, the intra-annual range of $GWSA$ shifts to
485 considerably lower values (Fig. 6). Shifts of similar magnitudes in $GWSA$ are not found in
486 the Snake River and The Dalles. These watersheds have excellent groundwater storage and
487 are less reliant on soils to provide seasonal storage of rain and snowmelt. Fluctuations in

488 reservoir levels are minimal with regards to the water fluxes in this region, and have
489 minimal impact on calculations of *GWSA*.

490 The *GWSA–R* hystereses are represented by loops that show an out-of-phase
491 relationship between precipitation and groundwater recharge from the start of the wet
492 season in October until February or March. The *TWSA_{sub}* and *GWSA* hysteresis plots
493 demonstrate that in the Upper Columbia and The Dalles changes in monthly soil moisture
494 are not always temporally aligned with *GWSA*. This can be explained by the physical
495 reality that soil moisture and groundwater are not always interconnected, and that there is
496 not a fixed depth (i.e., 2000 mm) that separates the two components of water storage.

497 GRACE-derived calculations of *GWSA* also provide insights into the hydrological
498 processes governing groundwater recharge and depletion, as evidenced in the *GWSA*
499 hysteresis loops. The *GWSA–R* curves show an out-of-phase relationship between
500 precipitation and groundwater recharge from the start of the wet season in October until
501 February or March.

502 This response in all of the *GWSA–R* hystereses suggests that even at the watershed
503 scale groundwater recharge requires soils and geologic materials to fill to a certain moisture
504 threshold and for the onset of snowmelt (Figs. 3a, 4a-c, 5, and [web-based interactive](#)
505 [visualizations](#)). This also suggests that snowmelt inputs to groundwater are considerable. In
506 the CRB this is critical as current climate trends are projected to reduce snowpack
507 accumulation and exacerbate melt in the region (Wu et al., 2012; Rupp et al., 2013; Sproles
508 et al., 2013).

509 Additionally, our analysis identifies summer as the time of peak groundwater
510 storage in all three regional watersheds. This finding is of value for groundwater
511 management and policy decisions, as peak groundwater levels in June correspond to the
512 timing of groundwater pump tests that are used to develop groundwater withdrawal
513 regulations (Jarvis, 2011, 2014). Our data suggest that groundwater pump tests should not
514 be limited to an individual month, and should also include periods of reduced storage
515 particularly during the winter months. The inclusion of multiple pump tests throughout the
516 year could be particularly relevant as the population and water demand is projected to
517 increase in the region.

518 The point-specific well data are not conclusive and show considerable variability
519 with no consistent pattern regarding the timing of recharge and peak groundwater levels.
520 This is presumably a function of how site characteristics (i.e., usage, depth, location,
521 elevation) are extremely variable across a region. Rather than excluding these results or
522 selecting individual wells that match GRACE data, we discuss the results from all 33 wells
523 to help demonstrate the high variability that exists from well to well, and that
524 measurements of groundwater changes at a fixed location does not represent watershed-
525 scale characteristics (Jarvis, 2011, 2014). The disconnect between sites also highlights the
526 concept brought forward by Spence (2010), that storage is not uniform across a watershed,
527 and functions as a series of discontinuous processes at the watershed scale.

528 **5.3. Applying the S – R relationship as a predictive tool**

529 We applied these climatic, topographic, and geologic insights to develop and test
530 the hypothesis that spring $TWSA$ could predict R later in the year, based on two

531 observations: First, the shapes of the hysteresis curves for each basin are similar (Figs. 4a-c,
532 5), but vary by magnitude of annual $TWSA$. Second, peak $TWSA$ occurs before the peak
533 runoff. We show that the integrated GRACE signal is a good baseline measurement to
534 empirically predict seasonal streamflow across a range of water years with regards to
535 precipitation and streamflow. In essence, our data suggest that the water stored across and
536 through the Columbia River Basin in March describes the water available for the remainder
537 of the water year.

538 In the CRB and in the northwestern United States, peak snowpack occurs in March
539 or April, and is commonly used as a metric for predicting spring runoff. Despite the
540 importance of snowpack to the hydrologic cycle of the region, measurements of $TWSA_{Mar}$
541 from GRACE provide a better prediction of R_{season} , R_{July} , and R_{Aug} than model-derived
542 estimates of snowpack. GRACE $TWSA_{Mar}$ also provided a better prediction for runoff than
543 soil moisture, except for the Snake River watershed. There SM_{Mar} provided a better
544 indicator of runoff for the rest of the year. $TWSA_{Feb}$ provided inferior predictive capacity, as
545 the annual maximum $TWSA$ values have not been reached.

546 These results are promising with regards to using GRACE as a predictive tool for
547 water resources in both wet and dry years. Our limited data record represents a wide-range
548 of conditions with regards to climate and streamflow, which is captured in our empirical
549 models and is shown in the box plots to the right of Figs. 7a - b. These same results also
550 indicate that R is insensitive to $TWSA_{Mar}$ values below 100 mm in the Upper Columbia and
551 at The Dalles and below 85 mm in the Snake River watershed. This limitation is not the
552 empirical model, which works well, but that the basins have a limited response (i.e., R_{season}
553 in the Snake River only changes about 60 mm, from around 20 to 85 mm). Given these

554 responses the model works, and provides a lower threshold that describes with some
555 certainty the amount of runoff that will be available for operations for the remainder of the
556 year.

557 We recognize that all three of these regional watersheds are managed through a
558 series of dams and reservoirs that create an altered runoff signal. Water resources managers
559 use point-specific and model-based estimates of water storage in the region to optimize
560 their operations for the water year. Additionally, in the fertile plains of the Snake River and
561 lower CRB, broad-scale agriculture relies on both ground- and surface water for irrigation.
562 Water withdrawals would be implicit in the *TWSA* signal and reduce *R*. However, a more
563 detailed analysis of withdrawals lies outside the scope of this study.

564 Regardless of the length of record or anthropogenic influence, climate, topography,
565 and geology still provide the first-order controls on water storage that are found in the
566 hysteresis loops. GRACE encapsulates these hydrologic processes through measurements
567 of *TWSA*. The hysteresis loops expand and contract accordingly during wet and dry years,
568 as the intra-annual relationship between *TWSA* and *Q* represents the fluxes of water into
569 and out of the watershed. Despite intra-annual differences, a family of hysteresis curves can
570 describe each of the sub-regional watersheds. The predicative capability using *TWSA*, the
571 vertical sum of water, as compared to snowpack and soil moisture further highlights the
572 integrated nature of water storage in regional hydrology. These predictive capabilities
573 highlight the potential of GRACE to improve upon seasonal forecast predictions and
574 regional hydrological models.

575 **5.4. GRACE as an analysis tool for regional watersheds**

576 Where previous approaches to modeling watershed behavior have focused on
577 separate storage compartments, new approaches should include the magnitude and direction
578 of hysteresis (Spence, 2010). This integrated approach would provide new ways forward to
579 classify watersheds not only by runoff, but also on the first-order controls that govern the
580 non-linear hydrological processes.

581 Even though GRACE is somewhat of a blunt instrument with regards to temporal
582 (monthly) and spatial (1°) resolution, this emerging technology provides a new dimension
583 to regional watershed analysis by providing an integrated measurement of water stored
584 across and through the Earth. These measurements continue to prove their value in
585 retrospective analysis of regional hydrology (Rodell et al., 2009; Castle et al., 2014).
586 However, the hysteresis loops presented by Riegger and Tourian (2014) and further
587 developed in this paper demonstrate the ability of GRACE data to help develop a process-
588 based understanding of how regional watersheds function as simple, dynamic systems. As
589 the temporal record of GRACE continues to increase, its value as both a diagnostic and
590 predictive tool will continue to grow. In the mean time, these data have value in
591 augmenting existing management strategies.

592 Perhaps one of the most important facets of GRACE data is that it does not
593 distinguish political boundaries. It is not linked to a specific *in situ* monitoring agency with
594 limited data access and has the capacity to bridge sparse and inconsistent on-the-ground
595 hydrologic monitoring networks that exist in many regions of the world. Previous GRACE-
596 based analysis has shown its value in highlighting negative trends in terrestrial water
597 storage in trans-boundary watersheds (Voss et al., 2013; Castle et al., 2014), and resulting
598 regional conflict exacerbated by water shortages (Gleick, 2014b). GRACE provides an

599 objective measurement of a region's water resources that can provide valuable insights into
600 potential shortages or surpluses of water resources, and simple empirical predictions of
601 seasonal and monthly runoff that are easily deployable in places with limited data.

602 **6. Conclusions**

603 We have shown how GRACE-based measurements of *TWSA* distill the complexity
604 of regional hydrology into a simple, dynamic system. *TWSA* and derived estimates of
605 *GWSA* reveal hysteretic behavior for regional watersheds, which is more commonly
606 associated with hydrologic measurements at local scales. While the magnitude of the
607 hysteresis curves vary across years, they retain the same general shape that is unique to
608 each watershed. We demonstrated the utility of these hysteresis curves by showing how the
609 complete *TWSA* record during March and April can be used to empirically predict *R* for the
610 remainder for the water year ($TWSA_{Mar}$, mean NSE = 0.91) and during the drier summer
611 months ($TWSA_{Mar}$, mean NSE for July = 0.76, August = 0.72; Tables 1 and 2).

612 Because GRACE *TWSA* can augment prediction, managers could start to interpret
613 each year's hysteresis curve for the upcoming spring and summer, providing greater clarity
614 and validation for model-based forecasts presently used by water resource managers. Our
615 results demonstrate a way forward, expanding GRACE from a diagnostic tool, into a
616 conceptual model and predictive resource.

617 Although this study focused on the CRB, which has a rich data record, GRACE data
618 are available at a global scale and could be readily applied in areas with a paucity of data to
619 understand how watersheds function and to improve streamflow forecasting capabilities.
620 GRACE does not discern political boundaries and provides an integrated approach to

621 understanding international watersheds (Voss et al., 2013). This resource could serve as a
622 valuable tool for managers in forecasting surplus and scarcity, and in developing strategies
623 that include changes in supply and demand due to human consumptive needs and current
624 climate trends (Wagener et al., 2010; Gleick, 2014a).

625

626 **Author Contributions**

627 E.A.S., S.G.L., and P.J.W. developed the hysteresis concept based upon background
628 research by J.R. and J.S.F. The data analysis was led by E.A.S., but represents a combined
629 effort from all of the authors. J.R. provided expertise in the GRACE data product,
630 groundwater, and error analysis. E.A.S. prepared the manuscript with contributions from all
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647 Mention of trade names or commercial products does not constitute endorsement or
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649

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Table 1: Comparison of performance metrics using the dual-pass approach to apply GRACE TWSA data, model derived snow (SWE), and soil moisture (SM) products in predicting seasonal (R_{season}) and August (R_{Aug}) runoff by watershed. Average values for the three basins are also provided. RMSE values are in mm. Complete results can be found in Appendix table A3.

	Upper Columbia							
	R_{season}				R_{Aug}			
	$TWSA_{\text{Mar}}$	$TWSA_{\text{Apr}}$	SWE_{Mar}	SM_{Mar}	$TWSA_{\text{Mar}}$	$TWSA_{\text{Apr}}$	SWE_{Mar}	SM_{Mar}
NSE	0.82	0.87	0.46	< 0	0.71	0.70	< 0	< 0
RMSE	33.06	27.62	56.10	> 1000	5.71	5.38	13.08	143.17
R^2	0.86	0.88	0.58	0.00	0.71	0.71	0.28	0.05
	Snake River							
NSE	0.46	0.29	< 0	0.85	< 0	< 0	< 0	< 0
RMSE	14.03	15.71	21.53	7.38	13.59	0.76	0.78	0.72
R^2	0.59	0.47	0.08	0.86	0.15	0.08	0.27	0.29
	The Dalles							
NSE	0.98	0.54	0.24	< 0	0.80	0.29	< 0	< 0
RMSE	6.01	26.50	26.48	122.88	1.86	3.31	18.91	22.10
R^2	0.98	0.71	0.39	0.00	0.82	0.71	0.03	0.02
	Average							
NSE	0.75	0.57	0.35	0.85	0.76	0.50	< 0	< 0
RMSE	17.70	23.28	34.70	65.13	7.05	3.15	10.92	55.33
R^2	0.81	0.69	0.35	0.29	0.56	0.50	0.19	0.12

Table 2: Comparison of performance metrics from applying all nine water years of GRACE TWSA data, model derived snow (SWE), and soil moisture (SM) products in predicting seasonal (R_{season}) and August (R_{Aug}) runoff by watershed. Average values for the three basins are also provided. RMSE values are in mm. R^2 values are the same as NSE for this linear regression. Complete results can be found in Appendix table A4.

Upper Columbia								
	R_{season}				R_{Aug}			
	$TWSA_{\text{Mar}}$	$TWSA_{\text{Apr}}$	SWE_{Mar}	SM_{Mar}	$TWSA_{\text{Mar}}$	$TWSA_{\text{Apr}}$	SWE_{Mar}	SM_{Mar}
NSE	0.93	0.92	0.82	0.03	0.76	0.73	0.56	0.09
RMSE	22.18	23.18	36.19	82.90	6.60	6.90	8.92	12.79
Snake River								
NSE	0.83	0.75	0.34	0.93	0.68	0.52	0.62	0.76
RMSE	8.76	10.55	17.23	5.80	0.43	0.52	0.47	0.37
The Dalles								
NSE	0.98	0.91	0.67	0.00	0.88	0.91	0.46	0.02
RMSE	6.22	13.00	24.60	42.67	1.55	1.30	3.30	4.40
Average								
NSE	0.91	0.86	0.61	0.32	0.77	0.72	0.55	0.29
RMSE	12.39	15.58	26.01	43.79	2.86	2.91	4.23	5.85

Table 3: Parameters from the power function curves in each of the three watersheds using TWSA to predict streamflow. Figure 7 provides these results visually.

	Upper Columbia		Snake River		The Dalles	
	$TWSA_{\text{Mar}}$	$TWSA_{\text{Mar}}$	$TWSA_{\text{Mar}}$	$TWSA_{\text{Mar}}$	$TWSA_{\text{Mar}}$	$TWSA_{\text{Mar}}$
	R_{season}	R_{Aug}	R_{season}	R_{Aug}	R_{season}	R_{Aug}
a	2.12E-10	4.83E-06	5.69E-05	2.26E-04	7.40E-10	3.61E-15
b	4.99	3.41	2.88	1.89	5.25	7.28
c	41.06	273.99	23.97	3.30	124.21	15.54

Table A1: The reservoirs used in the GRACE analysis.

Reservoir Name	Operating Agency	Normal Operating Capacity (m³)
Grand Coulee	US Department of Interior	1.16 x 10 ¹⁰
Libby	US Army Corps of Engineers	7.17 x 10 ⁹
Hungry Horse	US Department of Interior	4.28 x 10 ⁹
Dworsha	US Army Corps of Engineers	4.26 x 10 ⁹
American Falls	US Department of Interior	2.10 x 10 ⁹

Table A2: The groundwater wells used in the analysis that compares GRACE-derived groundwater with location-specific wells. USGS is the United States Geological Survey and IDWR is the Idaho Department of Water Resources.

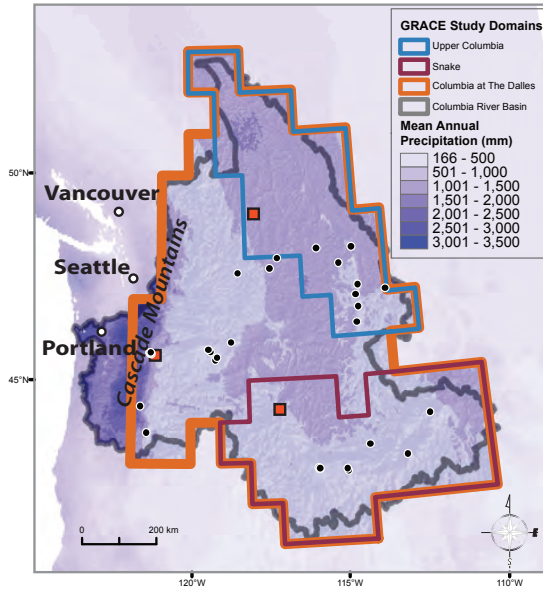
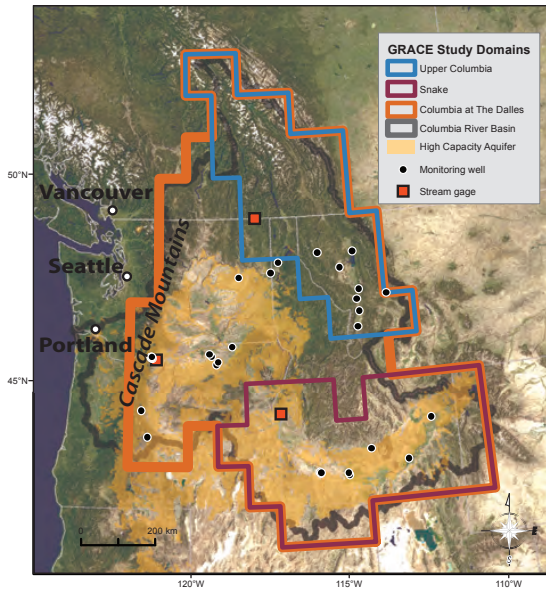
Well Number	Operating Agency
434400121275801	USGS
442242121405501	USGS
452855119064701	USGS
453239119031501	USGS
453845121191401	USGS
453937121215801	USGS
453944121211301	USGS
454013121225901	USGS
454027121212501	USGS
454040121222901	USGS
454047121203701	USGS
454100119164801	USGS
454416119212801	USGS
455418118333001	USGS
461518114090802	USGS
463750114033001	USGS
465520114074001	USGS
470049113035401	USGS
470946114013201	USGS
473442118162201	USGS
474011117072901	USGS
474251114385201	USGS
475439116503401	USGS
480519114091001	USGS
480621115244901	USGS
02S20E-01ACC2	IDWR
07S06E-29BBA1	IDWR
08S06E-03BDC1	IDWR
07S06E-34BCA1	IDWR
09S14E-03BAA1	IDWR
08S14E-16CBB1	IDWR
05S31E-27ABA1	IDWR
07N38E-23DBA1	IDWR

Table A3: Comparison of performance metrics using the dual-pass approach to apply GRACE TWSA, model derived snow (SWE), soil moisture (SM), and subsurface (TWSA_{sub}) data in predicting seasonal (R_{season}) and August (R_{Aug}) runoff by watershed. RMSE values are in mm.

	TWSA			SM			SWE			TWSA _{sub}						
	Feb	Mar	Apr	Feb	Mar	Apr	Feb	Mar	Apr	Feb	Mar	Apr				
Upper Columbia	R_{season}	NSE	< 0	0.82	0.87	< 0	< 0	< 0	< 0	0.46	< 0	< 0	< 0	< 0	< 0	< 0
		RMSE	84	33	28	>1000	>1000	134	110	56	309	>1000	>1000	354		
		R ²	0.43	0.86	0.88	0.01	0.00	0.07	0.23	0.58	0.27	0.15	0.02	0.02		
	R_{July}	NSE	< 0	0.90	0.84	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	
		RMSE	32	7	8	>1000	71	56	28	25	108	>1000	>1000	123		
		R ²	0.19	0.93	0.92	0.01	0.00	0.00	0.32	0.45	0.24	0.05	0.01	0.01		
	R_{Aug}	NSE	< 0	0.71	0.70	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	
		RMSE	228	6	5	>1000	143	32	12	13	51	>1000	>1000	30		
		R ²	0.19	0.71	0.71	0.07	0.05	0.30	0.25	0.28	0.12	0.18	0.11	0.01		
	R_{Sept}	NSE	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	
		RMSE	2	21	104	4	28	10	>1000	3	50	20	587	6		
		R ²	0.12	0.06	0.12	0.09	0.24	0.20	0.04	0.07	0.04	0.04	0.02	0.03		
Snake River	R_{season}	NSE	< 0	0.46	0.29	0.58	0.85	< 0	< 0	< 0	0.09	< 0	< 0	< 0	< 0	
		RMSE	258	14	16	12	7	52	5	22	8	>1000	108	474		
		R ²	0.21	0.59	0.47	0.64	0.86	0.29	0.00	0.08	0.13	0.04	0.11	0.01		
	R_{July}	NSE	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	
		RMSE	23	3	2	2	2	40	1	2	1	99	>1000	35		
		R ²	0.00	0.05	0.01	0.01	0.09	0.11	0.15	0.00	0.04	0.00	0.06	0.02		
	R_{Aug}	NSE	< 0	< 0	-0.70	< 0	< 0	< 0	< 0	< 0	0.65	< 0	< 0	< 0	< 0	
		RMSE	11	13.59	0.76	1	1	2	0	1	1	>1000	>1000	474		
		R ²	0.05	0.15	0.08	0.06	0.29	0.10	0.00	0.27	0.67	0.04	0.11	0.01		
	R_{Sept}	NSE	< 0	< 0	-0.94	< 0	< 0	< 0	< 0	< 0	0.03	< 0	< 0	< 0	< 0	
		RMSE	16	1	1	1	1	1	0	1	0	140	8	435		
		R ²	0.01	0.04	0.03	0.07	0.15	0.11	0.03	0.00	0.11	0.00	0.00	0.01		
The Dalles	R_{season}	NSE	< 0	0.98	0.54	< 0	< 0	< 0	0.24	0.14	< 0	< 0	< 0	< 0		
		RMSE	84	61	27	267	122	363	>1000	26	26	13	5231	737		
		R ²	0.20	0.98	0.71	0.01	0.00	0.02	0.13	0.39	0.29	0.02	0.00	0.00		
	R_{July}	NSE	< 0	0.86	< 0	< 0	< 0	< 0	0.28	< 0	< 0	< 0	< 0	< 0		
		RMSE	19	3	10	>1000	16	80	>1000	4	6	4	4	311		
		R ²	0.05	0.86	0.64	0.00	0.00	0.02	0.03	0.30	0.10	0.00	0.00	0.00		
	R_{Aug}	NSE	< 0	0.80	0.29	< 0	< 0	< 0	< 0	< 0	0.05	< 0	< 0	< 0		
		RMSE	9	2	3	>1000	22	16	>1000	19	2	2	1	3		
		R ²	0.04	0.82	0.71	0.04	0.02	0.00	0.02	0.03	0.12	0.00	0.00	0.12		
	R_{Sept}	NSE	< 0	0.41	< 0	< 0	< 0	< 0	< 0	< 0	< 0	< 0	0.05	< 0		
		RMSE	5	1	3	756	3	7	1	5x10 ⁹	7x10 ¹⁰	6	1	2		
		R ²	0.00	0.42	0.28	0.03	0.01	0.03	0.06	0.02	0.02	0.22	0.06	0.14		

Table A4: Comparison of performance metrics from applying all nine water years of GRACE TWSA, model derived snow (SWE), soil moisture (SM), and subsurface (TWSA_{sub}) data in predicting seasonal (R_{season}) and August (R_{Aug}) runoff by watershed. RMSE values are in mm. R^2 values are the same as NSE for this linear regression.

		TWSA			SM			SWE			TWSA _{sub}				
		Feb	Mar	Apr	Feb	Mar	Apr	Feb	Mar	Apr	Feb	Mar	Apr		
Upper Columbia	R_{season}	NSE	0.84	0.93	0.92	0.01	0.03	0.33	0.63	0.82	0.62	0.15	0.22	0.22	
		RMSE	28.62	19.81	20.72	8.38	14.30	36.80	37.78	30.27	37.85	28.22	32.50	32.50	
	R_{July}	NSE	0.75	0.95	0.96	0.01	0.00	0.18	0.53	0.79	0.60	0.05	0.22	0.22	
		RMSE	10.38	5.00	4.74	2.16	1.34	9.10	11.95	9.80	11.73	5.38	9.86	9.86	
	R_{Aug}	NSE	0.62	0.76	0.73	0.07	0.09	0.44	0.37	0.56	0.34	0.18	0.11	0.23	
		RMSE	6.02	5.31	5.48	3.12	3.50	6.15	6.00	6.16	5.87	4.80	3.95	5.22	
	R_{Sept}	NSE	0.20	0.07	0.13	0.31	0.28	0.40	0.04	0.04	0.10	0.39	0.15	0.51	
		RMSE	1.60	1.05	1.32	1.85	1.80	1.96	0.80	0.80	1.22	1.95	1.42	2.00	
	Snake River	R_{season}	NSE	0.39	0.83	0.75	0.84	0.93	0.91	0.09	0.34	0.60	0.35	0.39	0.42
			RMSE	9.59	7.39	8.48	7.15	5.16	5.64	5.60	9.37	9.65	9.39	9.63	9.71
		R_{July}	NSE	0.07	0.43	0.43	0.41	0.63	0.51	0.09	0.21	0.70	0.05	0.19	0.23
			RMSE	0.41	0.80	0.80	0.79	0.78	0.81	0.46	0.66	0.74	0.34	0.63	0.68
R_{Aug}		NSE	0.35	0.68	0.52	0.56	0.76	0.61	0.24	0.62	0.91	0.13	0.09	0.12	
		RMSE	0.34	0.33	0.35	0.35	0.30	0.34	0.30	0.34	0.21	0.24	0.20	0.22	
R_{Sept}		NSE	0.18	0.53	0.58	0.60	0.88	0.66	0.08	0.30	0.91	0.16	0.18	0.18	
		RMSE	0.34	0.44	0.44	0.43	0.29	0.42	0.25	0.41	0.25	0.32	0.34	0.34	
The Dalles		R_{season}	NSE	0.48	0.98	0.91	0.00	0.01	0.22	0.21	0.67	0.65	0.19	0.23	0.27
			RMSE	19.82	5.70	11.43	2.10	3.59	16.53	16.06	18.65	18.95	15.43	16.74	17.61
		R_{July}	NSE	0.27	0.89	0.89	0.04	0.03	0.09	0.07	0.52	0.51	0.20	0.38	0.40
			RMSE	4.05	2.90	2.87	1.73	1.52	2.64	2.27	4.55	4.55	3.66	4.43	4.47
	R_{Aug}	NSE	0.29	0.88	0.91	0.04	0.02	0.24	0.05	0.45	0.42	0.34	0.44	0.49	
		RMSE	1.89	1.34	1.22	0.77	0.65	1.78	0.88	2.07	2.05	1.96	2.06	2.08	
	R_{Sept}	NSE	0.20	0.57	0.53	0.03	0.03	0.13	0.02	0.29	0.34	0.37	0.15	0.35	
		RMSE	0.75	0.94	0.94	0.34	0.31	0.63	0.28	0.86	0.90	0.92	0.67	0.90	



Watershed

Physical Characteristics

Climate

Upper Columbia
(155,000 km²)

- Steep topography
- Low aquifer storage
- Responsive runoff

Wet maritime conditions with 900 mm of annual precipitation, and substantial snowpack accumulation

Snake River
(182,000 km²)

- Low relief topography
- High aquifer storage
- Muted runoff

Dry continental climate with 350 mm of annual precipitation, falling primarily at upper elevations as snow

The Dalles
(614,000 km²)

- Includes the Upper Columbia and Snake River
- Topography is a blend of the Upper Columbia and Snake River
- High storage capacity
- Large system that floods

Mix of dry continental climate plains and wet uplands. Mean annual precipitation is 625 mm, with considerable snowpack accumulation in the mountains

Fig. 1: Context map and descriptions of the three study watersheds and the locations of the groundwater wells used in the study.

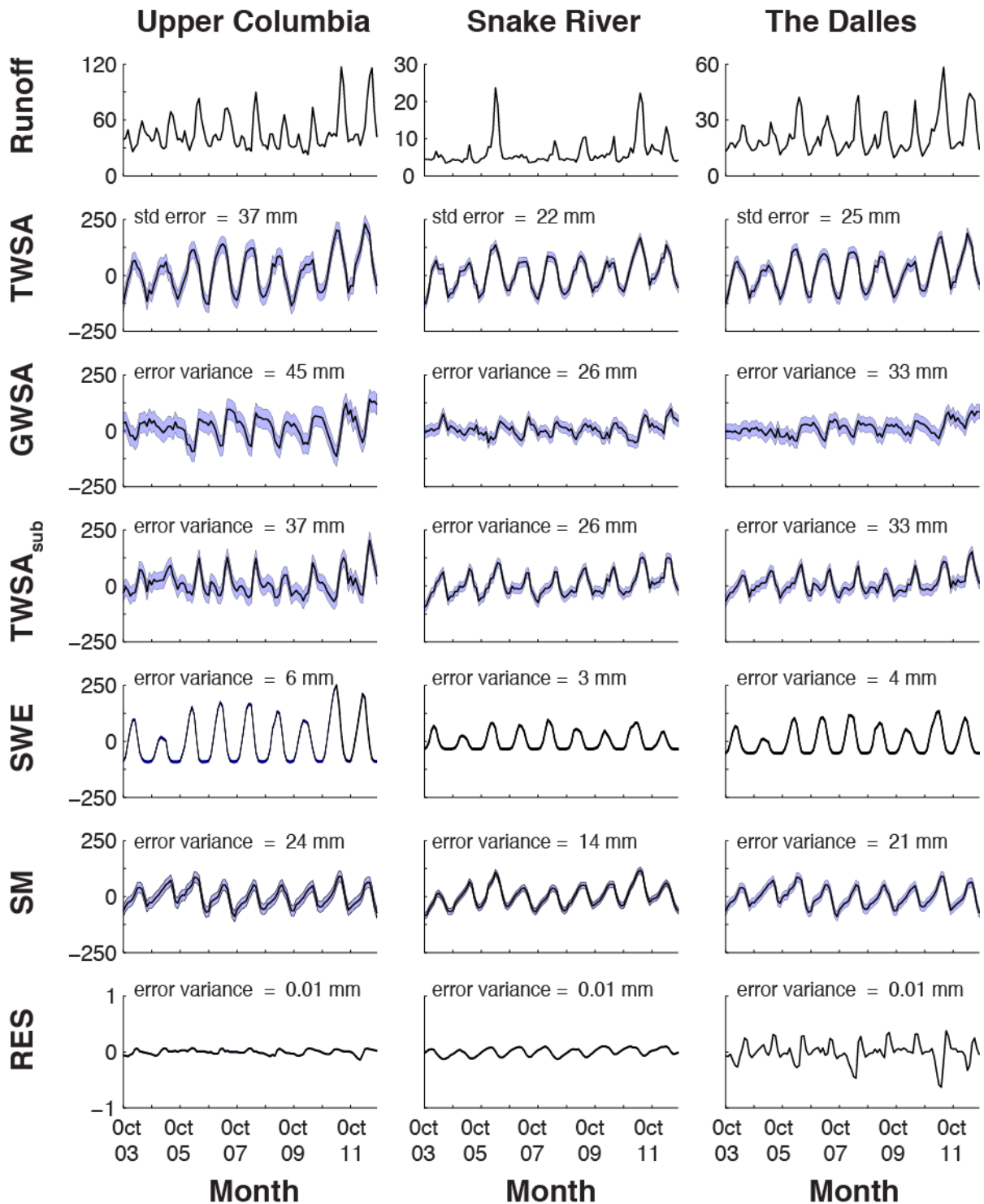


Fig. 2 Monthly storage anomalies for Runoff, *TWSA*, and the subcomponents of terrestrial water for the three watersheds. Standard errors and error variance for hydrological component are noted in each sub-figure, and represented by the blue shading. All units on the vertical axes are on the same scale and in mm. Note the different vertical scales for Runoff and *RES*. Horizontal axes are in months and on the same scale.

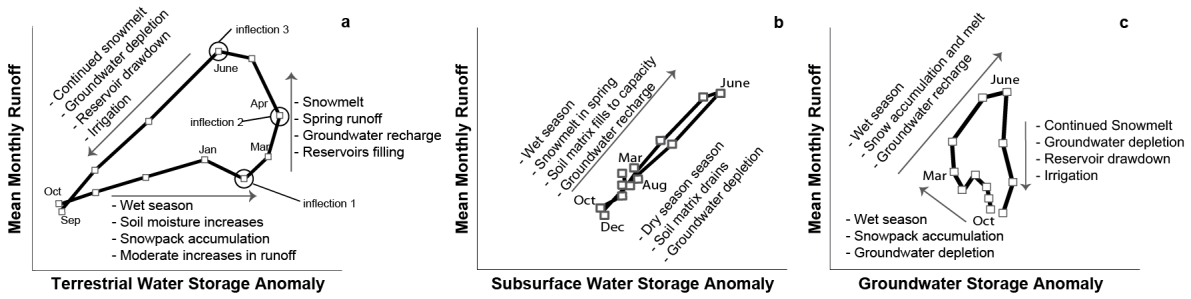


Fig. 3a-c: Annotated hysteresis curves of terrestrial water storage anomalies (a), the subsurface water storage anomalies ($TWSA_{sub}$; b), and groundwater storage anomalies (c) based upon the nine-year mean for the Columbia River at The Dalles. These curves describe the fluxes of water moving through the watershed.

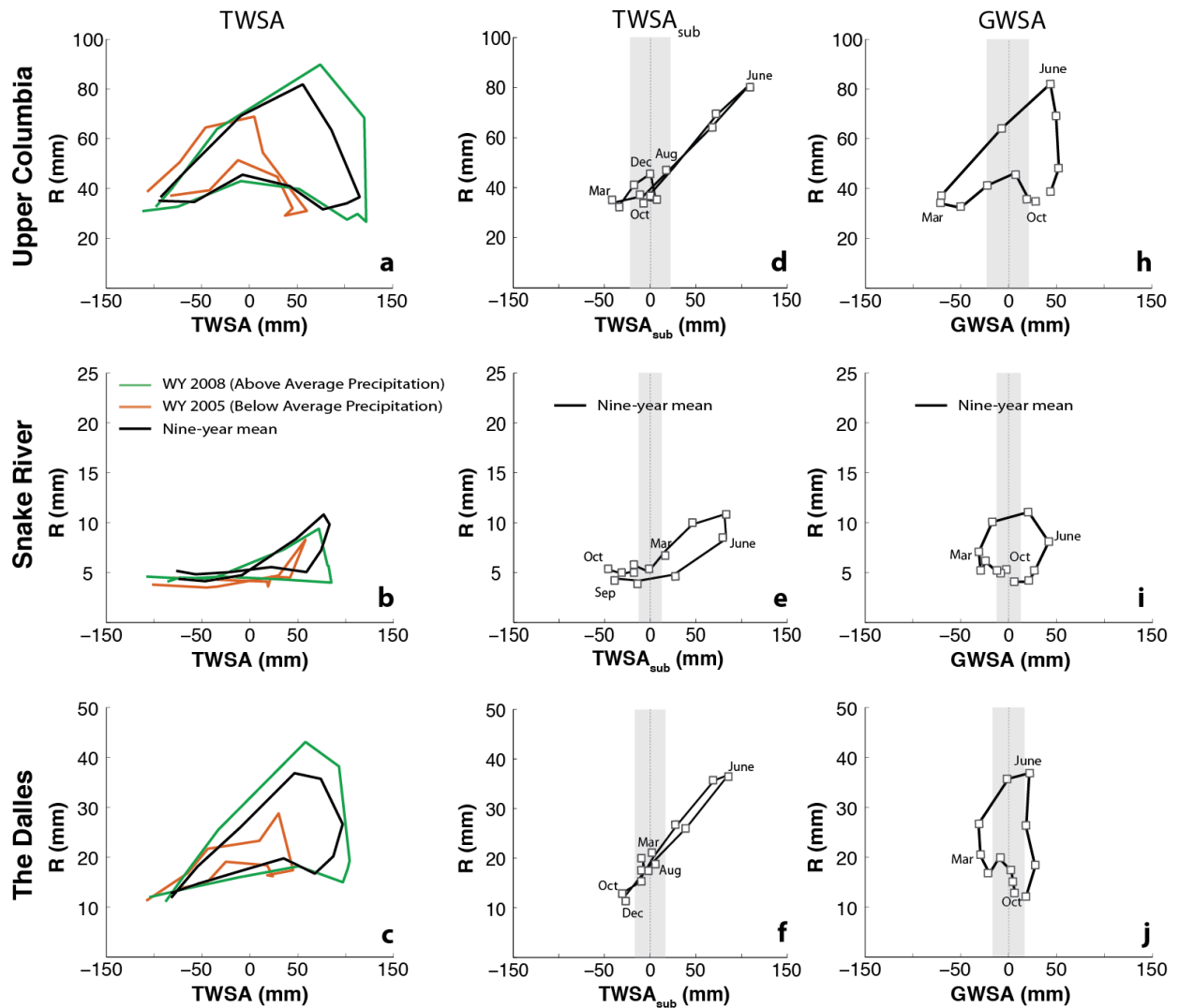


Fig. 4a-f: Individual hysteresis curves for the three study watersheds for $TWSA$ (a-c), $TWSA_{sub}$ (d-f), and $GWSA$ (h-j). $TWSA_{sub}$ in the Upper Columbia and The Dalles collapses to represent a shape more commonly associated with the hysteresis of a soil matrix. The Snake River retains a similar looping shape. The grey areas in the $TWSA_{sub}$ and $GWSA$ plots provide a visual reference of the $TWSA$ error variance for each watershed. The low topography and high storage capacity of the Snake aquifer provides a consistent groundwater signal, as compared to the limited aquifer of the Upper Columbia, which fills and drains quickly. Note the different scales on the y-axes.

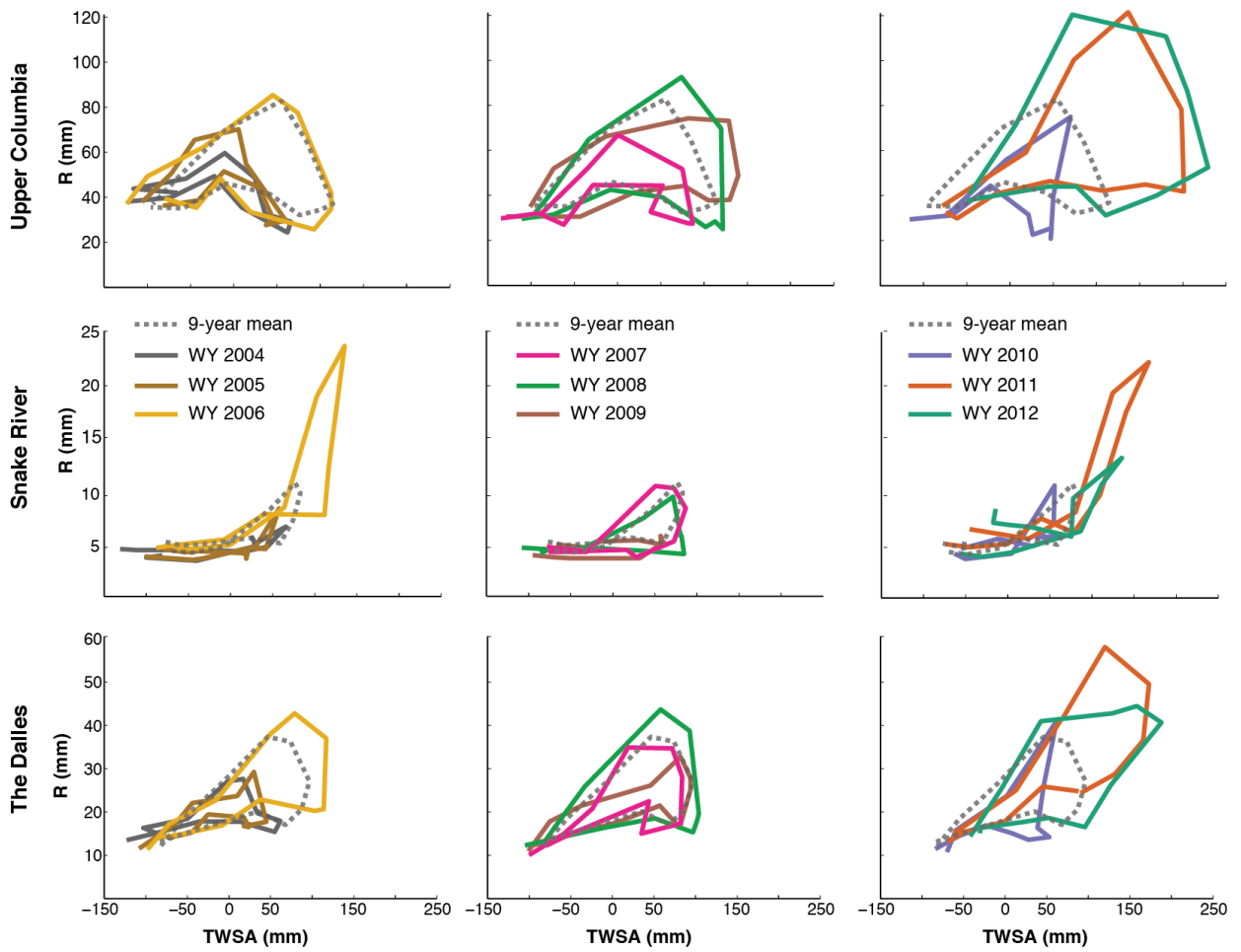


Fig. 5: Plots of the hysteresis curves for $TWSA$ in each of the three study watersheds across all nine water years. For visual clarity, each plot contains three water years and the nine-year mean. Note the different scales on the y-axes for each basin.

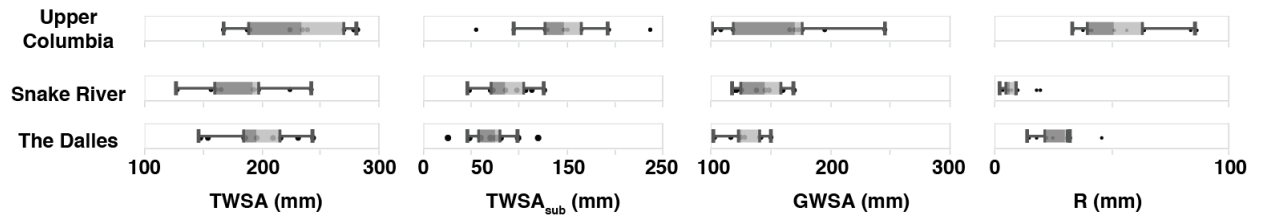


Fig. 6: The intra-annual range of $TWSA$, $TWSA_{sub}$, $GWSA$, and R for the nine water years of the study period.

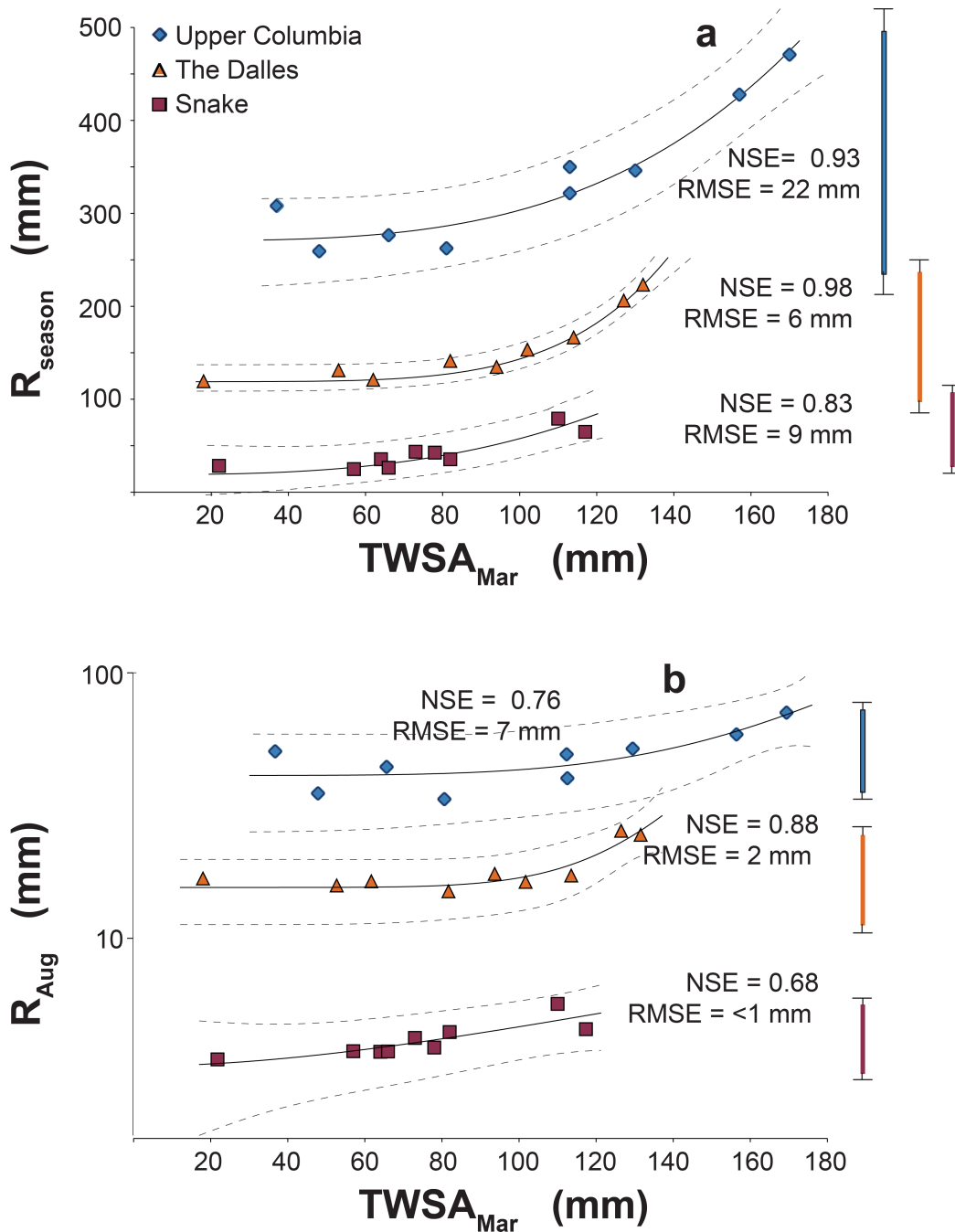


Fig. 7a-b: Measurements of terrestrial water storage anomalies in March ($TWSA_{Mar}$) effectively predict the cumulative runoff for April – September (R_{season} ; a), and help describe how these three regional watersheds function as simple non-linear systems. $TWSA_{Mar}$ also predicts mean runoff for August (R_{Aug} ; b), one of the driest months of the year when demand for water is at its peak. The hashed lines represent the 95% confidence intervals. The box plots to the right of each plot represent the range of R for the respective watershed from WY's 1969 – 2012. Note the semi-log y-axis on (b). For complete results and parameters from the empirical model please refer to Tables 1, 2, 3, A3, and A4.

