1	Modeling suspended sediment sources and transport in the
2	Ishikari River basin, Japan using SPARROW
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10	ABSTRACT: It is important to understand the mechanisms that control the fate and transport of
11	suspended sediment (SS) in rivers, because high suspended sediment loads have significant impacts
12	on riverine hydroecology. In this study, the watershed model SPARROW (SPAtially Referenced

13 Regression on Watershed Attributes) was applied to estimate the sources and transport of SS in surface waters of the Ishikari River basin (14 330 km²), the largest watershed on Hokkaido Island, 14 Japan. The final developed SPARROW model has four source variables (developing lands, forest 15 16 lands, agricultural lands, and stream channels), three landscape delivery variables (slope, soil 17 permeability, and precipitation), two in-stream loss coefficients including small stream (streams with drainage area $< 200 \text{ km}^2$), large stream, and reservoir attenuation. The model was calibrated 18 19 using measurements of SS from 31 monitoring sites of mixed spatial data on topography, soils and stream hydrography. Calibration results explain approximately 95.96% (R²) of the spatial 20 variability in the natural logarithm mean annual SS flux (kg yr⁻¹) and display relatively small 21 22 prediction errors at the 31 monitoring stations. Results show that developing-land is associated with the largest sediment yield at around 1-006.27 kg km⁻² yr⁻¹, followed by agricultural-land (234.21 kg 23

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km⁻² yr⁻¹). Estimation of incremental yields shows that 35.11% comes from agricultural lands, 25 23.42% from forested lands, 22.91% from developing lands, and 18.56% from stream channels. The 26 results of this study improve our understanding of sediments production and transportation in the 27 Ishikari River basin in general, which will benefit both the scientific and the management 28 community in safeguarding water resources.

29 Key Words: sediment, Ishikari River basin, SPARROW, transportation, land use

30 1 Introduction

31 Suspended sediment (SS) is ubiquitous in aquatic ecosystems and contributes to bottom material composition, water-column turbidity, and chemical constituent transport. However, 32 33 sediment is the largest water pollutant by volume and excessive sediment can have dramatic 34 impacts on both water quality and aquatic biota (Bilotta and Brazier, 2008). High turbidity can 35 significantly reduce or limit light penetration into water with implications for primary production 36 and for populations of fish and aquatic plants. In addition, excessive sedimentation can bring more 37 pollutants containing organic matter, animal or industrial wastes, nutrients, and toxic chemicals 38 because sediment comes mainly from forestlands, agricultural fields, highway runoff, construction 39 sites, and mining operations (Le et al., 2010; Srinivasa et al., 2010), which always cause water 40 quality deterioration and therefore is a common and growing problem in rivers, lakes and coastal estuaries (Dedkov and Mozzherin, 1992; Ishida et al., 2010; Meade et al., 1985). Eutrophication due 41 42 to nutrient pollution, for example, is a widespread sediment-related problem recognized at sites 43 world-wide (Conley et al., 2009). Also, in the U.S., approximately 25% of stream length (167,092 44 miles) has been negatively impacted by excessive sediment loads (U. S. Environmental Protection 45 Agency USEPA, 2006).

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46 Similarly, sediment accumulation can reduce the transport capacity of roadsides ditches, 47 streams, rivers, and navigation channels and the storage capabilities of reservoirs and lakes, which cause more frequent flooding. For example, dams will gradually lose their water storage capacity as 48 49 sediment accumulates behind the dam (Fang et al., 2011); Erosion of river banks and increased 50 sedimentation are also impacting the Johnstone River catchment (Hunter and Walton, 2008) and the 51 estuary in the Tuross River catchment of coastal southeast Australia (Drewry et al., 2009) in 52 clogging of land and road drainage systems and river systems. Therefore, as SS are fundamental to 53 aquatic environments and impairments due to enhanced sediment loads are increasingly damaging 54 water quality and water resources infrastructure, it is extremely important to develop both 55 monitoring systems and technologies to track and to reduce the volume of SS in order to safeguard freshwater systems. 56

57 Sediment sources can be separated into sediment originating in upland regions, sediment 58 from urban areas, and sediment eroded from channel corridors (Langland and Croninet al., 2003). 59 Land use impacts are commonly seen as resulting in increased sediment loads and therefore as an 60 inadvertent consequence of human activity. Moreover, land use and land use change are also 61 important factors influencing erosion and sediment yields. For example, urbanization may 62 ultimately result in decreased local surface erosion rates when large areas are covered with 63 impervious surfaces such as roadways, rooftops, and parking lots (Wolman, 1967); because of the 64 increased exposure of the soil surface to erosive forces as a result of the removal of the native 65 vegetative cover, agricultural lands can drastically accelerate erosion rates (Lal, 2001). In addition, stream channel erosion can be a major source of sediment yield from urbanizing areas (Trimble, 66 1997). 67

In the Japanese context, high suspended sediment loads is increasingly recognized as an important problem for watershed management (Mizugaki et al., 2008; Somura et al., 2012). For example, the Ishikari River basin has long been plagued by high suspended sediment loads,

71 generally causing high turbidity along the river, including in Sapporo, Hokkaido's economic and 72 government center. The pervasiveness of the problem has generated several sediment management 73 studies in the Ishikari River basin. Asahi et al. (2003) found that it is necessary to consider tributary 74 effects directly and that sediment discharged from tributaries contributes to the output sediment 75 discharged from the river's mouth. Wongsa and Shimizu (2004) indicated land-use change has a 76 significant effect on soil eroded from hill slopes, but no significant effect on flooding for Ishikari 77 basin. Ahn et al. (2009) concluded that sedimentation rate increased in the Ishikari River floodplain 78 because of agricultural development on the floodplains. However, detailed accounting of sediment 79 sources (e.g. the type of land-use) and transport in the Ishikari River basin remains poorly 80 understood.

81 Computer based modeling is an essential exercise both for organizing and understanding 82 the complex data associated with water quality conditions and for development of management 83 strategies and decision support tools for water resource managers (Somura et al., 2012). Recent 84 applications of the GIS-based watershed model SPAtially Referenced Regression On Watershed 85 attributes (SPARROW) (Smith et al., 1997) in the United States have advanced understanding of 86 nutrient sources and transport in large regions such as the Mississippi River Basin (Alexander et al., 87 2000; 2007) and smaller watersheds such as the Chesapeake Bay watershed (Langland et al., 2010) 88 and those draining to the North Carolina coast (McMahon et al., 2003).

In this study, we use the SPARROW principle and framework to develop a regional-scale sediment transport model for the Ishikari River basin in Hokkaido, Japan. The concrete objectives are (1) to calibrate SS SPARROW for Ishikari River basin on the basis of 31 stations; (2) to use the calibrated model to estimate mean annual SS conditions; and (3) to quantify the relative contribution of different SS sources to instream SS loads. These efforts are undertaken with the ultimate goal of providing the information of total and incremental sediments loads in different sub-basin that will help resource managers identify priority sources of pollution and mitigate this **Comment [MM3]:** This reference is not listed at the end in the list of references.

96 pollution in order to safeguard water resources and protect aquatic ecosystems.

97 2 Materials and methods

98 2.1 Study area

99 The Ishikari River, the third longest river in Japan (Fig. 1), originates from Mt. 100 Ishikaridake (elevation 1967 m) in the Taisetsu Mountains of central Hokkaido, passes through the 101 west of Hokkaido, and flows into the Sea of Japan, with a total sediment discharge of around 14.8 102 cubic kilometres per year. The river has the largest river basin with total drainage area of 14 330 km², the north-south and east-west distance of which is about 170 and 200 km, respectively. The 103 104 Ishikari plain occupies most of the basin's area, which is surrounded by rolling hills and is the 105 lowest land in Japan (the highest elevation is less than 50 m) and consequently the best farming 106 region in the country. The Ishikari River basin has cold snowy winters and warm, non-humid 107 summers. Sediment load is very low in the cold winter except for the temporary snowmelt at 108 positive degree air temperature and high in the snowmelt season of mid-March to May and heavy 109 rainfalls in May- late November. In this basin, the regional average August temperature ranges from 110 17 to 22 °C, while the average January temperature ranges from -12 to -4 °C; the regional annual precipitation was 850-1300 mm from 1980 to 2011. 111

112 2.2 Modeling tools

Based on the mechanistic mass transport components including surface-water flow paths (channel time of travel, reservoirs), non-conservative transport processes (i.e., first-order in-stream and reservoir decay), and mass-balance constraints on model inputs (sources), losses (terrestrial and aquatic losses/storage), and outputs (riverine nutrient export), the SPARROW modeling approach performs a nonlinear least-squares multiple regression to describe the relation between spatially referenced watershed and channel characteristics (predictors) and in-stream load (response) 119 (Schwarz et al., 2006). This allows nutrient supply and attenuation to be tracked during water 120 transport through streams and reservoirs and assesses the natural processes that attenuate 121 constituents as they are transported from land and upstream (Preston et al., 2009). Figure 2 gives a 122 graphical description of the SPARROW model components. Monitoring station flux estimation 123 refers to the estimates of long-term flux used as the response variable in the model. Flux estimates 124 at monitoring stations are derived from station-specific models that relate contaminant 125 concentrations from individual water-quality samples to continuous records of streamflow time 126 series. To obtain reliable unbiased estimates, the Maintenance of Variance-Extension type 3 127 (MOVE. 3) and the regression model Load Estimator (LOADEST) were applied to develop 128 regression equations and to estimate monitoring station flux (for calculation details see Duan et al., 129 2013).

For the model-estimated flux, the SPARROW modeling can generally be defined by thefollowing equation (Alexander et al., 2007):

132
$$F_i^* = \left[\left(\sum_{j \in J(i)} F_j' \right) A \left(Z_i^S, Z_i^R; \theta_S, \theta_R \right) + \left(\sum_{n=1}^{N_S} S_{n,i} \, \alpha_n D_n(Z_i^D; \theta_D) \right) A' \left(Z_i^S, Z_i^R; \theta_S, \theta_R \right) \right] \varepsilon_i \quad (1)$$

133 where F_i^* is the model-estimated flux for contaminant leaving reach *i*. The first summation term 134 represents the sediment flux that leaves upstream reaches and is delivered downstream to reach i, where F'_i denotes measured sediment flux (F^M_i) when upstream reach j is monitored and equals 135 136 the given model-estimated flux (F_i^*) when it is not. $A(\cdot)$ is the stream delivery function 137 representing sediment loss processes acting on flux as it travels along the reach pathway, which defines the fraction of sediment flux entering reach i at the upstream node that is delivered to the 138 reach's downstream node. Z^S and Z^R represent the function of measured stream and reservoir 139 140 characteristics, respectively, and θ_S and θ_R are their corresponding coefficient vectors. Here, 141 stream reach and watershed characteristics such as stream length, direction of water flow, 142 connectivity, mean annual streamflow, water traveltime per unit length, reservoir characteristics like 143 surface area, and local and total drainage area were present in the digital stream network dataset and 144 reflect parameters required by the model. The second summation term denotes the amount of 145 sediment flux introduced to the stream network at reach *i*, which is composed of the flux originating 146 from specific sediment sources, indexed by $n = 1, 2, ..., N_s$. Each source has a source variable, 147 denoted S_n , and its corresponding source-specific coefficient, α_n . This coefficient retains the units 148 that convert the source variable units to flux units. The function $D_n(\cdot)$ represents the land-to-water 149 delivery factor. The land-to-water delivery factor is a source-specific function of a vector of delivery variables, denoted by Z_i^{θ} , and an associated vector of coefficients θ_D . The function $A'(\cdot)$ 150 151 represents the fraction of flux originating in and delivered to reach i that is transported to the 152 reach's downstream node and is similar in form to the stream delivery factor defined in the first 153 summation term of the equation. If reach i is classified as a stream (as opposed to a reservoir 154 reach), the sediment introduced to the reach from its incremental drainage area receives the square 155 root of the reach's full in-stream delivery. This assumption is consistent with the notion that 156 contaminants are introduced to the reach network at the midpoint of reach i and thus are subjected 157 to only half of the reach's time of travel. Alternatively, for reaches classified as reservoirs, we 158 assume that the sediment mass receives the full attenuation defined for the reach. The multiplicative 159 error term in Equation (1), ε_i , is applicable in cases where reach i is a monitored reach; the error 160 is assumed to be independent and identically distributed across independent sub-basin in the intervening drainage between stream monitoring stations. This item can also be used for 161 162 unmonitored reaches.

163 The reach-loss and reservoir-loss are used as the mediating factors affecting the 164 mobilization of sediment from the stream network. Reach-loss variable is nonzero only for stream 165 reaches, and is defined for two separate classes, shallow-flowing (small) streams versus 166 deep-flowing (large) streams. Since stream depth is not known, streams with drainage area < 200167 km² are classified as shallow, small streams. The reservoir-loss is denoted by areal hydraulic load of the reservoir, which is computed as the quotient of mean annual impoundment outflow and surface area (Hoos and McMahon, 2009). Sediment loss in streams is modeled according to a first-order decay process (Chapra, 1997; Brakebill, et al., 2010) in which the fraction of the sediment mass originating from the upstream node and transported along reach *i* to its downstream node is estimated as a continuous function of the mean water time of travel (T_i^s ; units of time) and mean water depth, D_i , in reach *i*, such that

174
$$A(Z_i^S, Z_i^R; \theta_S, \theta_R) = \exp\left(-\theta_S \frac{T_i^S}{D_i}\right)$$
(2)

175 where θ_s is an estimated mass-transfer flux-rate coefficient in units of length time⁻¹. The rate 176 coefficient is independent of the properties of the water volume that are proportional to water 177 volume, such as streamflow and depth (3). The rate can be re-expressed as a reaction rate 178 coefficient (time⁻¹) that is dependent on water-column depth by dividing by the mean water depth.

Sediment loss in lakes and reservoirs is modeled according to a first-order process (Chapra, 180 1997; Brakebill, et al., 2010) in which the fraction of the sediment mass originating from the 181 upstream reach node and transported through the reservoir segment of reach *i* to its downstream 182 node is estimated as a function of the reciprocal of the areal hydraulic load $(q_i^R)^{-1}$ (units of time 183 length⁻¹) for the reservoir associated with reach *i* and an apparent settling velocity coefficient (θ_R ; 184 units of length time⁻¹), such that

185
$$A(Z_{i}^{S}, Z_{i}^{R}; \theta_{S}, \theta_{R}) = \frac{1}{1 + \theta_{R}(q_{i}^{R})^{-1}}$$
(3)

186 The areal hydraulic load is estimated as the quotient of the outflow discharge to the surface187 area of the impoundment.

188 2.3 Input data

In this study, input data for building SPARROW models is classified into (Table 1): 1) stream network data to define stream reaches and catchments of the study area; 2) loading data for many monitoring stations within the model boundaries (dependent variables); 3) sediment sources data describing all of the sources of the sediment being modeled (independent variables); and 4) data describing the environmental setting of the area being modeled that causes statistically significant variability in the land- to- water delivery of sediment (independent variables). Input data types are described in more detail below.

196 2.3.1 The stream network

197 The hydrologic network used for the SPARROW model of the Ishikari River basin is 198 derived from a 50 m digital elevation model (DEM) (Fig. 1), which has 900 stream reaches, each 199 with an associated sub-basin. The stream network mainly contains stream reach and sub-basin 200 characteristics such as stream length, direction of water flow, reservoir characteristics like surface 201 area, and local and total drainage area. For example, the areas of sub-basin range from 0.009 to 117 202 km² with a median of 15.9 km². However, mean water flow is not reported for each stream reach, 203 suggesting that we cannot calculate the SS concentration at the stream reach scale but can calculate 204 the total yield SS for each associated sub-basin.

205 2.3.2 Stream load data

Suspended sediment concentration and daily flow data are collected to calculate the long-term (from 1985 to 2010) mean SS flux at every monitoring station. Thirty-one monitoring stations were chosen for model calibration in this study (Fig. 1). SS concentration and daily flow data were collected at each site for the period from 1985 to 2010 by the National Land with Water Information (http://www1.river.go.jp/) monitoring network (Fig. 3). However, some streamflow 211 gaging stations have short periods of record or missing flow values but do not over 10% of the time 212 periods. A streamflow record extension method called the Maintenance of Variance-Extension type 213 3 (MOVE.3) (Vogel and Stedinger, 1985) is employed to estimate missing flow values or to extend 214 the record at a short-record station on the basis of daily streamflow values recorded at nearby, 215 hydrologically similar index stations. On this basis, the FORTRAN Load Estimator (LOADEST), 216 which uses time-series streamflow data and constituent concentrations to calibrate a regression 217 model that describes constituent loads in terms of various functions of streamflow and time, is 218 applied to estimate SS loads. The output regression model equations take the following general 219 form (Runkel et al., 2004):

220
$$\ln(L_i) = a + blnQ + clnQ^2 + dsin(2\pi dtime) + ecos(2\pi dtime) + f dtime + g dtime^2 + \varepsilon$$
(4)

where L_i is the calculated load for sample *i*; *Q* is stream discharge; *dtime* is time, in decimal years from the beginning of the calibration period; ε is error; and *a*, *b*, *c*, *d*, *e*, *f*, *g* are the fitted parameters in the multiple regression model. The number of parameters may be different at different stations, depending on the lowest Akaike Information Criterion (*AIC*) values (for details please see Duan et al., 2013).

$$AIC = 2k - 2\ln(L) \tag{5}$$

where k is the number of parameters in the statistical model, and L is the maximized value of the likelihood function for the estimated model.

The mean annual load is normalized to the 2006 base year at the 31 monitoring stations to address the problem of incompatibility in periods of record by using normalizing or detrending methods (for detailed process please see Schwarz et al., 2006).

232 2.3.3 Sediment source data

233 SS source variables tested in the Ishikari SPARROW model include estimates of

developing lands, forest lands, agricultural lands, and stream channels. Estimates of land use were developed using data derived from the Policy Bureau of the Ministry of Land, Infrastructure, Transport and Tourism, Japan, 2006, which mainly contains 11 types of land use (see Fig. 4). It was then merged into 4 types: developing land, forest land, agricultural land, and water land. Finally, different lands are allocated to individual sub-basin using GIS zonal processes. Arc Hydro Tools is employed to get reach length which denotes the streambed source.

240 2.3.4 Environmental setting data

241 Climatic and landscape characteristics considered candidates for SS-transport predictors 242 include climate, topography and soil (Asselman et al., 2003; Dedkov and Mozzherin, 1992). Here, 243 slope, soil permeability, and precipitation are used to evaluate the influences of "land-to-water" 244 delivery terms. Basin slope is obtained using the GIS surface tool (see Fig.5 (a)). Soil permeability 245 and clay content (see Fig.5 (b)) are estimated using data derived from the 1:5.000.000-scale FAO/UNESCO Soil Map of the World (FAO-UNESCO-ISRIC, 1988) and the National and 246 247 Regional Planning Bureau, Japan. Mean annual precipitation data, representing the 20-year 248 (1990-2010) average, were obtained from daily precipitation data at 161 weather stations (see Fig. 249 S1) in Hokkaido from 1990 to 2010; that is, we first interpolated the mean annual precipitation over 250 Hokkaido using a conventional kriging technique on the basis of 161 stations, and then clipped the 251 mean annual precipitation distribution for the Ishikari River basin. Finally, all these 252 watershed-average values were used to calculate estimates for each sub-basin in the Ishikari model 253 area using the ZONALMEAN and ZONALSTATISTICAL functions (zonal spatial analyst 254 methods) of ArcGIS 10.

255 2.4 Model calibration and application

256 Considering the calibration of the SPARROW model requires long-term averaging and 257 load adjustments for changes in flow and sources, the final SPARROW model was statistically 258 calibrated using estimates of mean annual SS flux at 31 monitoring stations (see Input data). The 259 explanatory variables represented statistically significant or otherwise important geospatial 260 variables, and the measures of statistically significant are based on statistical evaluations of the t 261 statistics (ratio of the coefficient value to its standard error). The t statistics are asymptotically 262 distributed as a standard normal. The statistical significance (alpha=0.05) of the coefficients for 263 each of the SS source terms (which were constrained to be positive) were determined by using a 264 one-sided t-test, and the significance of the coefficients for each of the land- to- water delivery 265 terms (which were allowed to be positive or negative, reflecting either enhanced or attenuated 266 delivery, respectively) and the variables representing SS loss in free-flowing streams and 267 impoundments were determined by using a two-sided t-test (Schwarz et al., 2006). The yield 268 R-squared (R^2), the root mean squared error (RMSE), and the residuals for spatial patterns were the 269 conventional statistical diagnostics used to assess the overall SPARROW model accuracy and 270 performance.

According to the equations of SPARROW, the calibrated model can be used to identify the largest local SS sources; that is, the sediment source contributing the most to the incremental SS yield for each catchment in Ishikari River basin can be calculated. In addition, the models can be used to estimate the contribution from each sediment source to the total SS loads predicted for each reach. Total loads were the predicted load contributed from all upstream landscape sediment sources. Finally, the factors that affect mean annual transport in the Ishikari River basin can be identified.

278 3 Results and discussion

279 3.1 Model calibration

280 Model calibration results for the log transforms of the summed quantities in Equation (1) 281 and non-linear least-squares estimates are presented in Table 2, which explains approximately 282 95.96% (\mathbb{R}^2) of the spatial variation in the natural logarithm of mean annual SS flux (kg yr⁻¹), with a 283 mean square error (MSE) of 0.323 kg yr⁻¹, suggesting that the SS predicted by the model has litter 284 error compared with the observation load.

285 The plot of predicted and observed SS flux is shown in Fig._6, demonstrating model 286 accuracy over a wide range of predicted flux and stream sizes. Generally, for a good SPARROW 287 model, the graphed points should exhibit an even spread about the one-to-one line (the straight line in Ffig.ure 6) with no outliers. However, a common pattern expressed in Fig. 6 for final SPARROW 288 289 SS model is the tendency for larger scatter among observations with smaller predicted flux- a 290 pattern of heteroscedasticity. One likely cause for this pattern is greater error in the measurement of 291 flux in small sub-basin due to greater variability in flow or to greater relative inhomogeneity of 292 sediment sources within small sub-basin (Schwarz et al., 2006). Appropriate assignment of weights 293 reflecting the relative measurement error in each observation (plus an additional common model 294 error) can improve the coefficient estimates and correct the inference of coefficient error if the 295 heteroscedasticity is caused by measurement error. On the other hand, the observations can be 296 weighted to improve the coefficient estimates and correct their estimates of error if the 297 heteroscedasticity is due to structural features of the SPARROW model. Figure 7 shows the 298 standardized residuals at the 31 monitoring sites. Monitoring sites with over- predictions (< 0)299 mainly exist in the middle area of the Ishikari River basin, and under- predictions (> 0) exist in the 300 upper and lower areas. The Studentized residual is useful for identifying outliers, and if greater than 301 3.6 are generally is considered an outlier warranting further investigation (Schwarz et al., 2006). 302 Overall, the final model does not show evidence of large prediction biases over the monitoring sites.

With the exception of stream channels, all of the source variables modeled are statistically significant (P-value <0.05), with the estimated coefficient representing an approximate estimate of mean sediment yield for the associated land use (Table 2). The largest intrinsic sediment yield is associated with developing land, the estimated value of which is around 1006.27 kg km⁻² yr⁻¹. Land

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307 development, including removing cover and developing cuts and fills, can increase potential erosion 308 and sediment hazards on-site by changing water conveyance routes, soil compaction (both planned 309 and unplanned), longer slopes and more and faster stormwater runoff. With the analysis of factors 310 affecting sediment transport from uplands to streams (mean basin slope, reservoirs, physiography, 311 and soil permeability), developing land was also the largest sediment source reported in Brakebill et 312 al. (2010) and Schwarz (2008). Agricultural land has the second highest sediment yield with an 313 estimated value of around 234.21 kg km⁻² yr⁻¹ and forest land has the lowest sediment yield with an estimated value of around $75.55 \text{ kg km}^{-2} \text{ yr}^{-1}$. 314

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315 Land-to-water delivery for sediment land sources is powerfully mediated by watershed 316 slope, soil permeability, and rainfall, all of which are statistically significant (Table 2). As expected, 317 Table 2 shows that sediment produced from land transport to rivers is most efficient in areas with 318 greater basin slope, less permeable soils, and greater rainfall, which is consistent with the results 319 calculated by Brakebill et al. (2010). The alteration of these factors can directly and indirectly cause 320 changes in sediment degradation and deposition, and, finally, to the sediment yield (Luce and Black, 321 1999; Nelson and Booth, 2002). Increased rainfall amounts and intensities can directly increase 322 surface runoff, leading to greater rates of soil erosion (Nearing et al., 2005; Ran et al., 2012) with 323 consequences for productivity of farmland (Julien and Simons, 1985). Watershed slope and soil 324 permeability have a powerful influence on potential surface runoff as they affect the magnitude and 325 rate of eroded sediment that may be transported to streams (Brakebill et al., 2010).

The coefficient for in-stream loss indicates that sediment is removed from large streams (about 0.000012 day⁻¹) and accumulates in small streams (about -0.044 day⁻¹). These results run contrary to several published examples. For example, Schwarz (2008) argued that greater streamflow causes an increase in the amount of sediment generated from stream channels. The reasons for these results could be the criterion of the two kinds of streams. In this study, streams with drainage area < 200 km² are shallow, small streams, which tend to attenuate the sediments; on the contrary, streams with drainage area > 200 km² are big streams, which tend to create the sediments. Sediment storage is statistically significant in reservoirs (dams), the estimated value of which is around 26.28 m yr⁻¹. This value is much less than a coefficient of 234.92 m yr⁻¹ reported for the Chesapeake Bay Watershed SPARROW model (Brakebill et al., 2010), one possible reason of which maybe is that the reservoirs in the Ishikari River basin have less storage capacity compared with the reservoirs in the Chesapeake Bay. However, the value is similar to 36 m yr⁻¹ computed by the conterminous U.S. SPARROW model (Schwarz, 2008).

339 3.2 Model application

340 Because data from sampling stream networks suffer from sparseness of monitoring stations, 341 spatial bias and basin heterogeneity, describing regional distributions and exploring transport 342 mechanism of sediment is one of the challenges of sediment assessment programs. Through the 343 stream network, SPARROW can link in-stream water quality to spatially referenced information on 344 contaminant sources and other watershed attributes relevant to contaminant transport (Smith et al., 1997). After calibration, the SPARROW model of total suspended sediment can be applied to 345 346 evaluate the stream-corridor sediment supply, storage, and transport properties and processes in a 347 regional context, which can inform a variety of decisions relevant to resource managers. Here, in 348 order to further explore and manage sediment sources, we predict and analyze the spatial 349 distribution of total sediment and incremental sediment yields, and estimate the amount of sediment 350 generated by source is described in each incremental basin.

The total yields (load per area) represent the amount of sediment including upstream load and local catchment load contributed to each stream reach, and the incremental yields represent the amount of sediment generated locally independent of upstream supply, and contributed to each stream reach, normalized by the local catchment area (see Fig. S2) (Ruddy et al., 2006). Figure 8 (a) shows the spatial distribution of the total yields, describing the sediment mass entering streams per Formatted: Highlight Formatted: Highlight

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356 unit area of the incremental drainages of the Ishikari River basin associated with the stream network 357 (Fig. 1). It is mediated by climatic and landscape characteristics and delivered to the Ishikari gulf of 358 the Sea of Japan after accounting for the cumulative effect of aquatic removal processes. Figure 8 (a) shows that total yields, ranging from 0.03 to 1190 kg ha⁻¹ yr⁻¹ (mean=101 kg ha⁻¹yr⁻¹), concentrate 359 in the sub-basin along the middle and lower reaches of the Ishikari River. Like total yields, much of 360 361 the incremental sediment yields are distributed in similar areas (see Fig.8 (b)), the largest of which is greater than 150 kg ha⁻¹yr⁻¹. These two kinds of predictions provide localized estimates of 362 363 sediment that are useful in evaluating local contributions of sediment in addition to identifying 364 geographic areas of potential water-quality degradation due to excessive sedimentation.

365 Figure 9 shows percent of total incremental flux generated for (a) agricultural lands, (b) 366 developing lands, (c) forested lands, and (d) stream channels, suggesting the relative contributions 367 from the various source at each sub-basin. The contributions from these sources that go into the 368 sub-basin yield (Fig. 8) are assessed by comparing predicted sub-basin yield with predicted yield 369 from agricultural-land sediment yield (Fig. 9 (a)); predicted developing-land sediment yield (Fig. 370 9(b)); predicted forest-land sediment yield (Fig. 9 (c)); and predicted steam channels yield (Fig. 371 9(d)). Generally, the spatial distribution of these contributions from different sources is in 372 accordance with land use (Fig. 4). On average we can see that 35.11% of incremental flux is from 373 agricultural lands, which is the largest of all sources; the second largest is from forested lands, the 374 value of which is around 23.42%, followed by developing lands (22.91%); the least is from stream 375 channels with a value of 18.56%.

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376 3.3 Uncertainty analysis

Uncertainty always exists in hydrological models such as SPARROW and therefore cannot
imperfect reflect of reality. The sources of uncertainty in this study include: -1) resolution of the
geospatial data; 2) quality of the sediment loads used to calibrate the model; and 3) limitations of

380 the modeling approach in representing the environmental processes accurately (Alexander et al., 2007). First, the hydrologic network was derived from a 50 m digital elevation model (DEM), 381 382 which potentially deviates from the actual stream network, causing the discrepancy of stream reach 383 and sub-basin characteristics such as stream length, local and total drainage area. This will lead to 384 spatial uncertainty, although that uncertainty is generally reflected in the SPARROW model errors 385 after the calibration process (Alexander et al., 2007). Another cause of uncertainty is suitability of 386 using SS grab samples at the 31 monitoring sites for model calibration to reflect the normal 387 conditions in-stream. Also, the SS loads at some monitoring stations were estimated using the 388 MOVE.3 and LOADEST techniques (Runkel et al., 2004; Duan et al., 2013), which also have some 389 uncertainties.

390 4 Conclusions

391 In this study, we developed a SPARROW-based sediment model for surface waters in the 392 Ishikari River basin, the largest watershed in Hokkaido, Japan. This model is based on stream 393 water-quality monitoring records collected at 31 stations for the period 1985 to 2010 and uses four 394 source variables including developing lands, forest lands, agricultural lands, and steam channels, 395 three landscape delivery variables including slope, soil permeability, and precipitation, two in-stream loss coefficients including small stream (drainage area $\leq 200 \text{ km}^2$) and big stream 396 (drainage area $> 200 \text{ km}^2$), and reservoir attenuation. Significant conclusions of the calibration 397 398 procedure and model application are summarized below. Calibration results explain approximately 95.96% of the spatial variation in the natural logarithm of mean annual SS flux (kg km⁻²yr⁻¹) and 399 400 display relatively small prediction errors on the basis of 31 monitoring stations. Developing-land is associated with the largest intrinsic sediment yield at around 1006.27 kg km⁻²yr⁻¹, followed by 401 402 agricultural-land ($234.21 \text{ kg km}^{-2} \text{yr}^{-1}$). Greater basin slope, less permeable soils, and greater rainfall 403 can directly and indirectly enable sediment transport from land into streams. Reservoir attenuation $(26.28 \text{ m yr}^{-1})$ is statistically significant, suggesting that reservoirs can play a dramatic role in 404

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405 sediment interception. The percent of total incremental flux generated for agricultural lands, developing lands, forested lands, and stream channels is 35.11%, 23.42%, 22.91% and 18.56%, 406 407 respectively. Sediment total yields and incremental yields concentrate in the sub-basin along the 408 middle and lower reaches of the Ishikari River, showing which sub-basin is most susceptible to 409 erosion. Combined with land use, management actions should be designed to reduce sedimentation 410 of agricultural lands and developing lands in the sub-basin along the middle and lower reaches of 411 the Ishikari River. Our results suggest several areas for further research, including explicit 412 representation of flow and sediment discharge from each stream and in total to the Sea of Japan, 413 more accurate representation of spatial data in SPARROW, and the design of pollutant reduction 414 strategies for local watersheds.

415 This study also have a number of shortcomings and suggests several areas for future work. 416 Some important model parameters lack statistical significance. For example, statistically 417 insignificant model components and inaccuracies associated with river system, which contain a 418 source variable (stream channels), and big streams with drainage area >200 km². These findings are 419 contrary to the findings of other researches (Brakebill et al., 2010). In addition, the predictions of 420 the model pertain to mean-annual conditions, not necessarily critical conditions such as low-flow 421 conditions. The reason for these shortcomings derives from the following points: (1) the hydrologic 422 network was derived from a 50 m digital elevation model (DEM), which potentially deviates from 423 the actual stream network; (2) due to lack of water discharge in all streams, stream velocity was 424 replaced with drainage area to classify fast and slow streams; and (3) the calibration data only 425 incorporate monitored-load data from limited number of stations with long-term data.

Excessive sedimentation can have a variety of adverse effects on aquatic ecosystems and water resources infrastructure. Analysis of sediment production and transport mechanisms is therefore necessary to describe and evaluate a basin's water quality conditions in order to provide guidance for development of water quality indicators and pollution prevention measures (Buggy Formatted: Highlight

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and Tobin, 2008; Meals et al., 2010). As illustrated here, the SPARROW model is a valuable tool
that can be used by water-resources managers in water-quality assessment and management
activities to support regional management of sediment in large rivers and estuaries.

433 Acknowledgements

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Table 1. Summary of input data and calibration parameters. References to data sources are in the main text

Category	Input data	Data source	
The stream network	Stream network, stream lengths, sub-catchment boundaries, sub-catchment areas	Automated catchment delineation based on a 50 m DEM, with modification of flow diversions	
Stream load data	Water quality monitoring station	Thirty one stations from the National Land with Water Information monitoring network from 1982 to 2010	
Sediment source data	Developing land, forest land, and agricultural land	Land use data including developing nd, land, forest land, agricultural land fror the Ministry of Land, Infrastructure, Transport and Tourism, Japan, 2006	
	Mean annual precipitation	The 20-year (1990-2010) average from Japanese Meteorological Agency	
Environmental	Catchment slope	Mean value of local slope, obtained from 50 m DEM	
setting data	Soil texture, soil permeability	Obtained from the 1:5.000.000-scale FAO/UNESCO Soil Map of the World and the National and Regional Planning Bureau, Japan	
	Reservoir (dams) loss	The Japan Dam Foundation (http://damnet.or.jp/)	

Model parameters	Coefficient units	Estimated	Standard	P-value	
SC sources		coefficient	error		
Developing land	ka km ⁻² ur ⁻¹	1006 267	508 502	0.028	
Developing land	$kg km y_1$	75 554	21.059	0.028	
Forest land	Kg Km yr	/5.554	31.058	0.011	
Agricultural land	kg km ~ yr '	234.211	121.7511	0.036	
Streambed (stream channels)	kg km ⁻² yr ⁻¹	123.327	99.567	0.113	
Land-to-water loss coefficient					
Slope	-	0.349	0.094	< 0.001	
Soil permeability	hr cm ⁻¹	-9.195	2.431	< 0.001	
Precipitation	mm	0.007	0.002	< 0.002	
In-stream loss rate					
Small stream (drainage area $\leq 200 \text{ km}^2$)	day ⁻¹	-0.044	0.011	< 0.001	
Big stream (drainage area >200 km ²)	day ⁻¹	0.000012	0.0068	>0.050	
Reservoir-loss	m yr ⁻¹	26.283	4.364	< 0.001	
Model diagnostics					
Mean square error	0.323				
Number of observations	31				
R-squared	0.9596				

555 Notes: SPARROW, SPAtially Referenced Regression on Watershed; kg, kilograms; km, 556 kilometers; yr, year; >, more than; <, less than. This table shows overall model calibration results, 557 statistical parameter estimates, standard errors, and probability levels for modeled explanatory 558 variables representing sediment sources, landscape factors affecting the delivery of sediment from 559 uplands to streams (land-to-water), and in-stream and reservoir storage. All sources and storage 560 terms are constrained to nonnegative estimates for more physically realistic simulations of sediment 561 transport. Because of this specification, statistical significance for source and aquatic storage 562 coefficient estimates are reported as a one-sided p statistic. Probability levels for land-to-water 563 parameters are two-sided values (Schwarz et al., 2006).

564



Figure 1. Study area, stream networks, and monitoring stations for the Ishikari River basin





Figure 3. Schematic showing (a) the observed water flows (m³/s) and (b) the observed SS concentration (mg/l) at 31 monitoring stations



Figure 4. Land use of the Ishikari River basin, 2006



Figure 5. Schematic showing the slope (a) and soil texture (b) in Ishikari river basin





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Figure 7. Model residuals for 31 monitoring stations used to calibrate the final Ishikari SPARROW model



Figure 8. Map showing the spatial distribution of total suspended sediment yields (a) and incremental suspended sediment yields (b) estimated by SPARROW.



Figure 9. Maps showing the spatial distributions of independent sediment sources generated in each incremental catchment for (a) agricultural land, (b) developing land, (c) forested land, and (d) stream channel.