

1 **Landslide susceptibility by mathematical model in Sarno**
2 **area.**

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4 **G. Capparelli¹, P. Versace¹,**

5 [1] Department of Environmental and Chemical Engineering, University of Calabria -
6 Arcavacata di Rende (CS) - Italy

7 Correspondence to: G. Capparelli (giovanna.capparelli@unical.it)

8

9 **Abstract**

10 Rainfall is recognized as a major precursor for many types of slope movements. Technical
11 literature reports many examples both of study cases and models related to landslides induced
12 by rainfall. Subsurface hydrology has a dominant role since changes in the soil water content
13 affect significantly the soil shear strength. The analytical approaches are very different,
14 ranging from statistical models to distributed models and complete, these last ones able to
15 take several components into account, including specific site conditions, mechanical,
16 hydraulic and physical soil properties, local seepage conditions, and the contribution of these
17 to soil strength. The paper reports a study carried out by using a complete model, named
18 SUSHI (Saturated Unsaturated Simulation for Hillslope Instability), on a case of great interest
19 both for the complexity of the phenomenon and the severity with which it occurred.

20 The landslide-prone area is located in Campania region (Southern Italy), where disastrous
21 mud-flows occurred in May 1998. The region has long been affected by rainfall-induced slope
22 instabilities that often involved large areas, causing many victims. The applications allowed
23 understanding better the role of the rainfall infiltration and of the suction changes in the
24 triggering mechanism of the phenomena. These changes must be carefully considered when
25 dealing with slope stability conditions for assessing hazard conditions and planning
26 engineering works.

27

28

1 **1 Introduction**

2 The problems and the damages caused by landslides become complex and worrisome,
3 accounting each year for huge property damage in terms of both direct and indirect costs.
4 Social and economic losses due to landslides can be reduced by means of effective planning
5 and management. The approaches include actions like the limitation of development in
6 landslide-prone areas, the use of appropriate construction rules, the use of physical measures
7 to prevent or control landslides and the setting up early warning systems. To address solutions
8 to the landslide problem, it is necessary to develop a better understanding of landslide hazard
9 regard the trigger mechanisms, propagation and impact structures.

10 Landslides can be attributed to a number of factors, such as geologic features, topography,
11 vegetation, weather, or their combinations. Among the factors which contribute to the
12 occurrence of these phenomena, rainfall is one of the most important.

13 As a result of rainfall events and subsequent infiltration into the subsoil, the soil moisture can
14 be significantly changed with a decrease in matric suction in unsaturated soil layers and/or
15 increase in pore-water pressure in saturated layers. As a consequence, in these cases, the shear
16 strength can be reduced enough to trigger the failure.

17 The occurrence of the phenomena is also influenced by heterogeneity of hydraulic and
18 geotechnical properties and water interaction. The complex hydrological responses of natural
19 slopes are strongly influenced by the infiltration into unsaturated soil, generation of surface
20 runoff, slope-parallel flow of a perched groundwater table, subsurface flow from upstream
21 area, effect of vegetation, flow through macropores and discontinuities and into fractured
22 bedrock. All these issues affect the predictive ability of the simulation models and,
23 sometimes, the comprehension of the phenomena they can provide.

24 In addition, the shear strength contribution from soil suction above the groundwater table is
25 usually ignored if the major portion of the slip surface is below the groundwater table. But
26 negative pore water pressures can no longer be ignored in situations characterized by deep
27 ground water table and shallow failure surface (Lu and Godt, 2013).

28 Technical literature reports many analytical approaches that differ for: the spatial scale range
29 adopted that varies from wide area, up to ten of thousands kilometers, to small area, that can
30 be reduced to a single landslide; the quality and quantity of hydrologic, hydraulic and

1 geotechnical available data; the adopted detail for describing the hydrological and
2 geotechnical mechanisms in slope.

3 Very popular models are the hydrological models that directly analyze the rainfall identifying
4 the threshold values. These values are assumed on the basis of historical available data, are
5 drawn in the intensity-duration plot as proposed by Caine (1980) and provide lower limit of
6 rainfall associated to the occurrence of landslides, shallow landslides and debris flows
7 (Guzzetti, 2008).

8 Other types of rainfall thresholds (Glade, 2000; Rahardjo et al., 2001) consider the effect of
9 the antecedent rainfall precipitation more important than the rainfall recorded on the day of
10 landslide occurrence. Usually this type of approach is related to the study of more complex
11 landslides. The influence of antecedent rainfall on the slope stability is a topic of discussion
12 (Martelloni et al., 2011).

13 These models are an important tool to support the prediction of landslides, applicable for
14 early warning system (Capparelli & Tiranti 2010) and over wide areas. However, they don't
15 provide any information about the hydrological processes involved in a landslide area and
16 don't improve our understanding of landslide dynamics.

17 On the contrary, complete models can help in understanding triggering mechanisms since
18 they attempt to reproduce the physical behavior of the processes involved at hillslope scale,
19 employing detailed hydrological, hydraulic and geotechnical information (Montgomery and
20 Dietrich, 1994; Pack et al., 1998; Rigon et al, 2006; Tsai et al., 2008).

21 These models develop analysis over wide areas and usually produce a susceptibility map
22 characterizing the landslide prone zones according to a stability index. They are generally
23 composed by an hydrological and geotechnical module. While the computation of the safety
24 factor, in most cases, is performed by the limit equilibrium method, under the assumption of
25 infinite slope, the hydrological modules present substantial differences.

26 The approach proposed in Shalstab (Montgomery and Dietrich, 1994) supposes a constant
27 infiltration rate, neglects soil moisture above the water table, does not take into account the
28 transient response to rainfall and considers the groundwater flows parallel to the slope. The
29 assumptions are too restrictive, for example, when pore water pressure responds very quickly
30 to transient rainfall and its redistribution has a large component normal to slope.

1 Wu and Sidle (1995) combined also the infinite slope equation with a subsurface flow model
2 based on the kinematic wave approximation, taking also into account the vegetation root
3 strength. An enhanced version of this model is proposed by Dhakal and Sidle (2004) that
4 investigate the influence of different rainfall characteristics on slope stability.

5 Iverson (2000) developed a flexible modeling framework by modeling a one-dimensional
6 linear diffusion process in saturated soil and using an analytical solution of the Richards
7 equation. The model is valid for hydrological modeling in nearly saturated soil. According to
8 this hypothesis, established to find an analytical solution of pressure heads, the infiltration
9 capacity is assumed to be equivalent to the saturated hydraulic conductivity, instead of
10 considering it as variable with time during the rainfall event. Furthermore, the Author
11 considers ground surface of hillslope subject to a uniform rainfall.

12 For taking into account the variability of rainfall intensity and duration, dynamic or quasi-
13 dynamic models have been introduced (Baum et al. 2002). The model, TRIGRS 1.0, allows a
14 more precise description of slope hydrology but requires a large number of parameters.

15 Recently, TRIGRS 2.0 (Baum et al., 2010) allows calculating the filtration process in
16 unsaturated soils coupled with a diffusive propagation in saturated soils.

17 The scheme proposed by Iverson has been adopted in D'odorico et al (2005) to investigate the
18 effect of hyetograph characteristics on landslide potential.

19 For taking into account of hydrological phenomena Arnone et al. (2011) proposed the tRIBS
20 model (Triangulated Irregular Network Real-Time Integrated Basin Simulator) that allows
21 simulation of most of spatial-temporal hydrologic processes (infiltration, evapotranspiration,
22 groundwater dynamics and soil moisture conditions) that can influence landsliding.

23 Most of the aforementioned approaches rely on the restrictive assumption of a steady-state
24 subsurface flow, which can affect the predictive capability of the models both in terms of
25 accuracy and timing of the prediction. Any model must always be validated regardless the
26 implemented schemes by checking, for example, the accuracy of the simulation with the
27 available experimental data or real case. The results can sometimes be very different applying
28 different models to the same event, how described in Sorbino et al (2010) that illustrate how
29 applying three different physically based models (SHALSTAB, TRIGRS and TRIGRS-
30 unsaturated) on the same set of geo-environmental cases different results were obtained. The
31 results reveal the advantages and limitations of each model in landslide forecasting.

1 For sure, these types of spatial distributed modeling are well-suited for shallow landslides but
2 in larger landslides their efficiency is decreased by the higher complexity of the phenomena
3 (van Westen et al.2003).

4 A detailed analysis of the individual mechanisms which occur in favor of landslide triggering
5 is required for proper investigation by using complete models that can reproduce the spatial
6 and temporal pattern of water flows in very well detailed domains.

7 In this work the complete model named SUSHI, Simulation for Saturated Unsaturated
8 Hillslope Instability, (Capparelli and Versace, 2011) is applied in a very complex case to
9 improve the understanding of the slope failure mechanism during rainfall infiltration.

10 SUSHI model takes into account several components, as specific site conditions, mechanical,
11 hydraulic and physical soil properties, locale seepage conditions and their contribution to soil
12 strength.

13 It is composed by a hydraulic module, to analyse the subsoil water circulation due to the
14 rainfall infiltration under transient conditions and by a geotechnical module, which provides
15 indications regarding the slope stability starting from limited equilibrium methods.

16 The hydraulic process is illustrated by the implementation of finite difference procedure that
17 solves Richard's equation which is used to represent saturated/unsaturated flow within a
18 hillslope. The temporal and spatial distribution of moisture content in subsurface are
19 performed in order to evaluate different contribution as downslope and vertical components
20 in flow regime in hillslope by unsteady rainfall.

21 Furthermore, the model was developed in order to be suitable for cases with strongly
22 heterogeneous soils, irregular domains and boundary conditions variable in space and time.
23 After a brief description of the model, the paper describes the analysis and the representative
24 results obtained for the volcanoclastic covers of Sarno (Campania region - Southern Italy),
25 where dangerous mud flows occurred in May 1998.

26

27 **2 SUSHI model framework**

28 The model is based on the combined use of two modules: HydroSUSHI, aimed at studying
29 subsoil water circulation and GeoSUSHI, suited for evaluating the degree of slope stability.
30 Infiltration analysis is carried out by using Richards' equation (1931), expressed as pressure-

1 based methods to enable applications for layered soils and transient flow regime for both
2 saturated and unsaturated conditions.

3 HydroSUSHI analyses subsoil water circulation in a spatial 2D domain which can be
4 characterized by irregular soil stratigraphy with different hydrogeological properties.

5 By adopting a Cartesian orthogonal reference system Oxz , with z -axis positive downwards,
6 the governing differential equation is:

$$7 \quad \vec{\nabla} \left[K(\psi) \vec{\nabla}(\psi - z) \right] = [C(\psi) + S_e(\psi) S_s] \frac{\partial \psi}{\partial t} \quad (1)$$

8 where $K(\psi)[L/T]$ is the hydraulic conductivity which depends on pressure head $\psi[L]$ for
9 unsaturated soils (ignoring soil anisotropy). The formula on the right was modified to
10 simulate water flow in both unsaturated and saturated zones, so avoiding the use of different
11 algorithms for the resolution of parabolic and elliptic equations respectively (Paniconi et al.,
12 1991). $C(\psi) = \partial \theta / \partial \psi [L^{-1}]$ is specific soil water capacity in the unsaturated zone, which
13 represents the rate at which a soil absorbs or releases water when there is a change in pressure
14 head; $S_s [L^{-1}]$ is the specific volumetric storage. Effective Saturation $S_e[\psi] = (\theta - \theta_r) / (\theta_s - \theta_r)$,
15 where θ is the water content, θ_s is the porosity and θ_r is the residual water content, can be
16 computed using the Soil Water Retention Curve (van Genuchten and Nielsen, 1985). The
17 saturated flow equation is simply a special case of Richards's equation in which the
18 conductivity and storage terms are not functions of pressure head.

19 Recently, this module was upgraded through the integration of a method for the
20 evapotranspiration process description, even if this component usually produces secondary
21 effects when slope mobilizations occur in very rainy periods (Capparelli and Versace 2011).
22 Since the study case proposed in the paper develops into a winter season, the effects related to
23 evapotranspiration were neglected, because totally irrelevant in understanding the dynamics
24 occurred.

25 Richards' equation does not allow analytical solutions unless in cases where simplifying
26 hypotheses and /or particular boundary conditions are introduced, (Iverson, 2000; Srivastava e
27 Yeh, 1991). In HydroSUSHI module the finite differences (FDM) scheme and the fully
28 implicit method are adopted.

1 Examples of finite difference algorithms which deal either variably saturated or fully
 2 unsaturated conditions are proposed by Freeze (1978) and Vauclin et al. (1979). The finite-
 3 difference method is one of the oldest numerical methods known for solving partial
 4 differential equations (pde). In this approach, the continuous problem domain is discretized so
 5 that the dependent variables are considered to exist only at discrete points.

6 Figure 1 draws an example of spatial discretization which is composed by regular mesh
 7 $\Delta x; \Delta z$. The size to be assigned to $\Delta x; \Delta z$ should be suitably selected depending on the
 8 complexity of the stratigraphy. It is important, in fact, to ensure a faithful reproduction of the
 9 layers, so as to guarantee a realistic representation of water flow exchanges.

10 With reference to the generic node with coordinates $x = x_0 + i\Delta x$, $z = z_0 + j\Delta z$ according to
 11 the finite difference scheme, the equation 1, can be written as:

$$\begin{aligned}
 & \frac{1}{\Delta x} \left[K(\psi_{i+1/2,j}^{(k+1)}) \left(\frac{\psi_{i+1,j}^{(k+1)} - \psi_{i,j}^{(k+1)}}{\Delta x} \right) - K(\psi_{i-1/2,j}^{(k+1)}) \left(\frac{\psi_{i,j}^{(k+1)} - \psi_{i-1,j}^{(k+1)}}{\Delta x} \right) \right] \\
 & + \frac{1}{\Delta z} \left[K(\psi_{i,j+1/2}^{(k+1)}) \left(\frac{\psi_{i,j+1}^{(k+1)} - \psi_{i,j}^{(k+1)}}{\Delta z} - 1 \right) - K(\psi_{i,j-1/2}^{(k+1)}) \left(\frac{\psi_{i,j}^{(k+1)} - \psi_{i,j-1}^{(k+1)}}{\Delta z} - 1 \right) \right] \\
 & = C_{SU}(\psi_{i,j}^{(k+1)}) \left(\frac{\psi_{i,j}^{(k+1)} - \psi_{i,j}^{(k)}}{\Delta t} \right) \tag{2}
 \end{aligned}$$

13 where $C_{SU} = [C(\psi) + S_e(\psi)S_s]$, the subscripts $i \pm 1/2, j$ and $i, j \pm 1/2$ indicate quantities
 14 evaluated at the spatial coordinates $(x_0 + (i \pm 1/2)\Delta x, z_0 + j\Delta z)$, and
 15 $(x_0 + i\Delta x, z_0 + (j \pm 1/2)\Delta z)$, Δt is the time step, the superscripts (k) and $(k+1)$ indicate
 16 quantities referring to time instants $t = t_0 + k\Delta t$ and $t = t_0 + (k+1)\Delta t$.

17 To solve the equation (1) boundary conditions along the edges of the domain problem must be
 18 specified. A general form of the boundary conditions for this pde can be written (McCord,
 19 1991):

$$\alpha(\zeta)\psi + \beta(\zeta) \frac{\partial \psi}{\partial n} \Big|_{\partial G} = B(\zeta, t) \tag{3}$$

21 where $\alpha(\zeta), \beta(\zeta)$ and $B(\zeta, t)$ are given functions evaluated on the boundary region ∂G , the
 22 expression $\partial / \partial n$ is normal derivative operator and ζ it spatial local vector.

1 By applying this general formulation for water flow modelling the conditions become (figure
 2 1): along the basal impermeable boundary BC and vertical boundary AB a Neumann
 3 condition is considered, with flux equal to zero, then $\alpha(\zeta)=0, \beta(\zeta)=K(\zeta)$ and
 4 $B(\zeta, t)=q(\zeta, t)=0$. In terms of total hydraulic head $h = \psi + z$:

$$5 \quad \left. \frac{\partial h}{\partial z} \right|_{BC} = 0 \quad (3.1)$$

$$6 \quad \left. \frac{\partial h}{\partial x} \right|_{AB} = 0 \quad (3.2)$$

7 For vertical down-slope side DC we take into account the influence of the increasing
 8 subsurface flow, by considering both situations of unsaturated and saturated layers. This is
 9 computed by adopting boundary conditions moving from Neumann to Dirichlet condition,
 10 with specified flux or pressure head respectively, $\alpha(\zeta)=1, \beta(\zeta)=0$ and $B(\zeta, t)=h(\zeta, t)$.
 11 Then:

$$12 \quad \left. \frac{\partial h}{\partial x} \right|_{DC} = q(x, t) = 0 \quad (3.3)$$

$$13 \quad \psi|_{DC} = 0 \quad (3.4)$$

14 On the upper boundary AD we allow a time-dependent rainfall $r[L/T]$. The boundary
 15 condition can be stated by considering the infiltration rate $I(x, t)$ as:

$$16 \quad I(x, t) = K(x, t) \left. \frac{\partial h(x, t)}{\partial z} \right|_{AD} \quad (3.5)$$

17 In particular:

$$18 \quad \begin{cases} I(x, t) = r(x, t) & \text{if } r(x, t) \leq K(x, t) \frac{\partial h(x, t)}{\partial z} \\ I(x, t) = K(x, t) \frac{\partial h(x, t)}{\partial z} & \text{if } r(x, t) > K(x, t) \frac{\partial h(x, t)}{\partial z} \end{cases} \quad (3.5a)$$

19 The value $K(x, t)$ will depend on the values of $\psi(x, t)$ at the point x at time t and on the
 20 nature of the $K(\psi)$ curve for the surface soil at x .

21

1 Validation tests were carried out by the comparison of HydroSUSHI outputs with
2 experimental solutions proposed in Vauclin et al. (1979), in Paniconi & Putti (1994) and with
3 the suction data collected by the jet fill tensiometers located in a pilote site (Capparelli and
4 Versace, 2011). The comparison of results for both applications was satisfactory and
5 confirmed the capability of the model to simulate groundwater circulation.

6 Concerning GeoSUSHI module, stability analysis is performed for better understanding of the
7 role of negative pore-water pressures (or matric suction) in increasing the shear strength of the
8 soil.

9 It may be a reasonable assumption to ignore negative pore-water pressures for many
10 situations where the major portion of the slip surface is below the groundwater table.
11 However, for situations where the groundwater table is deep or where concern is over the
12 possibility of shallow failure surface, negative pore-water pressures can no longer be ignored.

13 Recently Lu and Godt (2013) present an interesting work on understanding and quantifying
14 the hydro-mechanical processes for predicting the spatial and temporal occurrence of
15 landslide. The Authors provide quantitative treatments of rainfall infiltration, effective stress,
16 their coupling and roles in hillslope stability, by introducing a unified effective stress
17 framework linking soil suction to effective stress.

18 The procedure here proposed is an extension of conventional limit equilibrium methods
19 adapted for the unsaturated soils as suggested by Fredlund and Rahardjo (1993).

20 The shear strength of an unsaturated soil can be formulated in terms of independent stress
21 state variables $(\sigma - u_a)$ and $(u_a - u_w)$ as follows:

$$22 \quad \tau_{ff} = c' + (\sigma_n - u_a)_f \tan \phi' + (u_a - u_w)_f \tan \phi^b \quad (4)$$

23 where the subscripts f indicate quantities evaluated at on the failure plane at failure, the τ_{ff}
24 is shear stress, c' is effective cohesion, $(\sigma_n - u_a)_f$ net normal stress state, u_a pore-air
25 pressure, ϕ' effective friction angle, $(u_a - u_w)$ matric suction, ϕ^b angle indicating rate of
26 increase in shear strength relative to the matric suction. In practical applications, this last term
27 is evaluated using the expression proposed by Vanapalli et al. (1996). The equation (4) is an
28 extension of shear strength equation for a saturated soil. As the soil approaches saturation, the
29 pore-water pressure, u_w , approaches the pore-air pressure u_a and matric suction $(u_a - u_w)$

1 goes to zero. The General Limit Equilibrium method (i.e GLE) provides a general theory
2 wherein other methods can be viewed as special cases. It's well known, the elements used in
3 GLE method for deriving the safety factor (FS) are the summation of forces in two directions
4 and of the moments about a common point (Fredlund and Rahardjo;1993).Calculations for the
5 stability of a slope are performed by dividing the soil mass above the slip surface into vertical
6 slices. The mobilized shear force at the base of a slice can be written using the shear strength
7 for an unsaturated soil:

$$8 \quad S_m = \frac{\beta}{FS} [c' + (\sigma_n - u_a) \tan \phi' + (u_a - u_w) \tan \phi^b] \quad (5)$$

9 where S_m is the shear force mobilized on the base of the slice; β sloping distance across the
10 base of a slice; FS safety factor which defined as the factor by which the shear strength
11 parameters must be reduced in order to bring the soil mass into a state of limiting equilibrium
12 along the assumed slip surface.

13

14 **3 General description of the investigated context.**

15 The case study proposed in the paper is located in Campania region (Southern Italy), where
16 catastrophic flowslides and debris flows in pyroclastic soils are very usual. A brief list of
17 some recent events is reported in Table 1 which includes also information about the size of
18 the landslide.

19 Pizzo d'Alvano is a NW-SE oriented morphological structure, consisting of a sequence of
20 limestone, dolomitic limestone and, subordinately, marly limestone dating from the Lower to
21 Upper Cretaceous age. The slopes are mantled by very loose pyroclastic soils that are the
22 result of explosive activity of the Somma-Vesuvius volcanic, both as primary air-fall deposits
23 and volcanoclastic deposits, according to the mode of transport and deposition (Rolandi,
24 1997).

25 Air-fall deposits were dispersed from N-NE to S-SE, according to prevailing wind direction
26 and covered a wide area reaching distances up to 50 km. Pumiceous and ashy deposits
27 belonging to at least 5 different eruptions were recognized. From the oldest to the youngest,
28 they are: Ottaviano (8000 years b.p.; E-NE dispersion direction), Avellino (3800 years b.p.;
29 E-NE dispersion direction), 79 A.D. (E-SE dispersion direction), 472 A.D. (N-NE dispersion
30 direction), 1631 A.D. (N-NE dispersion direction). The deposits are affected by pedogenetic

1 processes determining paleosol horizons during rest phases of the volcanic activity. The total
2 thickness of the pyroclastic covers in these areas ranges between few decimetres to 10 meters,
3 near to the uppermost flat areas. The general structure of the soil progressively adapts itself to
4 the morphology of the calcareous substratum showing, therefore, complex and variable
5 geometries (Rolandi,1997)

6 **3.1 Shallow landslide events and main interpretations**

7 On May 5, 1998, a huge number of mud flows were triggered on the slopes of the Pizzo
8 d'Alvano massif, (Figure 2) involving an extension area of around 60 Km², a volume of
9 2.000.000 m³ (40% derived from the eroded materials along the channels) and causing 165
10 victims and huge damages to urban centres Sarno, Quindici, Siano and Bracigliano.

11 These landslides were classified as very rapid to extremely rapid soil slip/debris flows (Ellen
12 e Fleming, 1987) that travelled down-slope and then propagated in highly urbanized areas.

13 A characteristic element is the run-out distances that ranged from a few hundred meters up to
14 distances greater than 2 km (Revellino et al.,2004) and speeds that, at the toe of channels,
15 were estimated to be in the range of about 5–20 m/s.

16 Many similar phenomena have afflicted various other parts of the world, (Japan in 1985, the
17 west coast of United States – California (1973, 1982, 2005), Brazil (1967), Venezuela (1999)
18 sometimes involving similar pyroclastic soils. Even in Italy, as the disastrous mudslide with a
19 volume of 180.000 m³ which completely destroyed the Val di Stava village (it was July 19,
20 1985), due to the failure of two settling basins for industrial use, which caused the death of
21 268 people .

22 Although the triggering mechanism are different and sometimes the involved soils are not
23 always similar, the common feature seems to be the presence of particles with a high porosity
24 and a very low degree of cementation, which have a sudden change due to the action of an
25 external agent (such as an earthquake or more often a rainfall event) which produces a rapid
26 increase in pore water pressure.

27 A singularity of the landslides occurred in May 1998, which made the events even more
28 tragic, is represented by their simultaneity; the distribution in time and space, the volume of
29 material, take an unusual connotation. These phenomena are particularly dangerous and
30 destructive due to the lack of clear warning signs, the high capacity erosive.

1 They were analyzed in several papers that indicate the most significant geomorphological,
2 hydrological and geotechnical features of the involved slopes and models for the triggering
3 mechanisms and propagation of landslides.

4 Cascini et al (2008) argue that the instabilities in Sarno was caused by a combined effect of
5 water infiltrated in the surface layers and the one coming from the bedrock in correspondence
6 to a temporary spring. This assumption was introduced considering, in the following years,
7 springs from the bedrock were recorded during spring season. The main hypothesis is that the
8 rainfall recorded in the hours immediately before the landslide events, has contributed to
9 change the surface soil water circulation, in a slope already at limit of the equilibrium.

10 Calcaterra et al (2000) discuss the role played by groundwater circulation inside both the
11 pyroclastic deposits and the karst cavities of the underlying limestone bedrock.

12 Even before the events of 1998, other Authors (Celico et al 1986) analyzing some events that
13 occurred in pyroclastic covers in Campania region, have considered important not so much
14 the previous daily rainfall as its relationship with the accumulated rainfall in the days or
15 weeks before the landslide events.

16 The importance of soil water circulation is relevant due to the typical stratification pyroclastic
17 covers, where one or more layers of pumice, with high permeability and layers of paleosoils,
18 with lower permeability, are present. This situation encourages, when persistent rainfall
19 events occur, runoff sub-surface conditions that may predispose to the slope instability in
20 limited area. There is also discussion between who supports the importance of vegetation and
21 plant roots and analyzes the possible relations with the instability (Mazzoleni et al, 1998).
22 They emphasize the highly dynamic nature of the whole soil-vegetation, in which the
23 hydrological processes can vary greatly as a result of the dynamics of vegetation. Abrupt
24 changes in vegetation cover can produce equally rapid effects on soil and water regime.

25 Other authors, however, emphasize the role of the suction levels both to explain the trigger
26 mechanisms and the condition that guarantees the stability in high slope (Greco et al., 2013).

27 Among the reasons, many authors have emphasized the importance of liquefaction with the
28 sudden change of the soil, a structure initially characterized by a solid skeleton with a flow
29 characterized by a fluid-like behavior (Olivares and Picarelli 2003).

1 **3.2 In-situ conditions and information about rainfall triggering event**

2 In the years following the landslide events, many field surveys have been carried out in these
3 areas, in order to assess the nature of the soil, the hydraulic and geotechnical characteristics.

4 For assessing the influence in the triggering mechanism, suction measurements were also
5 performed along the Tuostolo basin (Sarno area), very close to areas collapsed in May 98
6 (Cascini e Sorbino, 2004), using “Quick-Draw” portable tensiometers and “Jetfill” in-place
7 tensiometers.

8 These measurements were taken at three sites (Figure 3), at different depths from the ground
9 surface. In particular, site n.1 was located in an area not affected by the landslides in 1998;
10 sites 2 and 3 in landslide source areas. A significant data scattering can be noted (Figure 4),
11 essentially related to the differences among the sites, the depths at which the measurements
12 were carried out, and also to the local factors that induce changes at the end of the dry season
13 when the acquired data show suction levels very high (around 65 kPa). The data also confirm
14 a high sensitivity of the safety factor regarding the values of the cohesion instead of change in
15 friction angle. The pyroclastic deposits covering the affected areas rest on slopes with high
16 slope angles greater than 40° and also have thicknesses ranging from a few centimetres to
17 few meters. In such geomorphological conditions, the soil suction, which increases the shear
18 strength, is a major contributor to the stability, especially at slopes higher than the friction
19 angle of the involved soils. The in-situ investigations show how the pyroclastic covers have
20 friction angles between 32° and 38° and effective cohesion ranging from 0, pumice not
21 reworked, to 4 -5 kPa for the ashy layers. These considerations justify the interest for the
22 suction assessment in these pyroclastic soils as a major predisposing cause, if not the largest.
23 The availability of models able to simulate the circulation of water in these complex terrains
24 can provide useful tool for better understanding these phenomena.

25 The rainfall data was recorded by Sarno - Santa Maria La Foce rain gauge, located at 192m
26 a.s.l , lower than the landslide source areas ,700m a.s.l.

27 The rainfall event, occurred on May 1998, has not been significant, revealing return period
28 less than 5 years, but the period when it occurred makes it remarkable.

29 In fact, the monthly values of April and May in 1998 are significantly higher than the mean
30 rainfall and the maximum daily rainfall values over 1967-1997 (Table 2). Also, on May 98,
31 the rainfall has been recorded mostly in the first six days, with a total 114.6 mm. (Figure 5).

1 **4 Sushi model application**

2 Sushi model described was applied to the mudflow occurred in Tuostolo basin, highlighted by
3 the red square in Figure 2, which destroyed Sarno village.

4 The actual geometry of the mudflow is the result of the coalescence of more landslides
5 succeeded over a period of 6-8 hours.

6 The landslide has mobilized a volume of about 92,000 m³ of volcanoclastic materials resting
7 over carbonate bedrock, including the eroded material within the channel. It developed, from
8 an altitude of about 725 m, to the morphological frame, represented by sub-vertical limestone
9 wall which is situated at an altitude of about 500 m (Figure 6).

10 Most of the landslide occurred on May '98, started just in correspondence of discontinuity
11 morphological, also represented by topographic variations or anthropogenic discontinuity
12 such as roads.

13 The application was developed with the aim of establishing an interpretative model of the
14 triggering phase of the mudflow and its relations with the infiltration of rainwater in the
15 pyroclastic covers.

16 **4.1 Input data and slope scheme**

17 To define the dynamics of the water circulation in the subsoil, the solution process requires
18 the description of the investigated domain, the soil water characteristic curves, the
19 permeability functions, the mechanical properties of the involved soils, the boundary and
20 initial conditions.

21 Surveys and studies carried out by using also information available in the literature indicated
22 the presence of alternating layers of pumice with a composition and thickness related to the
23 characteristics of the eruptions and to the distance from the eruptive centres.

24 This sequence comprises both primary air-fall and volcanoclastic deposits. The primary
25 deposits are composed by alternating layers of pumice, with interbedded paleosoils. At the
26 basis of this sequence, above the bedrock, there is a layer of red-dark clayey ashy soil
27 (*“regolite”*) with rare limestone fragments.

28 By using the available topographic maps showing the ground top surface before the events,
29 the stratigraphy was acquired.

1 At the main scarp, the average thickness of the pyroclastic cover is about 4 m. From top to
2 bottom under a top soil formed by humified ashes including roots and organic matter (about
3 90 cm thick), the following layers were identified: (A) an upper layer (60 cm) of coarse
4 pumices; (B) a layer (70 cm) of paleosoil; (C) a horizon (60 cm) of finer pumices; (D) a layer
5 (80 cm) of paleosoil;(E) a bottom layer (40 cm) of weathered red-dark clayey ashy in contact
6 with the fractured limestone bedrock (Figure 7).

7 In order to determine the mechanical and hydraulic properties of the involved cover,
8 unisturbed specimens were collected, both in the investigated area and in other triggering
9 areas belong to Pizzo d'Alvano slopes. Table 3 reports the mean values of physical properties
10 of the different materials.

11 The hydraulic properties of the ashy soils in saturated conditions were investigated by means
12 of conventional permeameter tests. In the unsaturated conditions, Suction Controlled
13 Oedometer was utilized.

14 The experimental data were fitted by the expression proposed by van Genuchten and Nielsen
15 (1985). (*Top soil: $n = 1.6$ $m = 0.38$; layer A: $n = 1.71$ $m = 0.42$; layer B: $n = 1.66$ $m = 0.40$;*
16 *layer C: $n = 1.8$ $m = 0.44$; layer D: $n = 1.9$ $m = 0.47$; layer E: $n = 2$ $m = 0.50$)*

17 As can be seen in Figure 8, the obtained SWRC is typical of coarse soils with a low air-entry
18 value, a low value of residual water content and a steep slope of the curve within the
19 transition zone.

20 The values of the bubbling pressure, or air-entry tension, ψ_b , were determined through the
21 graphic method proposed by Fredlund and Xing (1994). (*Top soil: $\psi_b = 1.65(kPa)$; layer A:*
22 *$\psi_b = 0.2(kPa)$; layer B: $\psi_b = 2.5(kPa)$; layer C: $\psi_b = 0.3(kPa)$; layer D: $\psi_b = 2.5(kPa)$;*
23 *layer E: $\psi_b = 2.7(kPa)$)*

24 The variable boundary conditions have been provided by using both Dirichlet and Neumann
25 conditions. On the top (i.e. on the ground surface) flux boundary condition equal to rainfall
26 infiltration capacity was performed; the runs allow to define step by step the infiltration rate
27 for each node of the domain; on the bottom (i.e. at the contact between the pyroclastic cover
28 and the bedrock) no flux was imposed, since the bedrock was assumed impervious; similarly
29 for the upslope left side, a Neumann condition of no water flow was fixed, since the
30 morphology of the analysed area makes reasonable the hypothesis of coincidence between the

1 superficial and deep underground watershed so the contributions of fluxes coming from
2 upstream may be assumed equal to zero; for the downslope right side, along the
3 morphological frames, two different boundary conditions were imposed by using a Neumann
4 or a Dirichlet condition if saturation occurs or not respectively.

5 For the slope section of Figure 7 the mesh was constituted by 130.000 nodes, according to the
6 scheme known as mesh centered nodes, using regular quadrilateral with lengths and heights
7 respectively equal to $\Delta x = 0,20m$ $\Delta z = 0,05m$

8 The initial conditions were defined in a non-arbitrary way, due to the data provided by the
9 tensiometers that was located, as mentioned in section 3, very close to the selected study
10 area. This information has been very useful for setting initial conditions. Constant distribution
11 suction throughout the domain was firstly hypothesized by selecting, in particular, the
12 following values:

$$13 \quad \psi(x, z; t = 0) = 3;4;5;8;10;14 \quad [kPa] \quad (6)$$

14 By starting a simulation with no rain, a warm-up was performed for each of these values, to
15 allow the redistribution of water content all over the domain. The equilibrium condition was
16 reached when the standard deviation of the suction values in each node, is less than 10^{-5} .

17 The obtained distribution is compared with the available in-situ evidence recorded by
18 tensiometers at the end of summer periods, because significantly comparable with the warm-
19 up results. By comparing these profiles a strong similarity was evident with the distribution
20 performed with $\psi(x, z; t = 0) = 6kPa$. This pore water pressure distribution was set as the
21 initial condition for simulating the evolution between 1st October 1997 and 5th May 1998.

22 **4.2 Groundwater modeling and slope analysis**

23 The analyzed period was characterized by a total rainfall of 891 mm with greater values of
24 rainfall intensity occurred between the end of October and December 1997.

25 Some diagrams were prepared to provide an example of results; they outline the conditions
26 reached in two zones, considered as representative of the selected domain: one in the upslope
27 part, at $Z = 720m$ a.s.l. (hereafter referred to as "section A"), the other at the toe of the slope, at
28 $Z = 520m$ a.s.l, almost at the right boundary of the domain ("section B").

1 For each zone, the temporal distribution of suction profiles is drawn (Figure 9) plotting, in
2 particular, the most critical profile computed for each month.

3 From Figure 9a it is evident that water table is not present at the top of the slope, since the
4 values of the pressure head in the section are always lower than zero. This situation is fully
5 congruent with the morphological characteristics of the zone, where steep slopes do not allow
6 any form of accumulation. The situation is different for the Section B (Figure 9b), where the
7 lower layers reach saturated conditions, and the upper ones present higher values close to
8 saturation on 5th May. These results suggest that the saturation of the underlying layers was
9 not the only cause of the instability of the slopes, even if it contributes to this phenomenon.

10 In fact, the suction levels seem to have played an important role for the mudflows occurred in
11 May 1998. The values of rainfall heights during those days were not so extreme, but certainly
12 unusual for a late spring period. The suction values achieved on May 1998 in the lower layers
13 are not singular values: on the contrary, in previous periods the model provided quite similar
14 distributions. The main difference lies in the fact that on 5th May 1998, the vertical profiles of
15 water content present conditions close to saturation of the shallow layers.

16 This result is even more evident by analyzing the pressure profile along the slope and over the
17 whole period considered. Figure 10 shows the pore-water pressures performed at 3 m and 0.7
18 m below the ground level. The first case represents a typical situation of a relatively deep
19 layer, which reaches saturation in the first months of the rainy season and the second is
20 representative of the conditions in the upper layers.

21 In the lower layers (Fig. 10a), the pressure levels remain approximately the same with the
22 rainfall in late April and early May, while the upper layers (Fig.10b) get a sharp increase on
23 May 5 . The values reached in the month of May in the lower layers are not singular values, in
24 contrast, already at other times, the model refers distributions quite similar.

25 The substantial difference lies is that ever, as for May 5, in these distributions were added
26 conditions close to saturation of the surface layers.

27 In relation to the computed pore pressure, slope stability analysis was carried out to simulate
28 failure conditions and their correlation with increasing of soil water content.

29 Specifically, their effects on the stability were evaluated along several potential slip surfaces
30 combining the results with infinite slope analysis methods, in order to present a predictive
31 formulation of slope failures that occur as a result of rainfall events. In details, the analysis

1 was evaluated in both saturated and unsaturated conditions by using an extension of Mohr-
2 Coulomb criterion; at different depths from the ground surface the average value of pore
3 pressure was calculated, and the correspondent value of FS was estimated using the method of
4 infinite slope.

5 In details, for several depth from the ground level (0.3m, 0.7m, 1.8m, 2.1 m, 2.9m, 3.1m,
6 3.8m) the average value of pore pressure was calculated, and then the correspondent value of
7 FS was estimated using the method of infinite slope. This method is the simplest limit
8 equilibrium method for slope stability analysis and gives reliable results for slides where the
9 longitudinal dimension prevails on the depth of the landslide, as for the landslide here
10 analyzed.

11 The plots in Figure 11 provide the time sequence of the simulated FS values; these results can
12 help in understanding the evolution of the slope stability conditions.

13 The values in the lower layers are always indicative of stability; lower values, but
14 nevertheless above 1, are due to the greater thicknesses of coverage and higher values of pore
15 pressure. In the more superficial layers the trends are more variable and reveal a depth of
16 0.7m, a decrease of FS value of 0.98 on May 5, 1998.

17 This result seems to be interesting, because suggest the hypothesis that the saturation of these
18 deep layers is not the only reason of the slopes instability in the investigated context but,
19 certainly, contributes to this phenomenon. The rainfall occurred on May 98, though not
20 exceptional, are unusual for late spring; they significantly increased the level of pore water
21 pressure in the upper layers, leading to a condition of instability.

22

23 **5 Conclusions**

24 The proposed SUSHI model is able to represent, with sufficient details, the phenomena
25 induced by rainfall, in soils characterized by complex stratigraphy and hydraulic properties,
26 and represents a complete model for water circulation analysis.

27 The application in the selected slope of Sarno area (Southern Italy) has enabled the
28 reconstruction of the full development of pore pressures in colluvial layers and to distinguish
29 the conditions occurred on May 1998 from the previous ones, thus providing important
30 information to identify the possible critical conditions of these slopes. In particular, by
31 analyzing the obtained results, the role of the suction appears to have been decisive for the

1 triggering of landslide movements, consistently with the most reliable theories that attribute to
2 the dynamics of water circulation in the surface soils a primer role either for the triggering
3 phase and the subsequent propagation phase.

4 Further applications to cases recorded on 5th May 1998 and periods without landslides could
5 certainly better delineate the critical conditions and provide useful information for a possible
6 early warning system. As well further analyses should be carried out in order to better
7 evaluate the influence of the bedrock, of the road cuts located in the upper zone of the
8 triggering areas, and other factors that could have influenced the evolution of events.

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Table 1. Features of some recent flowslides in Campania Region (Versace et al., 2009)

Site	Date	Length (m)	Volume (m ³)
<i>Ischia</i>	2006	450	3*10 ⁴
<i>Cervinara</i>	1999	2*10 ³	4*10 ⁴
<i>Avella</i>	1998	15*10 ²	2*10 ⁴
<i>S. Felice a C.</i>	1998	8*10 ²	3*10 ⁴
<i>Sarno</i>	1998	2-4*10 ³	5*10 ⁵
<i>Bracigliano</i>	1998	1-2*10 ³	15*10 ⁴
<i>Siano</i>	1998	14*10 ²	4*10 ⁴
<i>Quindici</i>	1998	1-4*10 ³	5*10 ⁵
<i>Maiori</i>	1954	10 ³	5*10 ⁴
<i>Avellino</i>	2005	4*10 ²	2*10 ⁴
<i>Montoro Inf.</i>	1997	2*10 ³	3*10 ⁴

1 **Table 2.** Comparison between monthly mean and daily maximum rainfall, computed for the
 2 period 1964-1997 and the year 1998

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	Jan.	Feb.	Mar.	Apr.	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.
Monthly expected value (mm) 1964/1997	87	85	73	73	38	26	18	27	60	104	129	111
1998	77	46	44	109	150	12	6	39	122	52	124	94
Daily maximum (mm)	29	24	23	22	15	13	9	16	27	32	38	34
1998	42	21	13	37	74	6	5	20	47	17	25	37

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1 **Table 3.** Average values of pyroclastic soil properties

	<i>Top Soil</i>	<i>Pumice (A)</i>	<i>Paleosoil (B)</i>	<i>Pumice (C)</i>	<i>Paleosoil (D)</i>	<i>Regolite (E)</i>
<i>Soil properties</i>						
<i>Dry unit weight [kN/m³]</i>	10.99	6	7	6	9	10.75
<i>Saturated unit weight [kN/m³]</i>	17.2	13	13	13	15	15.3
<i>Saturated soil water content θ_s</i>	0.55	0.82	0.61	0.68	0.61	0.60
<i>Residual soil water content θ_r</i>	0.14	0.23	0.18	0.05	0.18	0.10
<i>Saturated hydraulic conductivity K_s [m/sec]</i>	3.2E-05	1.0E-03	1.0E-06	1.0E-02	4.0E-06	7.6E-07
<i>Effective cohesion c' [kPa]</i>	2	0	4.5	0	4.7	15
<i>Friction angle ϕ' [°]</i>	15	30	24	32	28	21

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2 **Figure 1.** Nodal network implemented for development of FDM equation.

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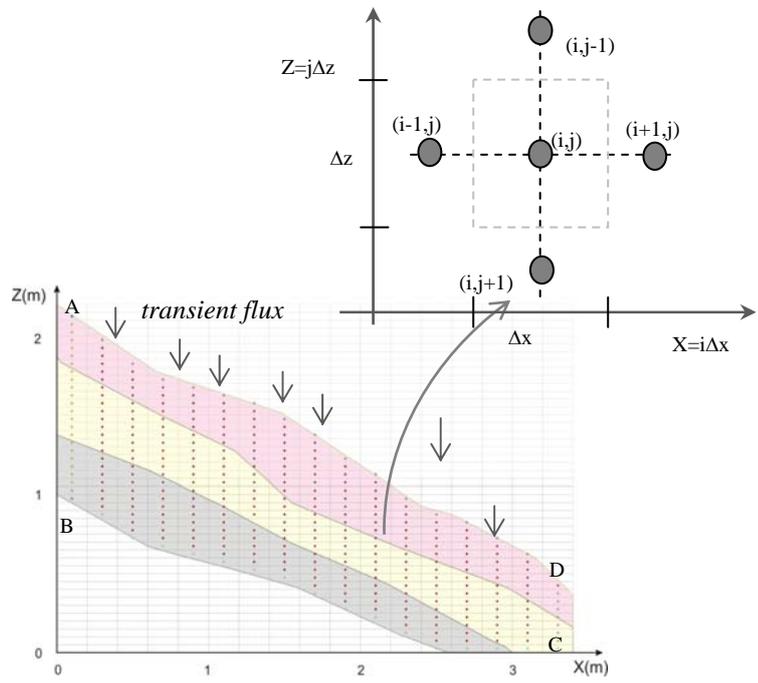
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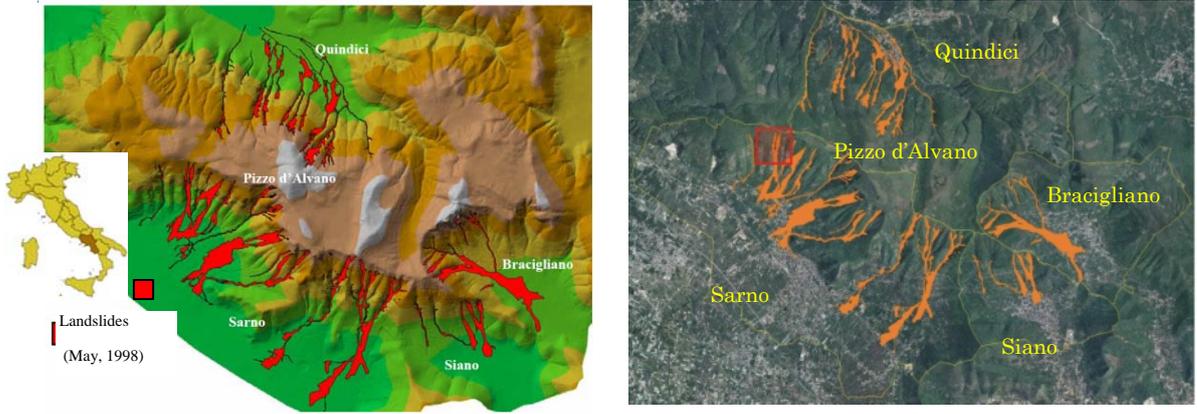
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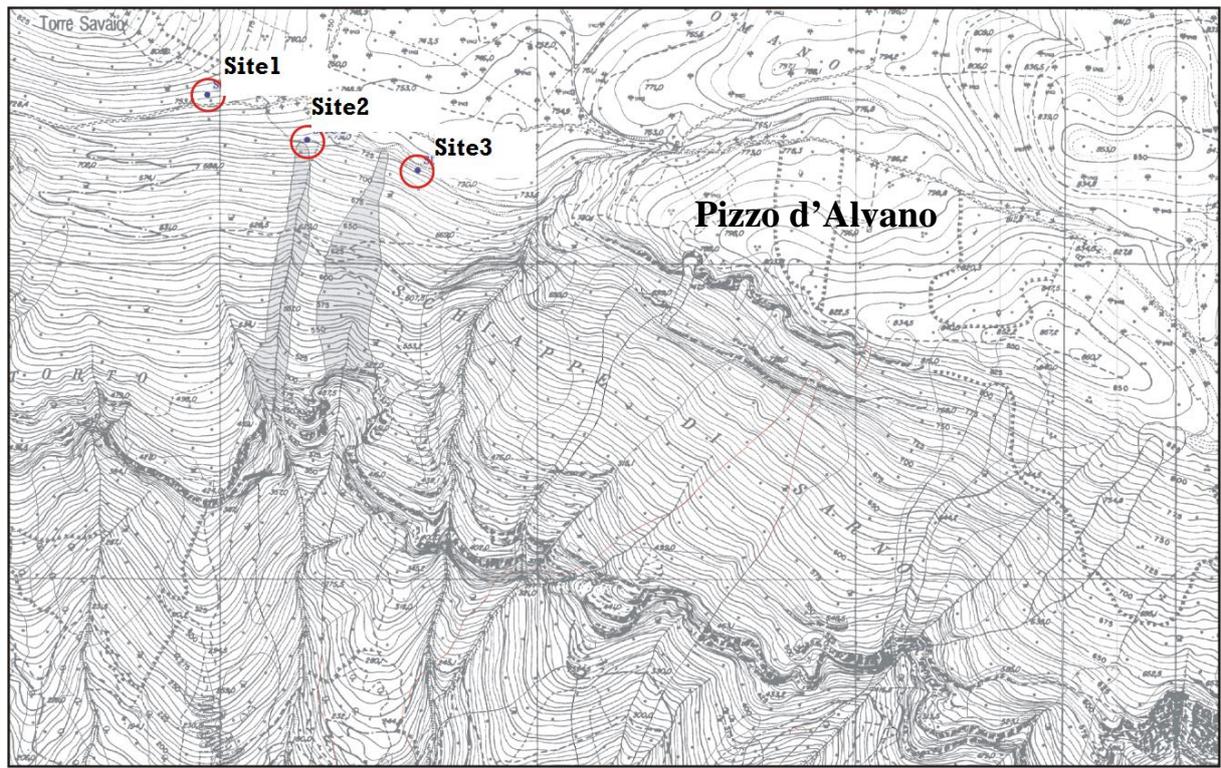
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Figure 2. Overview of Pizzo d'Alvano massif and the area affected by the May 1998 mud flows. Red square delimits the analyzed event by Sushi model



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2 **Figure 3.** Topography map and sites where suction measurements were performed.

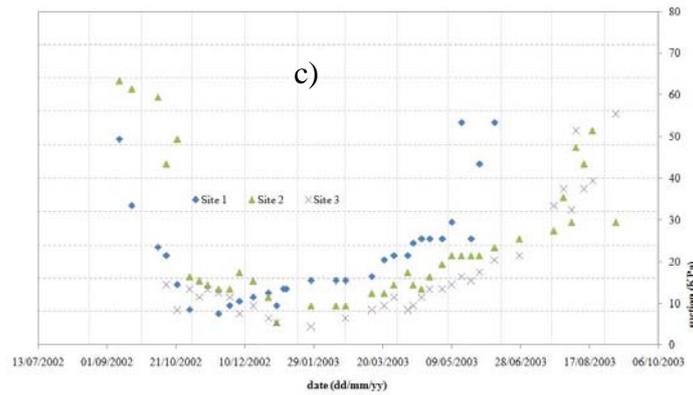
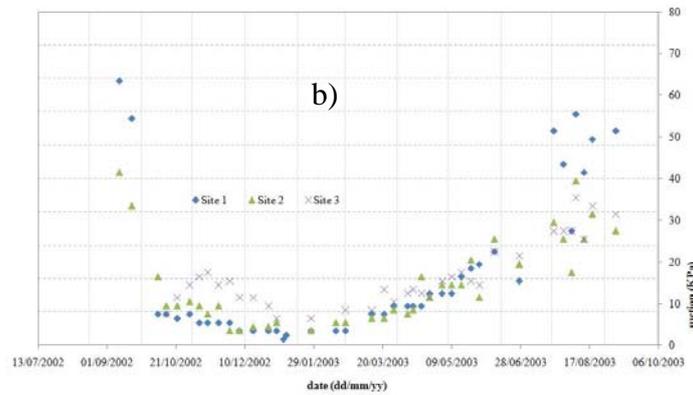
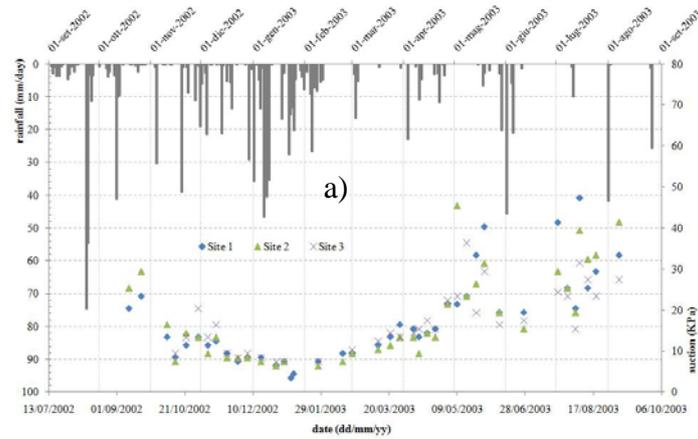


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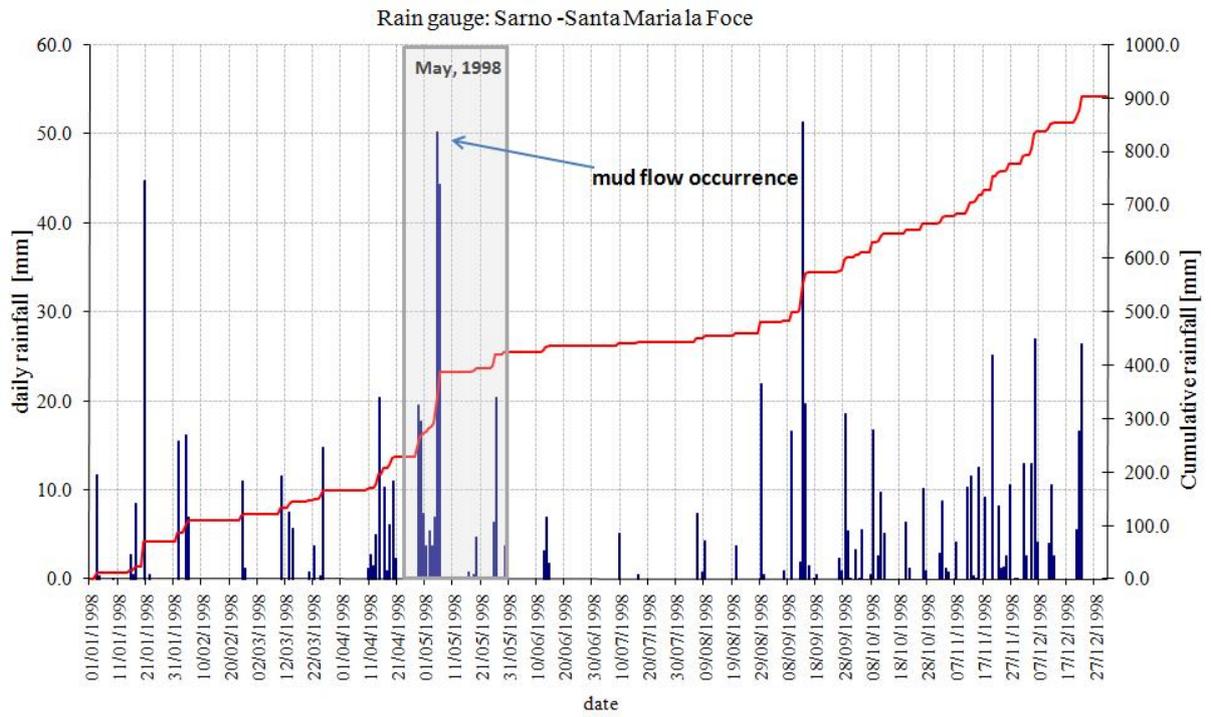
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1 **Figure 4.** Suction trends recorded along sites at 0.20 m (a), 1.00 m (b), 1.60m (c) under the
2 ground surface and daily rainfall by Santa Maria la Foce rain-gauge.



1 **Figure 5.** Comparison of daily and cumulative rainfall data recorded at Sarno- rain gauge in
2 1998.



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1 **Figure 6.** Detail pictures of case study.

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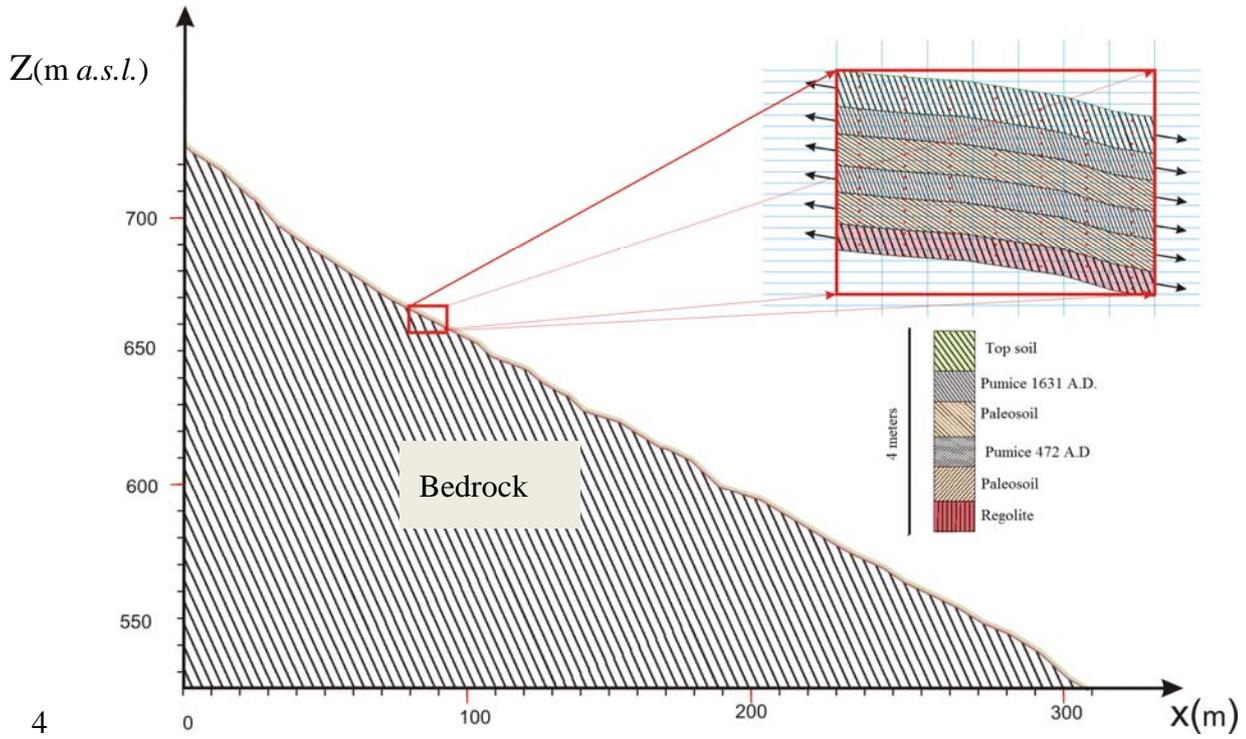
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1 **Figure 7.** Geometric and stratigraphic characterization of the investigated slope

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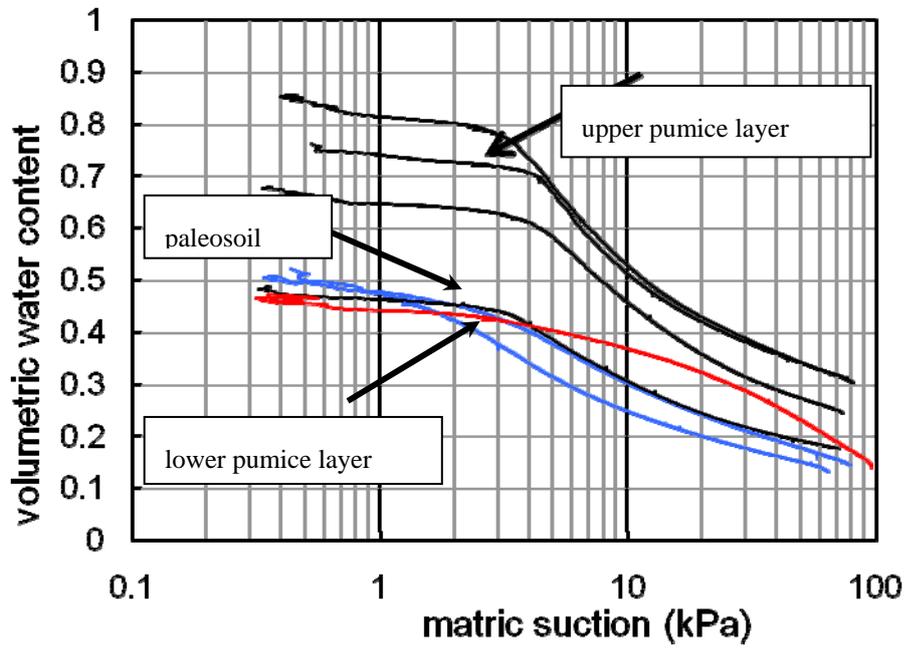
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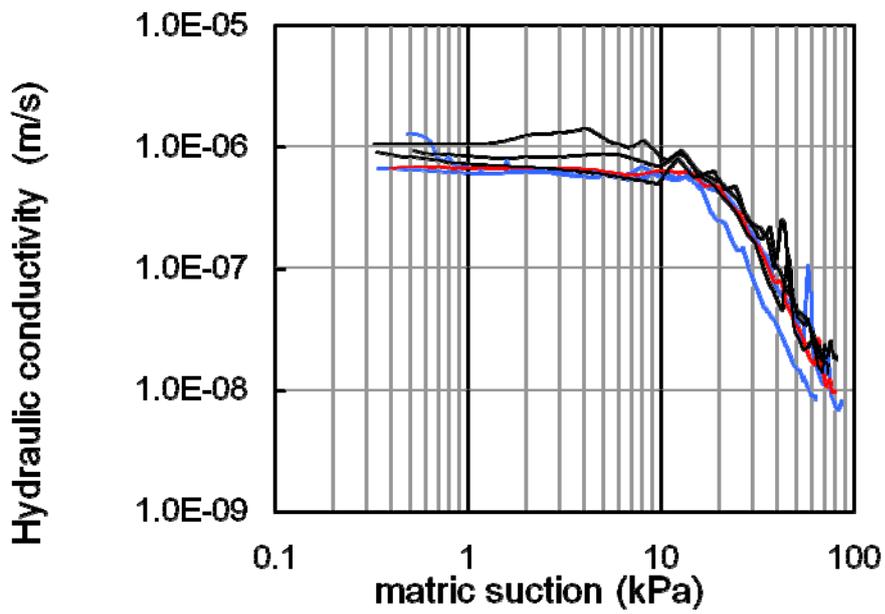
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1 **Figure 8** Soil water characteristic curves

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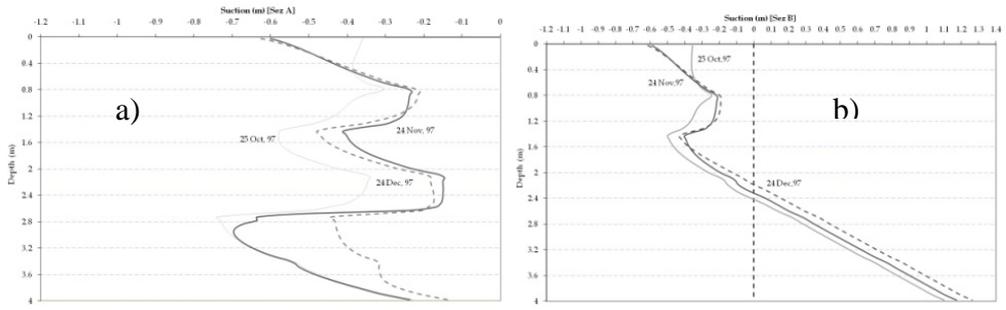
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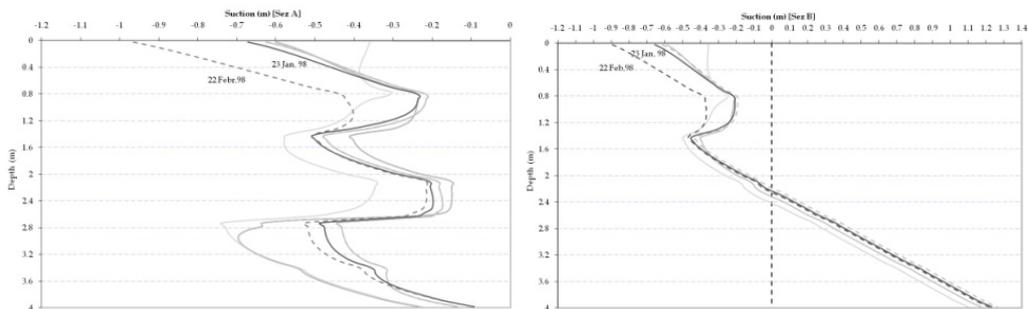
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1 **Figure 9.** Computed suction profile for (a) the upslope section (Sect A) and (b) the downslope
2 section (Sect B).

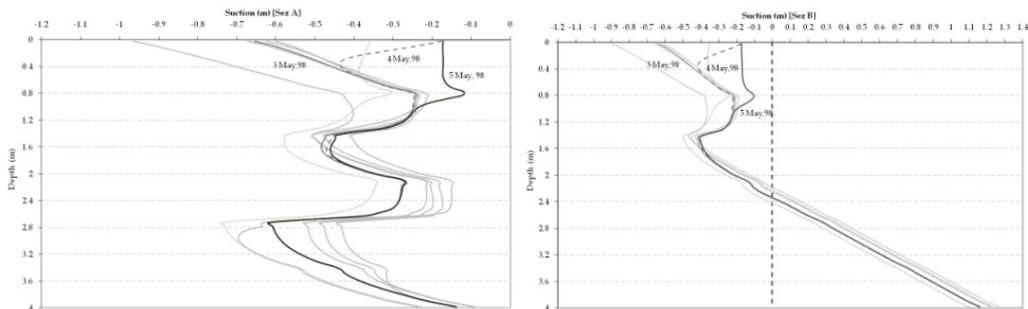
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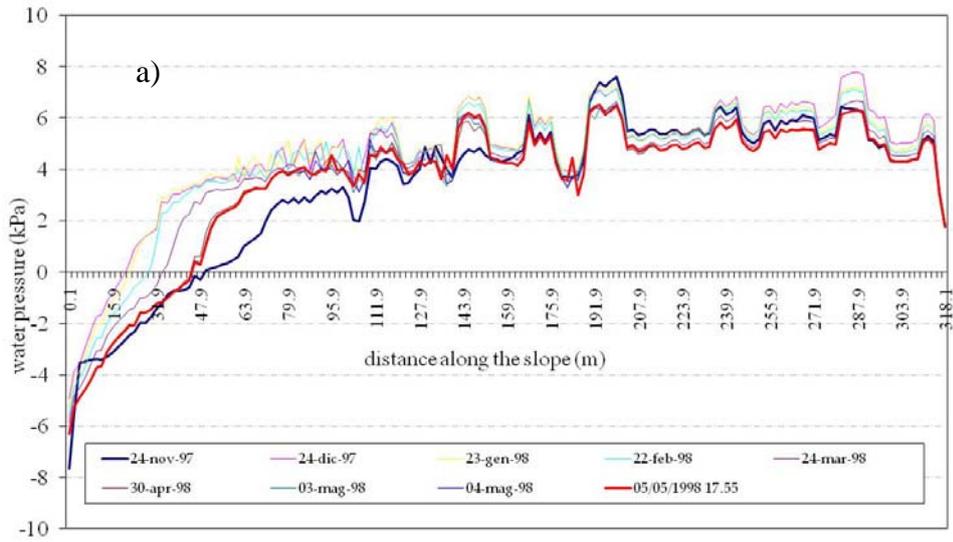
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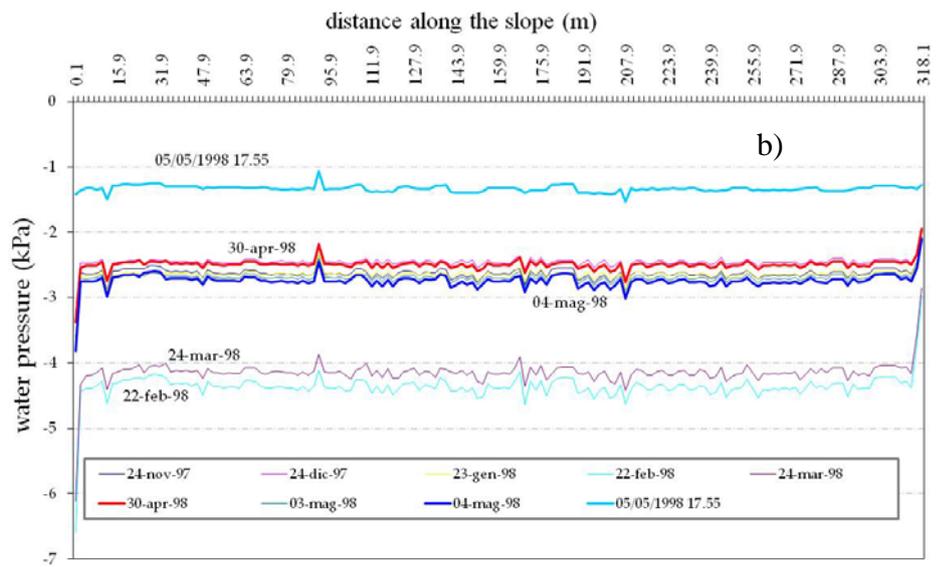
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1 **Figure 10** Pore-water pressures performed at (a) 3 m and (b) 0.7 m below the ground surface.

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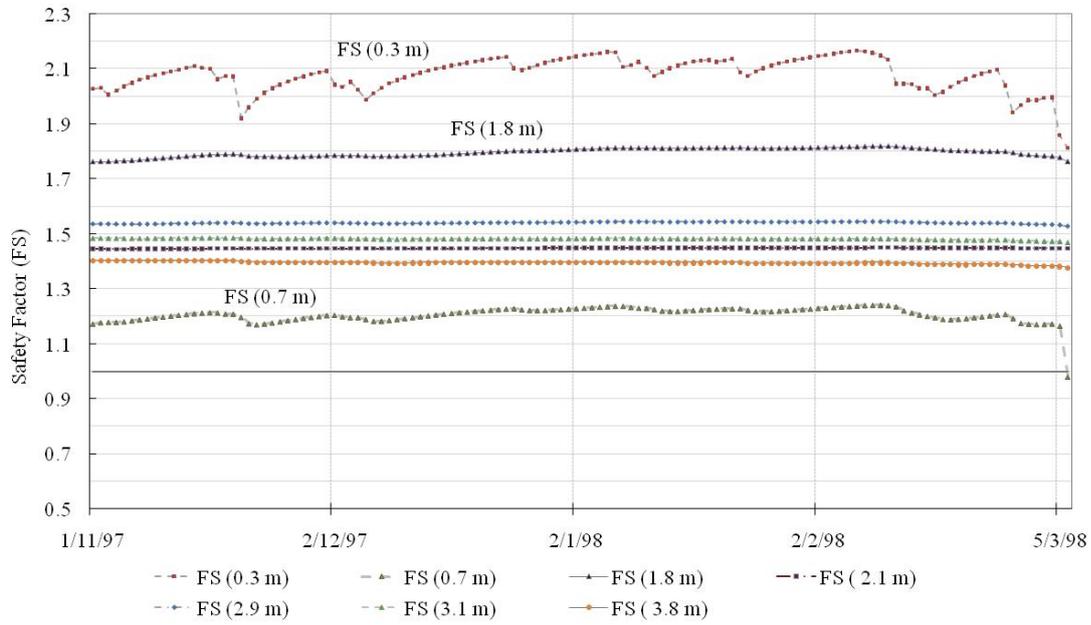
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Figure 11 Slope safety factor depending on pore-water pressures performed and soil mechanism properties at different depths from the ground surface.



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