

Determination of virtual water content of rice and spatial characteristics analysis in China

L. J. Zhang, X. A. Yin*, Y. Zhi, and Z. F. Yang

{State Key Laboratory of Water Environmental Simulation, School of Environment, Beijing Normal University, Beijing, China}

Correspondence to: X. A. Yin (yinxinan@bnu.edu.cn)

Abstract

China is a water-stressed country, and agriculture consumes the bulk of its water resources. Assessing the virtual water content (VWC) of crops is one important way to develop efficient water management measures to alleviate water resources conflicts among different sectors. In this research, the VWC of rice, as a major crop in China, was taken as the research object. China is well-known for its massive land. The VWC of rice is largely different between regions. The VWC of rice of China should be assessed and the spatial characteristics should be also analyzed. The total VWC is the total volume of freshwater both consumed and affected by pollution during the crop production process including direct and indirect water use. Prior calculation frameworks of VWC of crops do not contain all contents of virtual water content of crops. In addition to the calculation of green, blue and grey water—the direct water in VWC—the indirect water use of rice was also calculated, using the Input-Output model. The percentages of direct green, blue, grey and indirect water in the total VWC of rice in China were 43.8%, 28.2%, 27.6%, and 0.4%. The total VWC of rice generally showed a roughly three-tiered distribution, and decreased from southeast to northwest. The higher values of direct green water of rice were mainly concentrated in Southeast and Southwest China, while these values were relatively low in Northwest

1 China and Inner Mongolia. The higher direct blue water values were mainly
2 concentrated in the eastern and southern coastal regions and Northwest China, and
3 low values were mainly concentrated in Southwest China. Grey water values were
4 relatively high in Shanxi and Guangxi provinces and low in Northeast and Northwest
5 China. The regions with high values for indirect water were randomly distributed but
6 the regions with low values were mainly concentrated in Northwest and Southwest
7 China. For the regions with relatively high total VWC the high values of blue water
8 made the largest contribution, although for the country as a whole the direct green
9 water is the most important contributor.

10

11 **1. Introduction**

12 The term *virtual water* was first proposed by Allan (1994) and defined as the water
13 embodied in the traded products. Later the concept of virtual water was modified by
14 Hoekstra and Chapagain (2007) to indicate the required water input to generate a
15 product or service. The virtual water content of a product is the freshwater embodied
16 in the product, not in the real sense, but in the virtual sense. It refers to the volume of
17 the freshwater both consumed and affected by pollution for producing the product,
18 measured over its full production chain (Hoekstra et al., 2011). In water-stressed
19 regions, limited water resources should be used efficiently by not allocating the
20 majority of resources to the production of water-intensive products, and should be
21 made available for other economic purposes that can contribute more to the regional
22 value-added by consuming less water (Allan, 2002; Chapagain and Hoekstra, 2008).
23 Assessing the virtual water content (VWC) of products is the basis for developing
24 such water resource management practices.

25 Hoekstra and Hung (2002) estimated the VWC of crops for many countries of the
26 world. In their research, the crop VWC was determined through estimating the
27 accumulated crop evapotranspiration over the growing period, and the VWC was not
28 divided into subtypes. To better understand the VWC of crops, many scientists divide

1 the VWC into subtypes and calculate them separately. The calculation of green
2 (effective precipitation) and blue water (irrigation water withdrawn from ground or
3 surface water) was first proposed in the studies of crop VWC. Research has been
4 performed at global, national, provincial, and river basin scales. For example, Rost et
5 al. (2008) made a global estimate of agricultural green and blue water consumption.
6 Siebert and Döll (2010) computed the green and blue VWC of crops at a global scale,
7 and found the global average VWC of cereal crops was $1109 \text{ m}^3 \text{ t}^{-1}$ of green water and
8 $291 \text{ m}^3 \text{ t}^{-1}$ of blue water. Scientists have added the grey water to the VWC, defined as
9 freshwater that is required to assimilate the pollutant load based on natural
10 background concentrations and existing ambient water quality standards. Chapagain
11 et al. (2006) first calculated the grey water in VWC of crops, finding that the global
12 VWC of rice was an average of $1325 \text{ m}^3 \text{ t}^{-1}$ and, further, that grey water occupied
13 about 8% of the total VWC (Chapagain and Hoekstra, 2011). Mekonnen and Hoekstra
14 (2011a) quantified grey VWC of global crop productions for the period 1996–2005,
15 and found that green, blue, and grey water accounted for 78%, 12%, and 10% in the
16 total VWC of crops.

17 China is one of the world's 13 most water-poor countries (Yu et al., 2006). In 2012,
18 per capita use of water resources in China was only 2100 m^3 , less than 30% of the
19 world per capita consumption. Agriculture is the largest water user in China,
20 accounting for nearly 70% of total water withdrawals (Ministry of Water Resources,
21 2012). Studies on the VWC of crops in China are relatively limited. Liu et al. (2007)
22 through the perspective of crop water productivity estimated the virtual water use of
23 winter wheat. Sun et al. (2013a) used the crop water requirement to calculate the
24 China average VWC of wheat, maize and rice, and found the proportions of green and
25 blue water are 50.98% and 49.02%, 76.27% and 23.73%, 61.90% and 38.10%,
26 respectively. The VWC of rice was relatively low in the eastern part of Northeast
27 China, Middle–Lower Reaches of the Yangtze River and the eastern part of
28 Southwest China. In contrast, the high values of VWC for rice were located in the
29 west of Inner Mongolia and south of Xinjiang Uygur Autonomous Region. Sun et al.

1 (2013b) estimated the VWC of crops as $3.91 \text{ m}^3 \text{ kg}^{-1}$ in the Hetao irrigation district of
2 China. The percentage of blue water was relatively high (90.91%), while the share of
3 green water was small (9.09%). However, these studies all ignored the grey water.

4 Rice as a cereal grain is the most widely consumed staple food for a large part of the population,
5 especially in Asia. According to data from FAOSTAT, rice is the grain with the second-highest
6 worldwide production, after maize. China is the biggest rice-producing country in the world. In
7 2007, the planting area of rice is the second largest in China (about 29 million hectares) and makes
8 up 34% of the total planting area of grain crops. Rice production is the largest grain production in
9 China (around 186 million tons) and accounted for 41% of the total grain (Ministry of Agriculture
10 of the People's Republic of China, 2008). There is not any research on the total VWC of
11 rice and spatial distribution characteristics in China at provincial scale by the actual
12 total water use. China is well-known for its massive land. The VWC of rice is largely
13 different between regions. The VWC of rice of China should be assessed and the
14 spatial characteristics should be also analyzed.

15 Prior research on crop VWC determination provides a good framework for this work.
16 However, prior calculation frameworks of VWC of crops have some defects. Some
17 use the water requirement of crops instead of the actual water use, and others ignore
18 the freshwater affected by pollution during the crop production. Besides, in all
19 calculation frameworks the indirect water use of crops was also ignored. On the basis
20 of the previous frameworks, which mainly considered direct water use (blue, green,
21 and grey water); we also considered indirect water (the sum of the virtual water of all
22 products consumed in the process of rice planting). In this paper, we will calculate the
23 total VWC of rice for 29 Chinese provinces, autonomous regions, and municipalities
24 in 2007, including direct and indirect water use. Because of the lack of data, Tibet is
25 not taken into account; and no rice is planted in Qinghai, so Qinghai is not taken into
26 account, either. The spatial distribution characteristics of the VWC of rice also will be
27 analyzed.

28

1 2. Methodology and data

2 2.1 Methodology

3 To reflect water consumption during crop production, direct and indirect water were
4 taken into account. For the lack of data, we can't divide the $VWC_{indirect}$ into
5 $VWC_{indirect, green}$, $VWC_{indirect, blue}$ and $VWC_{indirect, grey}$.

$$6 VWC_{total} = VWC_{indirect} + VWC_{direct} = VWC_{indirect} + VWC_{direct, green} + VWC_{direct, blue} + VWC_{direct, grey} \quad (1)$$

7 where VWC_{total} is the total volume of freshwater both consumed and affected by
8 pollution during the crop production process ($m^3 kg^{-1}$); $VWC_{indirect}$ is the freshwater
9 both consumed and affected by pollution that can be associated with the production of
10 the goods and services or the inputs used during the crop production process ($m^3 kg^{-1}$);
11 VWC_{direct} is the freshwater both consumed and affected by pollution that is associated
12 to the direct water use during the crop production process ($m^3 kg^{-1}$); $VWC_{direct, green}$ is
13 the precipitation consumed in crop production process ($m^3 kg^{-1}$); $VWC_{direct, blue}$ is the
14 surface water or groundwater consumed in crop production process ($m^3 kg^{-1}$); and
15 $VWC_{direct, grey}$ is the freshwater required to assimilate the load of pollutants during the
16 crop production process ($m^3 kg^{-1}$).

17 2.1.1 Indirect water of crops

18 The Input-Output model represents the monetary trade of products and services
19 among different sectors of an economic system (Leontief, 1941), and is adopted to
20 calculate the indirect virtual water of crops supplied by each economic sector. The
21 calculations are as follows (Chen, 2000; Kanada, 2001; Zhao et al., 2009; Zhang et al.
22 2011):

23 (1) Direct consumption coefficient matrix

24 The input-output table is used because it reflects the contact of the material and
25 technical. This contact is reflected through the direct consumption coefficient.

$$26 A = |a_{ij}| = |x_{ij}/x_i| \quad (2)$$

1 A is the direct consumption coefficient matrix ($n \times n$ dimensional matrix) in the IO
 2 table; a_{ij} is the direct consumption coefficient, which means the monetary volume of
 3 products of sector i directly consumed by sector j when producing one unit product; x_{ij}
 4 is the monetary volume of products from sector j consumed by sector i in its
 5 production process (RMB); and x_i is the output of sector i (RMB).

6 (2) Complete consumption coefficient matrix

7 Compared with the direct consumption coefficient, the complete consumption
 8 coefficient can more accurately measure the direct and indirect costs (the sum of the
 9 direct and indirect costs is completely consumed) of products or services from other
 10 sectors.

$$11 \quad B = |b_{ij}| = (I - A)^{-1} - I \quad (3)$$

12 B is the complete consumption coefficient matrix ($n \times n$ dimensional matrix) in the IO
 13 table; b_{ij} is the complete consumption coefficient, which means the monetary volume
 14 of products of sector i direct and indirect consumed by sector j when producing one
 15 unit product; and I is a unit diagonal matrices.

16 (3) Water use coefficient

17 To account for indirect water, it is necessary to compute the water use coefficient of
 18 different sectors, which is the water needed to produce one monetary unit (RMB).

$$19 \quad DWC_i = w_i / x_i \quad (4)$$

20 where DWC_i is the direct water coefficient of sector i ($m^3 \text{RMB}^{-1}$) and w_i is the direct
 21 water consumption of sector i (m^3). DWC_i is the amount of direct water intake to
 22 produce one monetary unit of production.

23 (4) Indirect water of agriculture

24 Indirect water consumption of agriculture is the amount of total water input from
 25 other sectors.

$$26 \quad VW_{\text{indirect}}^a = \sum_{i=1}^n (DWC_i \times b_{ia}) \times C_a \quad (5)$$

1 where VW_{indirect}^a is the indirect water consumption of agriculture (m^3); b_{ia} is the
 2 complete consumption coefficient of sector i for agriculture; and C_a is the total
 3 consumption of agriculture (RMB).

4 (5) Indirect water consumption of a crop

5 VWC_{indirect}^i ($\text{m}^3 \text{kg}^{-1}$) is calculated according to the proportion of indirect water use
 6 of crop i in the total indirect water consumption of agriculture.

$$7 \quad VWC_{\text{indirect}}^i = \frac{VW_{\text{indirect}}^a \alpha_i}{SA_i \times Y} \quad (6)$$

8 where VWC_{indirect}^i is the indirect water consumption of crop i ($\text{m}^3 \text{kg}^{-1}$); α_i is the
 9 proportion of indirect water use of crop i in the total indirect water consumption.

10 Because of lack of data, we assume that the planting cost is proportional to the
 11 indirect water use. SA_i is the sown area of crop i (ha); and Y is the crop yield per unit
 12 area (kg ha^{-1}). Thus α_i can be calculated as follows:

$$13 \quad \alpha_i = \frac{PC_i \times SA_i}{\sum_{i=1}^n (PC_i \times SA_i)} \quad (7)$$

14 where PC_i is the planting cost of crop I per unit area (RMB ha^{-1}).

15 **2.1.2 Direct green water of crops**

16 Direct green water use is the lesser of potential crop evapotranspiration and effective
 17 precipitation. Effective precipitation is defined as the amount of precipitation that
 18 enters the soil and will be available in the soil for crop growth (Sun et al., 2013b).

$$19 \quad VWC_{\text{direct, green}} = \frac{10 \min(ET_c, P_e)}{y} \quad (8)$$

20 where ET_c is the crop evapotranspiration during the growing period (mm) and P_e is the
 21 effective precipitation over the crop growing period (mm), calculated by the
 22 CROPWAT model using monthly climatic data (mm) (Clarke, 1998; FAO, 2003).

23 Crop evaporation during the growing period is calculated as follows (Allen et al.,
 24 1998):

$$25 \quad ET_c = ET_o \times k_c \quad (9)$$

1 where k_c is the crop coefficient, reflecting the differences in physical and
 2 physiological factors between the actual and reference crops and ET_o is the soil
 3 evaporation of the reference underlying surface (mm d^{-1}), calculated by the FAO
 4 Penman-Monteith formula (Allen et al., 1998),

5 **2.1.3 Direct blue water of crops**

6 The direct blue water of a crop is calculated using the irrigation water consumption, I_c .
 7 The irrigation water consumption is the net artificial application of water use by crops,
 8 which not includes the irrigation water losses during the transport process from the
 9 water sources to cropland and the return flows of irrigation water. The direct blue
 10 water is calculated according to the proportion of irrigation water consumption of
 11 crop i in the total irrigation water consumption of the irrigation district (Sun, 2013b).

$$12 \quad \text{VWC}_{\text{direct, blue}}^i = I_c^i / Y = \frac{W_A \beta_i}{SA_i \times Y} \quad (10)$$

13 where I_c^i is the irrigation water consumption of crop i per unit area ($\text{m}^3 \text{ ha}^{-1}$); W_A is
 14 the irrigation water consumption of the irrigation district (m^3) and β_i is the proportion
 15 of irrigation water use of crop i in the total irrigation water consumption of the
 16 irrigation district. β_i can be calculated as follows:

$$17 \quad \beta_i = \frac{(ET_c^i - P_e^i) \times SA_i}{\sum_{i=1}^n [(ET_c^i - P_e^i) \times SA_i]} \quad (11)$$

18 where ET_c^i is the crop evapotranspiration of crop i during the growing period (mm);
 19 P_e^i is the effective precipitation over the crop i growing period (mm); and SA_i is the
 20 sown area of crop i (ha).

21 **2.1.4 Direct grey water of crops**

22 In this study we quantify direct grey water related to nitrogen use only. The direct
 23 grey water is calculated by multiplying the fraction of nitrogen that leaches or runs off
 24 by the nitrogen application rate and dividing this by the difference between the
 25 maximum acceptable concentrations of nitrogen and the natural concentration of
 26 nitrogen in the receiving water body and by the actual crop yield (Mekonnen and

1 Hoekstra, 2011a). Because of lack of data, the natural nitrogen concentrations were
2 assumed to be 0. On average, 10% of the applied nitrogen fertilizer is lost through
3 leaching (Chapagain et al., 2006). The maximum value of nitrate in surface and
4 ground water recommended by the United States Environmental Protection Agency is
5 10 mg L⁻¹ (Chapagain et al., 2006).

$$6 \text{ VWC}_{\text{direct, grey}} = \frac{N_c \times 10\%}{10 - N_n} / Y \quad (12)$$

7 where N_c is the amount of nitrogen fertilizer consumption per hectare (g ha⁻¹) and N_n
8 is the natural concentration of nitrogen in the receiving water body (mg L⁻¹).

9 **2.2 Data**

10 The 2007 climate data for 29 regions, including monthly average maximum
11 temperature, monthly average minimum temperature, relative humidity, wind speed,
12 precipitation, and sunshine hours, are taken from the National Climatic Center (NCC)
13 of the China Meteorological Administration (CMA). The agricultural data, including
14 crop yield and sown area, are taken from the China Agricultural Yearbook (Ministry
15 of Agriculture of the People's Republic of China, 2008). The average amount of
16 nitrogen fertilizer of rice per unit area is taken from Li et al. (2010), Zhang et al.
17 (2008) and Zhang et al. (2009). The irrigation water consumption for the 29 regions is
18 taken from the Water Resources Bulletins (2007) of 29 regions. Water data for various
19 sectors in the regions are from the Statistical Yearbooks (2008) of 29 regions. The IO
20 data for the 29 regions come from the official IO tables (2007) of 29 regions.

21

22 **3. Results**

23 **3.1 Indirect water of rice**

24 The $\text{VWC}_{\text{indirect}}$ of rice varied between 0.001 m³ kg⁻¹ and 0.010 m³ kg⁻¹. The average
25 $\text{VWC}_{\text{indirect}}$ was 0.004 m³ kg⁻¹. The regions with the same line had the same
26 distribution characteristic of $\text{VWC}_{\text{indirect}}$ of rice in Fig. 1. The input to agriculture in

1 relatively underdeveloped regions was relatively small; relatively developed regions
2 invest more money in agriculture. Northwest and Southwest China are relatively
3 underdeveloped with relatively low VWC_{indirect} of rice. Beijing, Guangdong, and
4 Shanghai are the three most developed regions and had the highest VWC_{indirect} of rice
5 in 2007 (Fig. 1). We found that VWC_{indirect} of rice is directly related to the degree of
6 regional economic development.

7 Of all sectors, the sector of forestry, animal husbandry, and fishery contributed the
8 most VWC_{indirect} of rice, accounting for 52.2%. The electricity and heat sector
9 contributed 21.6% and the chemical industry sector contributed 9.6%. The rest of the
10 sectors contributed less.

11 **3.2 Direct green water of rice**

12 The regional differences in $VWC_{\text{direct, green}}$ for rice were significant, owing to
13 differences in climatic conditions and crop yields. The $VWC_{\text{direct, green}}$ of rice for 29
14 regions in 2007 ranged from $0.10 \text{ m}^3 \text{ kg}^{-1}$ to $0.90 \text{ m}^3 \text{ kg}^{-1}$. The average $VWC_{\text{direct, green}}$
15 of rice was $0.59 \text{ m}^3 \text{ kg}^{-1}$. $VWC_{\text{direct, green}}$ of rice was increased gradually from northern
16 to southern regions (Fig. 2). The regional variability of $VWC_{\text{direct, green}}$ of rice was in
17 accordance with the distribution of precipitation in China. The regions with abundant
18 precipitation usually have high $VWC_{\text{direct, green}}$ of rice. Precipitation in southern regions
19 of China is far greater than that in northern regions of China. Consequently, the
20 $VWC_{\text{direct, green}}$ of rice in southern regions would be higher than that in northern
21 regions.

22 The regions with higher $VWC_{\text{direct, green}}$ values were concentrated in the Southeast
23 China and Southwest China (Fig. 2). The high $VWC_{\text{direct, green}}$ in these regions is a
24 result of high ratio between effective precipitation and rice yield. The regions in the
25 Southeast China and Southwest China had relatively low rice yields, much lower than
26 the national average, and relatively high effective precipitation, more than 400mm.
27 For example, the $VWC_{\text{direct, green}}$ of rice was more than $0.80 \text{ m}^3 \text{ kg}^{-1}$ in Hainan
28 (Southeast China), Guangxi (Southwest China), and Yunnan (Southwest China). The

1 $VWC_{\text{direct, green}}$ of rice in Guizhou (Southwest China) was also relatively high, more
2 than $0.80 \text{ m}^3 \text{ kg}^{-1}$. This is mainly because the effective precipitation over the growing
3 period of rice in this region was more than 590 mm, although rice yield in this region
4 was higher than the national average.

5 The $VWC_{\text{direct, green}}$ of rice in Northwest China and Inner Mongolia were relatively low
6 (Fig. 2). Because of high yields and low effective precipitation. Xinjiang (Northwest
7 China) had the lowest $VWC_{\text{direct, green}}$ ($0.10 \text{ m}^3 \text{ kg}^{-1}$) because the rice yield in Xinjiang
8 was 1.32 times higher than the national average and the effective precipitation over
9 the growing period was small, less than 90 mm.

10 **3.3 Direct blue water of rice**

11 The differences in blue water requirements, actual irrigation water consumption, and
12 rice yields resulted in significantly different $VWC_{\text{direct, blue}}$ values between regions. The
13 $VWC_{\text{direct, blue}}$ values ranged from $0.07 \text{ m}^3 \text{ kg}^{-1}$ to $1.65 \text{ m}^3 \text{ kg}^{-1}$, and the average was
14 $0.42 \text{ m}^3 \text{ kg}^{-1}$. The regions with higher $VWC_{\text{direct, blue}}$ of rice were mainly concentrated
15 in the eastern and southern coastal regions and in Northwest China (Fig. 3). The
16 $VWC_{\text{direct, blue}}$ of rice was high in the municipalities of Beijing, Tianjin, and Shanghai;
17 more than $0.83 \text{ m}^3 \text{ kg}^{-1}$. These three municipalities have developed economies with
18 relatively more developed agricultural irrigation system, so irrigation water
19 consumption was relatively larger than that of other regions. Regions in Northwest
20 China had higher $VWC_{\text{direct, blue}}$ values, perhaps because the effective precipitation in
21 these regions was limited, making it necessary to increase the irrigation water supply
22 for crops.

23 The $VWC_{\text{direct, blue}}$ values for rice in Southwest China were relatively low (Fig. 3). The
24 $VWC_{\text{direct, blue}}$ values in Chongqing, Guizhou, Sichuan, and Yunnan were less than 0.16
25 $\text{m}^3 \text{ kg}^{-1}$ because in these four regions the effective precipitation can almost meet the
26 water requirements of rice, so the actual irrigation water consumption was limited.
27 Jilin, Shandong and Henan were the other three regions with relatively low $VWC_{\text{direct,}}$
28 blue of rice. Limited irrigation water consumption in the three regions might only meet

1 less than 25% of the irrigation requirement of rice. Therefore, the $VWC_{\text{direct, blue}}$ of rice
2 in Jilin, Shandong and Henan was relatively low.

3 **3.4 Direct grey water of rice**

4 The $VWC_{\text{direct, grey}}$ values of rice ranged from $0.21 \text{ m}^3 \text{ kg}^{-1}$ to $0.64 \text{ m}^3 \text{ kg}^{-1}$ with an
5 average $0.37 \text{ m}^3 \text{ kg}^{-1}$. Regional differences in $VWC_{\text{direct, grey}}$ for rice were insignificant
6 (Fig. 4). Because nitrogen use is similar between regions, $VWC_{\text{direct, grey}}$ mainly
7 depends on rice yield. The rice yield of regions in Northeast China and Northwest
8 China were relatively high, making the $VWC_{\text{direct, grey}}$ of rice in Northeast China and
9 Northwest China relatively low. The rice yield of Shandong, Henan and Chongqing
10 was much higher than the national average. That made the $VWC_{\text{direct, grey}}$ of rice in the
11 three regions also relatively low. The highest $VWC_{\text{direct, grey}}$ values for rice were in
12 Shanxi and Guangxi, because the rice yields of Shanxi and Guangxi in 2007 were the
13 two lowest of all the regions studied.

14 **3.5 Total VWC of rice**

15 The VWC_{total} values of rice for 29 regions are calculated and shown in Fig. 5. There
16 were large differences in VWC_{total} between regions, with values ranging from 0.80 m^3
17 kg^{-1} to $2.59 \text{ m}^3 \text{ kg}^{-1}$. The average VWC_{total} of rice was $1.39 \text{ m}^3 \text{ kg}^{-1}$. The VWC_{total}
18 values showed a roughly three-tiered distribution, gradually decreasing from southeast
19 to northwest of China. The VWC_{total} values in eastern coastal China, Southeast China,
20 Beijing, and Tianjin were relatively high. The regions with lower VWC_{total} values
21 were mainly concentrated in the Northeast China and Northwest China.

22 Our result is large different to the result from Sun et al. (2013a). Follow their
23 calculation the VWC of rice was relatively low in the eastern part of Northeast China,
24 Middle–Lower Reaches of the Yangtze River and the eastern part of Southwest China.
25 In contrast, the high values of VWC for rice were located in the west of Inner
26 Mongolia and south of Xinjiang Uygur Autonomous Region. Their calculation
27 framework only considered the crop water requirement. However, our calculation

1 framework considers the effective precipitation and crop evapotranspiration, irrigation
2 water consumption, freshwater that is required to assimilate the load of pollutants and
3 the indirect water use. The difference of the $VWC_{\text{direct, blue}}$ and the added $VWC_{\text{direct, grey}}$
4 of rice caused the large difference of spatial distribution characteristic between actual
5 VWC_{total} of rice and the rice water requirement. Our result can be better to describe
6 the spatial distribution characteristic of actual water use of rice in China.

7

8 **4. Discussion**

9 **4.1 Comparison of calculations of total VWC of rice by different** 10 **frameworks**

11 Here, we compare four frameworks for total VWC determinations (Tab. 1). (1) In the
12 crop water requirement (CWR) calculation framework, the total virtual water content
13 of crops is divided into green and blue water. Green water use is the lesser of the
14 potential crop evapotranspiration and the effective precipitation. Blue water use is the
15 irrigation water requirement, which is the potential crop evapotranspiration minus
16 green water use (Siebert and Döll, 2010; Sun et al., 2013a). (2) In the green, blue and
17 grey water (GBG) calculation framework, the total virtual water content of crops is
18 divided into green, blue, and grey water. The calculation methods for green and blue
19 water are the same as in the CWR framework. Grey water is calculated by multiplying
20 the fraction of nitrogen that leaches or runs off by the nitrogen application rate and
21 dividing this by the difference between the maximum acceptable concentration of
22 nitrogen and the natural concentration of nitrogen in the receiving water body
23 (Chapagain et al., 2006; Bulsink, 2010; Mekonnen and Hoekstra 2011b). (3) In Sun's
24 framework, the total virtual water content of crops is also divided into green and blue
25 water. Blue water is calculated according to actual irrigation water consumption (Sun
26 et al., 2013b). (4) In our framework, the total virtual water content of crops is divided
27 into direct green, direct blue, direct grey and indirect water. The calculations for green
28 and grey water are the same as in the GBG framework and the calculation method for

1 blue water is the same as in Sun's framework. As described previously, we add
2 indirect water to the total VWC of crops. However, in our calculation framework of
3 VWC of crops, we made some assumptions and simplifications in the calculation of
4 VWC_{indirect} , $VWC_{\text{direct, blue}}$ and $VWC_{\text{direct, grey}}$, which makes the uncertainty of the
5 results. The uncertainty of the results cannot be completely eliminated. We could only
6 make better assumptions and simplifications and use more accurate data to make our
7 results more accurate.

8 The VWC of rice of Heilongjiang province in 2007 is used as an example to compare
9 results among the four different frameworks. As shown in Tab.2, VWC_{total} calculated
10 by our framework is $0.97\text{m}^3 \text{kg}^{-1}$, which accounts for 66.9%, 57.7% and 133.8% of
11 the VWC_{total} of rice under the CWR framework, GBG framework, and Sun's
12 framework, respectively. The VWC_{total} calculated by our framework is lower than the
13 VWC_{total} calculated by CWR framework and GBG framework. Because the $VWC_{\text{direct, blue}}$
14 is calculated by the crop irrigation water requirement under CWR framework and
15 GBG framework and calculated by the actual irrigation consumption under our
16 framework. According to the calculation in our method, the actual irrigation
17 consumption can't meet the irrigation water requirement of rice in Heilongjiang. The
18 $VWC_{\text{direct, blue}}$ value calculated from the actual irrigation consumption under our
19 framework is much lower than the $VWC_{\text{direct, blue}}$ values calculated under the CWR and
20 GBG frameworks. Adding the $VWC_{\text{direct, grey}}$ value resulted in the VWC_{total} calculated
21 by our framework being larger than the VWC_{total} calculated by Sun's framework. The
22 contribution of $VWC_{\text{direct, grey}}$ is very important, accounting for 22.9% of the VWC_{total}
23 of rice under our framework, and cannot be ignored. The contribution of VWC_{indirect} as
24 calculated under our framework is limited and has little effect on the VWC_{total} of rice
25 in Heilongjiang.

26 **4.2 Analysis of VWC structures of rice in China**

27 Regional differences in VWC_{total} values for rice were significant, as were regional
28 differences in the structure of the VWC_{total} of rice. The average VWC_{total} of rice in

1 China was $1.39 \text{ m}^3 \text{ kg}^{-1}$. The percentages of $\text{VWC}_{\text{direct, green}}$, $\text{VWC}_{\text{direct, blue}}$, $\text{VWC}_{\text{direct, grey}}$, and $\text{VWC}_{\text{indirect}}$ in the $\text{VWC}_{\text{total}}$ for rice in China were 43.8%, 28.2%, 27.6%, and 0.4%, respectively.

4 Generally speaking, the regions in China mostly had the higher $\text{VWC}_{\text{direct, green}}$ of rice and the lower $\text{VWC}_{\text{direct, blue}}$ of rice. For example, in Chongqing the percentage of $\text{VWC}_{\text{direct, green}}$ was 67.6% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 6.6%; in Sichuan the percentage of $\text{VWC}_{\text{direct, green}}$ was 53.7% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 12.4%. Six regions were the exceptions which had the lower $\text{VWC}_{\text{direct, green}}$ of rice and the higher $\text{VWC}_{\text{direct, blue}}$ of rice. In Beijing the percentage of $\text{VWC}_{\text{direct, green}}$ was 19.8% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 63.2%; in Tianjin the percentage of $\text{VWC}_{\text{direct, green}}$ was 14.7% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 69.1%; in Fujian the percentage of $\text{VWC}_{\text{direct, green}}$ was 29.9% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 49.7%; in Gansu the percentage of $\text{VWC}_{\text{direct, green}}$ was 33.5% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 37.9%; in Ningxia the percentage of $\text{VWC}_{\text{direct, green}}$ was 24.9% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 48.1%; and in Xinjiang the percentage of $\text{VWC}_{\text{direct, green}}$ was 12.1% and the percentage of $\text{VWC}_{\text{direct, blue}}$ was 61.6%. There were two reasons for the different situation of the six regions. Excessive consumption of irrigation water caused the lower percentage of $\text{VWC}_{\text{direct, green}}$ of rice and the higher percentage of $\text{VWC}_{\text{direct, blue}}$ of rice in Beijing, Tianjin and Fujian. Limited precipitation caused the lower percentage of $\text{VWC}_{\text{direct, green}}$ of rice and the higher percentage of $\text{VWC}_{\text{direct, blue}}$ of rice in Xinjiang, Ningxia and Gansu. For the country as a whole, the proportion of $\text{VWC}_{\text{direct, green}}$ of rice (43.8%) was larger than the $\text{VWC}_{\text{direct, blue}}$ of rice (28.2%). Rice growth mainly depend on the $\text{VWC}_{\text{direct, green}}$ in China.

24 Regional differences in $\text{VWC}_{\text{direct, grey}}$ values were insignificant. This shows that the grey water in all regions plays an important role in $\text{VWC}_{\text{total}}$. Agricultural pollution is an important issue in every region that cannot be ignored. Because the direct grey water estimated only considers chemical fertilizer pollution, and not the effect of pesticides and herbicides on water quality, the result of this estimation is a conservative estimate.

1 The values VWC_{indirect} in the VWC_{total} of rice for the 29 regions were comparatively
2 less, ranging from 0.001 to $0.010\text{m}^3\text{ kg}^{-1}$. The average VWC_{indirect} of rice was 0.004
3 $\text{m}^3\text{ kg}^{-1}$. VWC_{indirect} of rice is related to the degree of regional economic development.
4 The region with the highest VWC_{indirect} value was Beijing and the region with the
5 lowest value was Ningxia. Because of the small contribution of VWC_{indirect} ,
6 VWC_{indirect} may not be considered in future research on the VWC of rice. However
7 the VWC_{indirect} is expected to be higher in some agricultural products including
8 potatoes, cotton and fruits. VWC_{indirect} should be included in the VWC_{total} . For
9 example, the proportion of VWC_{indirect} in the VWC_{total} of strawberry in 27 regions of
10 China in 2007 ranged from 0.8% to 38.0%, with an average of 10.8%. The proportion
11 of VWC_{indirect} of strawberry is higher in the VWC_{total} . Therefore we cannot ignore the
12 VWC_{indirect} in the calculation of VWC_{total} of some crops.

13 Assessing the VWC of crops is an important way to provide the basis for agricultural
14 water resources management, and help to improve the efficiency of agricultural water
15 use. Overall pressure on water resources might be relieved if we locate
16 water-intensive production processes in regions where water is abundant and where it
17 requires less VWC of product. China is well-known for its massive land. The VWC of
18 rice is largely different between regions. The different rice planting structure between
19 regions of China may cause a largely difference in agriculture water use. The VWC of
20 rice in China should be assessed and the spatial characteristics should be also
21 analyzed.

22

23 **5. Conclusions**

24 Faced with increasingly severe pressure on water resources, virtual water theory
25 provides a feasible solution to improve global water use efficiency. Research on the
26 VWC of crops can provide the basis for agricultural water resources management and
27 help to improve the efficiency of agricultural water use. Rice is the most important
28 food crop in China and also one of the largest water consumers, so it is important to
29 study the total VWC of rice in China. Previous research constructed calculation

1 frameworks using direct green water, direct blue water, and direct grey water of crops.
2 Building on that previous research, we also considered the indirect water of VWC. In
3 this paper, we calculated the virtual water content of rice for 29 regions of China in
4 2007. The following conclusions were reached.

5 1. Analysis showed that the total VWC of rice in China decreased gradually
6 from southeast to northwest.

7 2. The regions with high indirect water were randomly distributed. The indirect
8 VWC of rice in Northwest China and Southwest China was relatively low.

9 3. The regions with higher direct green water were mainly concentrated in the
10 Southeast China and Southwest China. The direct green water of rice for
11 Northwest China and Inner Mongolia was relatively low. The regions with
12 higher direct blue water of rice were mainly concentrated in the eastern and
13 southern coastal regions of China and in Northwest China. The direct blue
14 water of rice of Southwest China was relatively low. In the country as a
15 whole, the percentage of direct green water of rice was far above that of
16 direct blue water. Therefore, rice growth is mainly dependent on direct green
17 water in China.

18 4. The direct grey water of rice in Northeast China and Northwest China was
19 relatively low. But in all regions, grey water occupies a very important
20 position in total VWC.

21 **Acknowledgments**

22 We thank the National Science Foundation for Innovative Research Group (No.
23 51121003), the International Science & Technology Cooperation Program of China
24 (No. 2011DFA72420), the National Basic Research Program of China (No. 51309012)
25 for their financial support.

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1 Table 1. Comparison of VWC calculating frameworks

VWC Method	$VWC_{direct, green}$	$VWC_{direct, blue}$	$VWC_{direct, grey}$	$VWC_{indirect}$
Our method	$10\min(ET_c, P_e)/Y$	$VWC_{direct, blue} = I_c/Y = \frac{W_A \alpha_i}{A_i}/Y$	$VWC_{direct, grey} = \frac{N_c \times 10\%}{10 - N_n}/Y$	$VWC_{indirect} = \frac{VW_{blue, indirect}^a \beta_i}{A_i}/Y$
Sun's method	$10\min(ET_c, P_e)/Y$	$VWC_{direct, blue} = I_c/Y = \frac{W_A \alpha_i}{A_i}/Y$	—	—
GBG method	$10\min(ET_c, P_e)/Y$	$10\max(0, ET_c - P_e)/Y$	$VWC_{direct, grey} = \frac{N_c \times 10\%}{10 - N_n}/Y$	—
CWR method	$10\min(ET_c, P_e)/Y$	$10\max(0, ET_c - P_e)/Y$	—	—

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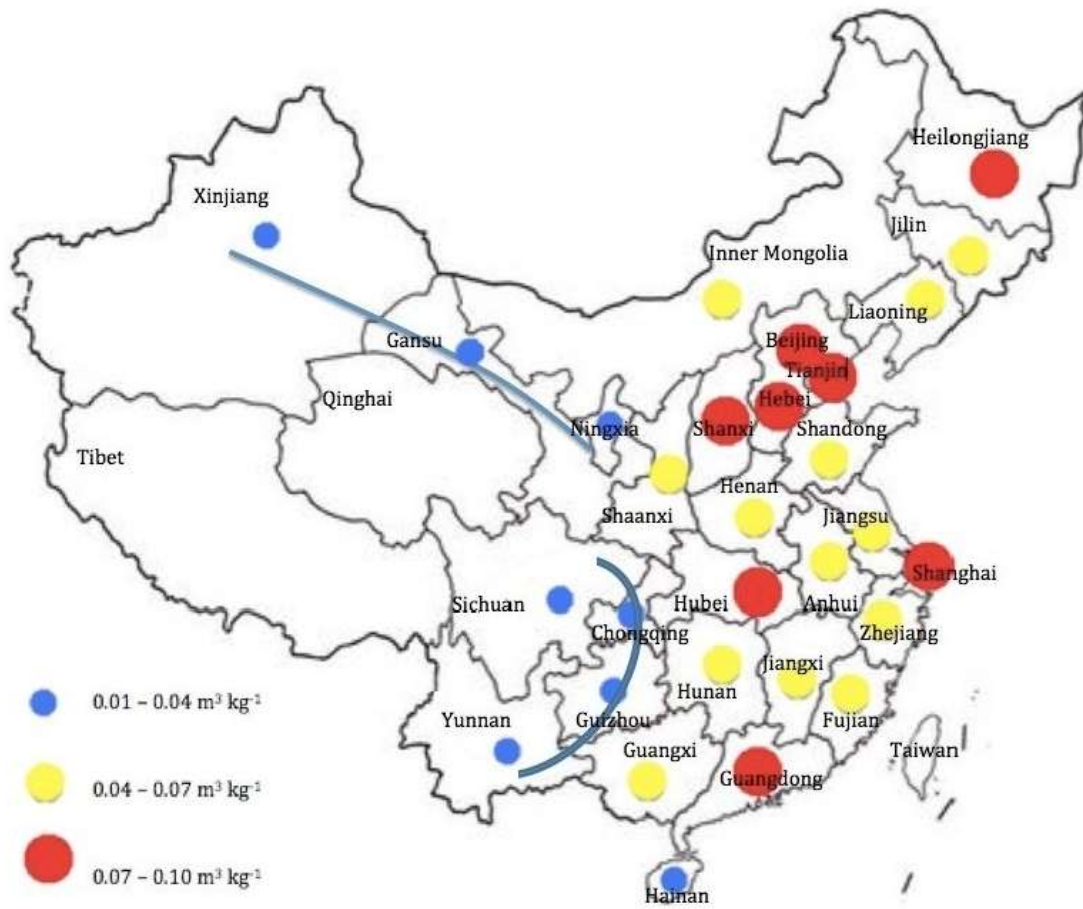
1 Table 2 The volume ($\text{m}^3 \text{kg}^{-1}$) and proportion (%) of VWC of rice in Heilongjiang Province by four different frameworks

Method \ VWC	$VWC_{direct, green}$	$VWC_{direct, blue}$	$VWC_{direct, grey}$	$VWC_{indirect}$	VWC_{total}
	Our method	0.45 (46.0)	0.29 (30.2)	0.22 (22.9)	0.01 (0.9)
Sun's method	0.45 (60.5)	0.29 (39.5)	—	—	0.74
GBG method	0.45 (26.6)	1.01 (60.1)	0.22 (13.3)	—	1.68
CWR method	0.45 (30.7)	1.01 (69.3)	—	—	1.45

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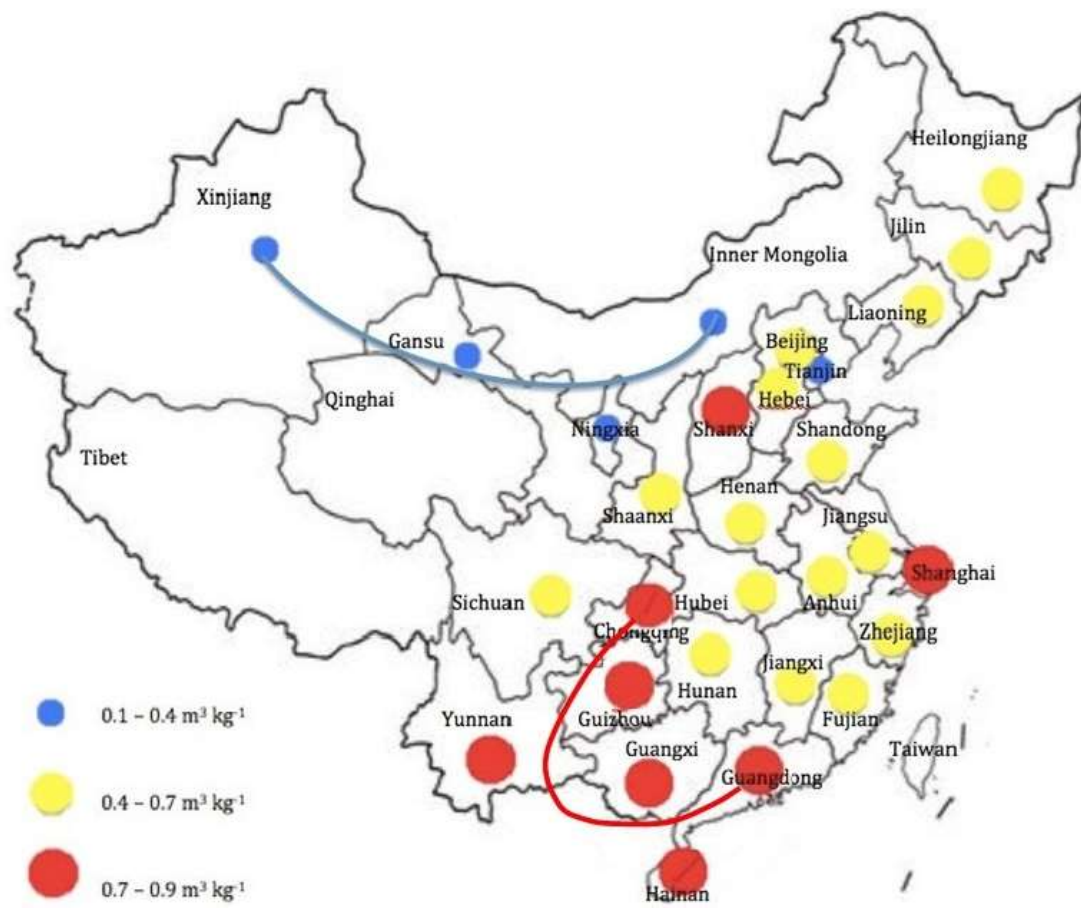


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4 Figure 1. Indirect water of rice ($m^3 kg^{-1}$)

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- 5

Figure 2. Direct green water of rice (m³ kg⁻¹)

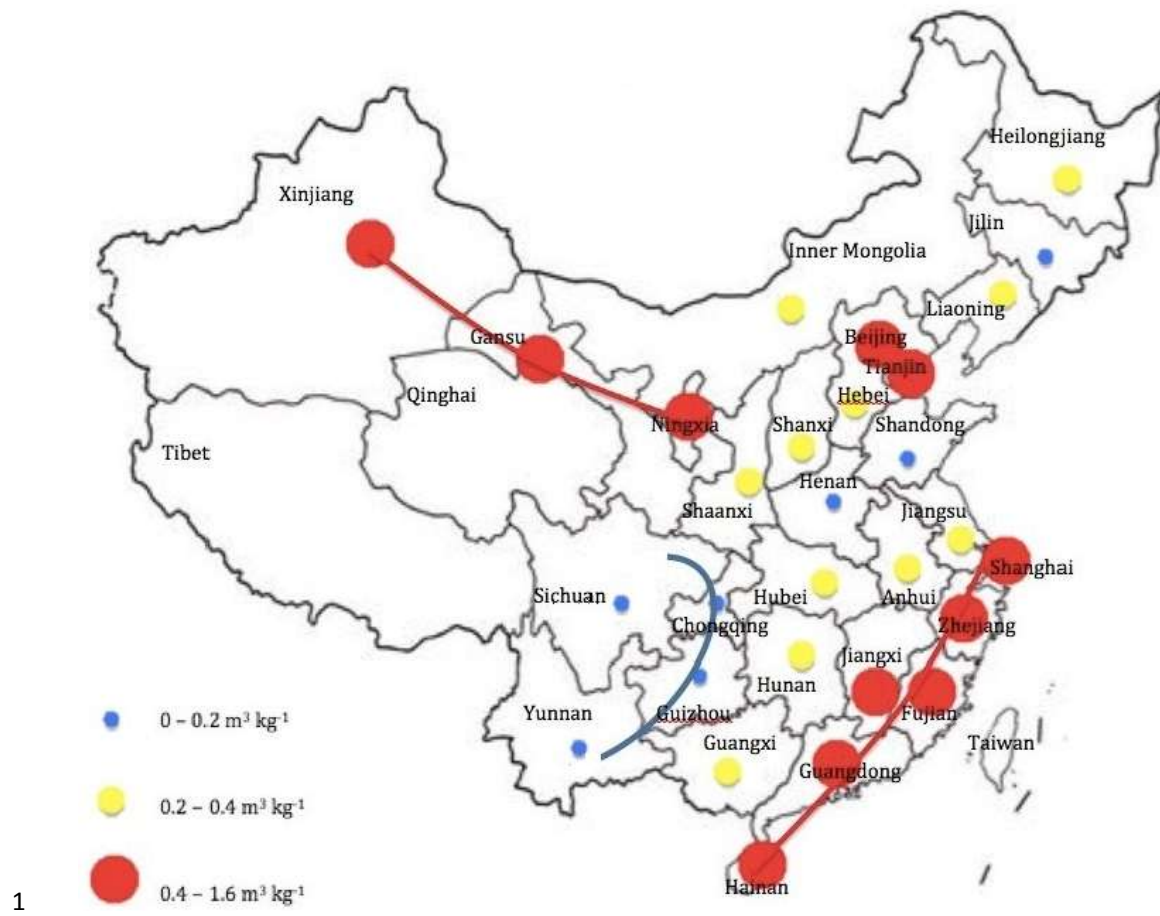
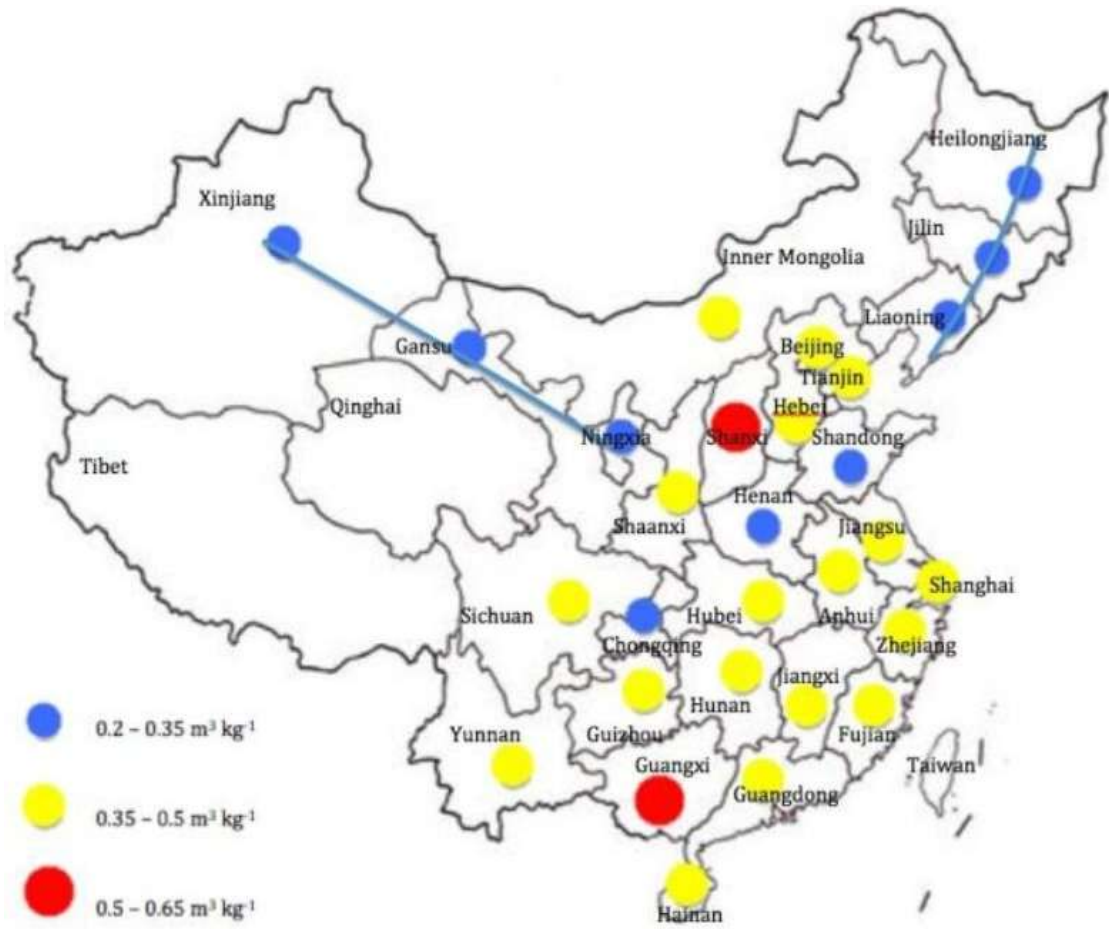


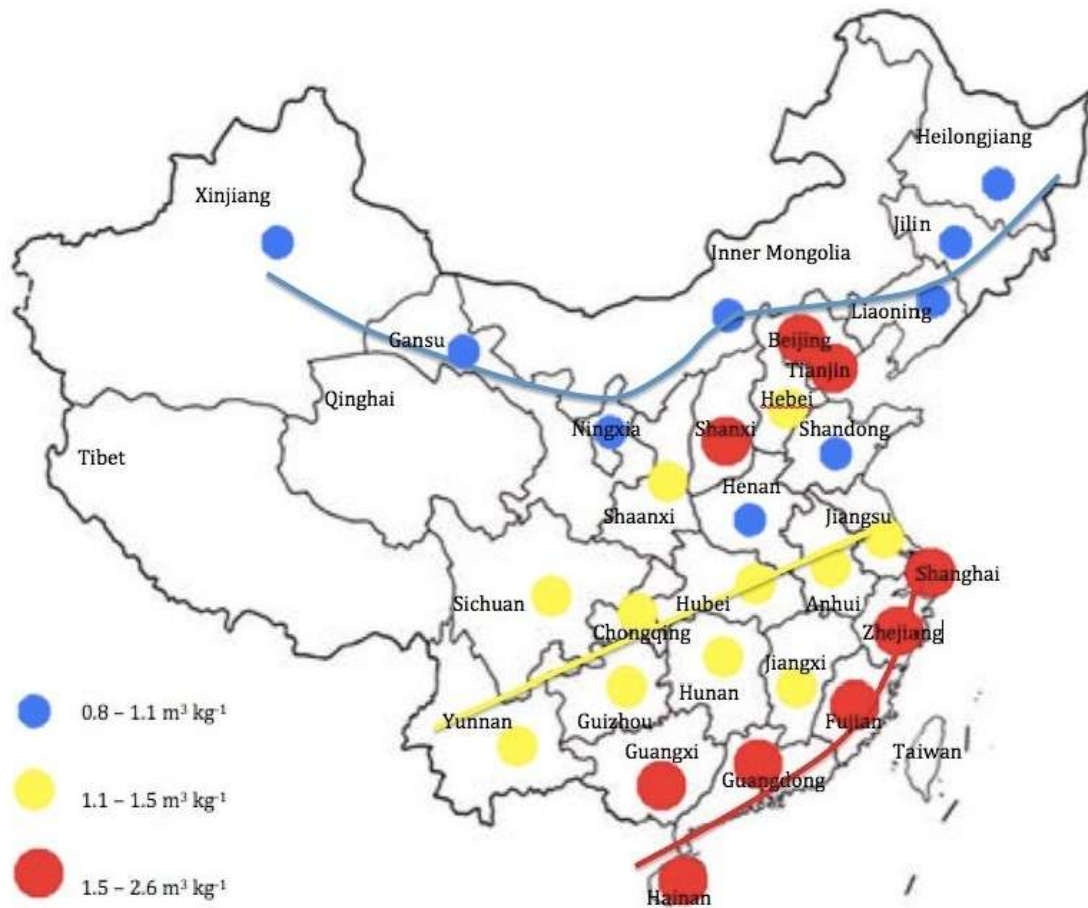
Figure 3. Direct blue water of rice (m³ kg⁻¹)

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Figure 4. Direct grey water of rice (m³ kg⁻¹)



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3 Figure 5. Total VWC of rice (m³ kg⁻¹)