

**We thank the editor and one reviewer for insightful and constructive comments and provide answers to the comments below. The editor/reviewer comments are in normal font, our replies are in bold font.**

**Editor**

Dear Authors,

thank you indeed for your careful review, where you adequately address all the comments raised by the Referees and myself.

I sent the revised version to one of the previous referees, who acknowledges that you satisfyingly modified the paper according to the suggestions; he/she still have doubts on the opportunity to publish this kind of work on HESS, but, as I have already written in my comments in December, I believe that the wide interest that the adequate use of open data and open models has for all the hydrologists that attempt to study catchments located all over the world but in particular in developing countries and/or at large regional scale, may fully justify the publication of the manuscript.

I would ask you to answer to the last three points raised by the Referee and submit a second revision (that I will revise myself).

**Thank you. We have addressed these three points as outlined below.**

## **Reviewer 1**

Dear Authors,

You did not address my main criticism/comments regarding the objective of the work (both in abstract/manuscript, it is also away things are written down). The objective of a scientific study cannot be to develop a forecasting system (however you can build and use a flood forecasting system to investigate predictability....)

Page 13 line 291 => using 10% was earlier suggested by Georgakakos (1986?) and later by Weerts and Serafy (2006?)

**These references were added to the manuscript.**

You discuss and conclude that the forecast might be extended beyond 7 days although the results show that climatology is doing better than all experiments So I don't think you can conclude this based on your study (it is pure speculation)

**We agree and have modified the discussion section. The item was removed from the conclusions.**

I wondered why CRPSS is not calculated in table6 although I can live with the table as is.

**We have not included this to keep the table as compact as possible. If CRPSS is included, the table would need 2 additional columns, i.e. CRPSS computed with climatology as the reference and CRPSS with persistence as the reference. The table is already quite large and complex and we believe that the reader can easily compute various CRPSS scores from the information given in the table. Because the reviewer can live with the table as it is, we suggest keeping it in the present format.**

# 1 **Operational river discharge forecasting in poorly gauged basins: the** 2 **Kavango River Basin case study**

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## 10 **Abstract**

11 Operational probabilistic forecasts of river discharge are essential for effective water resources  
12 management. Many studies have addressed this topic using different approaches ranging from  
13 purely statistical black-box approaches to physically-based and distributed modelling schemes  
14 employing data assimilation techniques. However, few studies have attempted to develop  
15 operational probabilistic forecasting approaches for large and poorly gauged river basins. The  
16 objective of this study is to develop open-source software tools to support hydrologic forecasting  
17 and integrated water resources management in Africa. We present an operational probabilistic  
18 forecasting approach which uses public-domain climate forcing data and a hydrologic-  
19 hydrodynamic model which is entirely based on open-source software. Data assimilation techniques  
20 are used to inform the forecasts with the latest available observations. Forecasts are produced in real  
21 time for lead times of 0 to 7 days. The operational probabilistic forecasts are evaluated using a

22 selection of performance statistics and indicators and the performance is compared to persistence  
23 and climatology benchmarks. The forecasting system delivers useful forecasts for the Kavango  
24 River, which are reliable and sharp. Results indicate that the value of the forecasts is greatest for  
25 intermediate lead times between 4 and 7 days.

## 26 **Introduction**

27 Operational probabilistic hydrological modelling and river discharge forecasting is an active  
28 research topic in water resources engineering and applied hydrology (Pagano et al., 2014). Sharp  
29 and reliable forecasts of river discharge are required over a range of forecasting horizons for flood  
30 and drought management. A state of the art river discharge forecasting system consists of a weather  
31 forecast or an ensemble of weather forecasts (Cloke and Pappenberger, 2009), a hydrologic-  
32 hydrodynamic modelling system and a data assimilation approach to inform the forecasts with all  
33 available in situ and remote sensing observations. Alternatively, in the absence of resources, data  
34 and computing power, simpler solutions can be implemented which disregard more and more of the  
35 physics and rely on past observations to parameterize black-box type models such as, for instance,  
36 artificial neural networks (Maier et al., 2010).

37 Many studies have shown that operational hydrological models can benefit from the assimilation of  
38 in-situ or satellite remote sensing observations. Different techniques and approaches have been  
39 presented (Liu et al., 2012). They differ both in terms of the type of data that are assimilated to the  
40 models, the assimilation algorithms used and in terms of the assimilation strategy, i.e. which model  
41 components, states and/or parameters are updated. Some hydrological data assimilation studies  
42 update the internal states of rainfall-runoff models (e.g. Clark et al., 2008; Pauwels and De Lannoy,  
43 2009) while other approaches focus on updating the hydrodynamic parts of the model (Biancamaria  
44 et al., 2011; Neal et al., 2009) or combinations of rainfall-runoff and routing state variables (e.g.

45 Rakovec et al., 2012). One of the most popular algorithms used in hydrologic data assimilation is  
46 the ensemble Kalman filter (e.g. Clark et al., 2008). Alternatively, the particle filter (Moradkhani et  
47 al., 2005) can be used, which does not require the assumption of Gaussian model errors. Variational  
48 data assimilation has also been used in a number of hydrologic studies (e.g. Seo et al., 2009, 2003).  
49 Some studies use filtering approaches where the gain is determined heuristically from offline  
50 simulations and then used operationally in forecasting mode (Madsen and Skotner, 2005). As  
51 pointed out by Liu et al., 2012, despite the large body of literature on hydrologic data assimilation,  
52 few studies evaluate the benefit of data assimilation for actual forecasting and practical application  
53 of data assimilation by operational agencies is rare.

54 In many river basins the performance of operational hydrological modelling and forecasting is  
55 limited because in-situ observations of precipitation and river discharge are scarce or unavailable.  
56 This is also the case for many of Africa's large river basins which are poorly gauged (e.g. Zambezi,  
57 Volta, Congo). Consistent, long-term and spatially resolved in-situ observations of precipitation and  
58 river discharge are unavailable for large portions of Africa. Moreover, the number of operational  
59 meteorological stations and river discharge stations has been decreasing consistently around the  
60 world since the 1970s (Fekete and Voeroesmart, 2007; Peterson and Vose, 1997). Remote sensing  
61 techniques have the potential to fill critical data gaps in the observation of the global hydrological  
62 cycle. All major components of the water balance, except river discharge, can now be estimated  
63 based on various types of remote sensing data. However, the available techniques are still limited  
64 by coarse spatial and temporal resolution as well large and/or poorly understood error  
65 characteristics (Tang et al., 2009). From a management perspective one of the most important  
66 components of the hydrological cycle is river discharge. Extremely high flows in rivers cause  
67 flooding which can have severe consequences in terms of fatalities and economic damage. Low  
68 flows cause conflicts in the allocation of scarce water resources between economic sectors and/or

69 the environment. Therefore, in many river basins there is a need for hydrological models to provide  
70 operational estimates of river discharge based on remotely sensed observations and limited  
71 available in-situ measurements.

72 The TIGER-NET project addresses the demand for free, up-to-date and spatially resolved water  
73 information for the African continent. The project is funded by the European Space Agency (ESA)  
74 and aims to support integrated water resources management in Africa by (i) providing access to  
75 ESA Earth observation (EO) data, (ii) developing an open-source Water Observation and  
76 Information System (WOIS) and (iii) implementing capacity building actions in collaboration with  
77 African partner institutions (Guzinski et al., 2014).

78 The WOIS includes a hydrological modelling component, which supports long-term scenario  
79 analysis (e.g. impact of climate change, deforestation etc.) as well as operational probabilistic  
80 forecasting. The specific objective for the operational modelling capability is to provide reliable and  
81 sharp probabilistic forecasts of river discharge over time horizons of up to one week. In addition to  
82 hydrological modelling, WOIS includes functionality for operational flood monitoring, basin  
83 characterization at high (~30 m) and medium (~1 km) spatial resolutions and derivation of other  
84 products requiring EO data processing and analysis (Guzinski et al., 2014). It was designed for use  
85 in African organizations, where budgetary and technical constraints often limit the use of EO data  
86 for integrated water resources management. Therefore, WOIS is based purely on free, open-source  
87 software components and was created as an easy to use tool for both capacity building and  
88 operational use. Among the partner institutions engaged in the TIGER-NET project is the Namibian  
89 Ministry of Agriculture, Water and Forestry. The Ministry has an interest in forecasting the  
90 discharge of the Kavango River.

91 Based on these requirements, this study has four specific objectives:

- 92 1. Development of a robust and simple probabilistic river discharge forecasting system for  
93 poorly gauged river basins, based solely on open source software and public-domain data.
- 94 2. Informing the forecasting system with in-situ discharge observations in real time.
- 95 3. Operational demonstration of the system for the Kavango River case study.
- 96 4. Comprehensive evaluation of the operational probabilistic forecasts using a selection of  
97 performance statistics and indicators as well as comparison with persistence and climatology  
98 benchmarks.

99 The entire system has been implemented in an open-source GIS environment (QGIS, GDAL,  
100 Python). Installation and source code are available for download from the TIGER-NET webpage  
101 ([www.tiger-net.org](http://www.tiger-net.org)).

## 102 **Materials and Methods**

### 103 **Study Area**

104 The Kavango River originates in the highlands of central Angola and flows south to the border  
105 between Angola and Namibia. The Cuito River joins the Kavango River just before the river enters  
106 into Namibia's Caprivi Strip. It terminates in the Okavango Delta, a large wetland system in  
107 Northern Botswana (Milzow et al., 2009). An overview of the basin is provided in Figure 1. The  
108 basin is located on the Southern fringes of the inter-tropical convergence zone. A strong south-to-  
109 north precipitation gradient is observed. The climate is highly seasonal and large inter-annual  
110 variations are typical, which are controlled by a number of climate time scales (McCarthy et al.,  
111 2000; Wolski et al., 2014). The Kavango River is an important resource for all riparian countries  
112 and forms the basis of many people's livelihoods (Kgathi et al., 2006). While water scarcity and  
113 water allocation between economic sectors and the environment have been in focus for some time,

114 flood risk has recently become a major concern because the northern part of Namibia has  
115 experienced increased magnitude and frequency of flooding events since 2008 (Wolski et al., 2014).  
116 Water managers need accurate and reliable forecasting tools to deal with both floods and droughts.  
117 Three hydrological modelling efforts have been reported in the literature for the Kavango River  
118 basin. Folwell and Farquharson, 2006 used the Global Water Availability Assessment (GWAVA)  
119 model to assess climate change impacts in the basin. Hughes et al., 2011, 2006 calibrated a Pitman  
120 model for the basin and were able to reproduce in-situ observations satisfactorily. Milzow et al.,  
121 2011 developed a SWAT (Soil and Water Assessment Tool) model of the Kavango basin and  
122 calibrated the model with water levels from radar altimetry, soil moisture from Envisat-ASAR and  
123 total water storage change from GRACE.  
124 Long-term in-situ observations of river discharge are available from two hydrometric stations in the  
125 basin, Rundu and Mohembo (Figure 1). Table 1 summarizes the main characteristics of the  
126 Kavango river basins and the two sub-basins contributing to the stations Rundu and Mohembo.

### 127 **Hydrologic and hydrodynamic modelling**

128 The modelling approach implemented in this study consists of a hydrologic (rainfall-runoff) model  
129 which is coupled to a simple routing model for channel flow. A one-way coupling between the two  
130 model compartments is implemented, i.e. once runoff has entered the river channel, the water  
131 cannot move back into the land phase of the hydrological cycle.

132 We use the well-known SWAT hydrological model, version 2009 (Gassman et al., 2005; Neitsch et  
133 al., 2011) for rainfall-runoff modelling. SWAT is a semi-distributed, physically based hydrological  
134 model which operates at a daily time step. The river basin is divided into a number of sub-basins.  
135 Each sub-basin is in turn divided into hydrological response units (HRU), which are defined as  
136 portions of the sub-basin with similar terrain slope, land use and soil type. The Kavango SWAT



137 model consists of 12 subbasins with outlets located at the confluences of major tributaries as well as  
138 at in-situ discharge station locations (Figure 1).

139 The hydrodynamic model used in this study is a simple Muskingum routing scheme, which is  
140 implemented outside of the SWAT simulator to allow efficient updating in the data assimilation  
141 scheme. Muskingum parameters are computed from river widths, assumed cross section geometry  
142 and channel Manning numbers (which are calibration parameters). The river is divided into 12  
143 primary individual river reaches. The primary reaches are further sub-divided if required to meet the  
144 numerical stability criteria of the Muskingum routing scheme (Chow et al., 1988). The  
145 hydrodynamic model state vector consists of the simulated discharges in each individual reach. In  
146 the Muskingum routing scheme, the model operator propagating the discharge forward in time is  
147 linear, i.e. the simulated discharges at time step  $t+1$  are a linear function of the simulated discharges  
148 at time step  $t$  and the runoff forcings at time steps  $t$  and  $t+1$ :

$$149 \quad \mathbf{q}^{t+1} = \mathbf{A}\mathbf{q}^t + \mathbf{B}\mathbf{r}^t + \mathbf{C}\mathbf{r}^{t+1} \quad (1)$$

150 In this equation,  $\mathbf{q}$  is the vector of simulated discharges and  $\mathbf{r}$  is the vector of runoff forcings,  $\mathbf{A}$ ,  $\mathbf{B}$   
151 and  $\mathbf{C}$  are linear operators which depend on the configuration of the river channels and network  
152 connectivity and the superscripts indicate time steps. For details on the implementation of the  
153 Muskingum routing scheme the reader is referred to Chow et al., 1988 and Michailovsky et al.,  
154 2013.

## 155 **Input data**

156 SWAT requires the following input datasets: elevation, land cover, soil type and climate forcings.  
157 The elevation dataset is used for automatic watershed and river network delineation as well as for  
158 the determination of terrain slope. We use the ACE2 (Altimeter Corrected Elevation, version 2,  
159 Berry et al., 2010) global elevation dataset at a resolution of 30 arc-seconds. The parameterization

160 of vegetation processes in the SWAT model is based on the land cover input dataset. We use the  
161 USGS Global Land Cover Characterization (GLCC) dataset, version 2.0 with a spatial resolution of  
162 1 km (USGS, 2008). The soil dataset forms the basis for parameterizing soil hydraulic processes in  
163 SWAT. We use the FAO/UNESCO digital soil map of the world and derived soil properties,  
164 revision 1, with a spatial resolution of 5 arc-minutes (FAO-Unesco, 1974). Look-up tables  
165 translating GLCC land cover classes and FAO/UNESCO soil types into SWAT parameters have  
166 been developed by the WaterBase project (George and Leon, 2007).

167 The model is forced with daily precipitation and daily minimum and maximum temperature from  
168 the National Oceanic and Atmospheric Administration's Global Forecast System (NOAA-GFS)  
169 which provides up to seven days of forecast at a six hourly temporal resolution and 0.5 degree  
170 spatial resolution (NOAA, 2014). Real-time and recent historical forecasts can be downloaded from  
171 the NOMADS server ([http://nomads.ncdc.noaa.gov/data.php#hires\\_weather\\_datasets](http://nomads.ncdc.noaa.gov/data.php#hires_weather_datasets), last accessed:  
172 [14.01.2015](#)). Historical forecasts older than a few months have to be ordered for FTP download.  
173 NOAA-GFS data was aggregated to daily precipitation prior to its use in the hydrological model.  
174 For historical simulation periods and model calibration, forcing time series consisting of the 1-day  
175 ahead forecasts are used. In operational mode, long-term forecasts are successively replaced with  
176 short-term forecasts as time proceeds. In order to assess the performance of the NOAA-GFS  
177 precipitation forecast for the Kavango region, the 1-day ahead forecasts were compared to FEWS-  
178 RFE rainfall estimates (Herman et al., 1997). FEWS-RFE was previously found to be one of the  
179 most accurate remote sensing precipitation products for Africa (Milzow et al., 2011; Stisen and  
180 Sandholt, 2010).

### 181 **Calibration and validation of the hydrologic-hydrodynamic model**

182 Calibration and validation of the hydrologic-hydrodynamic model were performed against observed  
183 in situ river discharge using a split-sample approach. The years 2005-2011 were used for

184 calibration, while the years 2012-2014 served as validation period. Mean observed flows in the  
 185 validation period are higher than in the calibration period (Table 2). After a series of dry years in  
 186 the beginning of the century, the region has experienced much higher amounts of precipitation and  
 187 river flow since 2008 (Wolski et al., 2014). In order to ensure a balanced representation of both wet  
 188 and dry years in the calibration period, we had to use a major portion of the entire data record for  
 189 calibration and could only reserve three years for validation. Particularly for the station Mohembo,  
 190 only very few observations are available in the validation period (Table 2). The objective function  
 191 which was minimized in the calibration was formulated as

$$\begin{aligned}
 \varphi &= (1 - NSE)^2 + RME^2 \\
 RME &= \frac{1}{Q_{obs}} \frac{1}{n} \sum_{i=1}^n (Q_i - Q_{obs,i})
 \end{aligned}
 \tag{2}$$

193 where *NSE* is the Nash-Sutcliffe model efficiency (Nash and Sutcliffe, 1970) and *RME* is the  
 194 relative water balance error (relative mean error). The symbols *Q* and *Q<sub>obs</sub>* denote simulated and  
 195 observed river discharge, respectively, *n* is the number of available discharge observations and the  
 196 overbar indicates temporal averaging. This formulation ensured a reasonable trade-off between  
 197 fitting the observed hydrographs and matching the observed water balance of the catchment. A  
 198 sequential calibration strategy was implemented: First, the subcatchments upstream of Rundu were  
 199 calibrated using Rundu observations and subsequently the subcatchments between Rundu and  
 200 Mohembo were calibrated using Mohembo observations.

201 Calibration was performed using the model-independent parameter estimation programme PEST  
 202 (Doherty et al., 2014). Because of the strongly non-linear response of the SWAT rainfall-runoff  
 203 model, global derivative-free search strategies are the preferred option for calibration of SWAT  
 204 models (Arnold et al., 2012). We use the shuffled complex evolution (SCE) algorithm (Duan et al.,  
 205 1992) which performs a global search over the entire allowed parameter space. The SCE algorithm  
 206 is included in the PEST package (SCEUA\_P).

207 The selection of calibration parameters was the result of an iterative procedure including extensive  
208 sensitivity analysis and repeated trial model runs. The final selection was based on the following  
209 principles: (i) spatial variation of vegetation and soil parameters is determined by the input datasets  
210 and should be left unchanged during calibration. The corresponding SWAT parameters were either  
211 not changed at all or multiplied with a global factor. (ii) The water balance of the rainfall-runoff  
212 model should be maintained. Therefore the fraction of the recharge entering the deep aquifer was  
213 set to zero. (iii) SWAT groundwater parameters are highly uncertain a priori but at the same time  
214 very sensitive. Enough spatial variation in groundwater parameters must be allowed in order to  
215 reproduce the various recession time scales in the observed hydrographs. (iv) SWAT has two  
216 threshold values of the shallow groundwater storage, one controlling the onset of baseflow and one  
217 controlling the onset of phreatic evapotranspiration. The absolute magnitudes of the two threshold  
218 values are less important because they mainly control the length of the required model warm-up  
219 period. However, the difference between these two threshold values has significant control over the  
220 water balance of the catchment: If the baseflow threshold is below the phreatic ET threshold, more  
221 water will leave the catchment as baseflow and less as actual ET and vice versa. In order to reduce  
222 parameter correlation and non-uniqueness, the baseflow threshold was generally fixed at 100 mm in  
223 the Kavango SWAT model.

224 Table 3 provides an overview of the calibration parameters and their allowed ranges. For the  
225 groundwater parameters, spatial variation was allowed between the Rundu and Mohembo regions,  
226 the upstream and downstream catchments within each region and the high slope and low slope  
227 portions of the land surface. This resulted in a total number of 19 calibration parameters for the  
228 Rundu region and 20 calibration parameters for the Mohembo region. We chose 8 complexes in the  
229 SCE calibration run and the number of complexes remained the same throughout the run. Both the  
230 number of parameter sets in each complex and the number of evolution steps before complex

231 shuffling were set to 39 and 41 for the Rundu and Mohembo regions respectively. The convergence  
232 criterion was set to a relative improvement of the best objective function of 1% over 10 shuffling  
233 loops. A total of 50000 model runs were allowed, however the calibration converged after 14711  
234 and 18373 model runs for the Rundu and Mohembo regions respectively. After completion of the  
235 SCE run, the evolution of the parameter values over the course of the shuffling loops was evaluated.  
236 All parameter values converged to a stable solution away from the a priori parameter bounds.

### 237 **Assimilation strategy**

238 The objective of data assimilation is to combine, at each point in time, the model-based estimate of  
239 the state of the system as well as the most recent observations of the state, in order to produce the  
240 best possible estimate of the current and future states, taking into account the respective  
241 uncertainties of simulated states and observations. The assimilation strategy chosen in this study  
242 consists of updating the simulated discharge in the Muskingum routing model only, because the  
243 objective was to generate probabilistic river discharge forecasts with lead times of up to 7 days.  
244 Updates of the rainfall-runoff model states would probably improve long-term forecasts  
245 significantly but may have limited effect on forecasts with short lead times in large basins such as  
246 the Kavango basin. Moreover, updating the rainfall-runoff model would require ensemble-based  
247 assimilation approaches. For the intended user group of the TIGER-NET products, simplicity and  
248 efficiency are key criteria.

249 Observed in-situ discharge at the station Rundu was assimilated to the model in the operational  
250 runs. Because the Muskingum routing operator is linear and the measurement operator is linear too,  
251 we could use the standard Kalman filter for state updating, since it is the optimal sequential  
252 assimilation method for linear dynamics (Kalman, 1960). The Kalman filter simultaneously updates  
253 discharge at all basin outlets. If instead of river discharge, water level measurements from space-  
254 borne or ground-based instruments are assimilated, the measurement operator becomes non-linear

255 and the extended Kalman filter can be used (Michailovsky et al., 2013). The reader is referred to the  
256 literature (e.g. Jazwinski, 1970) for a detailed discussion of the Kalman filter equations and to  
257 Michailovsky et al., 2013 for a detailed description of the assimilation approach.

### 258 **Description of the model error**

259 Runoff is assumed to be the dominant source of error in the routing model. While the routing model  
260 parameters, which depend on reach geometries and Manning's friction factors, are uncertain, runoff  
261 uncertainty can be expected to be much more significant due to the error in the NOAA-GFS rainfall  
262 forcing as well as structural deficiencies and/or parameterization errors in the SWAT model. In  
263 order to find a reasonable representation of the model error, the magnitude, auto-correlation and  
264 spatial cross-correlation of the runoff error had to be assessed. No direct measurements of runoff are  
265 available within the river basin. To derive an operational error model, we assume, in the baseline  
266 experiment, that magnitude and autocorrelation of the relative runoff error are the same as  
267 magnitude and autocorrelation of the relative model residuals at the available in-situ discharge  
268 stations:

$$269 \quad w_t = \frac{(Q_{sim,t} - Q_{obs,t})}{Q_{obs,t}} \quad (3)$$

270 where  $w_t$  is the relative model residual (-),  $Q_{sim,t}$  is the modelled discharge at the in-situ discharge  
271 station at time step  $t$  and  $Q_{obs,t}$  is the in-situ discharge as time step  $t$ . The autocorrelation of the  
272 residuals was assumed to be represented by a first order autoregressive (AR1) model:

$$273 \quad w_t = \delta w_{t-1} + \varepsilon_t \quad (4)$$

274 where  $\delta$  is the AR1 parameter and  $\varepsilon$  is a sequence of white Gaussian noise with a spatial covariance  
275  $Q'$ . Due to the correlated meteorological inputs the runoff forcing error was assumed to be spatially  
276 correlated between the various subcatchments of the model. In the baseline experiment, we assume

277 that the spatial correlation of the runoff forcing error is equivalent to the spatial correlation of the  
278 runoff forcing itself. The correlation matrix of the runoff inputs was computed and  $Q'$  was set to:

$$279 \quad \mathbf{Q}' = \mathbf{C} \sigma(\epsilon)^2 \quad (5)$$

280 where  $\mathbf{C}$  is the runoff correlation matrix and  $\sigma(\epsilon)^2$  is the variance of the white noise component of  
281 the AR1 model. The auto-correlated runoff error state was integrated in the Kalman filter updating  
282 scheme by augmenting the model state vector with the correlated noise term (Jazwinski, 1970;  
283 Michailovsky et al., 2013). This ensures persistence of assimilation benefits in time.

284 The major source of error in in-situ discharge observations is the rating curve, which is used to  
285 transform readings of river stage into river discharge. Rating curves are particularly unreliable for  
286 extreme flow rates and, depending on the channel characteristics, the rating curve changes over time  
287 and requires frequent updating. In the absence of detailed information on the in-situ measurement  
288 procedure, we assumed the measurement error to be uncorrelated in time and proportional to the  
289 discharge. In the baseline experiment, the relative error was assumed to be 10 %, which is a typical  
290 value for in-situ discharge derived from rating curves (Di Baldassarre, 2009) and comparable to  
291 other hydrologic data assimilation studies (e.g. Clark et al., 2008; Georgakakos, 1986; Weerts and  
292 El Serafy, 2006).

293 In order to evaluate the impact of model error and observation error specifications on the  
294 performance of the probabilistic discharge forecasts, four additional forecasting experiments were  
295 conducted. Table 4 presents an overview of the experiments. In the baseline experiment, the  
296 autocorrelation of the relative runoff error was set equal to the autocorrelation of the relative model  
297 error at Rundu (0.9942), as described above. The magnitude of the relative runoff error was set to  
298 4.38%, which is the same as the relative model error at Rundu. The spatial correlation of relative  
299 runoff error was set equal to the spatial correlation of runoff and the relative observation error was

300 set to 10%. In experiment 1, the autocorrelation of the runoff error was set equal to the  
 301 autocorrelation of the spatially aggregated runoff (0.9934) while the other specifications are the  
 302 same as in the baseline run. In experiment 2, the spatial correlation of the runoff error was set to  
 303 zero and all other specifications are as in the baseline run. In experiment 3, the runoff error  
 304 specifications are the same as in the baseline and the relative observation error was set to 20%.  
 305 Finally, in experiment 4, the white noise component of the relative runoff error was increased from  
 306 4.38% to 6% and all other specifications are as in the baseline run.

### 307 **Operational forecasting and performance evaluation**

308 Operational forecasts have been issued at the daily basis for the validation period and supplied to  
 309 Namibia's Ministry of Agriculture Water and Forestry for web-based dissemination. A set of  
 310 criteria were used to assess the performance of the probabilistic river discharge forecasts.  
 311 Performance assessment was done separately for the open loop model and the 0 to 7-day forecasting  
 312 horizons. The criteria assess the performance of the central model forecast, as well as the reliability  
 313 and sharpness of the probabilistic forecasts. The following criteria were used to assess the  
 314 performance of the central model forecast: Nash-Sutcliffe model efficiency (NSE), root-mean  
 315 square error (RMSE), mean error (ME) and persistence index. The persistence index (PI, Bennett et  
 316 al., 2013) is defined analogous to the NSE:

$$317 \quad PI = \frac{\frac{1}{n} \sum_{i=1}^n (Q_i - Q_{obs,i})^2 - \frac{1}{n} \sum_{i=1}^n (Q_i - Q_{last})^2}{-\frac{1}{n} \sum_{i=1}^n (Q_i - Q_{last})^2} \quad (6)$$

318 where n is the number of forecasted observations, Q are the forecasts,  $Q_{obs}$  are the observations and  
 319  $Q_{last}$  is the latest available observation before the forecasted observation. While the NSE uses the  
 320 average of the observations as the benchmark (i.e. a forecast that performs as good as the long-term  
 321 average of the available observations scores an NSE of 0), the PI uses the last available observation



322 as the benchmark (i.e. a forecast that performs as good as the latest available observation scores a PI  
 323 of 0).

324 Reliability and sharpness of the probabilistic forecasts were assessed with the coverage of the 95%  
 325 confidence interval (i.e. percentage of observations that fall within the predicted nominal 95%  
 326 confidence interval), the sharpness of the 95% confidence interval (width of predicted 95%  
 327 confidence interval), the Interval Skill Score (ISS) of the 95% confidence interval as well as the  
 328 continuous ranked probability score (CRPS). The ISS is defined according to Gneiting and Raftery,  
 329 2007 as:

$$ISS_{\alpha} = \sum_{i=1}^n iss_{\alpha}(l_i, u_i, Q_{obs,i})$$

$$330 \quad iss_{\alpha}(l, u, Q_{obs}) = \begin{cases} (u - l) & \text{if } l < Q_{obs} < u \\ (u - l) + 2/\alpha (l - x) & \text{if } Q_{obs} < l \\ (u - l) + 2/\alpha (x - u) & \text{if } Q_{obs} < u \end{cases} \quad (7)$$

331 where  $\alpha$  is the level of the confidence interval (0.05 in our case),  $l$  is the lower and  $u$  the upper  
 332 bound of the confidence interval.

333 The CRPS is a verification tool for probabilistic forecasts and can be interpreted as the area between  
 334 the cumulative distribution function of the forecast and the cumulative distribution function of the  
 335 observation, which is a Heaviside step function. The CRPS thus compares the full distribution  
 336 function of the forecast with the observation and not only selected confidence intervals. For  
 337 normally distributed forecasts, a closed-form expression for the CRPS exists (Gneiting et al., 2004):

$$338 \quad CRPS = \frac{1}{n} \sum_{i=1}^n crps(Q_{obs,i}, Q_i, \sigma_i) \quad (8)$$

$$crps(Q_{obs}, Q, \sigma) = \sigma \left[ \frac{Q_{obs} - Q}{\sigma} \left( 2\Phi \left( \frac{Q_{obs} - Q}{\sigma} \right) - 1 \right) + 2\phi \left( \frac{Q_{obs} - Q}{\sigma} \right) - \frac{1}{\sqrt{\pi}} \right]$$

339 where  $\sigma$  is the standard deviation of the probabilistic forecast,  $\Phi$  is the cumulative distribution  
340 function and  $\phi$  the probability density function of the standard normal distribution. For a  
341 deterministic forecast, the CRPS is equivalent to the mean absolute error (Boucher et al., 2011;  
342 Schellekens et al., 2011). This allows for a systematic and objective comparison between  
343 deterministic and probabilistic forecasts.

344 The performance of operational forecasts was compared to two benchmark forecasts which can be  
345 produced with minimal effort: persistence and climatology. Persistence forecasts the flow as equal  
346 to the last available observation, while climatology forecasts the flow as equal to the historical  
347 average flow for this day of the year.

## 348 **Results**

### 349 **Comparison of precipitation products**

350 Comparison of the FEWS-RFE and NOAA-GFS precipitation products showed large deviations  
351 between the two products. Figure 2 shows a double mass plot for the average precipitation over the  
352 entire Kavango River catchment for the period 2005-2012. Obviously, there is a significant bias and  
353 the timing of precipitation events is inconsistent too, as evidenced by the wiggles in the double  
354 mass curve. The FEWS-RFE product is based on both satellite observations and in-situ gauging  
355 stations, while NOAA-GFS is derived from a global weather model. Moreover, FEWS-RFE has  
356 been shown to perform well in previous studies on the African continent (Milzow et al., 2011;  
357 Stisen and Sandholt, 2010). We therefore assume that the FEWS-RFE product is closer to the  
358 unknown true precipitation than NOAA-GFS and bias correct the NOAA-GFS data to match the  
359 long-term average precipitation for both products. A spatially and temporally constant precipitation  
360 correction factor of 0.67 was therefore used throughout the study. Figure 2 also presents a

361 quantitative comparison of the NOAA-GFS precipitation forecasts for various forecasting horizons.  
362 As a general trend, the longer the forecasting horizon, the lower the predicted precipitation  
363 compared to the 1-day ahead forecasts. These effects are particularly pronounced for the rainy  
364 seasons 2008/2009 and 2011/2012. However, for the most recent years, the double mass plots show  
365 slopes close to unity. We therefore did not implement variable bias correction for the different  
366 forecasting horizons. Because the NOAA-GFS system is continuously updated and modified  
367 (process parameterization, spatial resolution etc.), performance of precipitation forecasts should be  
368 regularly checked during operational application of the hydrologic forecasting system. Changes in  
369 the quantitative precipitation forecasts may require adjustments in the bias correction and/or  
370 recalibration of the hydrological model.

371 Clearly, the quality of the precipitation forcing is a critical issue, which has significant control over  
372 the performance of the forecasting system. Within the TIGER-NET framework, we are dependent  
373 on public domain datasets and NOAA-GFS was the only free source of operational weather  
374 forecasts for the African continent available to the project. Potentially, model performance could be  
375 improved if NOAA-GFS data was corrected dynamically, for instance by continuously  
376 benchmarking it against real-time or near real-time precipitation products such as FEWS-RFE or  
377 TRMM-3B42 (Huffman et al., 2007) for the recent past and estimating a time-variable bias  
378 correction. An even better solution would be to merge NOAA-GFS data with in-situ precipitation  
379 data. However, no operational dataset of in-situ precipitation observations is available for this part  
380 of Africa.

### 381 **Performance of the calibrated model**

382 Table 3 provides an overview of the calibrated parameter values. All parameter values are  
383 physically reasonable and calibrated parameter values do not stick to the bounds of a-priori  
384 parameter intervals.

385 Model residuals were analysed and tested for normality and autocorrelation. Figure 5 summarizes  
386 the results of the model error analysis for the station Rundu. Figure 5a plots the relative error of the  
387 hydrologic-hydrodynamic model versus the observed discharge. Obviously, the relative error is not  
388 independent of discharge; it is higher for low discharge than for high discharge. The Q-Q plot in  
389 Figure 5b shows that the empirical distribution of model errors significantly deviates from a normal  
390 distribution. The empirical distribution of the model errors is narrower than the normal distribution  
391 and a larger portion of the data is clustered around the mean. The correlogram in Figure 5c shows  
392 highly significant auto-correlation of the model errors. Figure 5d shows the residual model errors  
393 ( $\epsilon$ ) after application of the AR1 model (equation 4), plotted against the observed discharge. This  
394 distribution looks more even than the distribution of the primary model residuals in Figure 5a. A  
395 test for normality using the Q-Q plot shows significant deviations and again a narrower distribution  
396 than the normal distribution (Figure 5e). Temporal correlations have been effectively removed from  
397 the model errors and no significant correlations remain as shown in Figure 5f. We conclude from  
398 this analysis that the relative error of the hydrologic-hydrodynamic model can be reasonably  
399 represented with an AR1 model. The time correlation of the AR1 model is  $\delta=0.9942$  on the daily  
400 time step. The random error contribution is  $\epsilon=0.0438$ . As explained in the methods section, we  
401 assume, in the baseline experiment, that the same AR1 model parameters can represent the relative  
402 error of the runoff forcing and we use this result to parameterize the model error in the Kalman  
403 filter assimilation scheme.

#### 404 **Discharge forecasting and data assimilation**

405

406 Table 5 reports the performance statistics for the probabilistic model runs. We report results for the  
407 open-loop run without assimilation, the assimilation run (“now-casting”) as well as the 1-7 day  
408 ahead forecasts. The various forecasting horizons use different precipitation forcings (forecasts

409 available at the simulated issue date) and in-situ data are assimilated up to simulated issue date. We  
410 only assimilate data from the station Rundu, because (i) no real-time observations are available for  
411 Mohembo and (ii) this enables us to assess the effect of upstream assimilation on a downstream  
412 station. The indicators are reported for both in-situ stations and for the calibration and the validation  
413 period. We are well aware that the observations in the calibration period have been used already for  
414 model calibration and are now used again for assimilation. Still, we feel that it is useful to present  
415 the statistics for information. Figure 6 shows the open-loop and assimilation run for the station  
416 Rundu during calibration and validation periods. We first assess the performance of the  
417 probabilistic open-loop run. Generally, the chosen error model seems to be appropriate. The  
418 forecasts produced by the open-loop run are reliable; the coverage of the nominal 95% confidence  
419 interval does not fall below 84% at any of the stations during any of the periods. However, the  
420 open-loop forecasts are not very sharp, as evidenced by the wide confidence intervals in Figure 6.  
421 This results in a relatively high ISS score.

422 The assimilation run is much sharper for all stations and periods but we observe a significant loss of  
423 reliability in the validation period. This can again be explained by the relatively low number of  
424 observations, particularly at the station Mohembo during the validation period as well as relative  
425 over-sampling of the high-flow period. ISS scores of the forecasting runs are much lower than for  
426 the open-loop run, which indicates massive improvement. The 1-7 day ahead forecast runs show  
427 degrading performance for increasing lead times. However, even the 7-day ahead forecast generally  
428 has a lower ISS than the open-loop run, except for Rundu during the validation period. Clearly, the  
429 central forecast is better for all lead times than the central run in the open-loop simulation. All three  
430 indicators (NSE, RMSE and ME) show significant improvement. Coverage decreases rapidly with  
431 increasing lead time for the station Rundu but is more or less independent of lead time for the  
432 station Mohembo. This can be explained by the routing time lag between the two stations.

433 Improvements due to assimilation of Rundu data travel down to Mohembo and are still visible at  
434 this station after many days. For the station Rundu, increased sharpness is over-compensated by  
435 loss of reliability, which leads to increasing ISS scores with increasing lead time. For the validation  
436 period, only the 0-3 ahead forecasts are better than the open-loop run, if evaluated with the ISS  
437 score.

438 Table 6 summarizes the performance of the operational forecasts produced in the different  
439 forecasting experiments for the validation period and the station Rundu. Results are reported for the  
440 baseline and experiments 1, 3 and 4. Experiment 2 produced results that are very similar to the  
441 baseline results and those are therefore not separately reported. Table 6 also includes the  
442 performance indicators for the persistence and climatology benchmarks.

443 Experiment 4 generally shows the best performance. According to the CRPS score, the forecasts  
444 are superior to the open-loop run for all forecasting horizons. Forecasts are also better than the  
445 persistence benchmarks for forecasting horizons between 4 and 7 days. For forecasting horizons  
446 between 1 and 6 days, the model outperforms the climatology benchmark. The persistence index  
447 indicates that the forecasting system performs worse than the persistence benchmark. However, it is  
448 important to note that the PI does not assess the quality of probabilistic forecasts in terms of  
449 sharpness and reliability but only takes the central forecast into account and compares two  
450 deterministic predictions.

451 Figure 7 graphically presents the forecasts produced in experiment 4 for the station Rundu during  
452 the validation period and Figure 8 shows predictive quantile-quantile plots for these forecasts.

## 453 **Discussion**

454 The presented approach for the generation of probabilistic river discharge forecasts is simple and  
455 robust and designed to work in data-sparse and poorly gauged basins. A key factor for the  
456 performance of the system is the rainfall forcing. While the NOAA-GFS rainfall can produce  
457 reasonably reliable and sharp forecasts for the Kavango River, the product should be further  
458 compared against other operational precipitation products. Promising avenues for future research  
459 may be dynamic bias correction using other precipitation or soil moisture products and/or the  
460 extension of the forecast lead time beyond 7 days. NOAA-GFS does provide forecasts up to 16 days  
461 into the future. However, the spatial resolution is reduced by a factor of 2 for forecasting horizons  
462 beyond one week. To further improve the reliability and sharpness of the forecasts, an ensemble of  
463 weather forecasts should be used to drive the forecasting system (Cloke and Pappenberger, 2009).  
464 One potential source of free ensemble weather forecasts for the African continent is the Global  
465 Ensemble Forecasting System (GEFS, <http://www.emc.ncep.noaa.gov/?branch=GEFS>).

466 As in other hydrologic data assimilation studies (e.g. Clark et al., 2008), parameterization of the  
467 model error is a fundamental issue for the performance of the assimilation scheme. Generally,  
468 model error terms can be added to the forcings, the states, and the parameters of a model. Here, we  
469 assign all model error to the runoff forcing and quantify magnitude and auto-correlation of the error  
470 based on the comparison of simulated and observed river discharge. Unlike other authors, we do not  
471 apply error terms to the states and parameters of the routing model, because we assume that these  
472 error contributions are minor compared to the runoff error. While this approach is robust and  
473 efficient, it clearly represents a strong simplification of reality. It is clear that the simple  
474 Muskingum routing model has significant structural error, for instance due to the fact that  
475 floodplains and surface water / groundwater interactions are not simulated.

476 Comparison of the various forecasting experiments shows that assumptions about the model and  
477 observation errors have a large impact on the performance of the forecasting system. The magnitude  
478 of the relative runoff error is particularly sensitive, as evidenced by the improved performance of  
479 experiment 4 compared to the baseline. It is reasonable to assume a higher relative error for the  
480 runoff than the relative error computed from the model residuals at Rundu, because the routing  
481 model has a smoothing effect on the runoff response. Experiment 3 and the baseline show a  
482 comparable performance in terms of CRPS. Basically the higher assumed observation error in  
483 experiment 3 results in predictions that are less sharp but more reliable. Comparison of experiment  
484 1 and baseline results shows that even small differences in the assumed autocorrelation of the runoff  
485 error result in significant differences in the forecast performance. Higher error autocorrelation leads  
486 to increased sharpness, but lower reliability. CRPS indicates that experiment 1 forecasts marginally  
487 outperform the baseline forecasts. Experiment 2 results are very close to the baseline, because the  
488 spatial correlation of runoff between the different subcatchments is low, due to the variable  
489 hydrologic characteristics of the subcatchments. Predictive Q-Q plots for experiment 4 (Figure 8)  
490 indicate significant deviations of the empirical distribution of normalized forecast errors from the  
491 normal distribution.

492 As is common for studies dealing with probabilistic river discharge forecasting, we find that our  
493 probabilistic forecasts are over-reliable during low flow periods and under-reliable during high-flow  
494 periods. This issue can be addressed by separating the total runoff forcing generated by the SWAT  
495 model into its components, i.e. overland flow, interflow and baseflow, and developing separate  
496 error representations for the various runoff components. However, given the sparse availability of  
497 in-situ observations in the basins, it may be difficult to find robust parameters for these error  
498 representations.



499 We generally observe weaker performance of the forecasting system in the beginning of the rainy  
500 season, i.e. after the long dry season during the onset of the annual high-flow season. This may be  
501 due to deficiencies in the precipitation forecasts and/or due to weaknesses in the representation of  
502 hydrological processes in the SWAT model. It appears that in reality, the first rains in the early  
503 rainy season already lead to increased river flow, while in the model, these precipitation events are  
504 completely absorbed in the various simulated hydrological storage compartments.

505 In this study, focus has been on the final output of the modelling chain, i.e. river discharge.  
506 However, SWAT simulates a multitude of intermediate states and fluxes in the land phase of the  
507 hydrological cycle, which could be analysed and compared to observations, if such observations  
508 were available. There is an obvious opportunity to inform the modelling system with other types of  
509 in-situ and remote sensing observations such as radar altimetry, soil moisture and total water  
510 storage from time-variable gravity (Milzow et al., 2011). However, if such data were to be formally  
511 assimilated to the modelling system, an ensemble approach would have to be chosen because of the  
512 highly non-linear responses inherent in the SWAT model. Many studies have addressed ensemble-  
513 based streamflow forecasting with lumped-conceptual or distributed hydrological models. Rakovec  
514 et al., 2012 found that rainfall-runoff model states were less sensitive compared to routing states in  
515 their hydrologic data assimilation study with the Ensemble Kalman Filter and suggested time lags  
516 between the rainfall-runoff model states and streamflow response as the likely reason. Alternative  
517 updating strategies that use several previous time steps instead of the last time step only (e.g.  
518 Ensemble Kalman Smoother) can potentially solve these problems. Other recurring issues in such  
519 studies are high computational demand, and model error parameterization (e.g. Clark et al., 2008).

## 520 **Conclusions**

521 We have presented an operational probabilistic river discharge forecasting system for poorly gauged  
522 basins which relies exclusively on public-domain, open-source software and data. The forecasting  
523 system is specifically adapted to the conditions prevailing in many African basins, such as weak in-  
524 situ monitoring infrastructure, budget constraints for operational monitoring and management as  
525 well as weak institutional capacity. We demonstrated the performance of the forecasting system for  
526 the Kavango River and obtained encouraging results. **Zero to 7-day ahead probabilistic forecasts**  
527 **produced by the system are sharp and reliable. The system may benefit from ingestion of other**  
528 **types of in-situ or remotely sensed observations such as radar altimetry and soil moisture.** The  
529 TIGER-NET project and its Water Observation and Information System (WOIS) provide an ideal  
530 platform to combine remote sensing observations and hydrological models to generate accurate  
531 estimates of hydrological states as well as sharp and reliable forecasts for operational water  
532 resources management.

533

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682 **Tables**

683 **Table 1: Characteristics of the Kavango River basin and the Rundu and Mohembo sub-basins**

Sub-basin	Catchment area (km <sup>2</sup> )	Mean elevation (mamsl)	Mean annual precipitation (bias-corrected 1-day ahead NOAA-GFS, mm)
Kavango	162050	1320	847
Rundu	101520	1341	843
Mohembo	60530	1286	853

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**Table 2: Model performance for calibration and validation periods. Numbers in brackets are percent of mean observed flow.**

In-situ station	NSE (-)	RMSE (m <sup>3</sup> /s)	ME (m <sup>3</sup> /s)	Mean of observations (m <sup>3</sup> /s)	No. of simulated observations
<b>Calibration Period (2005-2011)</b>					
Rundu	0.73	105.6 (42.5%)	-5.4 (-2.2%)	248.4	2440
Mohembo	0.69	97.1 (32.8%)	6.8 (2.3%)	295.9	1935
<b>Validation Period (2012-2014)</b>					
Rundu	0.74	94.6 (35.0%)	-55.0 (-20.6%)	249.0	572
Mohembo	0.33	144.0 (30.7%)	-119.0 (-25.4%)	469.1	46

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**Table 3: Model calibration parameters. Subcatchment IDs for the various regions: r = 2+3+5+6+7+9+10; m = 1+4+8+11+12; ru = 2+3; rd = 5+6+7+9+10; mu = 1; md = 4+8+11+12; ruh = HRUs in region ru with terrain slope above 2%; rul = HRUs in region ru with terrain slope below 2%; rdh = HRUs in region rd with terrain slope above 2%; rdl = HRUs in region rd with terrain slope below 2%; muh = HRUs in region mu with terrain slope above 2%; mul = HRUs in region mu with terrain slope below 2%; mdh = HRUs in region md with terrain slope above 2%; mdl= HRUs in region md with terrain slope below 2%.**

Parameter	Description and unit	Lower bound	Calibrated value		Upper bound
CN2_m	Multiplier on the SCS curve number for moisture condition II (dimensionless)	0.6	r	0.63	1.2
			m	0.65	
ESCO	Soil evaporative compensation factor (dimensionless)	0.5	r	0.95	1
			m	0.80	
EPCO	Plant uptake compensation factor (dimensionless)	0.5	r	0.89	1
			m	0.92	
CH_N1	Manning's n for tributary channels ( $sm^{-1/3}$ )	0.02	r	0.185	0.2
			m	0.023	
CH_N2	Manning's n for main reaches ( $sm^{-1/3}$ )	0.02	r	0.023	0.2
			m	0.104	
GW_DELAY	Groundwater delay (days)	30	ru	81.3	120
			rd	43.4	
			mu	101.6	
			md	112.8	
ALPHA_BF	Base flow recession constant (dimensionless)	0.05	ruh	0.676	1
			rul	0.177	
			rdh	0.221	
			rdl	0.730	
			muh	0.846	
			mul	0.264	
			mdh	0.161	
			mdl	0.080	
GW_REVAP	Groundwater re-evaporation coefficient (dimensionless)	0	ruh	0.81	1
			rul	0.90	
			rdh	0.68	
			rdl	0.53	
			muh	0.75	
			mul	0.86	
			mdh	0.90	
			mdl	0.26	
REVAPMN	Threshold depth of water in shallow aquifer for re-evaporation to occur (mm)	0	ruh	103	200
			rul	29	
			rdh	75	
			rdl	31	
			muh	15	
			mul	100	
			mdh	97	
			mdl	26	
LOSS_11	Fractional loss from the Kavango River between Rundu and Mohembo, due to evaporation, infiltration and abstraction (dimensionless)	0	0.011		0.2

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696 **Table 4: Overview of the different forecasting experiments**

<b>Experiment</b>	<b>Autocorrelation of relative runoff error</b>	<b>Relative runoff error</b>	<b>Spatial correlation of relative runoff error</b>	<b>Relative observation error</b>
<b>Baseline</b>	Same as autocorrelation of model error at Rundu (0.9942)	4.38%	Same as spatial correlation of runoff	10%
<b>Experiment 1</b>	Same as autocorrelation of total runoff (0.9934)	4.38%	Same as spatial correlation of runoff	10%
<b>Experiment 2</b>	Same as autocorrelation of model error at Rundu (0.9942)	4.38%	Zero	10%
<b>Experiment 3</b>	Same as autocorrelation of model error at Rundu (0.9942)	4.38%	Same as spatial correlation of runoff	20%
<b>Experiment 4</b>	Same as autocorrelation of model error at Rundu (0.9942)	6%	Same as spatial correlation of runoff	10%

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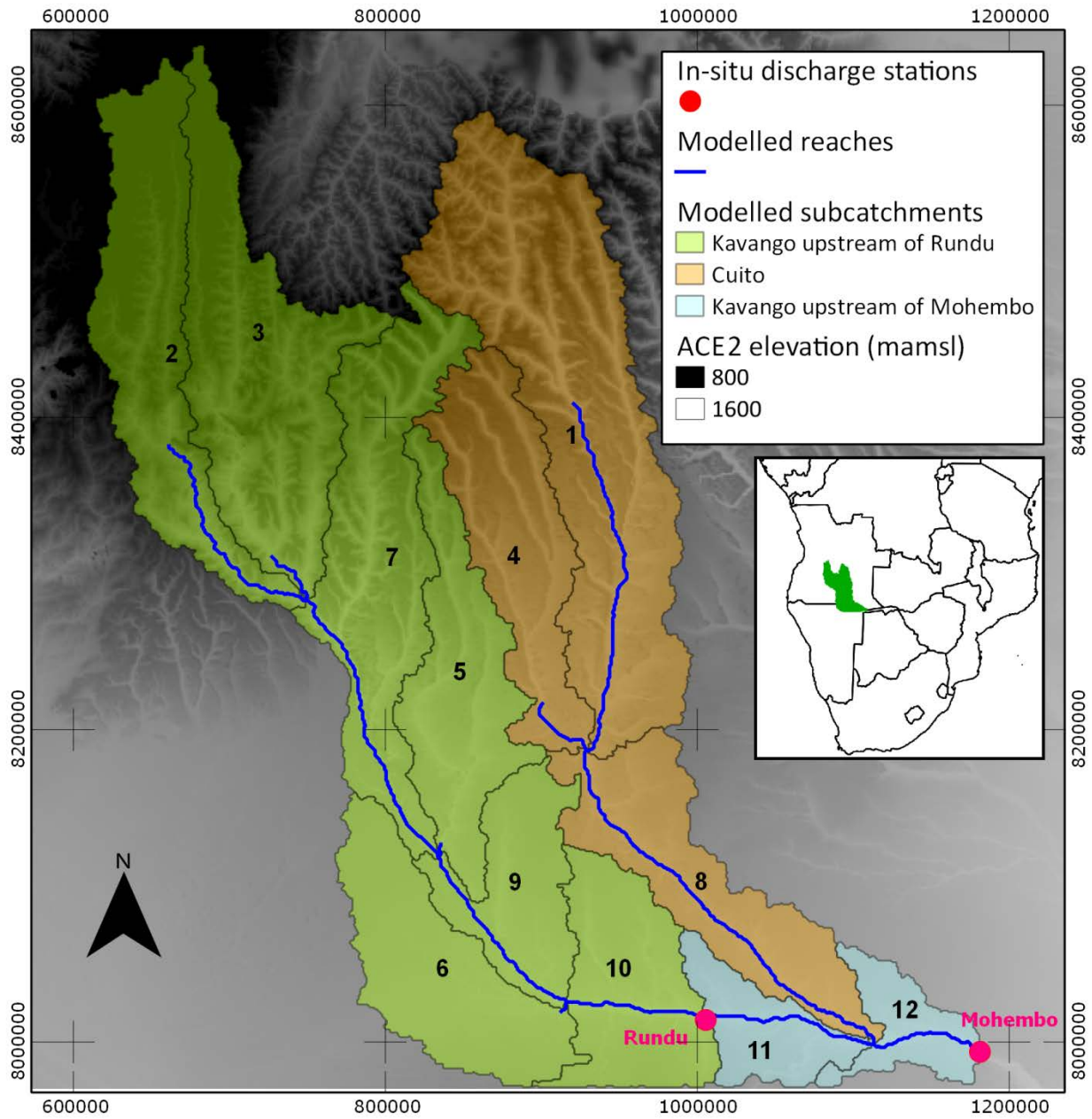
Period	In-situ station	Run	NSE (-)	RMSE (m <sup>3</sup> /s)	ME (m <sup>3</sup> /s)	Coverage (%)	Sharpness (m <sup>3</sup> /s)	Interval Skill Score (m <sup>3</sup> /s)	Mean of predicted observations (m <sup>3</sup> /s)	No. of predicted observations
Calibration Period (2005-2011)	Rundu	Open-Loop	0.73	105.6	-5.4	90.0	423.5	654.9	248.4	2440
		Assimilation	0.99	22.9	-0.9	88.6	54.1	147.1	248.4	2440
		1-day ahead	0.98	29.2	-0.3	86.7	64.4	196.3	248.5	2440
		2-day ahead	0.97	36.5	0.5	85.8	75.6	250.8	248.7	2439
		3-day ahead	0.95	44.0	1.3	84.5	86.7	307.5	248.9	2438
		4-day ahead	0.94	51.2	2.2	83.6	97.2	362.0	249.1	2437
		5-day ahead	0.92	57.9	3.1	83.3	106.9	415.2	249.3	2436
		6-day ahead	0.90	64.1	4.0	82.6	115.8	465.5	249.4	2435
	7-day ahead	0.88	69.9	4.9	81.9	124.0	511.5	249.6	2434	
	Moheumbo	Open-Loop	0.69	97.1	6.8	93.3	478.2	638.1	295.9	1935
		Assimilation	0.93	45.1	-11.3	93.3	154.5	251.2	295.9	1935
		1-day ahead	0.93	45.2	-11.2	93.3	154.5	251.7	295.9	1935
		2-day ahead	0.93	45.1	-11.1	93.4	154.6	249.3	296.0	1934
		3-day ahead	0.93	45.0	-11.0	93.4	154.7	246.9	296.0	1933
		4-day ahead	0.93	44.9	-10.9	93.5	154.8	244.7	296.1	1932
		5-day ahead	0.93	44.8	-10.8	93.5	154.9	242.4	296.2	1931
6-day ahead		0.93	44.8	-10.6	93.4	155.2	240.2	296.3	1930	
7-day ahead	0.93	45.0	-10.4	93.3	155.5	238.4	296.4	1929		
Validation Period (2012-2014)	Rundu	Open-Loop	0.74	94.6	-55.0	83.9	224.6	515.9	249.0	572
		Assimilation	0.97	31.7	-0.5	81.8	43.6	265.7	249.0	572
		1-day ahead	0.96	39.3	0.5	78.8	49.3	351.4	252.5	556
		2-day ahead	0.94	47.3	1.5	75.9	54.9	442.4	254.1	547
		3-day ahead	0.92	54.8	2.3	74.6	60.1	527.4	254.0	544
		4-day ahead	0.89	61.6	3.1	72.4	65.1	609.9	254.2	540
		5-day ahead	0.87	67.5	3.7	70.8	69.9	687.6	254.9	534
		6-day ahead	0.86	72.3	4.2	69.5	74.2	750.4	254.8	531
	7-day ahead	0.84	76.0	4.4	69.0	78.2	799.6	254.4	529	
	Moheumbo	Open-Loop	0.33	144.0	-119	93.5	498.4	686.7	469.1	46
		Assimilation	0.92	48.4	-9.0	80.4	176.3	206.5	469.1	46
		1-day ahead	0.92	48.7	-7.6	81.8	178.3	209.5	478.9	44
		2-day ahead	0.92	49.0	-8.0	82.2	177.3	208.2	473.4	45
		3-day ahead	0.92	49.9	-7.4	81.8	178.5	210.6	480.4	44
		4-day ahead	0.91	51.2	-7.5	79.5	178.6	213.6	481.4	44
		5-day ahead	0.91	52.3	-6.9	79.5	178.9	218.0	481.1	44
6-day ahead		0.91	52.7	-7.8	76.6	176.4	233.0	464.2	47	
7-day ahead	0.92	52.1	-8.4	79.2	175.2	255.7	449.0	48		

**Table 6: Performance indicators for the forecasts issued for the station Rundu in the validation period, excluding model “warm-up” periods**

Run	NSE (-)	RMSE (m <sup>3</sup> /s)	Cove- rage (%)	Sharp- ness (m <sup>3</sup> /s)	Interval Skill Score (m <sup>3</sup> /s)	Persistence index (-)	CRPS (m <sup>3</sup> /s)	No. of predicted obser- vations
<b>Benchmarks</b>								
Persistence, 1-day ahead	1.00	10.3					6.3	556
Persistence, 2-day ahead	0.99	18.4					12.1	547
Persistence, 3-day ahead	0.98	26.7					17.6	544
Persistence, 4-day ahead	0.97	34.7					23.2	540
Persistence, 5-day ahead	0.95	42.6					28.5	534
Persistence, 6-day ahead	0.93	50.2					33.6	531
Persistence, 7-day ahead	0.91	57.4					38.5	529
Climatology	0.82	78.5	100	346.1	346.1		28.2	580
<b>Baseline</b>								
Open-Loop	0.74	94.6	83.9	224.6	515.9		40.0	572
Assimilation	0.97	31.7	81.8	43.6	265.7		13.1	572
1-day ahead	0.96	39.3	78.8	49.3	351.4	-13.7	16.7	556
2-day ahead	0.94	47.3	75.9	54.9	442.4	-5.6	20.3	547
3-day ahead	0.92	54.8	74.6	60.1	527.4	-3.2	23.8	544
4-day ahead	0.89	61.6	72.4	65.1	609.9	-2.1	27.1	540
5-day ahead	0.87	67.5	70.8	69.9	687.6	-1.5	30.1	534
6-day ahead	0.86	72.3	69.5	74.2	750.4	-1.1	32.7	531
7-day ahead	0.84	76.0	69.0	78.2	799.6	-0.8	34.9	529
<b>Experiment 1</b>								
Open-Loop	0.74	94.6	89.9	295.6	473.0		38.4	572
Assimilation	0.98	25.8	87.6	49.5	189.4		10.0	572
1-day ahead	0.97	33.9	84.5	57.7	261.2	-9.9	13.4	556
2-day ahead	0.95	42.6	83.4	66.2	339.7	-4.4	16.9	547
3-day ahead	0.93	50.9	82.2	74.2	416.1	-2.7	20.4	544
4-day ahead	0.90	58.5	81.5	81.8	485.6	-1.8	23.7	540
5-day ahead	0.88	65.2	80.9	89.1	549.1	-1.3	26.8	534
6-day ahead	0.86	70.5	79.5	95.6	599.5	-1.0	29.4	531
7-day ahead	0.85	74.7	78.8	101.5	635.6	-0.7	31.6	529
<b>Experiment 3</b>								
Open-Loop	0.74	94.6	91.3	315.5	464.8		38.1	572
Assimilation	0.96	39.2	85.8	74.9	261.1		15.6	572
1-day ahead	0.94	46.1	83.8	82.2	323.1	-19.2	18.7	556
2-day ahead	0.92	53.2	82.8	89.2	385.9	-7.3	21.8	547
3-day ahead	0.90	59.7	81.6	95.7	441.1	-4.0	24.6	544
4-day ahead	0.88	65.7	81.1	101.9	493.5	-2.6	27.2	540
5-day ahead	0.86	70.9	80.9	108.0	539.4	-1.8	29.7	534
6-day ahead	0.84	75.1	80.0	113.4	571.2	-1.2	31.7	531
7-day ahead	0.83	78.5	79.6	118.5	595.9	-0.9	33.3	529
<b>Experiment 4</b>								
Open-Loop	0.74	94.6	95.3	432.2	525.3		38.6	572
Assimilation	0.99	20.5	91.1	55.6	141.6		7.7	572
1-day ahead	0.98	29.0	89.4	67.5	202.4	-7.0	10.8	556
2-day ahead	0.96	38.4	88.5	80.1	269.0	-3.4	14.3	547
3-day ahead	0.94	47.7	88.6	92.1	335.2	-2.2	17.8	544
4-day ahead	0.91	56.2	87.8	103.6	397.8	-1.6	21.1	540
5-day ahead	0.89	63.8	86.5	114.4	454.0	-1.2	24.2	534
6-day ahead	0.87	69.8	85.7	123.9	497.8	-0.9	26.8	531
7-day ahead	0.85	74.6	85.6	132.7	531.6	-0.7	29.0	529

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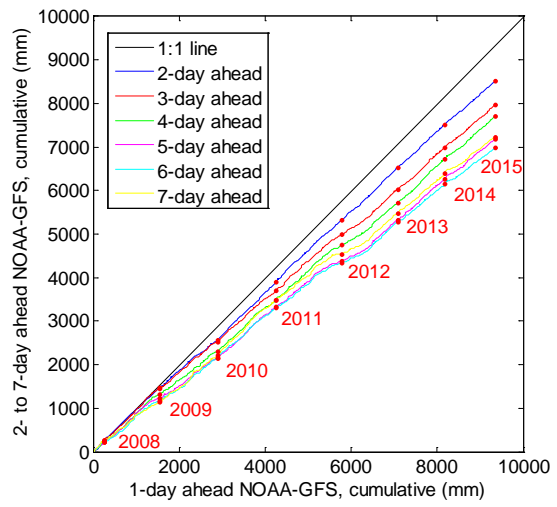
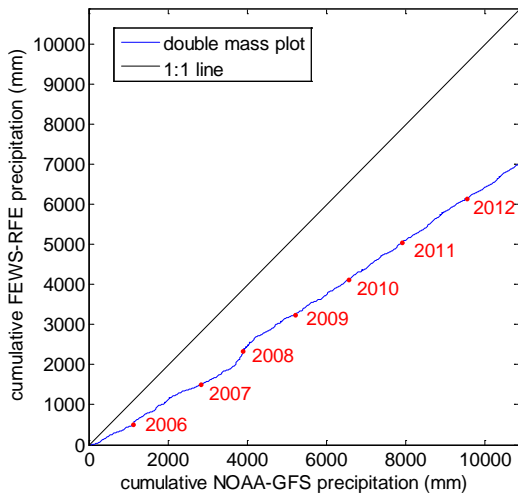
705 **Figures**



706

707 **Figure 1: Basemap for the Kavango River Basin with location of in-situ discharge stations. The coordinate system is UTM**  
708 **33S, WGS84 datum. Inset map shows the location of the basin in Southern Africa.**

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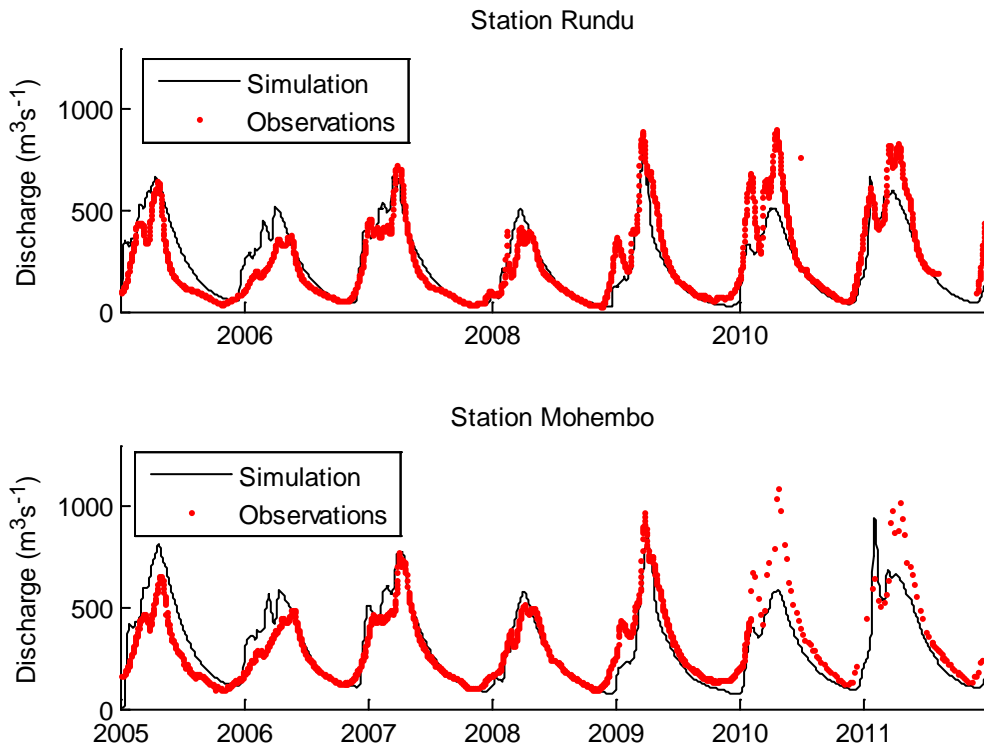
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**Figure 2: Left: Double mass plot of the FEWS-RFE and NOAA-GFS precipitation products averaged over the entire Kavango River basin. Right: Double mass plots of the 1-day ahead forecasted NOAA-GFS precipitation and the 2-7 day ahead forecasted NOAA-GFS precipitation averaged over the entire Kavango River basin.**

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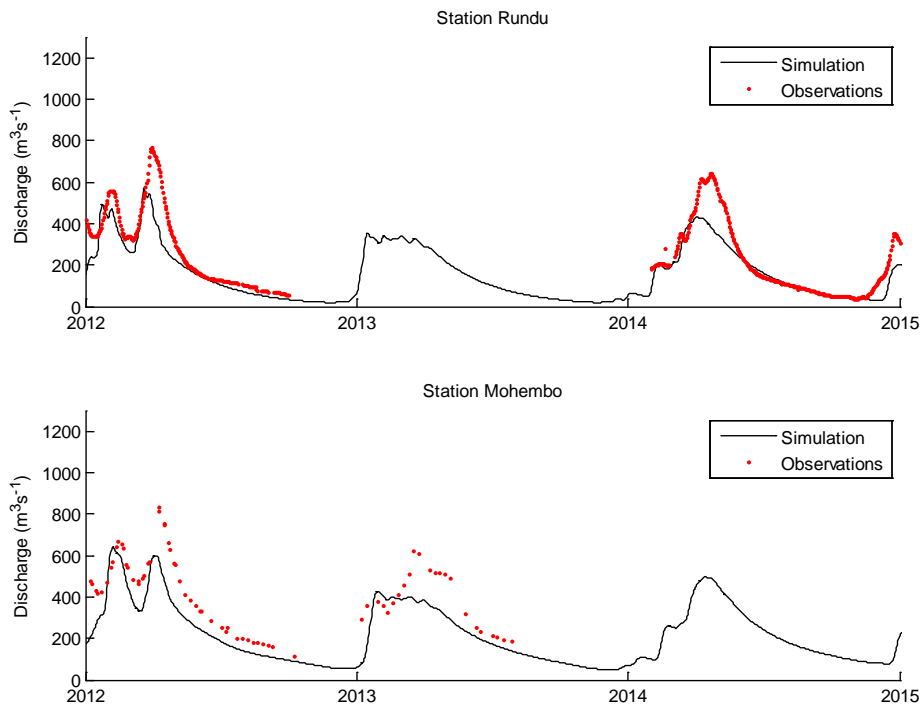


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717 **Figure 3: Observed (red dots) and simulated (black lines) hydrographs for the calibration period for Rundu (top) and**  
718 **Mohembo (bottom).**

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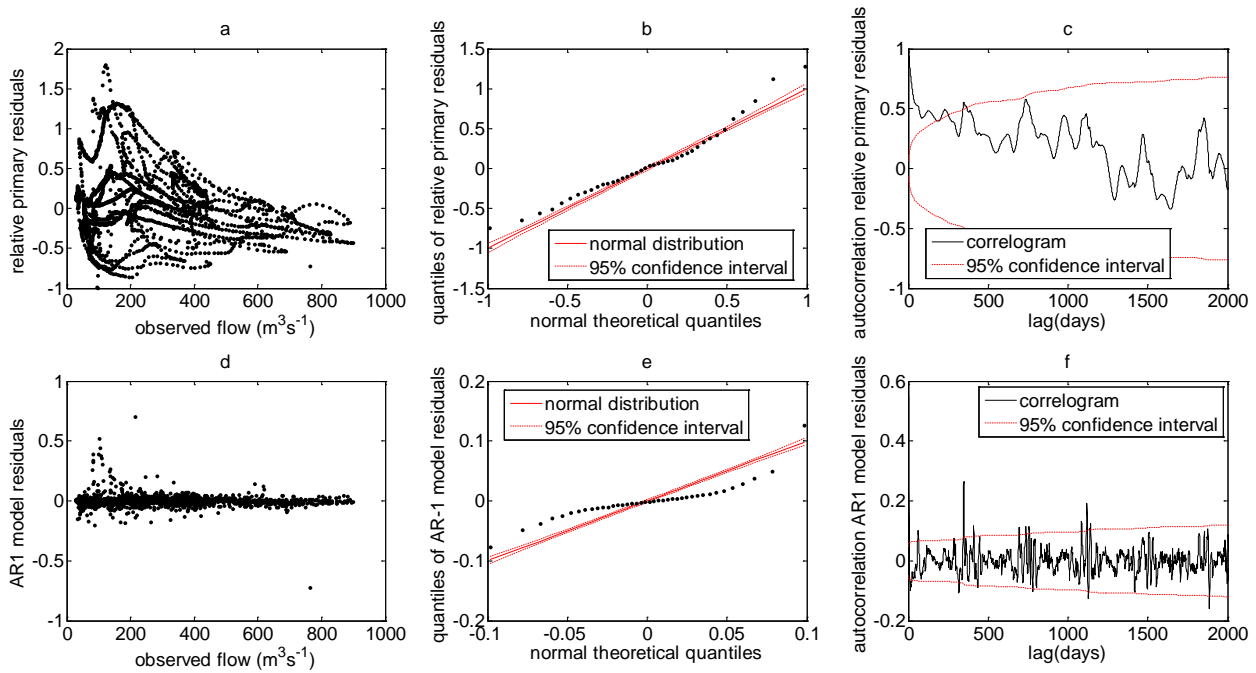




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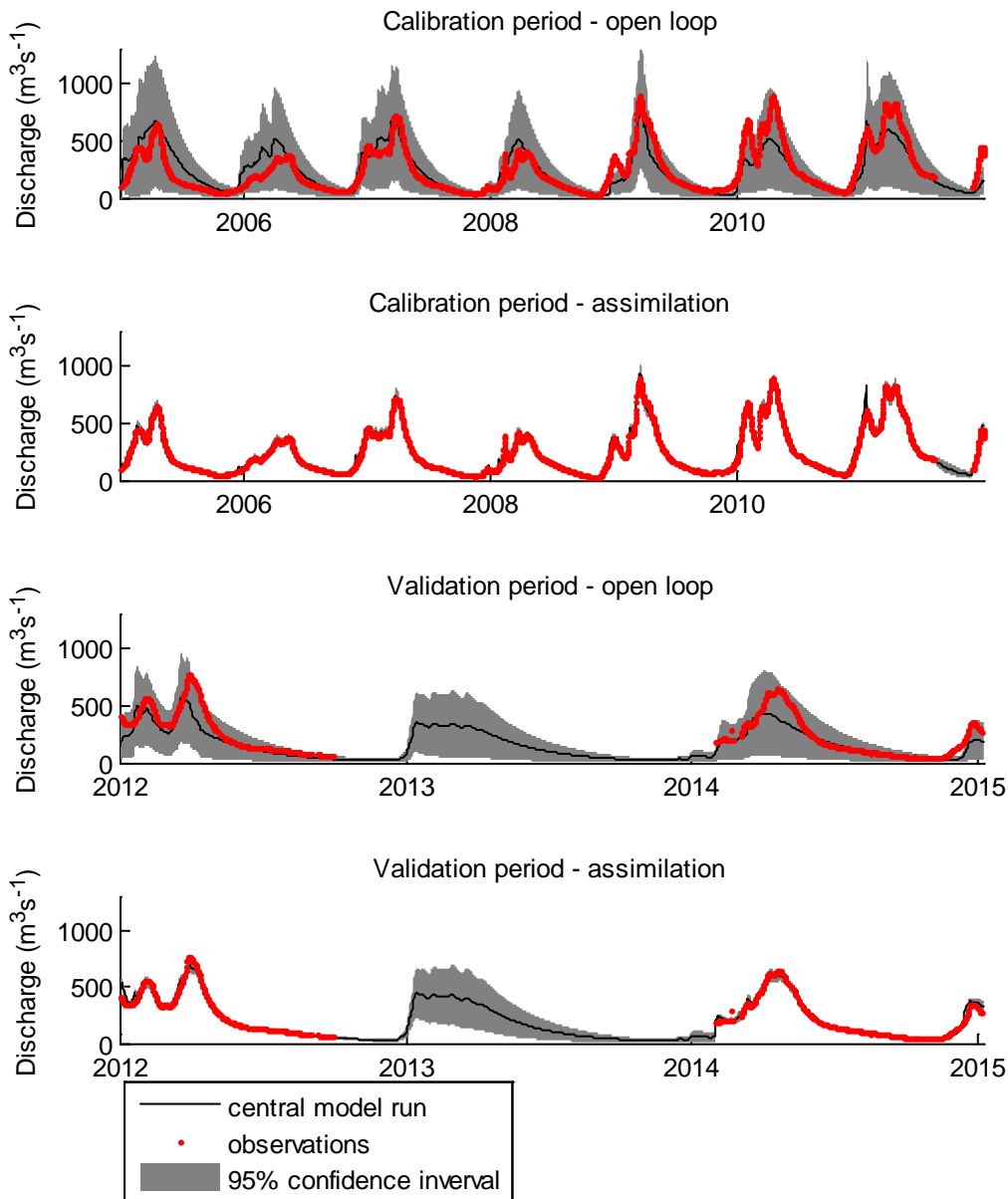
721 **Figure 4: Observed (red dots) and simulated (black lines) hydrographs for the validation period for Rundu (top) and**  
 722 **Mohembo (bottom).**

723



725 **Figure 5: a) Relative error of the hydrologic-hydrodynamic model vs observed discharge. b) Q-Q plot of the relative errors**  
 726 **shown in a). c) Correlogram of the relative errors shown in a). d) Relative errors of hydrologic-hydrodynamic model after**  
 727 **removal of the time-correlated part plotted vs observed discharge. e) Q-Q plot of the relative errors shown in d). f)**  
 728 **Correlogram of the relative errors shown in d).**

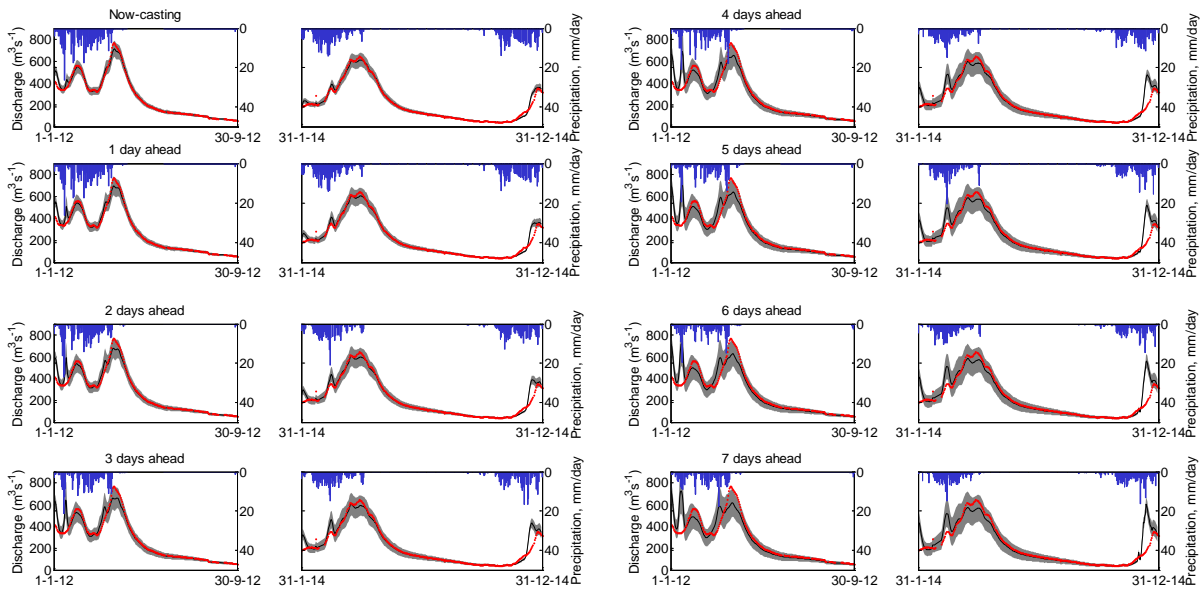
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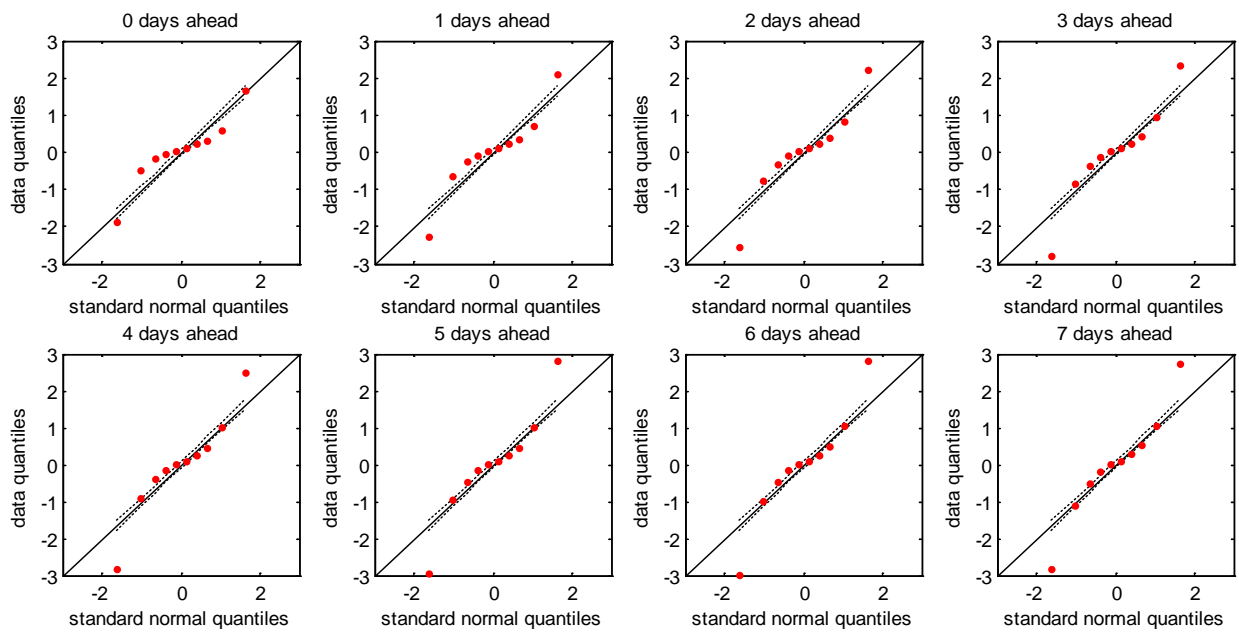
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731 **Figure 6: Probabilistic simulation of river discharge in the open-loop and assimilated run for the calibration and the**  
 732 **validation periods for the station Rundu.**

733



736 **Figure 7: Performance of the 0-7 day ahead probabilistic forecasts in the validation period at Rundu station for experiment 4.**  
737 **The black solid line is the central forecast. Grey shading indicates the 95% confidence interval of the forecast and red dots**  
738 **are observations. Blue bars indicate daily forecasted precipitation from NOAA-GFS.**



741 **Figure 8: Predictive Q-Q plots for the station Rundu and the validation period for experiment 4.**

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