

1 **Including the dynamic relationship between climate variables and**  
2 **leaf area index in a hydrological model to improve streamflow**  
3 **prediction under a changing climate**

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5 **Z. K. Tesemma<sup>1</sup>; Y. Wei<sup>1</sup>; M. C. Peel<sup>1</sup> and A. W. Western<sup>1</sup>**

6 [1] Department of Infrastructure Engineering, The University of Melbourne, Parkville,  
7 Victoria, 3010, Australia.

8 Correspondence to: Yongping Wei ([ywei@unimelb.edu.au](mailto:ywei@unimelb.edu.au))

9  
10 **Abstract**

11 Anthropogenic climate change is projected to enrich the atmosphere with carbon dioxide,  
12 change vegetation dynamics and influence the availability of water at the catchment [scale](#).  
13 This study combines a non-linear model for estimating changes in leaf area index (LAI) due  
14 to climate fluctuations with the Variable Infiltration Capacity (VIC) hydrological model to  
15 improve catchment streamflow prediction under a changing climate. The combined model  
16 was applied to thirteen gauged catchments with different land cover types (crop, pasture and  
17 tree) in the Goulburn-Broken Catchment, Australia for the “Millennium Drought” (1997–  
18 2009) relative to the period (1983–1995), and for two future periods (2021–2050 and 2071–  
19 2100) for two emission scenarios (RCP4.5 and RCP8.5) were compared with the baseline  
20 historical period of 1981–2010. This region was projected to be warmer and mostly drier in  
21 the future as predicted by 38 Coupled Model Inter-comparison Project Phase 5 (CMIP5) runs  
22 from 15 Global Climate Models (GCMs) and for two emission scenarios. The results showed  
23 that during the Millennium Drought there was about a 29.7%–66.3% reduction in mean  
24 annual runoff due to reduced precipitation and increased temperature. When drought induced  
25 changes in LAI are included, smaller reductions in mean annual runoff of between 29.3% and  
26 61.4% were predicted. The proportional increase in runoff due to modelling LAI was 1.3%–  
27 10.2% relative to not including LAI. For projected climate change under the RCP4.5  
28 emission scenario ignoring the LAI response to changing climate could lead to a further  
29 reduction in mean annual runoff of between 2.3% and 27.7% in the near-term (2021–2050)  
30 and 2.3% to 23.1% later in the century (2071–2100) relative to modelling the dynamic  
31 response of LAI to precipitation and temperature changes. Similar results (near-term 2.5% to

32 25.9% and end of century 2.6% to 24.2%) were found for climate change under the RCP8.5  
33 emission scenario. Incorporating climate-induced changes in LAI in [the](#) VIC model reduced  
34 the projected declines in streamflow and confirms the importance of including the effects of  
35 changes in LAI in future projections of streamflow.

36

37 Key words: Climate change, leaf area index, drought, catchment streamflow, vegetation  
38 dynamics, VIC hydrological model.

## 39 1 Introduction

40 Recently, climate changes have been observed in different parts of Australia (Chiew et al.,  
41 2011; Cai and Cowan, 2008; Hughes et al., 2012; Lockart et al., 2009; Potter and Chiew,  
42 2011). Specifically, south-eastern Australian catchments have experienced changes in  
43 streamflow due to fluctuations in climate as observed during the recent “Millennium  
44 Drought” (1997-2009) which lasted more than a decade (Chiew et al., 2011; Verdon-Kidd  
45 and Kiem, 2009). This drought may be representative of future climatic conditions in this  
46 region.

47 The projected water availability for future climates derived from downscaled outputs from  
48 global and regional climate models indicate increases of mean annual runoff by 10% to 40%  
49 in some parts of the world (high northern latitudes) and 10% to 30% reduction elsewhere  
50 (southern Europe, Middle East and south-eastern Australia) (Milly et al., 2005). More  
51 recently, Roderick and Farquhar (2011) examined climate and catchment characteristics for  
52 sensitivity to changes in runoff in Murray-Darling Basin in southeast Australia from a  
53 theoretical point of view and estimated that a 10% change in precipitation would lead to a  
54 26% change in runoff and a 10% change in potential evaporation would lead to a 16% change  
55 in runoff with all other variables being constant. In south-eastern Australia it has been  
56 projected that there will be a reduction in mean annual runoff of 10% on average when  
57 different climate models are used as input to hydrological models (Cai and Cowan, 2008;  
58 Chiew et al., 2009; Roderick and Farquhar, 2011; Teng et al., 2012a; Vaze and Teng, 2011).  
59 These studies assessed the possible impacts of climate change on total runoff based on  
60 rainfall-runoff relationships which only considered first order effects of changes in  
61 precipitation and temperature with subsequent impacts on evaporative demand.

62 There is evidence that such relationships are not stationary over time (Chiew et al., 2014;  
63 Peel and Blöschl, 2011; Vaze et al., 2010), which implies that the studies discussed in the  
64 previous paragraph may be missing an important factor. One approach to improving  
65 modelling under changing conditions is to use variable monthly leaf area index (LAI) in the  
66 hydrologic model. Using observed climate variability and streamflow responses, observed  
67 monthly LAI has been shown to improve [soil moisture prediction \(Ford and Quiring, 2013\)](#).  
68 The improvements are largest under either relatively wet or dry climatic conditions, i.e. in  
69 wet and dry years, rather than average years. In most south-eastern Australia, LAI primarily  
70 responds to the availability of water and changes in vegetation type, such as conversion of  
71 forest to cropland or pasture, but also responds, to a lesser extent, to changes in temperature

72 and rising atmospheric CO<sub>2</sub> concentrations. Most of these LAI responses are expected to be  
73 affected by projected climate change. These climate-induced changes in vegetation LAI may  
74 impact on evapotranspiration and runoff and hence should be considered when making runoff  
75 projections for climate change scenarios.

76 Dynamic Global Vegetation Models (DGVMs) have been used to assess the vegetation effect  
77 of climate change on large-scale hydrological processes and patterns (Murray et al., 2012a,  
78 2011). A list of available DGVMs and their processes representations (photosynthesis,  
79 respiration, allocation, and phenology) can be found in Wullschleger et al. (2014), while  
80 Scheiter et al. (2013) provides a review of the possible sources of uncertainty related to  
81 representation of plant functional type (PFT) in DGVMs. Most DGVMs overestimate runoff;  
82 mainly due to model structure problems along with operating at low spatial and temporal  
83 resolution (Murray et al., 2012b). While the relationships between LAI and climate  
84 fluctuation have been modelled (Ellis and Hatton, 2008; O'Grady et al., 2011; Jahan and Gan,  
85 2011; Palmer et al., 2010; Tesemma et al., 2014; White et al., 2010), none of them have been  
86 incorporated in hydrological models for the purpose assessing future climate change impacts  
87 on streamflow. The poor hydrological sub models in DGVMs and the static vegetation in  
88 most hydrological models mean that importance of the indirect vegetation-related (LAI)  
89 effects relative to the direct effects of changes in precipitation and temperature on  
90 hydrological response at catchment scale have rarely been studied. This limits understanding  
91 of the linkages between climate fluctuations and vegetation dynamics, and their combined  
92 impacts on hydrological processes.

93 The main objective of this study is to examine the relative effects on mean annual runoff of  
94 changes in direct climate forcing (mainly precipitation and temperature) and direct climate  
95 forcing combined with climate-induced LAI changes under changed climate scenarios.  
96 Comparative analysis of these two cases enables the effect on mean annual runoff of allowing  
97 LAI to respond to a changing climate to be identified. Specifically, our study combined the  
98 LAI–Climate model developed in Tesemma et al. (2014) with the Variable Infiltration  
99 Capacity (VIC) hydrologic model to assess the impact on catchment runoff of how LAI is  
100 modelled (constant seasonal LAI or LAI varying in response to climate) under changing  
101 climatic conditions. As noted above, this combined model showed significant improvements  
102 in runoff simulations under historic conditions. Here we investigate two sets of changing  
103 climatic conditions: (1) the observed Millennium Drought (1997–2009), which is a persistent  
104 (>10 year) large change in climate; and (2) projected climate change for both wet and dry

105 catchments using 38 Coupled Model Inter-comparison Project Phase 5 (CMIP5) runs from 15  
106 different Global Climate Models (GCMs) for two future periods, 2021–2050 and 2071–2100,  
107 for two emission scenarios, RCP4.5 and RCP8.5). The results obtained from this study are  
108 expected to demonstrate whether modelling LAI in a way that responds to changing climatic  
109 conditions is important for modelling runoff during projected climate change in the study  
110 area.

## 111 **2 Research approach**

112 This section provides details about the dataset, the characteristics of the selected catchments  
113 and the modelling exercises. The catchment characteristics and dataset used in this study are  
114 briefly described in section 2.1. The application of multiple GCMs and emission scenarios  
115 output method are explained in section 2.2. The relationship between LAI and climatic  
116 variables are presented in section 2.3, and the hydrologic modelling experiment approach  
117 used to assess the impact of changes in climate on runoff are described in section 2.4.

### 118 **2.1 Catchment characteristics and dataset**

119 All the study catchments are located in the Goulburn-Broken Catchment which is a tributary  
120 of the Murray-Darling Basin, Australia. The Goulburn-Broken Catchment extends between  
121 35.8° to 37.7° S and between 144.6° to 146.7° E (Figure 1a) with a range of altitude from  
122 approximately 1790 m on the southern side to 86 m above mean sea level on the northern  
123 side of the catchment. The mean annual precipitation of the study catchments ranges from  
124 659 (in the north) to 1407 mm year<sup>-1</sup> (in the south) calculated for the period (1982–2012).  
125 The majority of the precipitation (about 60%) occurs during winter and spring. The reference  
126 potential evapotranspiration (PET) calculated using the Food and Agricultural Organization  
127 (FAO56) method, ranges from 903 mm year<sup>-1</sup> (in the north) to 1046 mm year<sup>-1</sup> (in the south).  
128 Hence, the dryness index (mean annual reference potential evapotranspiration divided by  
129 mean annual precipitation) varies from 0.64 to 1.6 (Figure 1b). The dominant land cover type  
130 in most of the catchments is forest (mainly tall open Eucalyptus forest and Eucalyptus  
131 woodlands) with some pasture in all catchments. A small amount of cropland is located in  
132 some of the catchments (Figure 1c).

133 Gridded input data used for the hydrological modelling include the daily precipitation,  
134 maximum and minimum temperature, vapour pressure and solar exposure data obtained from  
135 the Australian Water Availability Project (AWAP) of the Bureau of Meteorology (Jones et  
136 al., 2009) and gridded daily wind run data from McVicar et al. (2008) that was generated  
137 from point measurements. All data have a spatial resolution of 0.05° × 0.05° (approximately  
138 5km × 5km), and the period from 1982 to 2012 was selected for this study. The daily  
139 streamflow data at the outlet of the selected calibration catchments were obtained from the  
140 Victorian Water Resources Warehouse (<http://data.water.vic.gov.au/monitoring.htm>). The  
141 missed streamflow data were filled by regressing between neighbouring catchments. The  
142 elevation data were collected from the GEODATA 9 Second Digital Elevation Model (DEM-

143 9S) Version 3 (Geoscience Australia, 2008). The elevation data were resampled to a  
144 resolution of  $0.05^\circ \times 0.05^\circ$  using the spatial average. The land cover input data were derived  
145 from the National Dynamic Land Cover Dataset which provides a land cover map for the  
146 whole of Australia at a resolution of  $0.00235^\circ \times 0.00235^\circ$  (approximately 250m  $\times$  250m) and  
147 can be accessed at ([http://www.ga.gov.au/metadata-gateway/metadata/record/gcat\\_71071](http://www.ga.gov.au/metadata-gateway/metadata/record/gcat_71071)).  
148 LAI data were collected from the Global Land Surface Satellite (GLASS) product which is  
149 available for download from Beijing Normal University (<http://www.bnu-datacenter.com>).  
150 The soil parameters in the VIC model running resolution were derived from the five minute  
151 resolution Food and Agriculture Organization dataset (FAO, 1995). The root distribution in  
152 three soil layers was derived from the global ecosystem root distribution dataset (Schenk and  
153 Jackson, 2002).

## 154 **2.2 Applying multiple GCMs and multiple emission scenarios**

155 Outputs from many climate models from the Coupled Model Inter-comparison Project Phase  
156 5 (CMIP5) (Taylor et al., 2012) are used as input to the hydrological model. CMIP5 contains  
157 model runs for four representative concentration pathways (RCPs), which provide radiative  
158 forcing scenarios over the 21<sup>st</sup> century (Moss et al., 2010; Vuuren et al., 2011). In this study  
159 two emission scenarios were chosen: a midrange mitigation scenario, referred to as RCP4.5  
160 and a high emissions scenario RCP8.5 (Meinshausen et al., 2011). RCP4.5 results in a  
161 radiative forcing value of  $4.5 \text{ Wm}^{-2}$  at the end of the 21<sup>st</sup> century relative to the preindustrial  
162 value, while RCP8.5 provides a radiative forcing increase throughout the 21<sup>st</sup> century to a  
163 maximum of  $8.5 \text{ Wm}^{-2}$  at the end of the century.

164 CMIP5 Global Climate Model (GCM) data were obtained from (<http://climexp.knmi.nl>  
165 accessed 28 February 2014). These data were re-sampled to a common grid resolution of  $2.5^\circ$   
166 since each GCM has a different spatial resolution (some are the same, but most are different).  
167 A total of 38 RCP4.5 and RCP8.5 runs from 15 different GCM models have been used in this  
168 study to include the possible uncertainty among climate models. For each of the 38 runs,  
169 daily precipitation, minimum and maximum temperature data were collected for three  
170 periods, 1981–2010 (historical run), 2021–2050 and 2071–2100 (future runs). An assessment  
171 of the ability of the CMIP5 runs to reproduce the observed base line seasonality of  
172 precipitation, minimum and maximum temperature is shown in Figure 2. The seasonality in  
173 precipitation and temperature were well captured by most CMIP5 runs with biases which  
174 require correction.

175 Low spatial resolution GCM outputs require downscaling for application in catchment  
 176 hydrology studies. Here the ‘delta-change’ statistical downscaling technique was used to  
 177 downscale and bias-correct the GCM outputs (Fowler et al., 2007). Delta-change was  
 178 selected due to its low computational intensiveness and easy applicability to a range of  
 179 GCMs. We acknowledge the limitations of this method include an assumption of stationarity  
 180 in change factors, climate feedbacks are not incorporated and an inability to capture changes  
 181 in extreme events and year to year variability. Dynamic downscaling, which solves some of  
 182 these problems, was not used as it has high computational demand and is not readily available  
 183 for a range of GCM runs and scenarios (Fowler et al., 2007). A simple statistical downscaling  
 184 method was appropriate for this study as we were interested in the impact of including  
 185 climate induced LAI change on the runoff results. In the study area, the monthly LAI is  
 186 strongly related to three month and/or nine month moving average moisture state  
 187 (precipitation minus reference potential evapotranspiration) (Tesemma et al., 2014).  
 188 Therefore, so long as the precipitation is consistent between the two runs we can assess the  
 189 importance of the change in LAI representation between model runs. It has been suggested  
 190 that extreme precipitation might change differently to mean precipitation under climate  
 191 change (Harrold et al., 2005) and the delta-change method does not capture this. Nevertheless  
 192 delta-change was used as this study concentrates on average runoff which is strongly linked  
 193 to overall catchment wetness, rather than floods which are linked to a combination of  
 194 catchment wetness and extreme precipitation. Hence consideration of extreme precipitation  
 195 events is less important in this study.

196 Statistical downscaling was applied to each of the GCM outputs and emission scenarios.  
 197 Since the study area is covered by four GCM grid cells, the area weighted average  
 198 precipitation, minimum and maximum temperatures of the GCM grid cells covering the study  
 199 area were computed. The area weighted average values were then statistically downscaled  
 200 using the delta change approach. Delta changes were calculated separately for each of the 12  
 201 months. For temperatures the delta changes were calculated using

$$\Delta_T(j) = \bar{T}_{projn}(j) - \bar{T}_{baseline}(j) \quad (1)$$

202 where  $\Delta_T(j)$  is the delta change in the 30-year mean monthly minimum or maximum  
 203 temperature as simulated by the climate model for the future period and RCP of interest  
 204 (2021–2050 or 2071–2100, RCP4.5 or RCP8.5),  $\bar{T}_{projn}(j)$ , relative to the mean for the  
 205 baseline period (1981–2010) climate model simulation,  $\bar{T}_{baseline}(j)$ .  $j$  represents the month.



206  $\Delta_T(j)$  is then applied to the daily baseline (1980–2010) observations,  $T_{obs}(j,i)$ , for each pixel of  
 207 the climate gridded data (which is the same as the VIC model grid pixels) to obtain the  
 208 statistically downscaled minimum or maximum daily temperature,  $T_{\Delta}(j,i)$  for month  $j$  and  
 209 day  $i$ .

$$T_{\Delta}(j,i) = T_{obs}(j,i) + \Delta_T(j) \quad (2)$$

210 For precipitation, the delta changes value is computed as a proportional change rather than a  
 211 shift:

$$\Delta_p(j) = \frac{\bar{P}_{projn}(j)}{\bar{P}_{baseline}(j)} \quad (3)$$

212 and then applied to the observations using:

$$P_{\Delta}(j,i) = P_{obs}(j,i) \times \Delta_p(j) \quad (4)$$

213 Here  $\Delta_p(j)$  is the delta change in 30-year mean monthly precipitation as simulated by the  
 214 climate model  $\bar{P}_{projn}(j)$  for two future periods (2021–2050 and 2071–2100) relative to the  
 215 baseline simulation  $\bar{P}_{baseline}(j)$ ;  $P_{\Delta}(j,i)$  is the statistically downscaled daily precipitation for  
 216 the projected future climate change scenario for month  $j$  and day  $i$ ,  $P_{obs}(j,i)$  is observed daily  
 217 precipitation for the historical period (1981–2010) for month  $j$  and day  $i$  for each of the  
 218 precipitation pixel of the gridded climate data. The delta change approach maintains a similar  
 219 (but shifted or scaled) spatial variation of temperature and precipitation as that in the  
 220 historical observed gridded data. The daily pattern of weather variation and the relationships  
 221 between the various weather variables are also maintained. Because historic weather data  
 222 provides the basis for the temporal patterns, the well-recognized issue of “GCM drizzle” is  
 223 eliminated. The delta change method also corrects for differences between the mean elevation  
 224 of the four GCM grid cells by scaling up or down the historical spatial variation of  
 225 temperature and precipitation across the catchment.

### 226 **2.3 Relationship between LAI and climate variables**

227 Tesemma et al. (2014) showed that monthly LAI of each vegetation type was closely related  
 228 to changes in moisture state (precipitation minus reference evapotranspiration) of six-monthly  
 229 moving averages for crop and pasture, and nine-monthly moving averages for trees.  
 230 Differences in LAI response for the same change in moisture state among the three vegetation  
 231 types were also observed as differences in model parameters of the LAI–Climate relationship.  
 232 Tesemma et al. (2014) provides details on the derivation of the LAI–Climate relationship for

233 the Goulburn-Broken Catchment. The three LAI models developed for crop, pasture and tree  
 234 are given below.

$$235 \quad \text{LAI} = \begin{cases} \frac{136.4836}{1 + \exp\left(-\left(\frac{(P - \text{PET}) - 159.4555}{42.5607}\right)\right)}, & \text{if Crop} \\ \frac{6.2495}{1 + \exp\left(-\left(\frac{(P - \text{PET}) - 43.6157}{62.8487}\right)\right)}, & \text{if Pasture} \\ \frac{4.2091}{1 + \exp\left(-\left(\frac{(P - \text{PET}) + 57.1849}{36.9481}\right)\right)}, & \text{if Tree} \end{cases} \quad (5)$$

236 Where LAI is the leaf area index of the cover type (tree/pasture/crop), P is the six month  
 237 moving average of precipitation for crop and pasture, and the nine month moving average for  
 238 trees, and PET is the respective reference evapotranspiration.

239 The monthly LAI was then simulated for both historical and future climate scenarios using  
 240 the LAI–Climate model (Eq. 5) driven with the appropriate climate inputs. In this study  
 241 monthly average reference potential evapotranspiration (PET, mm day<sup>-1</sup>) was estimated using  
 242 the standard FAO Penman-Monteith daily computations (Allen et al., 1998) and then  
 243 aggregating to monthly values. The reference potential evapotranspiration for future climate  
 244 scenarios was computed using the projected minimum and maximum temperatures, while  
 245 incoming shortwave radiation and vapour pressure were derived from daily temperature  
 246 range using the algorithms of Kimball et al. (1997) and Thornton and Running (1999). The  
 247 wind speed was kept the same as the historical observations. A significant literature exists  
 248 (see discussion in Supplementary Material of McMahon et al., 2015) around the issue of  
 249 using temperature to drive future changes in reference potential evapotranspiration (PET).  
 250 We acknowledge this assumption and note that it is likely to have limited impact on our  
 251 runoff results in the mainly water limited catchments modelled here. The historical or future  
 252 precipitation was used in Eq. 5 according to the scenario being modelled. Potential LAI  
 253 variations in the baseline years (1981–2010) and the two future periods (2021–2050 and  
 254 2071–2100), for each of the two future emission scenarios, were simulated using the  
 255 downscaled outputs from the 38 CMIP5 runs of the 15 GCMs, as input into the LAI–Climate  
 256 model (Eq.5). The uncertainty ranges in modelled LAI that come from the difference in  
 257 climate input were determined by using the downscaled 38 CMIP5 runs individually in Eq. 5.

## 258 **2.4 Hydrological model and experimental design**

259 In this study we used the three layers VIC model (version 4.1.2g) to simulate streamflow. The  
260 VIC macroscale model is a spatially distributed conceptual hydrological model that balances  
261 both water and energy budgets over a grid cell. It simulates soil moisture, evapotranspiration,  
262 snow pack, runoff, baseflow and other hydrologic properties at daily or sub-daily time steps  
263 by solving both the governing water and energy balance equations (Liang et al., 1996). VIC  
264 estimates infiltration and runoff using the variable infiltration curve that represents the sub-  
265 grid spatial variability in soil moisture capacity (Liang et al., 1994; Zhao et al., 1995) and  
266 Penman-Monteith for potential evapotranspiration computation. The ability of the model to  
267 incorporate spatial representation of climate and inputs of soil, vegetation and other  
268 landscape properties make it applicable for climate and land use / land cover change impact  
269 studies. The VIC model has been widely used for a number of hydrological studies in  
270 different climatic zones across the globe (Zhao et al., 2012a; Zhao et al., 2012b; Cuo et al.,  
271 2013).

272 The seven most sensitive model parameters (b, Ds, Ws, Dsmax, d2, d3 and exp) in the VIC  
273 model (Demaria et al., 2007) were calibrated against observed streamflow from thirteen  
274 selected sub-catchments with different climate and land cover composition that are  
275 representative of the main runoff generating regions of the Goulburn-Broken catchment. The  
276 model parameters were calibrated separately for each selected unregulated sub-catchment and  
277 applied uniformly within a sub-catchment (Figure 1). The Multi-Objective Complex  
278 Evolution (MOCOM-UA) algorithm (Yapo et al., 1998) was used to calibrate the model. This  
279 algorithm was implemented on each of the selected catchments separately to calibrate the  
280 model against the observed runoff. The model was first calibrated for the entire period  
281 (1982–2012), then using the calibrated parameters as initial guesses, the model was re-  
282 calibrated for the period 1982–1997 and evaluated for the period 1998–2012. During the  
283 calibration, VIC ran on a daily basis but the objective function was calculated on a monthly  
284 basis. Three criteria (objective functions) were used to evaluate the model's performance  
285 during calibration: the Nash–Sutcliffe efficiency (NSE) (Nash and Sutcliffe, 1970) between  
286 observed and simulated flow, the logarithm of Nash–Sutcliffe efficiency (logNSE) which  
287 penalizes errors at peak flow, and the percentage bias from the observed mean flow (PBIAS).

288 VIC model was run at daily time step and input data with a 5km by 5km spatial grid  
289 resolution for 30 years from January 1981 to December 2010 to produce the baseline and  
290 experiment runs. Two model experiments were run: the first experiment considered the recent

291 historical climate (Millennium Drought, 1997–2009) and LAI estimates using the simple  
 292 LAI-Climate model against the relatively normal historical climate period (1983–1995). The  
 293 second experiment considered the future climate from 38 CMIP5 runs and corresponding LAI  
 294 derivatives for two periods (2021–2050 and 2071–2100), and two emission scenarios RCP4.5  
 295 and RCP8.5 with respect to the historical period (1981–2010). Both sets of simulations were  
 296 performed over the thirteen calibrated study catchments within the Goulburn-Broken  
 297 Catchment (Figure 1b). A flow chart of the modelling method is given in (Figure 3).

298 To identify the effect on mean annual runoff of allowing LAI to respond to a changing  
 299 climate, compared with LAI not responding, we used the following steps: (1) the calibrated  
 300 model was forced with inputs of historical climate data and LAI data modelled from using the  
 301 historical climate data (1981–2010) to establish baseline streamflow estimates; (2) the model  
 302 was forced with projected future climate inputs and corresponding modelled LAI to produce  
 303 projected streamflow for future scenarios; (3) the future climates were input along with the  
 304 LAI data used in step 1 to produce projected streamflow that ignore project LAI changes .  
 305 The difference in mean annual runoff between steps 3 and 1 represents the climate effect (*CC*  
 306 effect); on mean annual runoff of only Precipitation and Temperature. Whereas the difference  
 307 in mean annual runoff between steps 2 and 1 represents the net effect (*CC + LAI* effect); on  
 308 mean annual runoff of allowing LAI to respond to a changing climate in addition to the direct  
 309 climate forcing (Precipitation and Temperature). The difference in mean annual runoff  
 310 between steps 2 and 3 represents the component of the runoff response related to climate-  
 311 induced changes in LAI. For the millennium drought (1997–2009) the above two changes in  
 312 mean annual runoff were estimated in a similar fashion taking (1983–1995) time period as  
 313 relatively normal period. The percentage change of mean annual runoff against the historical  
 314 mean annual runoff for climate change effect ( $Q_{clim}$ ) (Eq. 6), climate change and LAI effect  
 315 ( $Q_{net}$ ) (Eq. 7); and the percentage of CC effect offset by LAI effect ( $Q_{lai}$ ) (Eq. 8) were  
 316 estimated as follows:

$$317 \quad Q_{clim} = \left[ \frac{100 * (Q_{historical LAI}^{future climate} - Q_{historical LAI}^{historical climate})}{Q_{historical LAI}^{historical climate}} \right] \quad (6)$$

$$318 \quad Q_{net} = \left[ \frac{100 * (Q_{future LAI}^{future climate} - Q_{historical LAI}^{historical climate})}{Q_{historical LAI}^{historical climate}} \right] \quad (7)$$

$$319 \quad Q_{lai} = \left[ \frac{100 * (Q_{clim} - Q_{net})}{Q_{net}} \right] \quad (8)$$

## 320 **3 Results**

321 This section provides results from the modelling exercises. [First the model calibration and](#)  
322 [evaluation are discussed in section 3.1.](#) The change in climate variables during: (1) the recent  
323 observed prolonged drought; and (2) future climate change projections for the study  
324 catchments are presented in section 3.2. The impact on both LAI (section 3.3) and catchment  
325 streamflow (section 3.4) of changes in climate input during the Millennium Drought and  
326 future climate change projections are also provided. These results provide readers with a  
327 comparison of the anticipated future change in climate with the recently observed drought.

### 328 **3.1 Model calibration and evaluation results**

329 The calibrated model parameters and model performance during calibration (1982–1997) and  
330 evaluation (1998–2012) periods for each sub-catchment are listed in Table 1. Most of the  
331 calibrated catchments have NSE of more than 70% during both calibration and evaluation  
332 periods (Table 1). In most of the selected catchments the simulated runoff for both calibration  
333 and evaluation periods met the “satisfactory” criteria according to (Moriasi et al., 2007), with  
334  $NSE > 50\%$  and the percentage absolute bias is generally less than 25% during calibration  
335 and evaluation periods. Although VIC captured the temporal variability of runoff well, there  
336 were some systematic biases in the runoff simulated. The model overestimates peak flow in a  
337 few cases and underestimates low flow in most of the catchments. The sources of these biases  
338 need to be investigated in order to understand the performance of the model. To do this, the  
339 estimated monthly biases are plotted against the monthly climate inputs: precipitation,  
340 temperature and LAI (not shown here). The calibrated catchments showed no relationship  
341 between AWAP gridded climate data and simulated runoff biases. The biases are likely  
342 related to the model structure (Kalma et al., 1995) rather than the model inputs.

### 343 **3.2 Change in the climate variables from change in climate**

#### 344 **3.2.1 Millennium drought**

345 The Millennium Drought brought a decline in the mean annual precipitation over the selected  
346 catchments which ranged from 17.9% to 24.1%, with a mean of 20.9% when compared with  
347 the period (1983–1995). It also brought an increase in mean annual temperature which ranged  
348 from 0.2° C to 0.4° C, with an average of 0.3° C as compared to the temperature in the period  
349 (1983–1995). All thirteen study catchments experienced a similar change in both  
350 precipitation and temperature (Table 2).

### 351 **3.2.2 Future climate**

352 Averaged over all 38 CMIP5 runs, the mean annual precipitation in 2021–2050 over the  
353 selected catchments is projected to decline by 2.9% and 3.7%, relative to the historical period  
354 1981–2010, under the RCP4.5 and RCP8.5 scenarios respectively. By the end of the century  
355 (2071–2100) mean annual precipitation is projected to decline by 5% and 5.2% under the  
356 RCP4.5 and RCP8.5 scenarios respectively (Table 3). The mean annual temperature is also  
357 projected to increase in both future periods and emission scenarios (Table 3).

358 Most precipitation projections showed a shift towards drier climates in all seasons except  
359 summer in both emission scenarios and periods. The variability in projected mean monthly  
360 precipitation among climate models indicates great uncertainty between GCMs (Figure 4a-d).  
361 The mean monthly temperature of all climate models clearly deviated from the baseline  
362 period (1981-2010), underlining the consistent change signal between GCMs (Figure 4e-h).  
363 The median of the 38 CMIP5 mean monthly precipitation data over the Goulburn-Broken  
364 Catchment in the RCP4.5 emission scenario showed declines in most of the months. The  
365 decreases were up to 6% in 2021–2050 (Figure 4a) and up to 11% in 2071–2100 (Figure 4c).  
366 Similarly, under the RCP8.5 emission scenario the median monthly precipitation, other than  
367 in January and February for both periods, showed decreases up to 7% in 2021–2050 (Figure  
368 4b) and up to 18% in 2071–2100 (Figure 4d). The simulations for January and February  
369 showed median increases of up to 4% and 5% respectively in 2071–2100 from the historical  
370 baseline. Some climate models projected very wet future climates while others projected  
371 relatively dry climates. There are relatively high uncertainties in the projected mean monthly  
372 precipitation results in summer when compared with the mean monthly precipitation in  
373 winter among the climates models.

374 In contrast to precipitation the projected mean monthly temperatures from all CMIP5 runs  
375 showed increases, the median of the mean monthly temperatures of all CMIP5 38 runs  
376 increased by about 0.8° C in winter and 1° C in summer in 2021–2050 (Figure 4e), and by  
377 about 1.3° C in winter and 1.8° C in summer in 2071–2100 (Figure 4g) under the RCP4.5  
378 scenario. Under the RCP8.5 emission scenario the temperatures increased by 1° C in winter  
379 and by 1.4° C in summer during 2021–2050 (Figure 4f) and by 2° C and 3° C in winter and  
380 summer respectively by the end of the 21<sup>st</sup> century (Figure 4h). After precipitation the second  
381 variable that drives water availability is potential evapotranspiration. Here PET is expected to  
382 increase among all CMIP5 runs as it is being driven solely by changes in temperature given  
383 that actual vapour pressure and solar radiation was also simulated as a function of

384 temperature. In the near future period (2021–2050) the median of all CMIP5 mean monthly  
385 reference evapotranspiration projections increase by 5% to 13% in both emission scenarios,  
386 with the largest change in winter and the smallest in summer. In the future period of 2071–  
387 2100, the mean monthly reference evapotranspiration increased by 7% in summer and 25% in  
388 winter under RCP4.5 emission scenarios, and by 10% in summer and 28% in winter under  
389 the RCP8.5 emission scenarios.

### 390 **3.3 Impact on LAI from change in climate**

#### 391 **3.3.1 Millennium drought**

392 The effects of the Millennium Drought (1997–2009) on modelled crop LAI were very severe  
393 with reductions in mean annual LAI between catchments of 38.1% to 48.0%, with a mean of  
394 42.7% (Table 2). The reduction in LAI of pasture was between 16.7% and 21.6% across the  
395 thirteen selected catchments with a spatial average of 19.4% (Table 2). The LAI of trees  
396 responded less than crop and pasture, and reductions were in the range 5.7% to 14.0%, with a  
397 spatial mean of 9.2% (Table 2). A significant reduction in each cover type also brought an  
398 overall decline in areal weighted sum of all land cover types LAI in the selected catchments  
399 which ranged from 5.8% to 17.9% (Table 2), which is similar to the reduction for trees,  
400 where tree is the dominant land cover type.

#### 401 **3.3.2 Future climate**

402 The changes in mean monthly LAI of crop, pasture and trees averaged over the whole  
403 Goulburn-Broken Catchment under future climates are vary between the CMIP5 runs and  
404 global warming scenarios. Averaged over all 38 CMIP5 runs, the near future (2021–2050)  
405 results for the study catchment showed that the mean annual LAI of cropland, pasture and  
406 trees declined up to 13%, 6.7% and 5.4% under the RCP4.5 scenarios, and by up to 16%, 8%  
407 and 6.6% under the RCP8.5 scenario (Table 3). A further reduction in the mean annual LAI  
408 of each land cover was simulated by the end of the 21<sup>st</sup> century for both emission scenarios  
409 (Table 3).

410 The effect of projected climate change on monthly total LAI (area weighted sum of all land  
411 cover types LAI) for the study catchments is given in (Figure 5). The median of the 38  
412 CMIP5 runs simulated mean monthly LAI showed declines in all three land cover types.  
413 Despite similar percentage changes in mean monthly precipitation and temperature forcing,  
414 the mean monthly total LAI across the catchment shows the largest decline in autumn and the  
415 smallest decline in spring during both future periods and scenarios. This difference reflects

416 the seasonality of moisture availability influencing plant growth. Based on the median of the  
417 38 CMIP5 runs, the predicted decline in the mean monthly LAI for crop, pasture and trees  
418 was 18.1%, 10.3% and 7.9% respectively in the period 2021–2050 (Figure 5a, e, i) and  
419 27.7%, 16.6% and 12.8% respectively in the period 2071–2100 under RCP4.5 (Figure 5c, g,  
420 k). Larger reductions were simulated under the RCP8.5 emission scenario with 21.4%, 12.7%  
421 and 9.5% in the period 2021–2050 (Figure 5b, f, j) and 36.5%, 22.5% and 17.9% respectively  
422 for crop, pasture and tree in the period 2071–2100 (Figure 5d, h, l).

### 423 **3.4 Impacts on runoff from change in climate**

#### 424 **3.4.1 Millennium drought**

425 The impact of the Millennium Drought on streamflow due to changes in precipitation and  
426 temperature alone and changes in precipitation and temperature and modelled LAI were  
427 simulated using the VIC model. The simulated reductions in mean annual streamflow during  
428 the Millennium Drought (1997–2009) as compared with the relatively normal period (1983–  
429 1995) across the selected catchments due to the change in climate alone ranged from 29.7%  
430 to 66.3% with a mean of 50% (Table 2). The reductions in LAI resulting from the decline in  
431 precipitation and increase in temperature increased mean annual streamflow by between 1.3%  
432 and 10.2% relative to the direct climate effect above (Table 2 and Figure 6).

#### 433 **3.4.2 Future climate**

434 The average of the 38 CMIP5 runs under the RCP4.5 scenario produced declines in mean  
435 annual runoff due to the change in precipitation and temperature alone ( $Q_{clim}$ ) that ranged  
436 from 6.8% to 20.3% in the period 2021–2050, and 11.5% to 30.1% for the period 2071–2100  
437 (Table 3 and Figure 7). For the higher emission scenario (RCP8.5), the reductions were a  
438 little larger-ranging from 8.3% to 23.3% in 2021–2050 and from 14.5% to 35.1% by the end  
439 the 21<sup>st</sup> century (Table 3 and Figure 6). The reductions in runoff due to climate are offset  
440 through the LAI effect ( $Q_{lai}$ ) that ranged from 2.3% to 27.7% and from 2.3% to 23.1% in the  
441 near and far future periods respectively under the RCP4.5 emission scenario. Similar offsets  
442 of 2.5% to 25.9% and 2.6% to 24.2% in the near and far future periods respectively were also  
443 found under the RCP8.5 emission scenario (Table 3 and Figure 7).

444 The differences between GCMs in terms of the net climate change impacts ( $CC + LAI$ ) on  
445 mean annual runoff and the LAI contribution to that effect are shown in Figure 8 and Figure  
446 9 respectively. While large uncertainty exists among the 38 CMIP5 runs, the median between  
447 the models showed declines in the net climate change ( $CC + LAI$ ) projections of mean annual



448 runoff in all catchments (Figure 8). The median decline in the mean annual runoff due to the  
449 net climate change impact was 15.3% and 26.7% in 2021–2050 and 2071–2100 respectively,  
450 under RCP4.5. A larger decline of 21.6% and 31.8% in 2021–2050 and 2071–2100  
451 respectively occurred under RCP8.5 (Figure 8). The simulated LAI effects of the climate  
452 change showed smaller variation between GCMs than the net climate change ( $CC + LAI$ )  
453 effect on mean annual runoff. The LAI effect works to offset the reduction in mean annual  
454 runoff resulting from lower precipitation and higher temperature. Figure 9 shows the  
455 magnitude of the LAI effect as a percentage of the magnitude of direct climate change effect  
456 (noting they work in opposite directions). The median of this across the 38 CMIP5 runs was  
457 up to 20%, depending on the month. The simulated LAI effect on mean annual runoff showed  
458 smaller variation between GCMs than the net climate change ( $CC + LAI$ ) effect on mean  
459 annual runoff.

460 The direct climate change ( $CC$ ) effect, the LAI effect of climate change and the net climate  
461 change ( $CC+LAI$ ) effect on the mean monthly runoff for the selected catchments are given:  
462 Catchments 6 (Figure 10a, d, g, j), Catchment 10 (Figure 10b, e, h, k), and Catchment 11  
463 (Figure 10c, f, i, l). Catchments 6 and 10 are located in a high annual precipitation zone with  
464 trees as the dominant vegetation cover; whereas Catchment 11 is covered mostly with pasture  
465 and has relatively lower annual precipitation than Catchments 6 and 10. Depending on the  
466 month, for the 38 CMIP5 runs in 2021–2050 the median reduction in mean monthly runoff  
467 ( $Q_{net}$ ) were up to 10%, 24%, and 34% for catchment 6, 10, and 11, respectively for both the  
468 RCP4.5 and RCP8.5 scenarios (Figure 10). Further reductions projected by the end of the 21<sup>st</sup>  
469 century were up to 17%, 37% and 52% for catchments 6, 10, and 11, respectively, under both  
470 scenarios (Figure 10). Catchment 6 showed the lowest seasonality in the climate change  
471 effects for both emission scenarios and the LAI-related effects of climate change also showed  
472 the smallest seasonal variation. Catchment 11 runoff was the most impacted by projected  
473 climate changes and had the greatest benefit from LAI effects of climate change under both  
474 emission scenarios and future periods. The seasonal pattern of the LAI effect of climate  
475 change is similar under both RCP scenarios. The magnitude of this effect is relatively higher  
476 for drier projected future climates.

#### 477 **4 Discussion and Conclusion**

478 This study investigated the importance of incorporating the relationship between changing  
479 climate, in terms of precipitation and temperature, and vegetation LAI into a hydrological  
480 model to estimate changes in mean monthly and mean annual runoff under changing climatic  
481 conditions in the Goulburn-Broken Catchment, south-eastern Australia. A combination of  
482 VIC hydrological simulations with a simple model that relates climatic fluctuations with LAI  
483 for three different vegetation types revealed that 21<sup>st</sup> century climate change impacts on LAI  
484 significantly influence the projected runoff in the study catchments. LAIs of forest, pasture  
485 and crop were predicted to decline in the 21<sup>st</sup> century due to reductions in precipitation and  
486 increases in temperature.

487 Reduced LAI in response to a drier and warmer climate would reduce transpiration from  
488 vegetation and evaporative losses from canopy interception, which leaves the soil relatively  
489 wetter than if LAI response to climate was not included. This is important for runoff  
490 generation process as it promotes saturation excess runoff and subsurface flow, which are the  
491 dominant cause of runoff generation in the study region (Western et al., 1999). Previous  
492 studies in the region (Chiew et al., 2009; Chiew et al., 2011; Teng et al., 2012a; Teng et al.,  
493 2012b) concluded that runoff would decrease due to increases in evaporative demand and  
494 decreases in precipitation as a result of ongoing warming in the 21<sup>st</sup> century. However, the  
495 relationship between LAI and climate fluctuations was not taken into account in their  
496 modelling experiments. Therefore, in these studies the LAI effect is ignored and there is  
497 consequent overestimation of the runoff decline in the range of 2.3% to 27.7% (Figure 6 and  
498 Figure 7).

499 Projections of climate-induced vegetation dynamics and their hydrological impacts are  
500 influenced by various uncertainties that arise from using downscaled GCM outputs as inputs  
501 to the hydrologic model. These include large uncertainties in projections for precipitation  
502 from the various CMIP5 simulations (Teng et al., 2012b). In addition, the method used to  
503 downscale the GCM outputs really only captures changes the mean; however, any change in  
504 variability, which could have an effect on the projected future runoff, is ignored. The  
505 ensemble of 38 CMIP5 simulations from 15 GCMs was used to determine the range of  
506 uncertainty between GCMs. The results showed that the range of future climate projections  
507 from the various GCMs is wide, one climate model could project a very wet future climate  
508 while another a relatively dry climate. This suggests future analyses in other catchments  
509 should apply downscaled climate change scenarios from several CMIP5 runs from a range of

510 GCM models to the study area to get a sense of the possible range of climate change impact  
511 on both LAI and streamflow.

512 The results of this study illustrate that reduction of future precipitation and increase in mean  
513 temperature lead to reduction of runoff in a general sense. However, if the hydrologic model  
514 incorporated dynamic LAI information, as a function of changing climate, it would reduce  
515 the impact on runoff that comes from the climate alone. Reduction of LAI due to reduction of  
516 precipitation and increase in temperature decreases the evapotranspiration from vegetation  
517 and leaves the soil relatively wetter than if climate-induced changes in LAI were not  
518 represented in the modeling. The higher catchment moisture contents slightly increased  
519 runoff and partially offset the reduction in runoff due to changes in climate.

520 In interpreting the results presented here it is important to examine the assumptions that were  
521 made and the extent to which the results are dependent on those assumptions. Runoff  
522 processes can also triggered by other precipitation characteristics (intensity, duration, inter-  
523 storm duration) which have not been considered in this study. If inter-storm durations are  
524 expected to increase, this will alter the hydrologic fluxes even if the mean precipitation is  
525 maintained. However, the Climate–LAI model used in the study area (Tesemma et al., 2014)  
526 is related mainly to precipitation and potential evapotranspiration during the previous 6 to 9  
527 months. This limits the impact of changes in extreme precipitation characteristics in terms of  
528 modelling the Climate–LAI relationship. In order to satisfy the aim of this paper, which is to  
529 assess the impact of allowing LAI to respond to a changing climate, so long as the  
530 precipitation series is consistent between the runs with and without LAI responding to  
531 climate, we can assess the importance of the change in LAI on runoff simulation. Hence, in  
532 this study consideration of changing extreme precipitation events is less important; although  
533 it would be important for studies with the objective of predicting future floods or reservoir  
534 management.

535 Another assumption of [this study was](#) that the [impact on runoff](#) of rising atmospheric CO<sub>2</sub>  
536 concentrations, [via changes in](#) LAI and stomatal conductance, is [small relative](#) to the  
537 moisture availability effects. Therefore, here we assumed LAI responded [only](#) to precipitation  
538 and PET changes, not [changes in](#) CO<sub>2</sub>. Changes in atmospheric CO<sub>2</sub> concentrations could  
539 affect vegetation through increasing LAI and narrowing stomata (Ainsworth and Rogers,  
540 2007; Ewert, 2004; Warren et al., 2011). However, increased LAI may be limited by the  
541 availability of nutrients, particularly nitrogen (Fernández-Martínez et al., 2014; Körner,  
542 2006). Most of the results on this effect are derived from point experiments which could not

543 be extrapolated to the catchment scale where there is a complex interaction between soil,  
544 vegetation and climate. Increasing atmospheric CO<sub>2</sub> could also have two other effects on  
545 vegetation dynamics. First, biomass allocation may shift towards more above-ground plant  
546 structure (Obrist and Arnone, 2003), which implies more canopy leaf than active rooting area.  
547 This change could influence the water balance in either direction by increasing  
548 evapotranspiration due to interception losses or by decreasing evapotranspiration through  
549 limiting plant water uptake. Second, rising atmospheric CO<sub>2</sub> may favor C<sub>3</sub> species over C<sub>4</sub>  
550 species, which could lead to more woody plants compared to some grass species (Yu et al.,  
551 2014). This could influence the water balance by increasing evapotranspiration and  
552 decreasing runoff. In addition at the canopy scale, the evapotranspiration effect of increased  
553 LAI can be masked by shading among leaves, soil cover and raised canopy humidity  
554 (Hikosaka et al., 2005; Bunce, 2004). A study that considered both effects suggested that the  
555 fertilization effect of rising CO<sub>2</sub> is larger than the stomatal pore reduction effect, and the net  
556 effect is decreases in runoff (Piao et al., 2007). These two effects of increasing atmospheric  
557 CO<sub>2</sub> concentrations on vegetation work in opposite directions from a water balance  
558 perspective and may offset each other if they are close in magnitude (Gerten et al., 2008). In  
559 south-east Australia, it is known that vegetation growth is highly controlled by precipitation  
560 (water supply), and is less controlled by temperature and radiation (Nemani et al., 2003).  
561 Hence, most vegetation dynamics can be explained by variation in climate, which formed the  
562 basis of the LAI–Climate model developed in Tesemma et al. (2014). We acknowledge  
563 changing CO<sub>2</sub> levels could influence vegetation growth and water use efficiency and hence  
564 runoff, but we expect the impact on runoff to be smaller (Huntington, 2008; Uddling et al.,  
565 2008) than that due to changes in moisture state. Hence, exclusion of the fertilization and  
566 stomata suppression effects of rising atmospheric CO<sub>2</sub> on vegetation may not change the  
567 results significantly. However, the impact on runoff of CO<sub>2</sub> fertilization at the catchment  
568 scale remains an important area of on-going research.

569 A further assumption was that any effect of climate change on the spatial distribution of plant  
570 functional type (PFT) was ignored. That is the same spatial distribution of vegetation was  
571 used but with changed LAI. We acknowledge that changing climate (i.e increase in  
572 temperature) may shift the spatial distribution of PFTs, which has been reported in  
573 Mediterranean climate region (eg Lenihan et al., 2003; Crimmins et al., 2011). However, in  
574 our study area PFTs are largely determined by historical land use change (human activities)  
575 such as forest clearing for agriculture, rather than natural responses of vegetation to changed

576 climatic conditions. Therefore, future changes in the spatial distribution of agricultural crops  
577 and pastures are difficult to project as they are not solely due to climatic changes. In the  
578 forested areas, it is likely that issues that change water use such as changes in fire regime  
579 (Heath et al., 2014) and forest age (Cornish and Vertessy, 2001) would dominate over  
580 differences between species. Eucalyptus species already occupy high-altitude areas of the  
581 study catchment, which leaves little room for PFT changes due to up-slope migration in a  
582 warming climate. Most over-story trees in our study area are Eucalypts and while some  
583 movement of boundaries between dominant species may be expected, water use  
584 characteristics are likely to be relatively similar and there is insufficient information to  
585 represent species specific details of either migration or water use. Including these effects in  
586 the model may improve the results, but there is insufficient understanding at the granularity  
587 required to do so at present.

588 In summary, in this paper we use the VIC hydrological model to assess the impact on mean  
589 annual streamflow of ignoring climate induced changes in LAI for two changing climatic  
590 situations: (1) the recently observed “Millennium Drought”; and (2) for downscaled projected  
591 future climate change scenarios from 38 CMIP5 runs in the Goulburn-Broken catchment,  
592 Australia. In the Millennium Drought (1997–2009) not modelling the response of LAI to  
593 changing climatic variables led to further reduction in mean annual runoff, relative to the pre-  
594 drought period (1983–1995), of between 1.3% and 10.2% relative to modelling the dynamic  
595 response of LAI to decreased precipitation and increased temperature (Table 2 and Figure 6).  
596 For projected climate change under the RCP4.5 emission scenario ignoring the LAI response  
597 to changing climate could lead to a further reduction in mean annual runoff of between 2.3%  
598 and 27.7%, relative to the baseline period (1981–2010), in the near-term (2021–2050) and  
599 2.3% to 23.1% later in the century (2071–2100) relative to modelling the dynamic response  
600 of LAI to precipitation and temperature changes. Similar results (near-term 2.5% to 25.9%  
601 and end of century 2.6% to 24.2%) were found for climate change under the RCP8.5  
602 emission scenario (Table 3 and Figure 7). Due to the strong relationship between climatic  
603 variation and LAI, the Climate–LAI interaction should be included in hydrological models  
604 for improved climate change impact assessments and modelling under changing climatic  
605 conditions, particularly in arid and semi-arid regions where vegetation is strongly influenced  
606 by climate.

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868 median, and the upper boundary of the box indicates the 75th percentile and the whiskers are  
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874 with unchanged LAI (i.e. CC effect) and expressed as a percentage. The lower boundary of  
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882 and temperature effect with unchanged LAI; CC + LAI effect indicates precipitation,  
883 temperature and LAI effect. The lower boundary of the box indicates the 25th percentile, a  
884 line within the box marks the median, and the upper boundary of the box indicates the 75th  
885 percentile and the whiskers are delimited by the maximum and minimum.

886



887 Table 1 Calibrated model parameters and model performance during calibration (1982–1997)  
 888 and evaluation (1998–2012) periods.

ID	River and station name	Model parameters							Calibration (1982-1997)			Evaluation (1998-2012)		
		b	Ds	Ws	d2	d3	Dsmax	exp	Nash (%)	logNash (%)	Bias (%)	Nash (%)	logNash (%)	Bias (%)
1	Moonee Creek @ Lima	0.149	0.598	0.170	1.99	0.47	0.13	2.98	82.7	80.2	2.2	86.1	78.1	8.0
2	Delatite River @ Tonga Bridge	0.062	0.014	0.755	0.81	1.88	0.30	2.95	82.7	91.9	6.4	84.2	89.4	-5.4
3	Howqua River @ Glan Esk	0.244	0.291	0.006	1.65	0.28	11.60	1.15	90.4	89.4	-2.5	89.3	90.3	-0.8
4	Goulburn River @ Dohertys	0.206	0.891	0.035	1.43	0.45	22.01	1.42	95.9	91.0	2.2	92.4	90.8	-2.4
5	Big river @ Jamieson	0.183	0.610	0.736	1.70	0.81	0.01	2.19	89.7	86.5	8.9	81.5	85.7	11.9
6	Rubicon River @ Rubicon	0.216	0.059	0.200	0.52	1.77	19.29	1.28	93.8	94.9	-2.4	87.4	92.0	3.4
7	Acheron River @ Taggerty Murrindindi River @ above	0.168	0.030	0.293	1.97	1.84	0.16	2.59	82.6	85.8	9.5	82.4	84.4	-2.4
8	colwells	0.130	0.801	0.297	1.97	1.89	1.11	2.67	68.9	62.8	14.6	79.7	84.7	3.9
9	Yea river @ Devlins Bridge	0.072	0.428	0.646	1.93	1.27	0.05	2.99	79.8	78.3	26.4	68.0	69.3	34.1
10	King Parrot Creek @ Flowerdale	0.071	0.041	0.665	0.71	1.95	0.73	2.87	61.5	66.1	45.8	73.0	62.6	41.1
11	Sugarloaf Creek @ Ash Bridge	0.001	0.592	0.804	1.31	1.18	0.00	1.39	78.6	73.4	-3.5	59.0	40.0	127.5
12	Hughes Creek @ Tarcombe road	0.043	0.215	0.514	1.04	1.88	0.07	3.20	82.5	89.3	9.2	62.7	58.9	39.2
13	Home Creek @ Yarck	0.0004	0.415	0.524	0.66	1.91	0.01	2.97	81.7	87.1	-12.7	75.6	64.7	30.7

889

890

891 Table 2. Vegetation type distributions for each catchment and changes in mean annual  
 892 precipitation, temperature, LAI and streamflow during the Millennium Drought (1997–2009)  
 893 relative to (1983–1995).

Catchments ID													
Variables*	1	2	3	4	5	6	7	8	9	10	11	12	13
Crop cover (%)	0.6	1.0									1.5	1.2	1.2
Pasture cover (%)	14.4	32.7	3.3	6.4	0.92	5.5	9.94	2.57	25.9	7.62	63.5	56.3	48.8
Tree cover (%)	85.0	66.3	96.7	93.6	99.1	94.5	90.1	97.4	74.1	92.4	35	42.6	50.1
P (%)	-23.2	-23.6	-21.1	-18.0	-17.9	-21.0	-20.1	-20.1	-19.4	-21.7	-19.5	-22.6	-24.1
T (°C)	0.2	0.3	0.3	0.4	0.4	0.3	0.3	0.2	0.3	0.2	0.3	0.3	0.3
LAI crop (%)	-44.2	-48.0									-38.1	-41.8	-41.4
LAI pasture (%)	-20.5	-21.6	-19.5	-16.9	-16.7	-18.7	-19.0	-19.1	-19.5	-19.7	-19.6	-20.2	-20.8
LAI tree (%)	-11.4	-10.3	-8.2	-6.6	-5.7	-5.9	-7.0	-6.3	-9.1	-9.2	-14.0	-12.5	-13.9
LAI total (%)	-12.9	-14.4	-8.6	-7.3	-5.8	-6.6	-8.2	-6.6	-11.8	-10.0	-17.9	-17.2	-17.6
Q <sub>clim</sub> (%)	-49.3	-61.5	-43.7	-39.1	-42.9	-29.7	-44.0	-41.2	-55.2	-57.1	-66.3	-61.8	-57.9
Q <sub>net</sub> (%)	-48.0	-59.7	-42.8	-38.3	-42.3	-29.3	-43.2	-40.6	-53.3	-55.2	-61.4	-56.1	-53.2
Q <sub>lai</sub> (%)	2.6	3.0	2.1	2.1	1.5	1.3	1.9	1.4	3.6	3.4	8.0	10.2	8.9

894 \* P (%) is the change in mean annual precipitation in percentage, T (°C) is the change in mean annual temperature in Degree Celsius, Q<sub>clim</sub>  
 895 indicates the climate effect on runoff, Q<sub>net</sub> is the net effect of climate and LAI on runoff and Q<sub>lai</sub> is proportion of the climate effect (Q<sub>clim</sub>)  
 896 that is offset by the LAI effect.

897

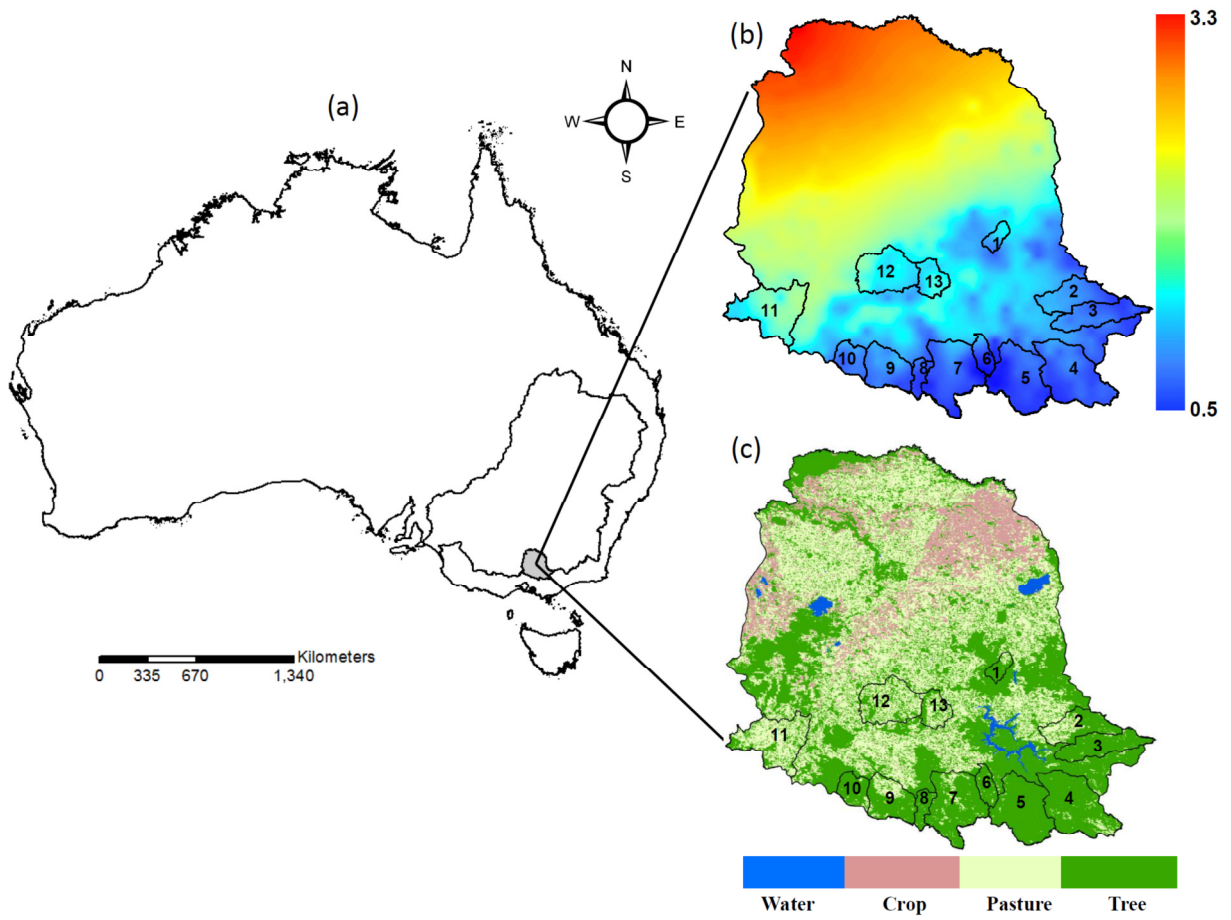
898 Table 3. Impacts on mean annual precipitation, temperature, LAI and streamflow of projected  
 899 climate change averaged over 38 CMIP5 runs relative to (1981–2010).

Catchments ID															
Periods	Variables*	1	2	3	4	5	6	7	8	9	10	11	12	13	
2021-2050 RCP4.5	P (%)	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	-2.9	
	T (°C)	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	
	LAI crop (%)	-12.9	-13.0										-12.9	-13.0	-12.8
	LAI pasture (%)	-5.9	-5.6	-5.4	-5.6	-5.3	-4.8	-5.4	-5.4	-6.1	-6.1	-6.7	-6.3	-6.3	
	LAI tree (%)	-3.9	-2.9	-2.5	-2.4	-2.0	-1.7	-2.1	-1.9	-3.0	-3.0	-5.4	-4.6	-4.8	
	LAI total (%)	-4.2	-3.9	-2.6	-2.6	-2.0	-1.8	-2.5	-1.9	-3.8	-3.2	-6.3	-5.6	-5.7	
	Q <sub>clim</sub> (%)	-12.3	-17.6	-11.4	-11.5	-13.5	-6.8	-12.4	-12.6	-17.4	-18.4	-20.3	-18.9	-14.2	
	Q <sub>net</sub> (%)	-11.4	-16.3	-10.9	-11.1	-13.2	-6.6	-11.9	-12.2	-15.8	-17.0	-16.3	-14.8	-11.7	
	Q <sub>lai</sub> (%)	7.9	8.0	4.6	3.6	2.3	3.0	4.2	3.3	10.1	8.2	24.5	27.7	21.4	
2021-2050 RCP8.5	P (%)	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	-3.7	
	T (°C)	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	
	LAI crop (%)	-15.7	-15.7										-15.7	-15.7	-15.5
	LAI pasture (%)	-7.2	-6.9	-6.7	-6.8	-6.5	-5.9	-6.6	-6.6	-7.4	-7.5	-8.1	-7.7	-7.7	
	LAI tree (%)	-4.8	-3.7	-3.1	-3.0	-2.5	-2.1	-2.7	-2.3	-3.7	-3.7	-6.6	-5.6	-5.9	
	LAI total (%)	-5.2	-4.8	-3.3	-3.2	-2.5	-2.3	-3.1	-2.4	-4.7	-4.0	-7.7	-6.9	-6.9	
	Q <sub>clim</sub> (%)	-14.6	-20.7	-13.7	-13.8	-16.3	-8.3	-14.8	-15.0	-20.1	-21.3	-23.3	-21.4	-16.1	
	Q <sub>net</sub> (%)	-13.6	-19.2	-13.2	-13.3	-15.8	-8.1	-14.3	-14.5	-18.3	-19.7	-19.0	-17.0	-13.4	
	Q <sub>lai</sub> (%)	7.4	7.8	3.8	3.8	3.2	2.5	3.5	3.4	9.8	8.1	22.6	25.9	20.1	
2071-2100 RCP4.5	P (%)	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	-5.0	
	T (°C)	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	
	LAI crop (%)	-21.1	-21.3										-20.8	-21.0	-20.7
	LAI pasture (%)	-9.8	-9.5	-9.2	-9.4	-9.0	-8.2	-9.2	-9.2	-10.2	-10.3	-11.0	-10.4	-10.5	
	LAI tree (%)	-6.6	-5.1	-4.4	-4.2	-3.5	-3.0	-3.9	-3.4	-5.3	-5.3	-9.2	-7.8	-8.2	
	LAI total (%)	-7.2	-6.7	-4.6	-4.5	-3.6	-3.3	-4.4	-3.5	-6.6	-5.7	-10.5	-9.4	-9.5	
	Q <sub>clim</sub> (%)	-19.7	-27.5	-18.6	-18.8	-22.1	-11.5	-20.3	-20.7	-26.9	-28.1	-30.1	-27.7	-21.7	
	Q <sub>net</sub> (%)	-18.3	-25.7	-17.9	-18.1	-21.6	-11.2	-19.6	-20.1	-24.7	-26.2	-25.2	-22.5	-18.6	
	Q <sub>lai</sub> (%)	7.7	7.0	3.9	3.9	2.3	2.7	3.6	3.0	8.9	7.3	19.4	23.1	16.7	
2071-2100 RCP8.5	P (%)	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	-5.2	
	T (°C)	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	
	LAI crop (%)	-28.3	-28.3										-28.5	-28.5	-28.1
	LAI pasture (%)	-13.6	-13	-12.5	-12.9	-12.2	-11.1	-12.5	-12.5	-14	-14.1	-15.4	-14.6	-14.7	
	LAI tree (%)	-9.5	-7.4	-6.3	-6.0	-5.1	-4.3	-5.5	-4.8	-7.6	-7.6	-13.2	-11.2	-11.8	
	LAI total (%)	-10.2	-9.4	-6.5	-6.5	-5.2	-4.7	-6.2	-5.0	-9.2	-8.1	-14.9	-13.3	-13.4	
	Q <sub>clim</sub> (%)	-24.0	-33.5	-23.9	-24.2	-27.4	-14.5	-25.0	-25.6	-32.0	-33.0	-35.1	-32.8	-25.3	
	Q <sub>net</sub> (%)	-22.3	-31.3	-23.0	-23.3	-26.7	-14.1	-24.0	-24.8	-29.4	-30.8	-29.2	-26.4	-21.7	
	Q <sub>lai</sub> (%)	7.6	7.0	3.9	3.9	2.6	2.8	4.2	3.2	8.8	7.1	20.2	24.2	16.6	

900 \* P (%) is the change in mean annual precipitation in percentage, T (°C) is the change in mean annual temperature in Degree Celsius, Q<sub>clim</sub>  
 901 indicates the climate effect on runoff, Q<sub>net</sub> is the net effect of climate and LAI on runoff and Q<sub>lai</sub> is proportion of the climate effect (Q<sub>clim</sub>)  
 902 that is offset by the LAI effect.

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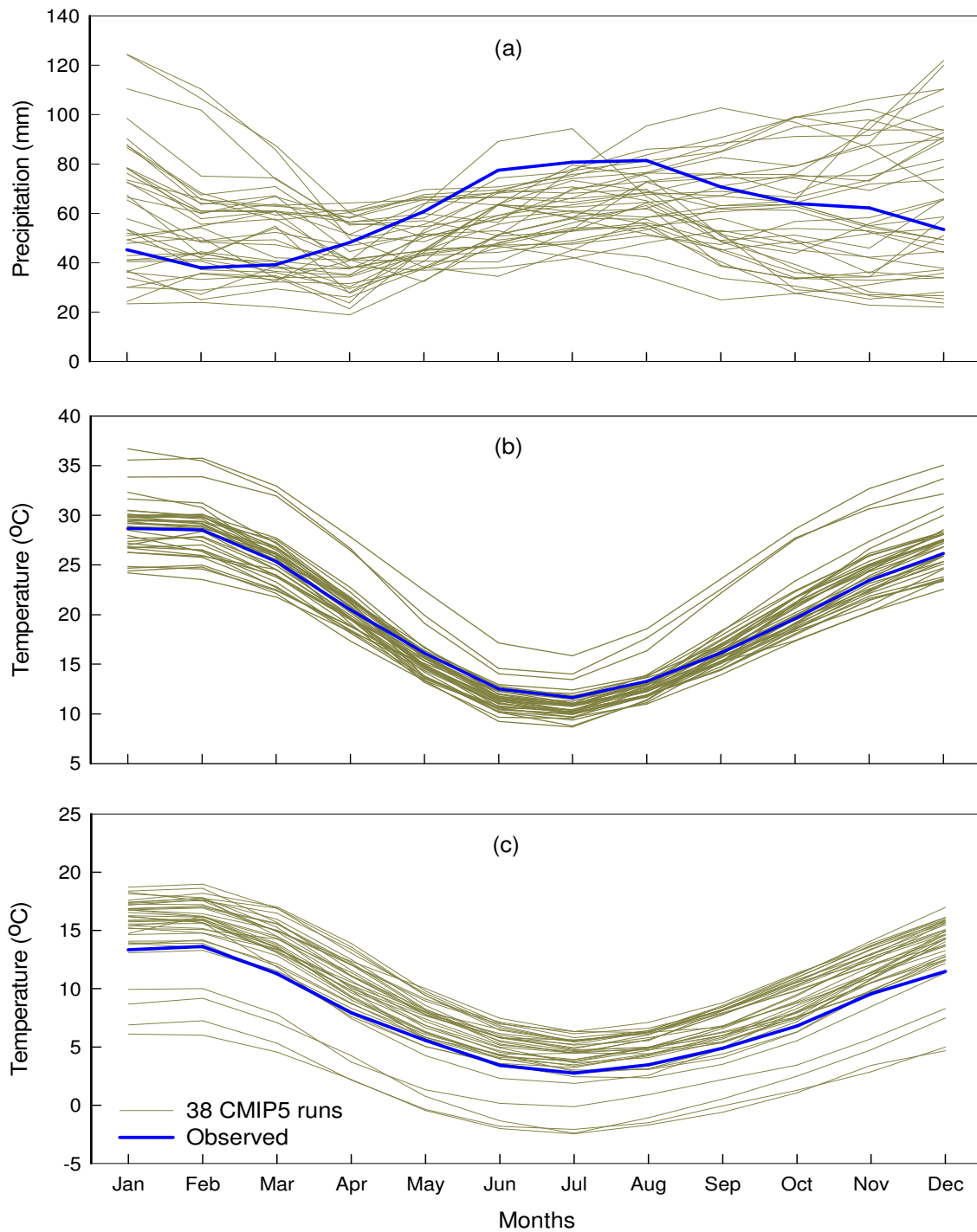
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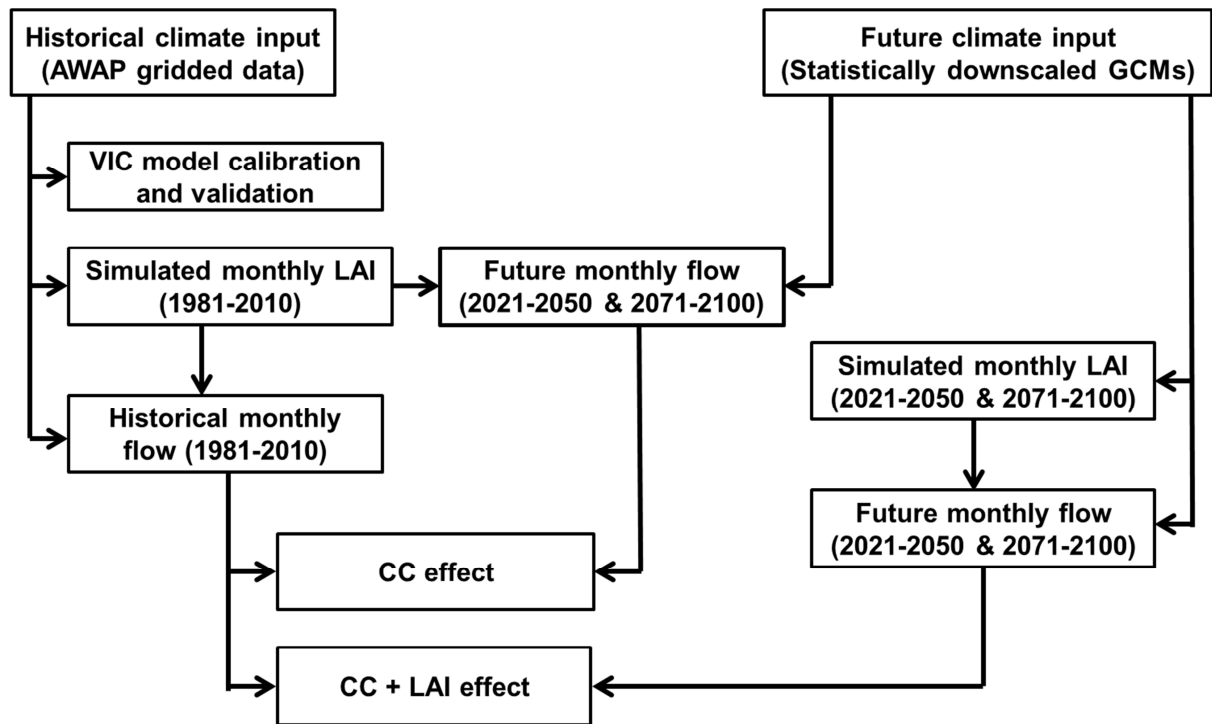
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906 Figure 1. Location map of the study area (a), dryness index (mean annual reference  
907 evapotranspiration divided by mean annual precipitation) (b) and land cover type (c).

908



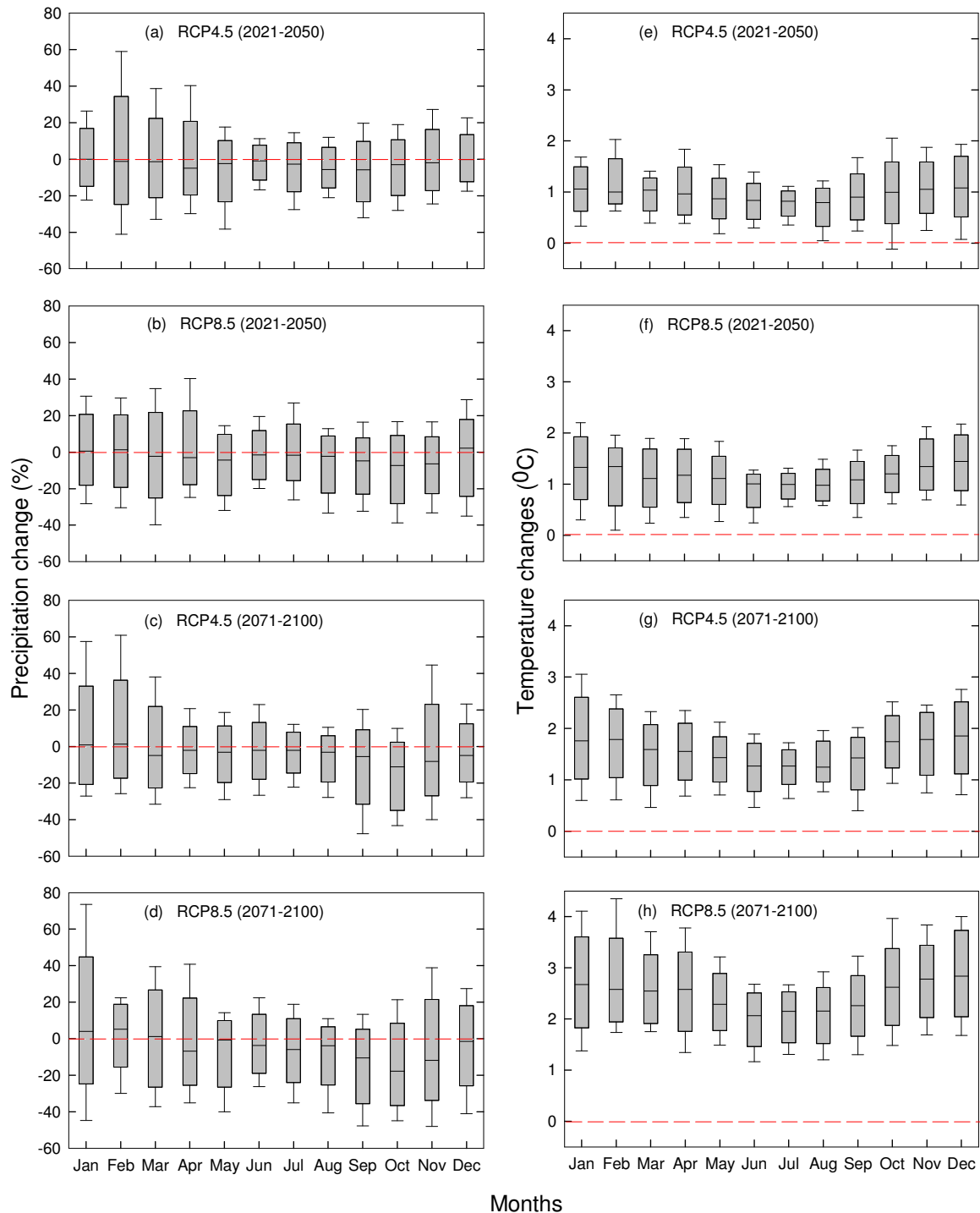
909  
 910 Figure 2. Long-term mean monthly climate observations plotted with the 38 CMIP5 runs  
 911 during the baseline period (1980–2010) for Goulburn-Broken Catchment (a) long-term mean  
 912 monthly precipitation (b) long-term mean monthly maximum temperature and (c) long-term  
 913 mean monthly minimum temperature.  
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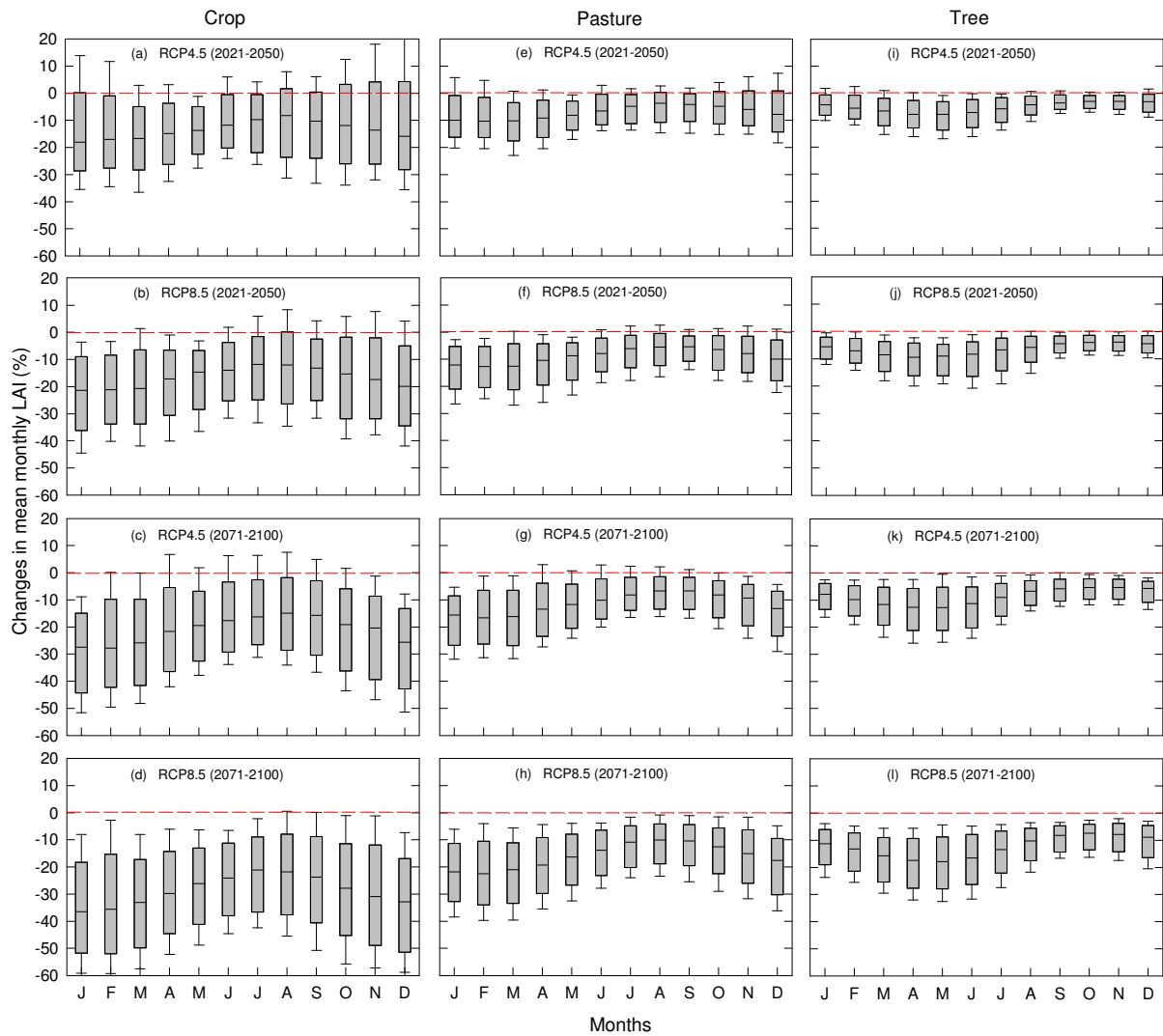
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916 Figure 3. Flowchart showing the modelling experiments and calculation of effects: CC effect  
 917 indicates the climate change effect of precipitation and temperature with unchanged LAI, CC  
 918 + LAI effect indicates the climate change effect of precipitation, temperature and leaf area  
 919 index.

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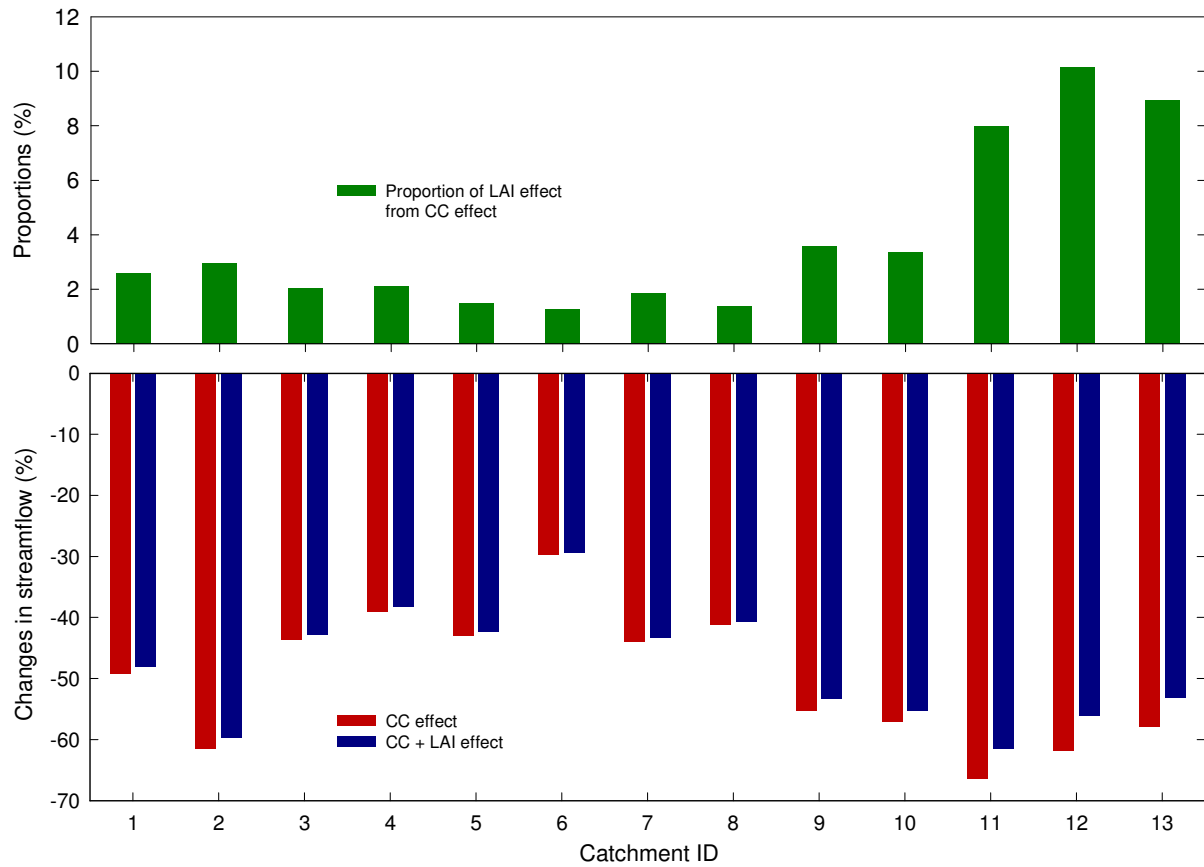


921  
 922 Figure 4. Box plots of percentage changes in the mean monthly precipitation (a, b, c, d) and  
 923 changes in mean monthly temperatures (e, f, g, h) in the Goulburn-Broken Catchment for the  
 924 future periods 2021–2050 and 2071–2100 for the 38 CMIP5 runs of climate projections.  
 925 Changes are relative to the historical (1981–2010) mean monthly precipitation and  
 926 temperatures. The lower boundary of the box indicates the 25<sup>th</sup> percentile, a line within the  
 927 box marks the median, and the upper boundary of the box indicates the 75<sup>th</sup> percentile and the  
 928 whiskers are delimited by the maximum and minimum.



929  
 930 Figure 5. Box plots of changes in mean monthly LAI derived from the 38 CMIP5 runs for  
 931 climate projections during 2021–2050 and 2071–2100 under RCP4.5 and RCP8.5 scenarios  
 932 for crop (a, b, c, d); pasture (e, f, g, h) and tree (i, j, k, l) in the Goulburn-Broken Catchment.  
 933 Changes are relative to LAI calculated using climate time series for the 1981–2010 baseline.  
 934 The lower boundary of the box indicates the 25<sup>th</sup> percentile, a line within the box marks the  
 935 median, and the upper boundary of the box indicates the 75<sup>th</sup> percentile and the whiskers are  
 936 delimited by the maximum and minimum.

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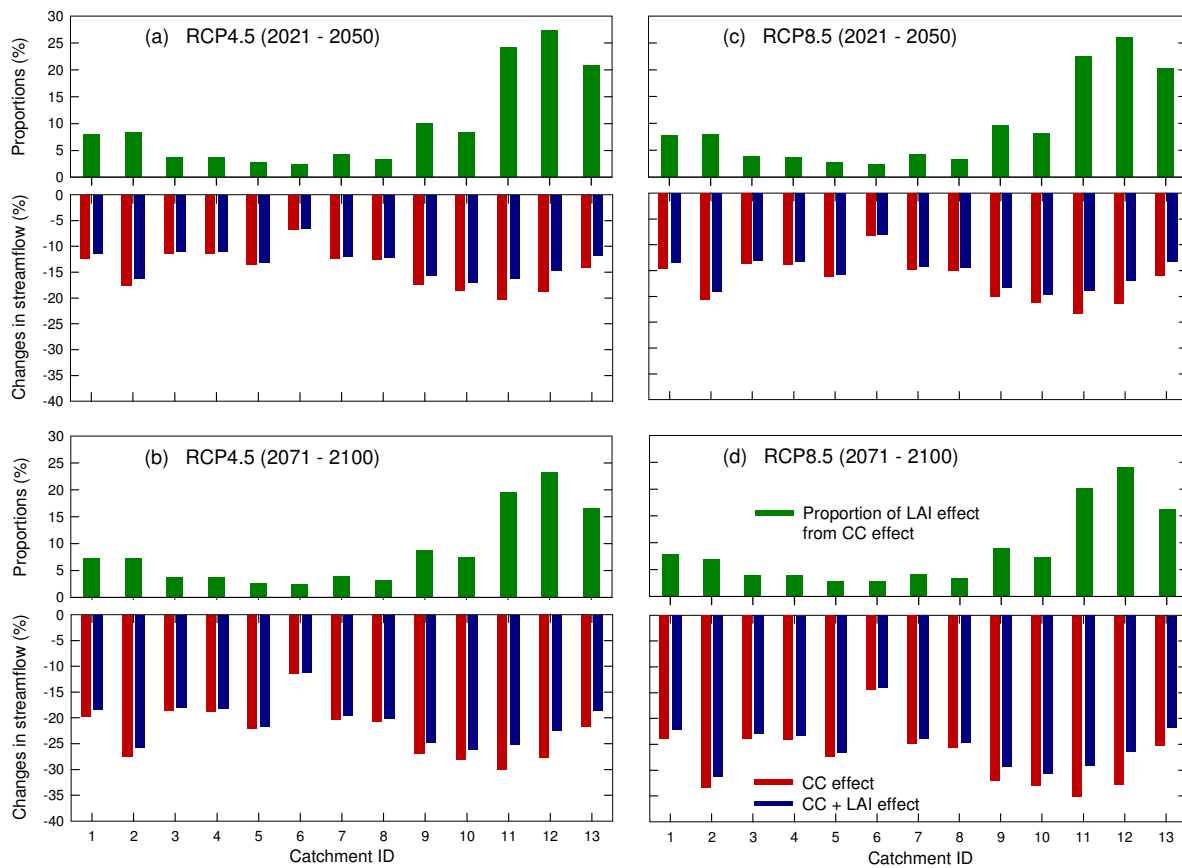


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939 Figure 6. Impacts on catchment mean annual streamflow of the Millennium drought (1997–  
 940 2009) relative to the period 1983–1995. CC effect indicates precipitation and temperature  
 941 effect with unchanged LAI; CC + LAI effect indicates precipitation, temperature and LAI  
 942 effect. The proportional LAI effect indicates the LAI effect as a percentage of the CC effect.

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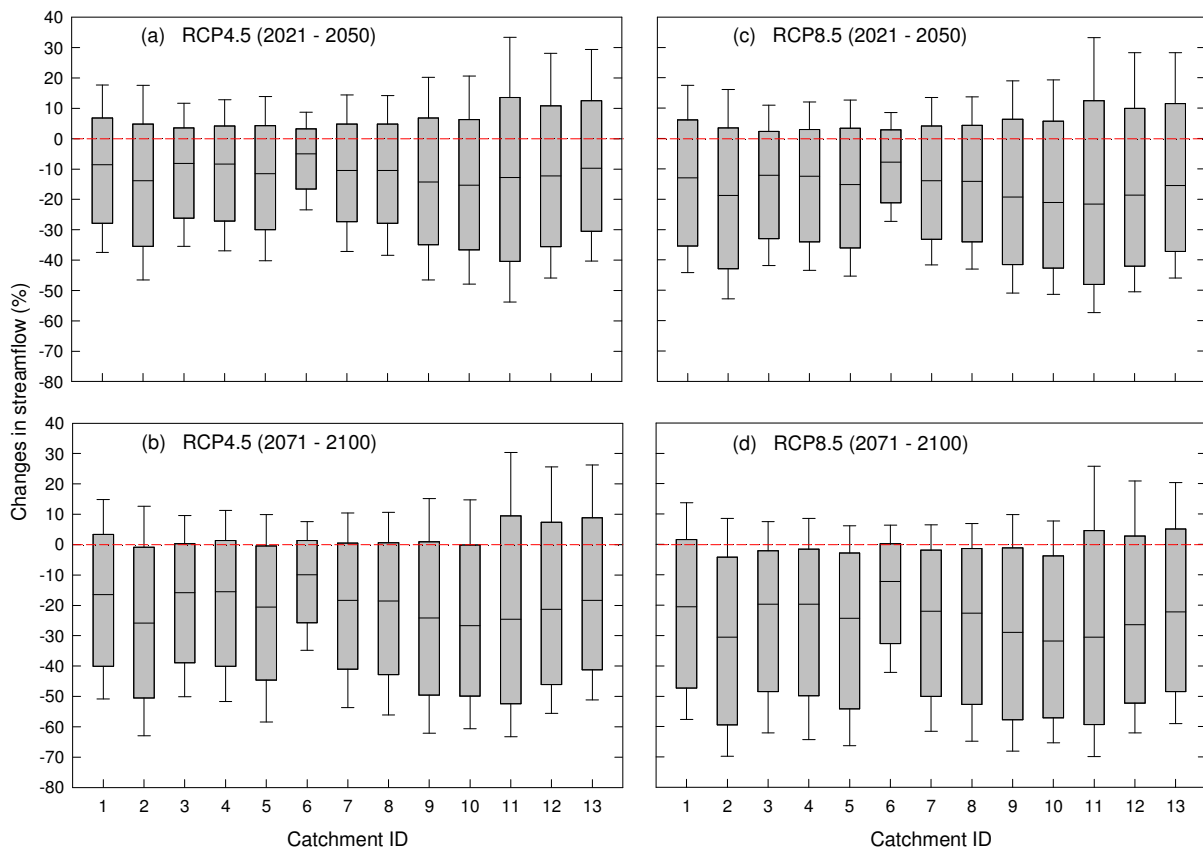




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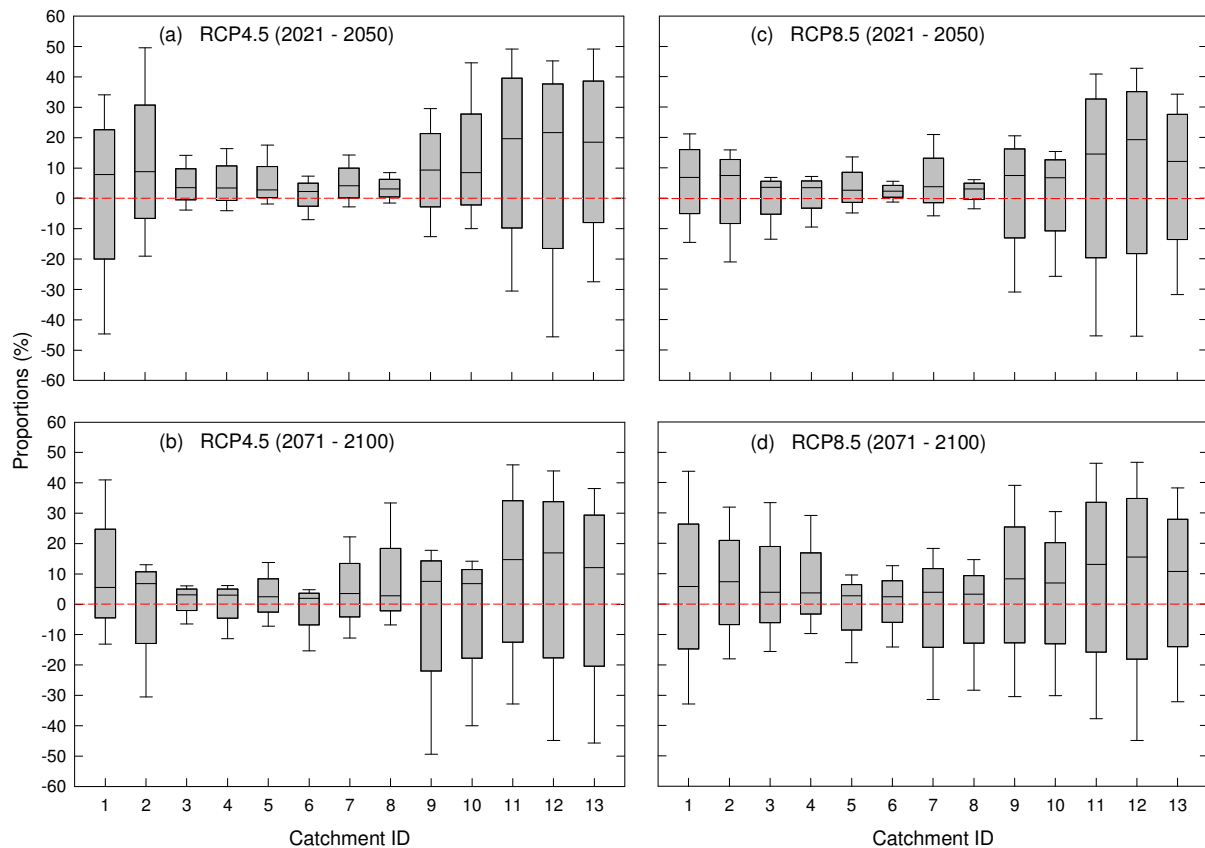
946 Figure 7. Impact on catchment mean annual streamflow average over the 38CMIP5 runs of  
 947 projected climate change for the future periods 2021–2050 and 2071–2100 under RCP4.5 (a,  
 948 b) and RCP8.5 (c, d), relative to the 1981–2010 base period. CC effect indicates precipitation  
 949 and temperature effect with unchanged LAI; CC + LAI effect indicates precipitation,  
 950 temperature and LAI effect. The proportional LAI effect indicates the LAI effect as a  
 951 percentage of the CC effect.

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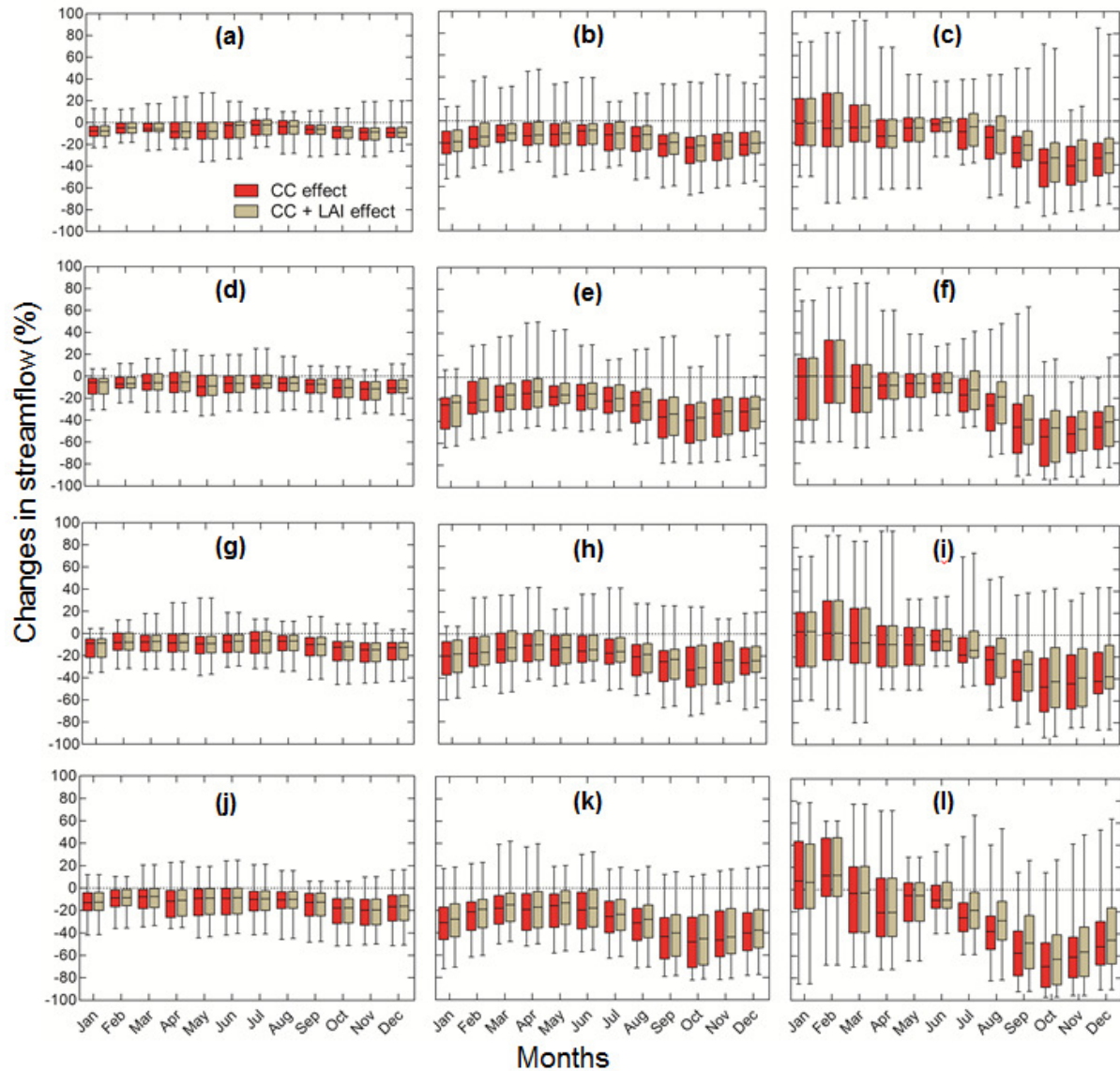


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Figure 8. Box plots of the net climate change (CC + LAI) effect on mean annual runoff during (2021–2050, 2071–2100) under RCP4.5 (a, b) and RCP8.5 (c, d) emission scenarios from each of the 38 CMIP5 runs. Changes are relative to the historical (1981–2010) period. The lower boundary of the box indicates the 25<sup>th</sup> percentile, a line within the box marks the median, and the upper boundary of the box indicates the 75<sup>th</sup> percentile and the whiskers are delimited by the maximum and minimum.



962  
 963 Figure 9. Box plots of contribution of LAI to the climate change effect on mean annual runoff  
 964 for future (2021–2050, 2071–2100) climate forcing under RCP4.5 (a, b) and RCP8.5 (c, d)  
 965 emission scenarios from each of the 38 CMIP5 runs as compared to the historical (1981–  
 966 2010) period. The LAI effect is normalized by the effect of precipitation and temperature  
 967 with unchanged LAI (i.e. CC effect) and expressed as a percentage. The lower boundary of the  
 968 box indicates the 25<sup>th</sup> percentile, a line within the box marks the median, and the upper  
 969 boundary of the box indicates the 75<sup>th</sup> percentile and the whiskers are delimited by the  
 970 maximum and minimum.  
 971



972  
 973 Figure 10. Box plots of impacts on mean monthly streamflow from 38 CMIP5 runs of  
 974 catchment 6 (a, d, g and j), catchment 10 (b, e, h and k), and catchment 11 (c, f, i and l) of  
 975 projected climate change for future periods (2021–2050) and (2071–2100) under RCP4.5 and  
 976 RCP8.5 respectively relative to the 1981–2010 base period. CC effect indicates precipitation  
 977 and temperature effect with unchanged LAI; CC + LAI effect indicates precipitation,  
 978 temperature and LAI effect. The lower boundary of the box indicates the 25<sup>th</sup> percentile, a  
 979 line within the box marks the median, and the upper boundary of the box indicates the 75<sup>th</sup>  
 980 percentile and the whiskers are delimited by the maximum and minimum.

981