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6	HIGH MACNITUDE ELOODING ACDOSS DDITAIN SINCE AD 1750
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### 27 Abstract

28 The last decade has witnessed severe flooding across much of the globe, but have these floods really been exceptional? Globally, relatively few instrumental river flow series extend beyond 50 years, 29 30 with short records presenting significant challenges in determining flood risk from high-magnitude 31 floods. A perceived increase in extreme floods in recent years has decreased public confidence in 32 conventional flood risk estimates; the results affect society (insurance costs), individuals (personal 33 vulnerability) and companies (e.g. water resource managers). Here we show how historical records 34 from Britain have improved understanding of high magnitude floods, by examining past spatial and 35 temporal variability. The findings identify that whilst recent floods are notable, several comparable periods of increased flooding are identifiable historically, with periods of greater frequency (flood-36 37 rich periods). Statistically significant relationships between the British flood index, the Atlantic 38 Meridional Oscillation and the North Atlantic Oscillation Index are identified. The use of historical 39 records identifies that the largest floods often transcend single catchments affecting regions and that 40 the current flood rich period is not unprecedented. 41

42 Keywords: flood, historic, flood-rich, spatial and temporal variability, Atlantic Meridional
43 Oscillation, North Atlantic Oscillation, Britain

## 45 1 INTRODUCTION

One of the greatest challenges presently facing river basin managers is the dearth of reliable long-46 47 term data on the frequency and severity of extreme floods, with an average gauged record length of 48 ~40 years in the UK (Marsh and Lees, 2003). Historical accounts represent a precious resource when 49 considering the frequency and risks associated with high-magnitude low-frequency floods (Williams 50 and Archer 2002). Historical flood records are found in a variety of forms, directly or indirectly 51 chronicling historic floods (Brázdil et al., 2005); sources include, documentary accounts e.g. journals, newspapers, diaries (McEwen, 1987; Brázdil et al., 2006; 2012); flood stones (markers indicating the 52 53 greatest spatial flood extent) and epigraphic markings (inscribed water levels on structures; see 54 Macdonald, 2007) for sites around the globe (Popper, 1951; Camuffo and Enzi, 1996; Brázdil 1998; 55 Demarée, 2006; Bürger et al., 2007). Historical accounts often contain important details including 56 incidence, magnitude, frequency (comparable to other historic events) and seasonality. Historic 57 centres often retain the most complete series of historical records, as the presence of literate 58 individuals associated with important monastic, trade and/or governmental functions provide detailed 59 flood accounts (Macdonald et al., 2006), an important aspect in the preservation of early materials. 60 This paper presents the first coherent large scale national analysis undertaken of historical flood 61 chronologies in Britain, providing an unparalleled network of sites (Fig. 1), permitting analysis of the 62 spatial and temporal distribution of high-magnitude flood patterns and the potential mechanisms driving periods of increased flooding at a national scale (Britain) since AD 1750. 63

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### 2 SERIES CONSTRUCTION

Site inclusion within this study is dependent on the availability of detailed historical accounts and the 66 67 presence of relatively long instrumental river flow/level series (>40 years in length). Historical 68 accounts were collated and augmented onto existing instrumental series, with historical flood levels 69 estimated based on documented descriptions (see Wetter et al., 2011), physical evidence or epigraphic 70 markings, providing estimates of flow (Herget and Meurs, 2010), with greater significance placed on 71 ranking event severity than on precise discharge estimation (Payrastre et al., 2011) (Fig. 2). Only those floods (historical and instrumental) exceeding the 90<sup>th</sup> percentile based on the instrumental 72 73 period are included (Fig. 2), thus ensuring only the largest events are considered, providing a 74 threshold of events comparable to those likely to have been recorded throughout the historical period. 75 The largest flood events are also unlikely to be significantly impacted by moderate anthropogenic 76 driven changes within catchments (Mudelsee et al., 2003; Macdonald and Black, 2010; Hall et al., 77 2015); where significant catchment/channel and floodplain (see Lewin, 2010) changes have occurred 78 (e.g. channel cross-section, land use, urbanisation, etc.), the impact, where possible, has been accounted for using available information (Elleder et al., 2013), with greater confidence in comparable catchment form for c.AD 1750-, compared to earlier periods (Macdonald et al., 2014). The data used within this paper focusses on single locations, as merging of historical data over whole catchments is fraught with difficulties (Böhm, et al., 2015), as such 'stable' sections of channel are selected, where possible, at sites with long detailed historical flood records.

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# **3** CATCHMENT CHARACTERISTICS

A brief summary of the catchment conditions and anthropogenic influence on each of the systems is
provided below, detailed in depth discussions of local histories and land-use practices are provided
in the cited papers.

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## 90 3.1 River Findhorn, Forres

91 The River Findhorn drains the Monadhliath Mountains in Central Scotland, with a predominantly 92 metamorphic bedrock, including granitic intrusions, extensive blanket peat coverage with agricultural 93 activities along the coastal strip and much heath and mountainous land, with limited anthropogenic 94 development within the catchment. Instrumental series are available at Shenachie (07001; 1960-) 95 and Forres (07002; 1958-), with an upstream catchment area of 782km<sup>2</sup>; the Findhorn has received considerable attention within a British context (NERC 1975; Newson 1975; Acreman 1989) as it 96 97 includes one of the best documented 'extreme' floods of the nineteenth century. Sir Thomas Dick 98 Lauder's 'An account of the great floods of August 1829 in the province of Moray and adjoining 99 districts (1830) provides a detailed eyewitness account of the floods and the destruction across the 100 region, with detailed information permitting the reconstruction of the flood. Throughout Lauder's 101 account he frequently comments on human modification of the landscape, partly attributing the 102 severity of the 1829 flood to agricultural improvement and drainage undertaken within the catchment 103 in the decades of the late eighteenth and early nineteenth centuries. Within the instrumental period the flood of 1970 is the largest, estimated at 2402 m<sup>3</sup>s<sup>-1</sup>, but subsequently reduced to 1113 m<sup>3</sup>s<sup>-1</sup> 104 following considerable reanalysis; with the 1829 flood estimated to be between 1500-1800 m<sup>3</sup>s<sup>-1</sup> 105 106 (McEwen and Werritty, 2007). The present river channel consists of a number of bedrock sections, 107 particularly within the upper catchment, with alluvial highly mobile sections within the lower 108 catchment susceptible to lateral avulsion, though McEwen and Werritty (2007) note limited migration 109 in most channel sections since the present channel was excavated during the 1829 flood. There are 110 no severe flood events recorded on the Findhorn between the flood of 1829 and the start of the 111 instrumental series in the 1950s from which reliable estimates can be derived, though it is notable

- 112 that several floods are described in the period between 1914 and 1924, though accurate estimates of 113 their discharge are not achievable from the available records.
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### 115 **3.2** River Tay, Perth

The River Tay has the largest mean discharge of any British river (165 m<sup>3</sup>s<sup>-1</sup>; Marsh and Lees, 2003) 116 117 with a mean annual flood of 990  $m^3 s^{-1}$ . Although relatively small by European standards, the Tay catchment is one of Britain's largest, draining 4690 km<sup>2</sup> of the Scottish Highlands, with several 118 mountain peaks >1000 mAOD (meters Above Ordnance Datum). Annual precipitation in excess of 119 120 3000 mm a<sup>-1</sup> in the western highlands is not uncommon as a result of high elevation and westerly situation (Roy, 1997); by contrast lowland sections of the catchment (around Perth) have an average 121 annual rainfall of ~700 mm a<sup>-1</sup> (Jones et al., 1997) and annual evapotranspiration losses of ~450 mm a<sup>-1</sup> 122 123 <sup>1</sup> (Harrison, 1997). The River Tay has six major tributaries: the Almond, Earn, Garry, Isla, Lyon and 124 Tummel, with a tidal limit near the Tay-Almond confluence approximately 4 km upstream of Perth. 125 The longest gauged flow record is at Caputh (15003; since 1947), despite being shorter, the Ballathie 126 (15006) record (1952-) includes flows from the River Isla tributary, ~6 km upstream of the city of 127 Perth and as such provides a better comparison to epigraphic flood levels in the city, most notably 128 those on Smeaton's Bridge (Macdonald et al., 2006). Generally, the catchment is characterised by 129 thin soils and impermeable bedrock with high runoff rates; while Lochs Tummel, Tay and Lyon 130 significantly reduce flooding by attenuating flood peaks. The development of major hydro-schemes in the Tay catchment completed in 1957 (Payne, 1988), incorporates 42.2% of the upper Tay 131 132 catchment area (Marsh and Lees, 2003). The development consists of two power schemes, i) the 133 Tummel-Garry scheme (1649 km<sup>2</sup>) to Pitlochry Dam (including inflows from a further 130 km<sup>2</sup> of the headwaters of the River Spey; Marsh and Lees, 2003); ii) to the south, the Breadalbane scheme 134 which controls a further 511 km<sup>2</sup> draining to Comrie Bridge, at the Tay-Lyon confluence. Four sets 135 136 of flood information are used at Perth for constructing the flood series: i) a gauged record since 1952 137 at Ballathie; ii) epigraphic makings on Smeaton's Bridge in central Perth (intermittent since 1814); 138 iii) a series of river level readings from the old waterworks in Perth (intermittent since 1877); and, 139 episodic documentary accounts which extend back to AD 1210 (Macdonald et al., 2006), though only 140 those after AD 1750 are included within this study. A rating curve constructed from peak flows at the Ballathie gauging station and sites in Perth enables estimated discharges to be assigned to historic 141 142 flood flows (Macdonald et al., 2006). The rich documentary sources reporting floods in Perth are compared to contemporary events within the augmented series with reference to relative extent in 143 144 relation to buildings or road junctions. For the purpose of this analysis it is assumed that the relationship between stage and discharge at the site of Smeaton's Bridge and Ballathie has not 145

changed over the intervening period; see Macdonald et al. (2006) and Werritty et al., (2006) for a
more detailed discussion of the flood history and flood series reconstruction, hydrological changes
and landscape change within the catchment.

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## 150 **3.3 River Tweed**

151 The River Tweed rises at Tweed's Well in the Lowther Hills flowing east through the Scottish 152 Boarders before entering Northumberland in Northeast England and flowing into the North Sea at Berwick-on-Tweed. The River Tweed consists of two principal rivers, the Tweed and Teviot draining 153 154 from the west and southwest respectively and the Whiteadder draining from the northwest entering 155 the Tweed c.3km upstream of Berwick. The reservoirs in the headwaters have limited impact on the 156 river discharges downstream, with ~30% lowland agriculture and ~70% upland given to moorland 157 and upland hill pasture (Marsh and Hannaford, 2008), with few urban centres, the exceptions being 158 the towns of Berwick-upon-Tweed, Coldstream and Kelso. The geology is of mixed bedrock, 159 predominantly impervious Palaeozoic formations with thick superficial deposits. Annual precipitation in c. 790mma<sup>-1</sup> (Marchmount House) (McEwen, 1989). 160

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162 There is a long well documented flood history for the town of Kelso, a historic strategically important 163 town on the English – Scottish boarder, which was held by both countries several times during various conflicts. The town was important commercially as a market town, with a number of historic 164 165 monastic centres nearby (e.g. Lindisfarne and Kelso Monastery), with the town located on one of the 166 main routes between London and Edinburgh for much of the period. The town of Berwick on the 167 coast contained the oldest bridging points on the Tweed, with the earliest recorded flood dating from 168 1199 resulting in the loss of the bridge and subsequent rebuilding costs, the second bridge was 169 destroyed by the English in 1216, with the third lost in 1294 again to a flooding (ICE, undated). The 170 first bridge at Kelso was built in 1754 and replaced a ferry, in October 1756 part of the bridge 171 collapsed during a flood killing six, the bridge was repaired but a storm in October 1797 lead to its 172 collapse, with a replacement bridge constructed in 1803 by the engineer John Rennie.

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Gauged river flow series for Sprouston (21021) and Norham (21009) exists from 1969- present and 1959-present respectively; with Sprouston located ~1km downstream of Kelso and Norham located ~9km upstream of the Whiteadder confluence draining from the north (~12km upstream of the coastal town of Berwick-upon-Tweed). Historical flood series have been produced by McEwen (1990) for the rivers Tweed, Teviot, Whiteadder and Leader (Tweed tributary) from 1750, with a longer series for the Tweed starting in AD218, but early records are of questionable reliability as the original

180 sources are often unknown. The long chronology produced by McEwen (1990) notes several flood 181 events prior to AD 1750, with major floods since at Kelso in ranked order (1) 1948, (2) 1831, (3) 1846 and 1881, (4) 1891, 1926 and 1982 (1452 m<sup>3</sup>s<sup>-1</sup>), (5) 1956, 1962 (1174 m<sup>3</sup>s<sup>-1</sup>, Norham) and 1977 182 183 (1269 m<sup>3</sup>s<sup>-1</sup>); discharge at Sprouston unless stated. Flood events since the publication of McEwen's 1990 study of a comparable magnitude (>1250 m<sup>3</sup>s<sup>-1</sup>) have occurred in 2002 (1444 m<sup>3</sup>s<sup>-1</sup>) and 2005 184 185 (1436 m<sup>3</sup>s<sup>-1</sup>), which appear of a comparable discharge to that of 1982 and as such are placed in the rank four category. Additional floods not identified by McEwen occurred in 22<sup>nd</sup> October 1756 and 186 26 October 1797, both of which led to the loss of the bridge, the former resulting in several lost lives 187 (Star, 26/10/1797). Based on the descriptive accounts and details provided in McEwen (1990) and 188 other sources, discharges for the historic events are presented as 1850 m<sup>3</sup>s<sup>-1</sup> for 1948 (rank 1); 1750 189 m<sup>3</sup>s<sup>-1</sup> for 1831 (rank 2); 1650 m<sup>3</sup>s<sup>-1</sup> for 1846 and 1881 (rank 3); 1450 m<sup>3</sup>s<sup>-1</sup> for 1891 and 1926 (rank 190 4) and 1250 m<sup>3</sup>s<sup>-1</sup> for 1956 (rank 5). For the floods of 1756 and 1797 an estimated discharge of 1450 191 m<sup>3</sup>s<sup>-1</sup> is used within the analysis, each event was worthy of description, with several attributed to the 192 193 loss of bridges, life or other notable structures and therefore are likely to be of equivalent or greater 194 than rank 4.

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#### 196 **3.4 River Tyne**

The River Tyne in Northeast England consists of two principal rivers, the South and North Tyne 197 198 rivers which join near the town of Hexham to form the River Tyne. The geology of the upper 199 catchment is characterised by Carboniferous Limestone and Millstone Grit, with a thick layer of 200 alluvial drift material covering the lower catchment, with land-use predominantly upland farming, 201 grassland and woodland with relatively little urban development. The North Tyne rises near the 202 Scottish boarder before flowing south east, the principal water body on the tributary is Kielder Water. 203 The construction of Kielder Water, the largest UK reservoir with the potential to hold 200B litres has 204 considerably altered flood discharges within the North Tyne since its completion in 1982, attenuating 205  $\sim$ 240km<sup>2</sup> (11%) of the catchment. Archer et al. (2007) identifies that the impact on flood discharges ranges from 114m<sup>3</sup>s<sup>-1</sup> at mean annual flood to 225m<sup>3</sup>s<sup>-1</sup> at the 20 year return period, and is liable to 206 207 increase with less frequent events. The South Tyne's source is on Alston Moor in Cumbria, before 208 flowing north-northeast through the Tyne Gap, there are no notable impoundment structures on the 209 river, before reaching its confluence with the North Tyne near Hexham.

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The River Tyne (~321km length and 3296km<sup>2</sup> catchment) has undergone extensive river channel modification over recent centuries as a result of gravel extraction (Rumsby and Macklin 1994), with Archer (1993) estimating approximately 4.5M tons having been extracted during the period 1890-

1970, from 15 sites along the rivers course. The level of gravel extraction has had a considerable 214 215 impact on the channel and bedform of the river within the lower reaches, altering the stage-discharge 216 relationships, as such the creation of a reliable long flood series is challenging. Extensive analysis of 217 available historical information was undertaken by Archer (1992) for his book Land of Singing 218 Waters, and subsequent book Tyne and Tide (Archer 2003). The discharge series for the gauging 219 station at Bywell is used (1956-), but earlier flows modified after Archer (2007) to account for gravel 220 extraction (1955-61) and the construction of Kielder Water. The gauge at Bywell was installed 221 following severe flooding in January 1955, with an estimated discharge of 1520m<sup>3</sup>s<sup>-1</sup> (Archer Pers. 222 Comm. 2005). Notable historical flood discharges on the Tyne have previously been estimated, particularly the 1815 (1700m<sup>3</sup>s<sup>-1</sup>) and 1771 (3900m<sup>3</sup>s<sup>-1</sup>) floods, with an uncertainty of c.20% (Archer, 223 224 1993), the latter being the most devastating flood event recorded, not just on the Tyne but regionally, 225 with many rivers losing bridges during this event (e.g. see Archer, 1987). The 1771 flood appears to 226 be the largest recorded, with 1815 ranked third, with the flood of 1339 ranked second. The 227 information available for the flood of 1339, is limited, though the Chronicle of Lanercost, 1272-1346 228 (translated by Maxwell, 1913) describes the event as:

"...on the third day before the feast of the Assumption of the Glorious Virgin [14th August]
a marvellous flood came down by night upon Newcastle-on-Tyne, which broke down the
town-wall at Walkenow for a distance of six perches, where 160 men, with seven priests and
others, were drowned".

233 Jervoise (1931) notes that a stone bridge built at Newcastle by the Newcastle Corporation and the 234 Bishop of Durham in AD1248 survived a flood when 90 years old (c. 1339), but suffered severe 235 flood damage with the loss of 120 lives and was eventually destroyed during the 1771 flood. The 236 severity of the floods of 1771 and 1815 led to the production of a book 'An account of the great floods in the rivers Tyne, Tees, Wear, Eden, &c. in 1771 and 1815' in 1818 by William Garret, 237 238 documenting the impacts of the floods across Northern England. A number of additional accounts 239 document floods between 1763 and the start of the gauged series in 1956, these include 1763, 240 1782, 1831, 1856, 1881, 1903, within this study these are estimated to have discharges between (1225-1375m<sup>3</sup>s<sup>-1</sup>), making them broadly comparable to the 2005 flood (1370 m<sup>3</sup>s<sup>-1</sup>) on the River 241 242 Tyne. As Archer (2007) notes when commenting on the 1955 and 2005 floods, it is conceivable 243 that the floods of 1763, 1782, 1831 and 1856 may have been greater, as the estimation of historical 244 discharges on the River Tyne are particularly challenging as a result of the uncertainties in estimation. The recent December 2015 flood on the Tyne is likely to be greatest since 1771, with 245 a level exceeding the 1815 event by 0.4m, but below that of 1771, with a provisional discharge of 246 approximately 1700m<sup>3</sup>s<sup>-1</sup> (Parry et al., 2016). 247

## **249 3.5 River Eden**

The River Eden in Northwest England, has a catchment area of c.2300km<sup>2</sup>, it flows north-northwest 250 251 direction for much of its course from its source at Black Fell Moss, Mallerstang, in the Yorskhire 252 Dales through to the Solway Firth. It has four principal tributaries, the Eamont, Irthing, Petteril and 253 Caldew. The Earmont drains the upland area of the eastern Lake District with a confluence with the 254 Eden near Penrith, followed by the Irthing tributary joining from the east-northeast c.10km upstream of Carlisle, with the River Petteril confluence c.1km upstream and the Caldew confluence adjacent 255 256 to the city of Carlisle. The catchments geology consists of Carboniferous Limestones to the east and impervious Lower Palaeozoics of the Lake District massif to the west, with extensive Permo-Triassic 257 258 sandstone within the Vale of Eden (Marsh and Hannaford, 2008). The land-use is predominantly 259 rural, with moorland and upland grazing at elevation and grasslands at lower elevations and limited 260 urban coverage except for the towns of Appleby, Penrith and the city of Carlisle. Precipitation can exceed 2000 mma<sup>-1</sup> at elevation in the Lake District, with an average precipitation at Carlisle of 261 787mma<sup>-1</sup> (Todd et al., 2015). 262

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264 Severe flood events have affected Carlisle in recent years (2015, 2005), with three people killed and 265 ~2700 properties affected in 2005. A rich detailed history of flooding exists for Carlisle, with a combination of existing reconstructions (Smith and Tobin, 1979; Macdonald 2006; Patterson and 266 267 Lane, 2012), a series of flood marks on Eden Bridge since 1822 and descriptive accounts from 268 multiple sources augment the instrumental series from Sheepmount gauging station (76007; 1967-), 269 a gauged series is also available from Warwick bridge from 1959, but this is upstream of the 270 confluence with the Irthing. The 2005 (1516m<sup>3</sup>s<sup>-1</sup>) flood event is recorded by the Environment Agency as one meter higher than the previous highest mark 1822, with the flood of 2015 (1680m<sup>3</sup>s<sup>-</sup> 271 272 <sup>1</sup>) 0.6m higher than 2005 (Environment Agency, 2016), the recent flood of December 2015 is provisionally estimated at approximately 1700m<sup>3</sup>s<sup>-1</sup> (Parry et al., 2016). Following the severe floods 273 274 of 1968, Smith and Tobin (1979) mapped the flood extent of all known flood events between 1800 275 and 1968, producing a ranked series of 49 major floods at Carlisle, of which 1822, 1856, 1925 and 276 1968 are the largest, these are all also marked on Eden Bridge. The flood of 1771, whilst notable does 277 not appear as extreme as witnessed in catchments on the eastern side of northern England, accounts 278 of bridges being lost over several of the principal tributaries are documented in Garret (1818), with 279 livestock lost at Hole Farm near Carlisle. Notable floods prior to 1771 include 1763 and 1767 280 (Chronology of British Hydrological Events, Black and Law, 2004); the snowmelt flood of 1767 is 281 documented in the weather accounts of the Bishop of Carlisle as discussed by Todd et al. (2015).

## 283 **3.6 River Ouse, York**

The Yorkshire Ouse located in northeast England has a catchment area of 3315 km<sup>2</sup> upstream of 284 285 Skelton, the site of the present gauging station (27009), on the northern outskirts of the city of York. 286 Upstream of the city the main tributaries of the River Ouse are the Rivers Swale, Ure and Nidd, 287 together draining much of the Northern Pennines. Precipitation totals vary throughout the catchment, ranging from in excess of 1800 mm a<sup>-1</sup> in upland areas to less than 600 mm a<sup>-1</sup> in the Vale of York 288 and adjacent lowland regions (Meteorological Office, 2002). The geology of the upper catchment is 289 290 characterised by Carboniferous Limestone and Millstone Grit, with a thick layer of alluvial drift 291 material covering the lower catchment in the Vale of York. Land use varies throughout the catchment, 292 with predominantly arable and pastoral farming in lowland areas (Dennis et al., 2003), with increasing 293 levels of grassland, rough grazing, heathland and moorland at higher altitudes. The influence of 294 drainage and particularly gripping in the Upper Pennines is unlikely to significantly influence 295 flooding in the lower catchment, as relatively small changes within the headwaters are aggregated out 296 by the time flood waters reach the lower catchment (Longfield and Macklin, 1999). The principal 297 flood generating mechanisms within the catchment during the instrumental period (1960s-present) 298 are persistent rainfall over a saturated catchment associated with westerly and cyclonic systems and 299 combined rainfall - snowmelt events (Macdonald, 2012). The tidal limit of the Yorkshire Ouse is 300 downstream of present day York.

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302 The historical flood record for the city of York is one of the most detailed in Britain (Macdonald and 303 Black, 2010). The instrumental series is unique in that it provides the longest continuous Annual 304 Maximum (AM) flow series in Britain, derived from river level data obtained from adjacent 305 stageboards (all within ~200 m) at Ouse Bridge (1877-1892), Guildhall (1893-1963) and the Viking 306 Hotel (from 1963), producing an augmented stage series. These stage records were coupled with data 307 from the gauging station at Skelton (27009; 1969-) to produce a rating curve, allowing a continuous 308 series of annual maxima flows to be produced from 1877- (Macdonald and Black, 2010). Based on 309 the analysis of historical documents, the channel cross section has remained stable throughout the 310 city reach during the last two hundred and fifty years, as the area is confined within a walled section 311 with occasional landings (see Rocque's map of 1750). The city of York has three main bridges, the 312 most recently constructed, Skeldergate Bridge (1882) and Lendel Bridge (1863) are both new bridge 313 sites; the Ouse Bridge which was reconstructed in 1821 and is the fifth bridge following Roman, Viking, medieval (destroyed during the flood of 1564) and 16<sup>th</sup> century bridges. The influence of the 314 historical bridges at high flow is difficult to estimate as little information remains (other than an 315

316 engraving of the fourth bridge of 1565-1810); whilst the impact of the contemporary bridges appears 317 minimal, as during the floods of 2000 some localised backing-up of flow at Ouse Bridge was 318 observed, with little impact on the overall water-levels upstream and downstream. Analysis of 319 epigraphic flood markings (inscribed markings, Macdonald, 2007) inside the basement of the old 320 Merchant Venturers' Hall in central York illustrates how the city has built up over the original 321 floodplain during the centuries. Although the ground level in York has been raised, analysis of 322 historical maps and documentary accounts show little evidence of change in base river level during 323 the historical period, though bathymetric surveys post large floods suggests that bed excavation of up 324 to 2m may occur at York, as seen post 1892 and 2000 floods (Macdonald, 2004). A detailed 325 discussion of the flood history and flood series reconstruction is provided by Macdonald and Black 326 (2010) and historical flood seasonality by Macdonald (2012).

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## 328 **3.7 River Dee**

329 The River Dee's source is in Snowdonia on the eastern slope of Dduallt (the Black Hill), the river then flows down to Llyn Tegid (Lake Bala), a natural lake with an area of 1.6km<sup>2</sup>, the largest natural 330 331 water body in the Dee catchment, before flowing eastwards through a broad valley and the Vale of 332 Llangollen, meandering northwards (Gurnell et al, 1994) through the Cheshire plain to its tidal limit 333 at Chester Weir (NRA, 1993). Llyn Tegid has a long management history, with the level raised in the 334 1790s to support the Ellesmere Canal (constructed Thomas Telford) and subsequently for water 335 resources, in the 1960s the original Telford sluices were bypassed and the lake level lowered, with 336 new sluices constructed downstream at the confluence of the Afon (river) Tryweryn, this enabled 18Mm<sup>3</sup> storage within Llyn Tegid, permitting up to 0.235m<sup>3</sup> for abstraction daily and additional flood 337 338 storage (NRA, 1993). In 1967 the construction of Llyn Celyn (6.5km<sup>2</sup>; 81Mm<sup>3</sup>) was completed in the 339 headwaters of the Afon Tryweryn, which can supply an additional flood attenuation and hydropower 340 and is operated in conjunction with the Bala Lake Scheme. In the 1900s and 1920s the Alwen 341 reservoir was constructed 8 km downstream of Llyn Alwen to supply water to Birkenhead, near Liverpool, with subsequent inclusion into the Bala Lake Scheme in the 1960s; in 1979 Llyn Brenig 342 343 (3.7km<sup>2</sup>) was constructed and became part of the Dee regulation scheme with a capacity of 60Mm<sup>3</sup>; 344 both Llyn Alwen and Brenig are located on the Afron Alewn tributary (NRA, 1993). The geology of 345 the upper catchment is lower Palaeozoic rocks with the lower catchment (below Llangollen) 346 Carboniferous Limestones and sandstone outcrops. The land-use of the upper Dee catchment is 347 predominantly upland grazing and moorland, while the lowlands are grassland and mixed agriculture, 348 with limited urban development, with the exception of Bala, Llangollen and Chester (Marsh and 349 Hannaford, 2008).

351 The city of Chester has its origins in a settlement that developed around the Roman fort of Deva 352 *Victrix*, quickly becoming an important port town. By the late-seventh century Chester had become 353 an important regional town, during the medieval period the town thrived, though the port by the 354 fifteenth century had become silted, with deepening of the channel in 1755 to allow navigation. The 355 Old Dee Bridge was built about 1387 (widened in 1826), following the loss of several wooden bridges 356 from flooding (1227, 1280, 1297 and 1353) and withstood the flood of 16 the January 1551 (Stewart-Brown, 1933), with a Letters Patent granted to the citizens on the 25 July 1387 by Richard II for the 357 358 purpose of the construction of a bridge, following the destruction of a previous bridge. The earliest 359 account of a bridge over the Dee come from the Domesday Book (1086), which notes the bridge at 360 Chester (Williams and Martin, 2003), though this likely follows earlier bridges and a Roman fording 361 point. A sandstone weir was built in 1093 just upstream of site of the Old Dee Bridge for the 362 Benedictine Abbey of St Werburgh's (now Chester Cathedral), to power a set of mills, which were 363 demolished in 1910, with the weir converted to producing hydroelectric from 1913-1939 (Historic 364 England, 2015), today the weir maintains its role as a tidal point preventing tidal transgression 365 upstream. The rural and low population density for much of the catchment limits the likely recording 366 of events, particularly in the earlier period, were the Welsh language and an oral tradition are 367 prominent in weather recording in the uplands (Macdonald et al., 2010), as such many of the records 368 consulted focus on the lowland areas.

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370 A river-level stage series is available for Chester Weir (67020) since 1894, though the weir drowns 371 at c.280m<sup>3</sup>s<sup>-1</sup>, a discharge series is available for Chester Suspension Bridge since 1994, with longer 372 gauged series available from Manley Hall (1937-present) and Erbistock Rectory (1923-1970) with 373 the pre-1970 series at Manley Hall calculated from the Erbistock series, but both sites are located 374 c.50km upstream of Chester, with notable flood attenuation in the lower Dee floodplain (Marsh and 375 Hannaford, 2008), which accounts for an apparent reduction in discharge between Manley Hall and 376 Chester Weir. The estimation of discharges at Chester is challenging as there has been considerable 377 catchment management and change, with extensive regulation in the headwaters over the last c.200 378 years (Lambert, 1988). A series compiled for Chester Weir is presented, checked against the series for Manley Hall, with notable floods being those exceeding c.325m<sup>3</sup>s<sup>-1</sup>, during the instrumental series 379 380 events exceeding this threshold are 1899, 1946, 1964, 2000, 2004 and 2011. It is worth noting that 381 the series at Chester Weir begins just after a severe flood in 1890, as British Rainfall reported 382 (Symons, 1891, 5).

#### 384 **3.8 River Trent, Nottingham**

385 The River Trent has five major tributaries: the Tame, Soar, Ryton, Derwent and Dove, draining a large section (7486 km<sup>2</sup>) of central England, with a mean annual discharge of 84.3 m<sup>3</sup>s<sup>-1</sup> at Colwick 386 387 (28009), approximately 5 km downstream of the city of Nottingham (Marsh and Lees, 2003). 388 Nottingham presents one of the longest and most detailed flood histories within the Britain; with 389 epigraphic markings indicating the level of the largest floods from 1852 inscribed into the abutment 390 of Trent Bridge, an annual maxima series at Trent Bridge from 1884 until 1969, descriptive accounts 391 since the thirteenth century and a gauging record from Colwick since 1958 (Macdonald, 2013). The 392 wealth of records reflects the prominent role the city had as a trade and commercial centre, a site of 393 strategic military importance historically and as an important bridging point. The catchment lies 394 predominantly beneath the 250 m contour (Hains and Horton, 1969), with exceptions in the Peak 395 District near the source of the Rivers Derwent and Dove at over 450 mAOD (Edwards and Trotter, 396 1954). Bedrock varies throughout the catchment with the Peak District and higher altitudes 397 predominantly Millstone Grit and Carboniferous Limestone with lowland areas covered by 398 superficial alluvial deposits, beneath which are red sandstones and historically significant Coal 399 Measures. Land use is varied with rural hilly areas, forestry, pasture and rough grazing to the north; 400 while arable farming dominates lowland areas. There are considerable population centres, namely 401 Birmingham located on the River Tame in the upper catchment, Nottingham on the River Trent, 402 Derby on the River Derwent and Leicester on the River Soar; providing a total urbanised coverage of 403 around 11 % (Marsh and Hannaford, 2008). Precipitation is largely determined by elevation, with 404 northern sections of the catchment (Peak District) receiving >1000 mm a<sup>-1</sup>, reducing to ~550 mm a<sup>-1</sup> in eastern areas, with an average of ~750 mm a<sup>-1</sup>(Kings and Giles, 1997). The upper River Derwent 405 406 flow is modified by three important impoundment structures, the Derwent (holding  $c.9.5 \text{ Mm}^3$ ), 407 Howden (c.9 Mm<sup>3</sup>) and Ladybower (c.28.5 Mm<sup>3</sup>) reservoirs (Potter, 1958). Their role in reducing the 408 magnitude of flood peaks in the lower catchment at Nottingham is minor, as the proportion of the 409 catchment controlled by these reservoirs at Colwick is small ~1.7% (IH, 1999). The present tidal limit 410 of the Trent is Cromwell lock, ~25 km downstream of Nottingham.

411

The first map of Nottingham drawn by the notable cartographer John Speed in 1610 followed by subsequent maps in 1675 (Richard Hall), 1744 (Badder and Peat), 1835 (Sanderson) and 1844 (Drearden) detailing city development and changes to the areas adjacent to the River Trent, including channel improvements (e.g. construction of the Nottingham Canal running from the River Trent to the town centre in 1793). The canal construction and navigable depth of the Trent resulted in the development of an industrialised area adjacent to the river. The planform of the River Trent in the 418 map of 1844 indicates stability within the channel, post c.1800, with industrial development along 419 the northern bank, in the area historically known as 'the meadows' (Beckett, 1997). The River Trent 420 has some of the oldest channel management in Britain (pre-roman), with banking of several breaches 421 in a series of sand dunes (Spalford Bank) between Girton in Nottinghamshire through to Marton Cliff, 422 in Lincolnshire; these represent an important geomorphic structure, as when breached the floodwaters 423 can travel into the Witham Valley, the city of Lincoln and subsequently into the Fens, causing 424 substantial damage (e.g. the flood of 1795, see St James Chronicle, 1795). Floods breaking through 425 the defences of the Spalford Bank can be used as indicative of flood magnitude, as breaching occurs at discharges of ~1000 m<sup>3</sup>s<sup>-1</sup> (Brown *et al.*, 2001). A detailed discussion of the flood history and flood 426 427 series reconstruction is provided by Macdonald (2013).

428

## 429 **3.9 River Severn**

430 The River Severn is the longest river in the British Isles (220 km), its source is on Plynlimon in the 431 Cambrian Mountains of mid-Wales. The major tributaries are the Vyrnwy, Clywedog, Teme, Avon 432 (Warwickshire) and Stour, with the River Wye draining into the Severn estuary. The upland areas in 433 mid-Wales are predominantly given to upland grazing and moorland, with little urban development 434 except for the towns of Newtown and Welshpool. The development of impoundment structures can 435 have a notable impact on discharges, particularly at low flow, though these are more limited during high flow (Marsh and Hannaford, 2008); the most significant being Lake Vrynwy built in 1880 to 436 437 supply water to the city of Liverpool (~60000 MI) and Clywedog built in 1967 which supplies water 438 to the city of Birmingham and can hold 50Ml. The lower catchment is predominantly given to arable 439 and cattle grazing, with large urban centres at Shrewsbury, Worcester and Gloucester. Whilst there 440 has been an extensive history of land-use and river modification the implications on the largest flows 441 appear limited as the impact is aggregated out, a view supported by Archer (2007) when looking at 442 the upper Severn catchment (Wales-England border).

443

444 The towns of Shrewsbury, Worcester, Tewkesbury and Gloucester all have a long history of flooding, 445 with each representing historically important ports on the River Severn, in addition the UNESCO 446 world heritage site at Ironbridge Gorge (an early Industrial Revolution site) is located c.19km 447 downstream of Shrewsbury. These towns were important commercial, military and religious centres 448 (Macdonald, 2006) and maintain important commercial roles through to the present, with each of the 449 docks maintaining long water level data series, the earliest from 1827-present, which are currently 450 being transcribed for further analysis. A number of bridges crossed the River Severn by the fourteenth 451 century, including at Gloucester, Worcester and Bridgnorth (between Bewdley and Shrewsbury), with 452 the earliest accounts indicating that a bridge was present at Worcester in the eleventh century. Unlike 453 most major British river systems there appear to have been few losses of bridges, with most damage 454 to the early bridges arising from conflicts between the English and Welsh armies. For the purpose of 455 this study, the site of Gloucester will not be discussed in further detail, as the city and port are located 456 on the Avon just upstream of the Severn confluence, with a historical flood chronology constructed 457 for the city by Bayliss and Reed (1999). Historic flood levels have been recorded at both Worcester 458 and Shrewsbury since the late Seventeenth century, with flood levels recorded on the Watergate at Worcester Cathedral since 1672, with 20 floods since marked on the wall, the most recent being the 459 460 flood of December 2014. During the medieval period the River Severn remained tidal beyond 461 Worcester, but the tidal limit was subsequently moved below the city with the installation of the weir 462 at Diglis in 1844 (Herbert, 1988). To reduce the uncertainties presented by the tidal signal the flood 463 reconstruction is undertaken for Bewdley, situated between the cities of Worcester and Shrewsbury 464 and the site of the long gauged series (1921-present), an additional long series is available for Welsh 465 Bridge at Shrewsbury (1911-present).

466

467 A rating curve constructed from flood marks at Worcester and the gauged flows at Bewdley is used 468 to estimate the discharges for flows before 1921 back to 1672 (seven marks), with the cross section 469 at Worcester considered to be relatively stable through this period based on analysis of historic maps, 470 including John Speeds' of 1610. The flood of 1795 is notable for its absence on the Watergate, Green 471 (1796) notes the flood waters "rose to precisely those of 1672" and that a plate marking the level was 472 added to the wall of North Parade; while the 'New Bridge' built in 1781, became jammed with ice 473 and caused extensive local flooding. The flood is documented at Gloucester as reaching within 6 474 inches (15cm) of the level achieved in 1770 (Star, 1795). Whilst the floods are estimated back to 475 1672, only those since AD 1750 will be used within this paper.

476

### 477 **3.10 River Thames**

478 The River Thames presents one of the most heavily managed and modified river systems within 479 Europe. An extensive historical chronology of flooding is available for London, but this is a 480 particularly challenging site to reconstruct a single flood series for, as tidal influences are particularly 481 strong and over the last millennium development of both banks, and loss of surface tributary systems 482 have changed the hydraulics of the system. Reconstruction of a complete flood history of the Thames 483 at London would be a colossal task (see Galloway (2009) for an analysis of the period 1250-1450), 484 though the historical archive is unparalleled within a British context, with over 2000 accounts known. 485 The current tidal extent of the Thames is Teddington weir/lock which dates from 1811, with a gauged

series from 1883-present (39001), with a catchment area of 9948 km<sup>2</sup> and average annual rainfall of 486 487 710 mma<sup>-1</sup>. Historically, the tidal extent was a weir constructed between the Old London Bridge 488 (1209-1831) arches, on replacing the bridge seawater could reach Teddington Lock. The bridge 489 constructed in c.1209 replaced several earlier timber structures. The channel during this period was 490 much wider and shallower facilitating more frequent freezing of the river as described by Jones (2008) 491 and illustrated in the renowned The Frozen Thames by Abraham Hondius (1677) and in Claude de 492 Jongh (1632) View of London Bridge, in which the weir beneath the arches is evident. By the 493 seventeenth century the city of London was starting to develop its quays and docks along the banks 494 and as such confine the river, as evident in Morgan's map of the Whole of London (1682). By the 495 publication of John Rocques map of 1746, the channel is increasingly confined, particularly adjacent 496 to London Bridge. The map of Bacon (1868), clearly illustrates the development of the Embankment 497 reach, with further constriction of the river and extensive development and expansion of the city both 498 up and downstream of the bridge area. The Embankment development further influenced the channel 499 hydraulics, with constriction of the channel resulting in channel deepening, increasing the flow of 500 water which likely reduced opportunities for ice development (Jones, 2008). The Thames catchment 501 land-use consists of extensive arable farming in the headwaters and a number of urban centres 502 upstream of London, including Reading, Swindon and the Oxford. The geology consist of Jurassic 503 limestone and chalk outcrops, with thick alluvium and clays inn the vales (Marsh and Hannaford, 504 2008).

505

506 Teddington Lock contains one of the most studied gauged series in the British Isles, with the largest 507 gauged flow that of 1894, originally estimated by Symons and Chatterton (1894) as 20135.7M gal/day (equivalent to 1064 m<sup>3</sup>s<sup>-1</sup>), within which a spatial analysis of the contributing tributaries and the 508 509 relative ranking of 1894 on these systems and throughout the catchment was undertaken. This 510 discharge was subsequently reassessed by Marsh et al. (2005) based on an extensive review of the 511 information available for the flood and the channel geometry, with a revised discharge estimate of 806 m<sup>3</sup>s<sup>-1</sup>. Whilst 1894 is the largest gauged flow, a number of historic floods can be attributed 512 513 heights relative to this event, with 1593 (substantially exceeded 1894), 1774 (about 12 inches higher), 514 1809 (12 inches higher) and 1821 (10 inches higher) all noted as being greater than that of 1894 515 (Beran and Field, 1988; Marsh and Harvey, 2012). Other notable floods also occurred in 1765, 1768, 516 1770, 1795, 1852, 1875 and 1877, as identified by Symons and Chatterton (1895). An analysis of the 517 descriptive accounts indicates that the largest flood since AD 1750 is likely to have been that of 1809 based on the descriptive account, with an estimated discharge of 875 m<sup>3</sup>s<sup>-1</sup>. A number of epigraphic 518 519 flood marks have been identified around London; the 1774 flood mark located on the wall at Radnor

520 Gardens, Twickenham, appears to the earliest, with G.B Laffan (1895) giving the level as being 0.85m 521 higher than that of 1894. Symons and Chatterton (1895) though recognise that the tidal influence present in 1894 was considered higher, as such an estimated discharge of 850 m<sup>3</sup>s<sup>-1</sup> is used for 1774 522 523 based on the reanalysis undertaken by Marsh et al. (2004). The floods of 1795 and 1821 appear 524 relatively similar in description, with both appearing to be fractionally greater than 1894 in the lower 525 catchment, as such a notional discharge of 825 m<sup>3</sup>s<sup>-1</sup> is used for both events. It is worth noting that 526 Beran and Field (1988), considered the 1821 event to be the largest of the three events to have 527 exceeded that of 1894 (806 m<sup>3</sup>s<sup>-1</sup>). The historical floods 1768, 1770, 1852, 1875 appear to be similar 528 in magnitude, some slightly higher/lower in particular river reaches, but similar once past Windsor 529 (Griffiths, 1969), as such for the purposes of this paper are all given an estimated discharge of 650  $m^{3}s^{-1}$  based on the descriptive accounts, lower than that recorded in 1947 (714  $m^{3}s^{-1}$ ) but greater than 530 1968 (600 m<sup>3</sup>s<sup>-1</sup>). Channel changes, river modification and uncertainties involved in estimating 531 532 discharges makes the ranking of events challenging, as such these are estimated magnitudes based on 533 the ranking of events for the area around Kingston upon Thames and should be used as indicative.

534

#### 535 **3.11** River Ouse (Sussex)

536 The Sussex Ouse flows south through the Downs into the English Channel at New Haven, past the 537 principal settlements of Uckfield and Lewes. The catchment is predominantly rural, consisting almost 538 entirely of ground beneath 150 mAOD, with established forestry in the upper catchment. Few notable 539 impoundment structures are present within the Sussex Ouse catchment, the exceptions being Ardingly 540 Reservoir constructed in 1978 (impounding ~20km<sup>2</sup>) in the headwaters and the Ashdown and 541 Barcombe reservoirs located between the forest of St Leonards and the lowland floodplain (~5 km 542 upstream of Lewes). The tidal limit is at Barcombe Mills (~6.5 km upstream of Lewes) above the 543 confluence of the Sussex Ouse and River Uck, with mean high water 3.5 km downstream of Lewes. 544 The lower Sussex Ouse valley consists of thick alluvium overlying chalk, with an underlying mixed 545 geology in the upper catchment. Precipitation is largely determined by elevation, with northern sections along the South Downs receiving  $\sim 1000$  mm a<sup>-1</sup> and the coastal region receiving  $\sim 730$  mm 546 547 a<sup>-1</sup>. A long history of river management downstream of Lewes exists, reflecting the active shingle spit 548 which episodically impedes drainage of the lower Ouse through to the English Channel, with phases 549 of extensive flooding and drainage documented (Brandon and Short, 1990; Woodcock, 2003). The 550 numerous activities culminated in the 1790 Ouse Navigation Act, which would straighten (canalise) 551 the Sussex Ouse at various points, in addition to providing drainage structures which would prevent 552 sediment supply to the shingle spit. The eventual result of the canalisation was 35km of canalisation 553 channel, 19 locks and a 1.3km branch, with navigation up to Balcombe. The consequence on the

hydraulic capacity of the channel during high magnitude events is poorly detailed, though historical accounts continue to document overbank flooding during events comparable to that described by Pearce (2002) of extensive flood plain storage upstream of Lewes during flooding in 2000. The town of Lewes also floods from the Winterbourne Stream, which emerges from the chalk aquifer during periods of high groundwater, as such, it can flood in combination with, or independently of, the Sussex Ouse.

560

Three bridges span the Sussex Ouse in central Lewes: i) Cliffe Bridge, which is the oldest bridge and 561 562 is the site of several historical bridges in Lewes (commonly known as Ouse Bridge) which probably 563 reflects the location of a ford, ferry and Roman bridge (Dunvan, 1795; Salzman, 1940); ii) Willey's 564 Footbridge (opened in 1965); and, iii) the Phoenix Causeway (a larger road bridge built in the early 565 1970s). The modern A27 trunk road crosses the Sussex Ouse to the south of Lewes, together with a 566 railway bridge, but these have limited impact on the hydrology at Lewes. Accounts detailing the 567 repair of a bridge in Lewes exist from AD 1159, with the bridge rebuilt in 1561 and repaired in 1652, 568 both coincide with accounts of extensive flooding (Dunvan, 1795). Historical accounts detail the 569 bridges destruction in 1726 (Sawyer, 1890); with the current single stone arch structure dating from 570 1727, with widening work undertaken in 1932 (Salzman, 1940). The adjacent wharf was constructed 571 in 1770-71 and subsequently repaired in 1802 (Salzman, 1940), suggesting little change in the channel 572 cross-section at Lewes during the intervening period; the first Ordnance Survey map (1875) of Lewes 573 shows little change in channel location and adjacent structures to the present day. Based on the 574 documents and maps available reasonable confidence can be placed in the cross sectional area of the 575 channel at Lewes remaining relatively stable since c.1750, a timeframe comparable to that selected 576 in previous studies (e.g. Parent and Bernier, 2003; Macdonald, 2013). The historical accounts of 577 flooding provide detailed descriptive accounts of past flood extents which can be converted into 578 levels, augmenting the discharge readings from 1960 for the Isfield (41006; Uck) and Gold Bridge (41005; Ouse) gauging stations (m<sup>3</sup>s<sup>-1</sup>). A detailed discussion of the flood history and flood series 579 580 reconstruction is provided by Macdonald et al., (2014).

581

### 582 **3.12 River Exe**

The River Exe drains the upland regions of Dartmoor, Exmoor and the Blackdown Hills in Southwest England (Fig.1), with most of the catchments underlying geology consisting of relatively impermeable Carboniferous shales and slates (British Geological Survey, 1995). Exeter is the principal settlement on the River Exe, with a history predating Roman times (Hoskings, 1960). The city of Exeter is situated at the tidal extent, with an extensive history of human activity on the 588 floodplain (Brown et al., 2010), including historic fording and medieval bridges, the oldest dating 589 from the end of the twelfth century; a detailed discussion of bridging at Exeter is provided by Brierley 590 (1979), which includes a discussion of historic bridge damage and maintenance closely tied to flood 591 events. Catchment land-use is predominantly agricultural and rough grazing, with limited urban 592 development. The River Exe at Exeter consists of three principal sub-catchments, the Exe flowing 593 from the north (~600km<sup>2</sup>), the Culm which enters the Exe just upstream of Exeter from the west with 594 a catchment area of  $\sim 250 \text{km}^2$  and the Creedy which flows from the east and also enters the Exe just upstream of Exeter, with a catchment area of ~260km<sup>2</sup>. The only significant impoundment structure 595 596 in the headwaters of the Exe is Wimbleball lake in the River Haddeo sub-catchment, which was constructed in 1979 and has a volume of ~21,000 Ml and a catchment area of 29 km<sup>2</sup> (Webb and 597 Walling, 1996). Precipitation is greatest (>1400mm  $a^{-1}$ ) over the uplands, dropping to ~850mm $a^{-1}$  at 598 599 Exeter Airport near the coast (~25 mAOD). The geology and relatively steep gradient have resulted 600 in a fluvial system with a flashy flood regime, a detailed discussion of channel form is provided by 601 Bennet et al. (2014), including copies of the city maps from John Hooker's map of 1587 through to 602 those of the early nineteenth century, detailing the instability within the lower channel with high rates 603 of channel movement across the floodplain, with greater stability since the nineteenth century.

604

605 A set of gauged records exists for the River Exe at Thorverton (45001) since 1956, ~11km upstream 606 of Exeter (Marsh and Hannaford, 2008); the Culm at Wood Mill (45003) since 1962, ~15km upstream of Exeter and at Cowley (45012) on the Creedy since 1964, ~3km<sup>2</sup> upstream of Exeter. These gauged 607 608 series are combined to generate a single series for the site, instantaneous peak flow (ipf) data are used 609 where available, where gaps are present mean daily flow (mdf) is included, whilst under-representing 610 peak flow this provides a conservative discharge estimate, with only two years recording no ipf at 611 any station, where ipf are within 1-day of each other at the sites these are used as they provide a better 612 depiction of the highest flows. It should be noted that the two tributaries (Creedy and Culm) have 613 flashy regimes, which can produce high ipf, but may still have relatively low mdf, whereas the main River Exe has a less flashy discharge regime. The highest combined flow during the instrumental 614 period is  $722m^3s^{-1}$  (2000), which using the descriptive accounts as a guide was initially estimated at 615 700m<sup>3</sup>s<sup>-1</sup> at Exeter. A number of well documented flood events during the gauged series, particularly 616 617 1960 with subsequent events in 1974, 1985, 2000 and 2002 provide valuable guidance on past event 618 magnitudes at Exeter, with a number of historical events being documented to a high level e.g. the 619 flood of January 1866, for which the local newspaper The Exeter and Plymough Gazette (19 January, 620 1866) produced a separate supplement detailing the extent and impact of flood events around the 621 country in both urban (Exeter) and rural areas (Fig. 3). Izacke (1676) provides the first discussion of flooding at Exeter with a number of historic floods detailed, with the first reported (unsupported) in 12AD. As at previous sites, greater confidence can be placed in the discharge estimates since 1750 as channel form is more stable, with high magnitude events. As at previously described sites the estimated discharges of the pre-instrumental series are derived from the relative extent, level and damage caused by historic floods relative to the associated damage and extent of floods within the gauged period.

628

### 629 4 SERIES COMPOSITION

The absence of flood record(s) for any given year does not necessarily indicate flooding did not occur, simply that no record of flooding remains, or the account(s) included insufficient detail to provide an estimation of the flow. However, it is likely that the largest events are included since c. AD 1750, as recording becomes more systematic, with greater confidence given to high-magnitude floods. Significant growth in documentary recording during the mid-eighteenth century corresponding to newspaper distribution growth has previously been identified (Williams, 2009), as indicated by Fig. 4 the frequency of severe flood recording appears to be relatively stable from 1750.

637

Documentary flood records frequently include basic information concerning date, height or magnitude of events, and often the causative mechanism i.e. rain, thaw or a combination of the two (McEwen, 1987). The presence of long flood records result from several influences, namely the presence of literate individuals linked to monastic, political and economic activities within the cities; a detailed discussion of sources are provided in Archer (1999) and Macdonald (2004, 2007) among others.

644

### 645 **4.1 Flood thresholds**

646 Whilst much research has focussed on the impact of land use on relatively small flood events (e.g. 647 Climent-Soler, 2009), little research, either modelled or field instrumented, has attempted to 648 undertake this analysis with rarer high-magnitude events. Wheater and Evans (2009) postulate that 649 the impact of urbanisation is potentially reduced during large flood events, whilst O'Connell et al., 650 (2004) identify that there is very limited evidence that local changes in runoff propagate downstream. 651 Knowledge of the conditions (climate, channel form, anthropogenic influence, upstream catchment 652 activity, etc) from which events were recorded is important in considering the value of contemporary 653 or historical flood information. When dealing with extreme flooding at York, Macdonald and Black 654 (2010) identified that there have been a number of phases of increased flooding (flood rich) and

655 periods of reduced flooding (flood poor) throughout the historical record. As such, the argument has 656 been made that once long periods are considered (>~200 years) variability becomes inescapable, and 657 that inclusion of flood rich and flood poor periods leads to more robust flood frequency estimates. 658 The changing nature of climate and catchment land use throughout the historical period may have 659 caused many changes within the river regime, potentially manifesting as 'flood rich' and/or 'flood 660 poor' periods (Starkel, 2002; Benito and Thorndycraft, 2005). However at York, Macdonald and 661 Black (2010) identified a phase of increased flooding around AD 1625, but no significant change in flood frequency over the period AD 1800-2000. A view supported at a European scale by Mundelsee 662 663 et al., (2003), but contrasting to the findings by Macklin and Rumsby (2007) when examining British upland catchments, as they identified a decrease in flood frequency based on geomorphologically-664 665 inferred flood events over the last 50 years.

666

## 667 5 FLOOD INDICES (FI)

668 Distinguishing between an increase in flood records from anthropogenic factors (resulting from a 669 number of social, cultural and political factors; Williams, 2009) and an increased frequency resulting 670 from a hydroclimatic change in high-magnitude flood events is challenging, particularly over long 671 time-scales. A new method is proposed here that accounts for the changing frequency of recording 672 through time (increasing nearing the present), that allows for growth in recording number, without 673 assuming that this is linear. First, two distinct timeframes are identified within the historical flood 674 records over the last millennium for the British Isles, reflecting the prevalence of account preservation 675 and frequency AD 1000-1750 and AD 1750-present; within this paper the period AD 1750-present 676 only is considered. The growth in flood recording rises from a 10-year count of 0 records (AD 1752) 677 to 22 records in AD 1968 and 1969. The Flood Indices (FI) [Equation 1] are calculated for each year, for all floods that exceed a threshold (>0.9 percentile). A 10 year window of analysis ( $\bar{z}^{10}$ ) is used to 678 679 reduce the likelihood of a single flood rich year appearing as a flood rich period.

680

$$FI_t = \bar{z}_t^{(10)} \left( 1 - \frac{t}{e} \left( \frac{max(z) - min(z)}{n} \right) \right), \ t = 1, 2, \cdots, n$$
 [Equation 1]

682

683 Where:

684znumber of flood events recorded in any given year above the threshold (e.g. 0.9 percentile)685 $\bar{z}^{10}$ the mean number of flood records within the preceding 10-year period above the threshold686nthe total number of years within the study period t687tthe number of years after the start of the period (e.g. 1760 is 10)

688 e total number of flood events above the threshold in n

689

690 Threshold selection is subjective, in Fig. 5 both thresholds for 0.8 and 0.9 are shown for illustrative 691 purposes. This paper is particularly interested in high magnitude flood events, therefore the following 692 discussion will focus on flood events exceeding the 0.9 percentile threshold.

- 693
- 694

## 4 6 SPATIAL AND TEMPORAL FLOOD VARIABILITY

695 The flood series are compiled from archival materials and previously published series for the rivers 696 Findhorn (McEwen and Werritty 2007), Tay (Werritty et al., 2006; Macdonald et al., 2006), Tweed 697 (McEwen, 1990), Tyne (Archer et al., 2007), Eden (Macdonald, 2006; Patterson and Lane, 2012), 698 Dee, Yorkshire Ouse (Macdonald and Black, 2010), Trent (Macdonald, 2013), Severn, Thames, 699 Sussex Ouse (Macdonald et al., 2014) and Exe (Fig. 5). An additional chronology for the River Kent 700 in the southern Lake District has been constructed, but is relatively short compared to those presented 701 here and is therefore not included. In each case the estimated discharges are derived from historical 702 accounts and records, where previous studies have been conducted the original archive materials are 703 considered, a detailed review of the different materials and chronologies for each site is beyond the 704 scope of this paper (please refer to cited papers in site specific sections, 3.1-3.12). These series 705 represent the sites for which the most detailed and complete historical series exist; the Thames 706 reconstruction is based at Teddington above the tidal limit, as determining the influence of tidal input 707 to the historical floods in London is challenging, though the potential of the historical flood record at 708 London is considerable.

709

710 The individual flood series are compiled into grouped series at a range of spatial scales: national (all 711 sites); east (Tay, Tweed, Tyne, Ouse-Yorkshire, Trent, Thames) and west (Findhorn, Eden, Dee, 712 Trent, Severn, Exe) draining catchments; and, Wales (Dee and Severn), Scotland (Findhorn, Tay, 713 Tweed), northern (Eden, Tyne, Ouse-Yorkshire, Trent) and southern England (Thames, Exe, Ouse-714 Sussex), permitting further detailed regional analysis (Fig. 5). The focus on relatively large 715 catchments, within a British context, inevitably constrains the generating mechanisms that are likely 716 to result in high-magnitude floods; which are likely to be either snowmelt, or persistent/heavy rainfall 717 on saturated/frozen ground, or a combination of the two (Black and Werritty, 1997); intense rainfall 718 events generally have greater impact on small catchments with high relief, although sub-catchments 719 of those studied may contain high relief, these are unlikely to result in significant flood events at the 720 sites examined. The potential role of snowmelt as a flood generating mechanism since AD 1800 with 721 the Yorkshire Ouse was examined (Macdonald 2012), with the ratio of floods deriving a snowmelt 722 component found to be consistent, though potential changes in accumulation within the upper 723 catchment may vary (no records exist of snow depth). The role of ice jamming in Britain as a cause 724 for significant flood events is limited, with only the 1814 flood on the River Tay clearly exacerbated 725 by ice floes (jamming under Smeaton's Bridge, see Macdonald et al., 2006); though historical 726 accounts identify a number of ice fairs held on several of the rivers over the period of study. The 727 seasonality of flood events is an important factor in considering the nature of the floods experienced, 728 with many of the papers identified within the catchment sections above discussing this in greater 729 detail. Further analysis examining flood seasonality changes across Britain over longer timescales is 730 required, though most flood events occur in the winter season across Britain (Black and Werritty, 731 1997; Macdonald et al., 2010).

732

Of the sites considered within this paper, no site incorporates a large groundwater component during extreme events, with the Thames and Sussex Ouse potentially including a greater groundwater contribution than other sites as detailed above. The Thames catchment may experience localised groundwater flooding, but this is small relative to the flows within the main channel and localised within the catchment; similarly the Sussex Ouse receives limited groundwater flooding, with groundwater flooding from the Winterbourne stream tributary affecting a specific area of Lewes downstream of the point considered within this study.

740

### 741 **6.1** Flood rich and poor phases

742 Discernible flood-rich periods are identified at a national scale, across multiple catchments and within 743 specific catchments since AD 1750 (Fig. 2). The regional FIs (Fig. 5) show both coherent flood-rich 744 phases (e.g. 1770s) across most catchments, but also regionally specific flood rich periods (e.g. Wales, c.1883). The division of Britain east - west shows similar patterns in the FI, with some subtle 745 746 differences, e.g. stronger flooding signal c.1770 in eastern Britain, though overall it illustrates that 747 there are not considerable differences in flooding on an east-west basis. Division into four regions 748 provides more variability and permits an assessment of spatial variability, with clear differences in 749 FI for Scotland and Wales, with the flood peak around 1883 in Wales not evidenced in Scotland and 750 a lower FI score for the 1853 event in Wales than Scotland. The northern and southern Britain 751 divisions also show considerable differences, particularly for the period since 1950, with considerably 752 more events in the north during this period. Consideration of the regional flood rich periods, as 753 indicated by the black boxes on the right vertical axis (Fig. 5) illustrates the temporal and spatial 754 variability of flood rich periods across Britain.

756 National flood-rich periods are identified during the periods of the 1850s and 2000-present, with 757 several short flood-rich phases: 1765-80, 1850s, late-1940s, and mid-1960s. High-magnitude floods 758 in the mid-to-late nineteenth century are widely documented across Britain (e.g. Brookes and 759 Glasspoole, 1928), with the period AD 1875-1885 identified as including a number of years with 760 severe floods (Marsh et al., 2005), though this period is not identified when applying a 0.9 (black) 761 percentile threshold, if the threshold is lowered to 0.8 (grey), this period appears as flood rich (Fig. 762 5). The current flood-rich period (2000-) is of particular interest with several extreme events documented in recent years, though it should be noted from a historical perspective that these are not 763 764 unprecedented, with several periods with comparable FI scores since c.1750, it remains unclear at 765 present whether the current period (2000-) represents a short or long flood-rich phase. It is notable 766 that the current flood-rich phase is more evident in northern rivers than those of the south, though 767 several of the southern rivers examined recorded high flows in winter 2014. The severe floods of 768 December 2015 are not included within the series, as data are unavailable for all sites, but resulted in 769 record breaking discharges in several of the catchments, it is worth noting that gauged discharges on the Eden and Type are the highest recorded (est.  $\sim 1700 \text{m}^3 \text{s}^{-1}$ ) and third highest on the Tweed (est. 770 771 ~1361m<sup>3</sup>s<sup>-1</sup>; CEH, 2016), all of which are northern England catchments. The spatial coherence of the 772 FI varies, illustrating the importance of good spatial coverage, and suggests that an understanding of 773 flood rich periods needs to be undertaken first at a catchment scale, with subsequent studies 774 examining larger areas/regions. The spatial variability in the series suggests that regions are behaving differently, with periods of synchronous (e.g. national 1770s) and non-synchronous (e.g. regional 775 776 1920s) activity.

777

778 The Flood Index (FI - Fig. 5) generated for Britain corresponds well to events/periods recorded 779 elsewhere within the literature as containing significant flood events; whilst other proxy series fail to 780 show clear relationships for the study period, e.g. the peat wetness record (Charman, 2010). In the 781 context of the long historical flood series available for mainland Europe, flooding appears to be 782 synchronous and asynchronous during different phases in comparison to the British series. Benito et 783 al. (2004) identified flood rich periods for the Tagus river in southern Spain during the periods 1730– 784 1760, 1780-1810, 1870-1900, 1930-1950 and 1960-1980 (underlined coinciding with British flood-785 rich periods at 0.9 threshold). Sheffer et al. (2008) study of the Gardon river in southern France 786 identifies several flood rich phases: 1765-1786, 1820-1846, 1860-1880 and 1890-1900; with Llasat et al. (2005) identifying flood-rich phases for Catalonia in <u>1760–1800</u> and <u>1830–1870</u>. Comparison 787 788 of the British FI to the historical flood series presented by Glaser et al. (2010) for central Europe 789 shows a more complex story, with a number central European systems appearing to be asynchronous 790 in relation to the British (e.g. Vistula), whilst others provide similar flood-rich and -poor phases (e.g. 791 Rhine). The mid-late eighteenth century flood-rich phase in Britain coincides with a longer flood-792 rich phase in central Europe from 1730-1790 (Glaser et al., 2010), the other phases identified (1790-793 1840 coincide with periods of little flooding in Britain). Brazdil et al. (2005) identified a series of 794 flood phases on the Vltava at Prague, with peaks c.1750, c.1825, 1840-1860, 1890, 1940-1950 and 795 1975-1990, again these show some overlap with flood-rich periods witnessed in Britain, but also 796 periods of little flood activity e.g. 1975-1990. Wetter et al. (2011) identify a number of large floods 797 for the Rhine: c. 1740-1791, 1850-1880, 1994-2007, of the published flood series this shows good 798 comparison to the British FI. Few studies have examined the flood history of Irish rivers, an account of the history of Dublin (Dixon 1953) identifies a number of floods associated with bridge 799 800 damage/destruction, with subsequent events in 1794, 1802, 1807, 1851 and 1931, though it is difficult 801 to ascertain any further information from these accounts other than event occurrence. Tyrell and 802 Hickey (1991) identify the three most severe floods in Cork, southern Ireland as 1789, 1853 and 1916, 803 with increases in flood frequency in the 1920s, 1930s and 1960s. Whilst both the Tyrell and Hickey 804 (1991) and Dixon (1953) studies provide some information for Ireland, it is challenging to determine 805 whether these are small- or wide-scale flood-rich periods, with the flood-rich phase in Dublin of the 806 mid-eighteenth century occurring before that in Britain, the increased frequency in Cork in both 1920s 807 (apparent at 0.8 threshold) and the 1960s and large flood of 1853 both coincide with those identified 808 in the British FI.

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### 810 **7 FLOOD DRIVERS**

Buring much of the Holocene, three forms of natural forcing of climate are evident: orbital (Esper et al., 2012), solar (Lean, 2000; Vaquero, 2004) and volcanic (Brázdil et al., 2010), these have influenced the global climate, and as such potential flood generating mechanisms. Orbital forcing over the last millennium has changed little.

815

816 Solar forcing can manifest itself in a variety of different ways on flood patterns through modification 817 of the climate (Benito et al., 2004). Several series (Fig. 5) indicate increased flood frequency during 818 the late eighteenth century corresponding to the Dalton minima (AD 1790-1830), with notable 819 flooding across catchments in the eight-year period AD 1769-1779, a climatic period considered to 820 include the sharpest phases of temperature variability during the 'Little Ice Age' (Lamb, 1995; 821 Wanner et al., 2008). The spatial and temporal variability in relation to these events may suggest that 822 snowmelt becomes a more important driver for flooding relative to heavy precipitation, suggesting 823 that flood response to solar forcing may be regionally and temporally heterogeneous (Benito et al.,

824 2004). A positive significant relationship exists (p>0.95) between solar irradiance (Lean, 2000) and FI national and North, West, Scotland, Wales regions (AD 1750-2014; Fig. 5;  $p = \langle 0.0012 \rangle$ ). A 825 826 significant positive correlation between Atlantic Meridional Oscillation (AMO; 1850-present; Enfield 827 et al., 2001 updated by NOAA) and national FI is identified ( $p = \langle 0.0001 \rangle$ ), with significant positive 828 regional correlations also identified for the North, South, Scotland and West FI at both annual and 829 winter/summer half years ( $p = \langle 0.001 \rangle$ ). Analysis of dendro-chronological reconstruction of AMO 830 (Gray et al., 2004) since 1750 identifies significant positive correlations with regional FI West and 831 FI Scotland, but not for other regions, or nationally

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833 A significant negative correlation ( $p = \langle 0.001 \rangle$ ) between North and Wales FI, and winter North Atlantic 834 Oscillation Index (NAOI) since 1750 is identified, with the East and West exhibiting a negative 835 correlation ( $p = \langle 0.02 \rangle$ ; Trouet et al., 2009). These findings correspond to previous studies which have 836 attributed flood-rich phases to both positive (Dixon et al., 2006; Hannaford and Marsh, 2008) and 837 negative (Macklin and Rumsby, 2007; Folland et al., 2009; Foulds et al., 2014) phases of the NAOI, 838 though these studies have used different river flow series, with those evidencing positive NAOI 839 relationships often using short instrumental series (c.1960-), conversely those evidencing negative 840 relationships have applied palaeo-historic-geomorphic flood series for several centuries. This 841 suggests that the relationship between NAOI and flooding is complex, with potentially different flood 842 generating mechanisms, or potentially different flood magnitudes, responding to different NAOI 843 states, with different levels of threshold of inclusion being used in the different datasets considered. 844 The relationship identified within this paper suggests that historical high magnitude floods occur 845 during phases of negative NAOI (Fig. 5); specific flood-rich periods identified in the British FI 846 correspond to negative (e.g. late 1960s) and positive (e.g. c.1770) phases of NAOI. The significant 847 correlations identified above indicate that warming of the Atlantic through solar forcing has 848 potentially resulted in changes to flood phases, with the presence of flood-rich phases across multiple 849 catchments suggesting abrupt changes in flood frequency/magnitude, reflecting wider climatic 850 variability, permitting an assessment of regional palaeoclimatic change (e.g. Schillereff et al., 2014). 851 This represents an important finding, with potential future implications for flood type, with a warmer 852 Atlantic potentially leading to greater potential energy that may result in an increase in intense precipitation events, resulting in high-magnitude floods affecting Britain, with areas particularly 853 854 vulnerable being coastal uplands in the southwest, southern Wales and the Lake District, with recent 855 notable floods (2005, 2009 and 2015) in the latter.

857 Aerosol optical depth was used as a proxy for volcanic forcing (Crowley and Unterman 2012), with no relationship evident to the British or regional FI. The British FI fails to identify a relationship 858 859 between large volcanic events and flooding in Britain (e.g. Laki Fissure, 1784; Krakatoa, 1883 and 860 Tarawera 1886; Fig. 5). The clear peak in AOD following the Tambora (Indonesia) eruption of 1815 861 results in elevated AOD for several years (Fig. 5), whilst there have been clearly documented impacts 862 felt across Europe in relation to temperature, with the 'year without a summer' (Oppenheimer, 2003), 863 no evidence is presented from the British flood chronologies of any associated change in flood magnitude or frequency. The widespread flooding documented across much of Central Europe during 864 865 the winter of AD 1783-84 following the Laki fissure (Iceland) eruption is not widely evidenced within 866 British catchments (Brázdil et al., 2010). Overall, there appears to be little evidence in British systems 867 of volcanic forcing influencing flood events directly during the period of study.

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### 869 **8 SUMMARY**

870 The apparent increase in flooding witnessed over the last decade appears in consideration of the long 871 term flood record not to be unprecedented, whilst the period since 2000 is considered as flood-rich, 872 the period 1970-2000 is 'flood poor', which may partly explain why recent floods are often perceived 873 as extreme events. The much publicised (popular media) apparent change in flood frequency since 874 2000 may reflect natural variability, as there appears to be no shift in long term flood frequency (Fig. 875 5). In reviewing the flood series for European systems for which long flood series have been 876 reconstructed, a complex picture is identified, whilst flood rich phases appear synchronous across 877 many systems (1765-1780) others show less synchronicity (1920s), whilst a number of prominent 878 flood-rich phases at a European scale appear subdued or are not evident in the British FI (1750s).

879

880 The principal findings of this work are that of the strong correlations between flood-rich / flood-poor 881 phases and solar magnetic activity, AMO and NAOI, indicating a clear driver for flooding patterns 882 across Britain. The specific mechanisms that govern the relationship between the spatial/temporal 883 distribution of flood clusters and solar activity remain unclear. This work suggests that high 884 magnitude flood-rich periods relate to negative NAOI across much of the country, in western 885 catchments with a stronger westerly airflow signal significantly correlating to positive NAOI, with 886 reasonable correspondence with previously diagnosed periods of climatic variability identified from 887 individual series from across Europe. It also identifies the importance of the Atlantic Multi-decadal 888 Oscillation as a clear correlation is shown between higher North Atlantic sea temperatures and 889 increased severe flood events across much of Britain. It is worth noting that when the threshold is 890 reduced to the 0.8 percentile of events (Fig. 5), significant correlations remain between the British FI 891 and summer, winter, annual AMO (1850-) and NAOI (Trouet et al., 2009). The inclusion of historical 892 flood information provides a better understanding of long-term flood patterns. The detection of flood-893 rich periods and attribution to periods of climatic change are tentative. The historical records still 894 hold a wealth of untapped information for which specific discharges cannot be estimated, but from 895 which indices could be extracted in the future (Barriendos and Coeur, 2004). The wealth of 896 information presented by the historical records presents valuable new information for flood risk 897 assessment and management (Kjeldsen et al., 2014); as new flood chronologies become available, 898 more detailed and complete indices based chronologies will improve the resolution and enhance 899 understanding of flood-rich and -poor periods, presenting a more complete depiction of the role of 900 climate and extreme floods. Extending the records back to a millennial timeframe is possible, 901 providing valuable insights into long term trends and patterns of flood frequency and potential 902 climatic drivers of flooding.

903

## 904 Data availability

905 Discussions are currently ongoing concerning the deposition of the final datasets, this is in-part906 constrained by the requirements of data ownership of the gauged hydrological data.

907

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915

### 916 **Declaration of interests**

917 The authors declares that they have no conflict of interest.

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Figure 1: Catchments for which historical flood reconstruction has been undertaken, where a county is included in brackets multiple catchments exhibit the same name.



Figure 2: Historical flood chronologies for sites across Britain, showing events that exceed the 0.9 percentile (based on the instrumental record; river discharges are given as m<sup>3</sup>s<sup>-1</sup>). River chronologies (l-r) Findhorn; Tay; Tweed; Tyne; Eden; Ouse (Yorkshire); Dee (Wales); Trent; Severn; Thames; Ouse (Sussex); Exe; and Flood Indices 1750-2014.



Figure 3: An example newspaper supplement dedicated solely to the flooding of the River Exe, January 1866. Enlarged section highlights the detailed local level information contained within the supplement. © The British Library Board, The Exeter and Plymouth Gazette, 19 January 1866. Page 9. Supplement title: Great Flood



Figure 4: Number of floods with a recorded/estimated discharge exceeding the 0.9 threshold.



Figure 5: Historical flood chronologies (grey) by region and associated flood-rich periods (black): Britain (1750-2014); West Britain FI; East Britain FI; Scotland FI; Wales FI; Northern England FI; Southern England FI; NAO reconstruction (with 10-year running mean; Luterbacher et al., 2002), extended with CRU data; winter NAO (Trouet et al., 2009); solar magnetic (MeV, Muscheler et al., 2007); AMO grey (Gray, 2004) and black (Enfield 2001); annual stacked peat water level (10-year running mean; Charman et al., 2006); volcanic signal derived from aerosol optical depth (AOD; Crowley and Unterman, 2012) and national flood phases, using a 0.9 threshold (black) and 0.8 (grey).