

1 Temporal and Spatial Changes of Water Quality and  
2 Management Strategies of Dianchi Lake in Southwest  
3 China

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10

11 **Abstract**

12 Temporal and spatial changes to the water quality of Dianchi Lake in Southwest  
13 China were investigated using monthly monitoring data from 2005 to 2012. Based on  
14 the analysis of total phosphorus (TP), total nitrogen (TN), and chlorophyll a(Chl-a)  
15 concentrations, it was determined that, in Caohai Lake, the annual concentrations of  
16 these variables ranged from 0.19-1.46 mg/l, 6.11-16.79 mg/l, 0.06-0.14mg/l,  
17 respectively. In addition, the annual concentrations of TP, TN and Chl-a in Waihai  
18 Lake ranged between 0.13-0.20 mg/l, 1.82-3.01 mg/l, 0.04-0.09mg/l, respectively.  
19 Cluster Analysis (CA) classified the 10 monitoring sites into two groups (group A and  
20 group B) based on similarities of water quality characteristics. Our data revealed that  
21 the current status of water quality within Caohai Lake was much worse than that of  
22 Waihai Lake. Water quality was seriously degraded during the economic boom near  
23 the period of the “Eleventh Five-Year Plan” (2005–2010), and gradually improved  
24 from 2010 to 2012 because of the “standard emission directive to industry”. The main  
25 factors that influenced the spatial and temporal changes to water quality were natural  
26 factors including lake evolution and regional characteristic as well as human factors  
27 such as pollution load into the lake and management strategies that were already

1 adopted. Some activities and regulations were implemented to enhance the lake  
2 environment by controlling wastewater emissions and establishing regulations to  
3 protect the lakes in the Yunnan Province. However, problems with institutional  
4 fragmentation (horizontal and vertical), simple treatment methods, low-intensity  
5 investment in pollution control, and lack of meaningful endogenous pollution control  
6 strategies were still present in the lake management strategy. To solve these problems,  
7 suitable control measures are needed, especially considering the current old-age status  
8 of Dianchi Lake. The fundamental improvement of the water quality within Caohai  
9 Lake was dependent on the measures taken in the upper reaches of the Caohai  
10 Watershed, including further recovery of submerged plants, resource utilization by  
11 floating plants and the reinforcement of sediment disposal. Management strategies for  
12 endogenous pollution in Waihai Lake were mainly dependent on restocking  
13 algae-eating fish and the ecological restoration of macrophytes. In this way, the  
14 swamping trend and the ageing process that is occurring in Dianchi Lake can be  
15 stunted.

16

## 17 **1 Introduction**

18 The formation, development, and evolution of lakes have recorded regional  
19 environmental changes because they are relatively independent, natural complexes.  
20 Due to historical rapid population growth and development of industry and agriculture  
21 in the lake catchment region, the lake has become shallower as it has aged and will  
22 eventually fill up (Wang and Dou, 1999). The lifetime of a lake ranges from  
23 thousands of years to millions of years, and can be divided into several stages:  
24 adolescence, adulthood, old age, and decline (adolescence (Lugu Lake), adulthood  
25 (Taihu Lake), old age (Dianchi Lake), and the decline phase (Lop Lake)). For  
26 example, at the beginning of the formation of a rift lake, which forms due to  
27 large-scale fault activity, the subsidence of the lake basin changes rapidly and the  
28 basin is mainly filled with accumulated coarse sediments. As the lake reaches

1 adulthood, the subsidence gradually slows down and a lacustrine delta develops. At  
2 this point, the lake changes from deep to shallow and the main sediments become  
3 fine-grained. In addition, aquatic plants and phytoplankton increase to large numbers.  
4 Throughout the old age phase, a large amount of sediment fills up the lake basin due  
5 to greater sedimentation causing the water area to become narrow and shallow until,  
6 finally, a swamp lake evolves (Wang and Dou, 1999). What is more, water quality  
7 changes in eutrophication go from moderate (adolescence) to slightly eutrophied  
8 (adulthood) and finally to a midrange of eutrophication (old age). This is the process  
9 of lake evolution from young age to old age (Yang et al., 2010). Lastly, Lop Lake  
10 presents a good example of changes to water flow and water area, whereby the overall  
11 trend is that lakes shrink and even dry up to disappearance as they evolve to later  
12 stages. Under natural conditions, the lake aging process develops slowly; however,  
13 with the development of social economy in many areas, the evolution and  
14 disappearance of lakes have been greatly accelerated (Katsuki et al., 2009; Choudhary  
15 et al., 2010; Zan et al., 2012). Similar to older people, in the process of lake  
16 development, when a lake becomes old, it will attempt to maintain its previous level  
17 of function but never has exactly the same biological and chemical components and  
18 concentrations. Therefore, for each evolution stage in different lakes, we should  
19 adhere to specific management strategies to keep the lake in a healthy state.

20 Dianchi Lake is a typical lake that was formed in approximately 3000kaBP in the late  
21 Pliocene. After a long-term evolution, Dianchi Lake is now becoming an  
22 overcompensation lake in the late stage of its evolution (Yu et al., 1990). By  
23 analyzing various environmental parameters recorded in the lake sediments, including  
24 pollen, TOC, TN, and many other indicators of susceptibility, the evolutionary history  
25 of Dianchi Lake has been reconstructed from approximately 13ka before the present.  
26 It was reported that during the Han and Tang Dynasties, the lake level was  
27 approximately 3 meters lower than today. Between the 1960s and the 1970s, rapid  
28 economic development coupled with reclamation, soil erosion, and siltation, caused

1 the water quality of Dianchi Lake to seriously deteriorate (Wu et al, 1998). Over the  
2 last 50 years, the water quality in Dianchi Lake has been degrading rapidly and, as a  
3 result eutrophication has become the most pervasive threat to the lake (Tuo, 2002;  
4 Xing et al., 2005; Zhang et al., 2009; Le et al., 2010; Li et al., 2012). Although much  
5 work has been done to control the water quality, the eutrophication problem has not  
6 been solved yet. Therefore, it has become a primary problem that is restricting the  
7 economic development of Kunming City. In addition, the lack of water as a resource  
8 is another long-term issue to which we should pay close attention.

9 Although many researchers have already made some achievements with Dianchi Lake,  
10 most of the previous studies have focused only on lake surface water quality (Yang et  
11 al., 2010) or on the water quality among the rivers (Yu et al., 2010; Huan et al., 2010).

12 The implemented control strategies are fragmented, and these strategies have ignored  
13 that this lake is in an old evolution stage. The objective of this study was to  
14 investigate the temporal and spatial changes to the water quality of Dianchi Lake and  
15 determine Dianchi Lake's primary problems. In addition, the current status of the  
16 old-age lake will be considered, and some management strategies tailored to the  
17 evolution course of Dianchi Lake will be given. The results of this study will be  
18 useful to the policy makers around the country and abroad that are making decisions  
19 regarding control of environmental problems in Dianchi Lake or other similar lakes.

## 20 **2 Material and methods**

### 21 **2.1 Study area and monitoring sites**

22 Dianchi Lake (24°40'-25°02'N, 102°36'-102°47'E) is a rift lake that is located in  
23 Kunming City in the Yunnan Province of Southwest China (Fig.1). Its average water  
24 depth is approximately 5 m, its maximum water depth is 8 m, and its surface area is  
25 approximately 306 km<sup>2</sup> (Du et al., 2011). Dianchi Lake is divided into two parts,  
26 Caohai Lake and Waihai Lake, by a manmade dike. Caohai Lake lies at the north of

1 Dianchi Lake, while Waihai Lake is the main water body of Dianchi Lake and  
2 accounts for 96.7% of the whole area of the lake.

3 Previous paleoenvironmental studies have shown that based on the long-term  
4 evolution of Dianchi Lake, it has been classified as an old-age lake (Yu et al., 1990).  
5 In addition, the ecological environment in Dianchi Lake has seriously deteriorated  
6 since the 1960s because of rapid economic development and its associated human  
7 activities, such as cultivation and fishing (Gao et al., 2004; Wang et al., 2009; Guo et  
8 al., 2013).

9 Data for lake water quality from 2005-2012 were provided by the Center for  
10 Environmental Monitoring in Kunming, Yunnan Province. Twelve water quality  
11 parameters, including dissolved oxygen (DO), permanganate index ( $\text{COD}_{\text{Mn}}$ ),  
12 biological oxygen demand ( $\text{BOD}_5$ ), ammonia ( $\text{NH}_4^+\text{-N}$ ), chemical oxygen demand  
13 (COD), total phosphorus (TP), total nitrogen (TN), and chlorophyll a (Chl-a) were  
14 collected monthly from the monitoring stations.

15 The sampling points are shown in Fig.1. Ten water quality sampling points were  
16 established for monitoring water quality in Dianchi Lake. Of these points, two were in  
17 Caohai Lake (points 1 and 2) and the other eight were in Waihai Lake. The names of  
18 each of the sampling points from 1 to 10 were: Duanqiao (DQ), the center of  
19 Caohai (CH), Luojiaying (LJY), middle of Huiwan (HW), Guanyinshan West (GYSW),  
20 middle of Guanyinshan (GYSM), Guanyinshan East (GYSE), Baiyukou (BYK), Haikou  
21 West (HKX) and Dianchi South (DCS). The sampling depth at each point was 0.5  
22 meters below the water surface, and the monitoring frequency was once a month.

## 23 **2.2 Study methodology**

### 24 **Independent $t$ test and Pearson correlation**

25 Statistical analysis was conducted using the SPSS 20.0 software package. One-way  
26 ANOVA (LSD test) and independent-sample  $t$  tests at the 0.05 confidence level were  
27 conducted to test the difference between group mean values. A two-tailed Pearson

1 correlation analysis was conducted to illustrate the correlative relationships between  
2 water parameters.

### 3 **Cluster analysis**

4 CA is an unsupervised pattern detection method that partitions all dissimilar cases into  
5 different groups (Shrestha and Kazama, 2007; Lu et al., 2011). The results of CA help  
6 to interpret the data and indicate patterns (Singh et al. 2004). Hierarchical CA, the  
7 most common approach, starts with each case in a separate cluster and joins the  
8 clusters together step by step until only one cluster remains (Lattin et al., 2003;  
9 McKenna, 2003). In this study, hierarchical CA was performed on the standardized  
10 data using Ward's method with squared Euclidean distances as a measure of similarity  
11 (Zhou et al., 2007). Ward's method uses analysis of variance (ANOVA) to calculate  
12 the distances between clusters to minimize the sum of squares of any two possible  
13 clusters at each step, and it was expressed as follows:

$$14 \quad d_{ij} = \left[ \sum_{k=1}^m (x_{ik} - x_{jk})^2 \right]^{\frac{1}{2}} \quad (1)$$

15  $(i, j = 1, 2 \dots n)$

16 where  $d_{ij}$  is the distance between the  $i$ th sample and the  $j$ th sample,  $x_{ik}$  is the  $k$ th  
17 parameter of the  $i$ th sample,  $x_{jk}$  is the  $k$ th parameter of the  $j$ th sample, and  $i, j =$   
18  $1, 2, 3, \dots, 10$ .

## 19 **3 Results**

### 20 **3.1 Temporal changes of TP, TN and Chl-a in Dianchi Lake**

21 Temporal changes in TN, TP, and Chl-a are shown in Fig.2 and Fig.3 and were based  
22 on the monitoring data collected at ten sites in Dianchi Lake from 2005 to 2012.

23 Monthly concentrations of TP, TN and Chl-a in Caohai Lake ranged from 0.08 mg/l  
24 (November 2011) to 2.56 mg/l (September 2006), 2.42 mg/l (September 2011) to 21.6  
25 mg/l (March 2009), and 0.01mg/l (January 2010) to 0.52mg/l (September 2007),

1 respectively. The annual concentrations of TP, TN and Chl-*a* in Caohai Lake were  
2 from 0.19 to 1.46 mg/l, 6.11 to 16.79 mg/l, and 0.06 to 0.14 mg/l, respectively. The  
3 monthly concentrations of TP and TN declined gradually; however, no obvious trend  
4 was found for Chl-*a* concentrations.

5 Monthly concentrations of TP, TN and Chl-*a* in Waihai Lake ranged from 0.06 mg/l  
6 (October 2007) to 0.36 mg/l (September 2006), 1.06 mg/l (November 2012) to 3.46  
7 mg/l (April 2011), and 0.01 mg/l (February 2006) to 0.20 mg/l (August 2006),  
8 respectively. In addition, the annual concentrations of TP, TN and Chl-*a* in Waihai  
9 Lake ranged from 0.13-0.20 mg/l, 1.82-3.01 mg/l, and 0.04-0.09 mg/l, respectively.

10 No clear temporal trends for these three water parameters were observed.

11 The correlative relationships between environmental variables were analyzed. The  
12 results showed that Chl-*a* had a significant positive correlation to BOD<sub>5</sub>, TP, and TN.

13 In addition, TN and TP were both negatively correlated to PH, DO, COD<sub>Mn</sub>, and COD  
14 (Table 1), a finding that has been reported in other lakes (Yang et al., 2013).

15 Eutrophication is the most widespread water quality problem in many countries,  
16 especially China (Xia et al., 2011; Liu et al., 2012; Wang et al., 2012). Our results  
17 showed that the annual concentrations of TP, TN, and Chl-*a* in Caohai Lake were  
18 significantly higher than those in Waihai Lake, which indicated that the current status  
19 of water quality of Caohai Lake is much worse than that of Waihai Lake. According  
20 to the classification of water parameters outlined in the Environmental Quality  
21 Standards for Surface Water, which has been promoted by the Chinese government,  
22 the water quality of Caohai Lake was in a heavy eutrophic state during this study  
23 period and was categorized below Class V. Similarly, water quality in Waihai Lake  
24 was also below Class V and the eutrophic state was moderate. As the water quality  
25 continues to deteriorate, the trophic condition of Dianchi Lake will become more and  
26 more serious.

27 In this study, changes were observed in the water quality of the whole lake from 2005  
28 to 2012 based on three major indicators: TP, TN, and Chl-*a*. The water quality in the

1 lake experienced two stages: (1) 2005-2010, when water quality was seriously  
2 degraded during the economic boom during the period of the “Eleventh Five-Year  
3 Plan” and (2) 2010-2012, when water quality gradually improved because of the  
4 “standard emission directive to industry”. A series of environmental problems arose  
5 during the first stage due to a lack of environmental consciousness by managers at  
6 different government levels (Wang and Lin, 2010; Veld and Shogren, 2012). Three  
7 indicators reached their maximum during this period: TP in 2006 with a value of 2.56  
8 mg/l, TN in 2009 with a value of 21.6 mg/l, and Chl-a in 2007 with a value of  
9 0.52mg/l. In the second stage, from 2010 to 2012, the indicator values declined,  
10 especially in Caohai Lake. The improvement was related to the Chinese government  
11 and the Yunnan Province placing great importance on the management of the Dianchi  
12 Lake watershed.

### 13 **3.2 Spatial changes of TP, TN and Chl-a in Dianchi Lake**

14 Spatial CA produced a dendrogram with two groups (Fig.4). Cluster A comprised  
15 sites 1-2, and cluster B contained sites 3-10. Group B was further divided into two  
16 clusters: sites 8-10 in cluster B and the other sites in cluster C. All classifications had  
17 varied significance levels because the sites within the groups had similar natural  
18 backgrounds and were likely affected by similar pollution sources. Sites 1-2 in cluster  
19 A were located in Caohai Lake and were primarily impacted by industrial wastewater,  
20 agricultural runoff, and municipal sewage, which corresponded to areas with relatively  
21 high pollution. The other sites in cluster B were located in Waihai Lake, with sites 3-7  
22 located in the northern part of Waihai Lake and sites 8-10 located in the southern part  
23 of the lake. Clusters A (sites 1-2) and B (sites 3–10) corresponded to relatively high  
24 and low polluted regions, respectively. These results suggest that pollution control  
25 treatments should be assessed in each region.



## 1 **4. Discussion**

### 2 **4.1 Reason Analysis for Spatial and Temporal Distribution of Water** 3 **Quality**

#### 4 **4.1.1 Natural factors**

5 Dianchi Lake is a typical plateau lake in China. The tributaries that flow into the lake  
6 outnumber those that go out of the lake and water resources are scarce. As a result, the  
7 water renewal period is much longer. In this case, inputs of salts and other substances  
8 could easily accumulate in the lake (Wang and Dou, 1999). During the long-term  
9 evolution of Dianchi Lake, factors such as fragile ecological conditions, a shallow  
10 water level, insufficient inflow, and the age stage of the lake have caused the pollution  
11 in the lake to be more serious and the water quality to become increasingly  
12 deteriorated. The spatial distribution of water quality is related to the regional  
13 characteristics and development of the Dianchi basin; different areas were not the  
14 same as others, so the changes in water quality appeared to have different tendencies.  
15 The spatial changes in water quality showed that Caohai Lake was seriously polluted  
16 because Caohai Lake was the only water body that received domestic sewage and  
17 wastewater from treatment plants in the western part of the main urban area.

#### 18 **4.1.2 Human factors**

19 The main sources of pollution in Dianchi Lake were the large population and the  
20 irrational exploitation of resources. Industrial pollution, agriculture pollution, and  
21 other domestic pollution, which directly threatened the water quality of Dianchi Lake,  
22 were the primary causes of water eutrophication in Dianchi Lake. There were also  
23 many agricultural lands and farms around the lake, which produced large amounts of  
24 agricultural non-point source pollution. This run-off could not be effectively  
25 controlled and thereby contributed to high levels of pollution.

1 Flowers and plants are the local specialty of the Yunnan Province. Due to the large  
2 planting area and high fertilizer usage, undegraded and unabsorbed fertilizer was  
3 washed into the water (Gao and Yang, 2006). However, abundant rock phosphate was  
4 found around Waihai Lake. Because of the unreasonable mining and wanton  
5 destruction of surface vegetation, a large amount of phosphorus entered Waihai Lake  
6 and gradually accumulated during the evolution of the lake, eventually becoming a  
7 substantial threat to the water quality (Tanaka T et al., 2013).

8 In addition, endogenous pollution is a factor that should not be ignored. Due to the  
9 long period of eutrophication, Dianchi Lake is covered with a thick layer of sediment,  
10 which contains humus and organic matter and could become another source of  
11 pollution to the water column. According to research, 187446 t of phosphorus was  
12 contained in 0.3 m of sediment, an amount that was 500 times greater than the  
13 phosphorus contained in the water column (Guo, 2003). Therefore, when the  
14 concentration of nitrogen and phosphorus in the water decreases, the nitrogen and  
15 phosphorus in the sediments will spontaneously release and become another major  
16 source of pollution.

17 Additionally, the temporal distribution of water quality in Caohai Lake and Waihai  
18 Lake showed that the year-to-year differences in water quality were also related to the  
19 control strategies that were adopted. Inappropriate management measures will not  
20 lead to any further improvements to water pollution. Conversely, if we take suitable  
21 control measures, they will significantly improve the water quality status.

## 22 **4. 2 Overview of water pollution control strategies round the country and** 23 **abroad**

### 24 **4.2.1 Strategies for water pollution control abroad**

25 Many lakes have created serious eutrophication problems after the rapid economic  
26 development in foreign countries, so foreign countries started earlier in lake

1 eutrophication control. As a result, these polluted lakes all got very good recovery  
2 after a long time of "pollution first, treatment later" governance model. Foreign  
3 countries have accumulated a lot of valuable experience in treatment of lake pollution,  
4 and it is very useful for our work in water pollution control especially for those same  
5 type lakes.

6 Lake Biwa is the largest freshwater lake in Japan, with a total area of 670 km<sup>2</sup>. It is a  
7 major drinking water source of over 1400 people. During the early 1970s, along with  
8 the lake area of industrial development and population growth, water pollution in  
9 Lake Biwa became evident after a massive outbreak of freshwater red tide in 1977  
10 and subsequent outbreak of blue-green algae in 1983. The Shiga Prefectural  
11 Government has set up the target treatment of lake in stages, and managed this lake  
12 step by step. Through the enforcement of the target, the prefecture promoted the  
13 construction of sewerage facilities, nitrate and phosphorus effluent regulation of  
14 factories and commercial facilities, and banned the use of household detergent  
15 containing phosphorus. Consequently, the loads of nitrogen and phosphorus have been  
16 significantly reduced, and the concentrations of phosphorus and chlorophyll a in the  
17 water of Lake Biwa have declined up to the present (Hiroya et al., 2012). The  
18 eutrophication phenomenon of Lake Moses in America and Lake Bled in Slovenia  
19 after the implementation of pollutant emissions and water dilution engineering has  
20 radically improved (Gantzer et al., 2010). Measures in the city park lake in Louisiana  
21 Baton Rouge and Sweden Trummen Lake (Tu et al., 2007) were conducted mainly by  
22 Lake Dredge over the whole lake sediments. In the city park lake, the surface  
23 sediment which was contaminated by heavy metals was placed in the depression, and  
24 then it was covered by deep uncontaminated sediment. The remaining lake sediments  
25 were used to construct beach in the south part in order to increase the storage capacity  
26 of the lake of oxygen and reduce the frequent death of fish (Ruley and Rusch, 2002).  
27 Lake improvement is a long-term formidable task, we should not be anxious for  
28 success; conversely, we must respect the laws of nature, from the perspective of

1 harmonious coexistence of people and lakes, thereby, restore the ecological  
2 environment of the lake.

### 3 **4.2.2 Strategies for water pollution control in Dianchi Lake**

#### 4 **Issues related to the management of Dianchi Lake**

##### 5 **Zero o'clock Action**

6 Dianchi Lake is included in the national "three rivers and three lakes" pollution  
7 control project outlined in the "Ninth Five-Year Plan" (1996-2000). Meanwhile,  
8 Yunnan Province and Kunming City have taken a series of measures to improve water  
9 conditions in Dianchi Lake. Particularly due to the implementation of "Zero o'clock  
10 Action", industrial pollution has been effectively controlled.

11 In 1999 the "standard emission directive to industry" was issued. It instructed  
12 companies around the Dianchi watershed to treat their discharged wastewater  
13 appropriately by zero o'clock on the 1st of May 1999 to meet the state wastewater  
14 emission standard. If any company did not meet the standard by the deadline, it would  
15 be required to stop operations or it would be closed. This was called "Zero o'clock  
16 Action" (Wang et al. 2006; Wang et al., 2006). The industrial pollution control effect  
17 was remarkable and was particularly evident around Dianchi Lake, where 249 major  
18 enterprises completed the task. The total amount of industrial pollution into the lake  
19 was thus reduced from 10%-30% to 2%-14%(He et al., 2011).

20 However, some problems still remain. Many factories made some temporary changes  
21 to meet the mission standards set by the government. Once the region was no longer  
22 being scrutinized, these companies returned to their prior polluting methods. In  
23 addition, many factories were not examined or punished due to a lack of monitoring  
24 capacity.

##### 25 **Regulations for the Protection of Dianchi Lake in the Yunnan Province**

26 Given the environmental deterioration and the demand for clean water, the  
27 "Regulations for Protection of Dianchi Lake in Yunnan Province" were passed by the

1 Yunnan provincial government, with implementation beginning on the 1st of January  
2 2013. The main features of these regulations include the following:

- 3 ● The Yunnan Provincial government and the government at all levels will  
4 incorporate the protection work of Dianchi Lake into the national economy and  
5 social development planning, meanwhile establishing the protection and  
6 long-term mechanisms of ecological compensation.
- 7 ● The Dianchi Lake Basin will be divided into three protected areas and an urban  
8 drinking water source protection area and will be protected according to the above  
9 classifications.
- 10 ● Reclaiming land from lakes, fish cage aquaculture, and excessive discharge of  
11 wastewater and solid waste in the lake will be banned.
- 12 ● Specific rewards for contributing to the protect Dianchi Lake will be offered, and  
13 legal penalties for activities that violate the regulations will be imposed.

14 Before the announcement of these regulations, other regulations that protected  
15 Dianchi Lake were established by Kunming City in July 1988. Over the past 24 years,  
16 the regulations have played an important role in protecting resources, combating  
17 pollution, and improving the ecological environment. However, with the rapid  
18 development of the social economy, environmental protection of Dianchi Lake, water  
19 ecological balance, and other aspects of water supply and demand have become  
20 increasingly prominent. In the wake of so many new problems, provincial, rather than  
21 municipal, regulations are needed to resolve these issues. Although the new  
22 regulations have met the regulations for improving the lake environment, their  
23 long-term efficacy depends on many other factors such as the active collaboration of  
24 various sectors of government agencies and enhanced public consciousness about  
25 environmental protection.

## 26 **Lake dredging**

27 Serious pollutants within the lake have deposited a large amount of silt, which contain  
28 various harmful and toxic pollutants that have accumulated over the years. Through

1 the Phase I, II, III projects of lake dredging, 424,000 tons sediment from Caohai Lake  
2 were transferred by dredging, which has significantly improved the water quality of  
3 Caohai Lake (Ding and Lai, 2011).

#### 4 **Pollutant interception**

5 Sewage and garbage are the main sources of pollution in Dianchi Lake. By 2005,  
6 eight sewage treatment plants has been built in the Dianchi Lake Basin and newly  
7 renovated and expanded trunk sewers were approximately 590 kilometers. The  
8 sewage collection rate could reach 74%. Meanwhile, the urban garbage removal rate  
9 is 95%, and the harmless treatment rate is 93.6% (Ding and Lai, 2011).

#### 10 **Eutrophication control**

11 Serious eutrophication was the main problem in Dianchi Lake. In 1999, Kunming  
12 City put 240 tons of drugs into Caohai Lake, which cost approximately 6 million yuan,  
13 to remove algae. Although it had some effect on algal control, there were certain  
14 drugs that caused adverse impacts on benthic animals and zooplankton. Therefore, as  
15 learned by the practice of Kunming City, using a chemical method to remove algae in  
16 a large area of the lake is not suitable. A physical method of removal of the floating  
17 algae on the water is another option; however, the energy consumption and product  
18 cost are high so it cannot be used at a large scale (He J et al., 2012). A third method  
19 employs biotechnology. The water hyacinth can curb the spread of algae; however,  
20 once the growth of algae is under effective control, the water hyacinth can become a  
21 new pollutant. From the above perspectives, the control of algae is still a worldwide  
22 problem (Jin et al., 2008; Yan et al., 2012).

#### 23 **4.2.3 Main problems in the current management strategy**

24 By comparing the foreign management strategy over strategies for water pollution  
25 control in Dianchi Lake, we found that although the environmental management of  
26 Dianchi Lake has occurred over several years, and some activities and regulations  
27 have been implemented to enhance the lake environment by controlling wastewater  
28 emissions and establishing regulations to protect the lakes in the Yunnan Province.

1 However, the effect on the control of lake eutrophication is still unsatisfactory, and  
2 there are problems with institutional fragmentation (horizontally and vertically),  
3 simple treatment methods, low-intensity investment in pollution control, and a lack of  
4 meaningful endogenous pollution control strategies. For example, with lake dredging,  
5 the third phase project has already been put into effect. However, despite more than  
6 ten years of hard work, only one-tenth of the silt has been cleared out of the lake.  
7 Pollutant interception around the lake has been completed, but there are still questions  
8 of how to improve efficiency. Considering these kinds of issues and the deficiencies  
9 of the available treatment methods, we should analyze the current status of the lake  
10 evolution stage and form suitable management strategies for appropriate actions. This  
11 will provide a basis for ecological restoration planning and policy making in the  
12 future.

#### 13 **4. 3 Management Strategy for Dianchi Lake**

14 It has been reported in many studies that Dianchi Lake, which was formed in  
15 approximately 3000ka BP during the late Pliocene, has entered old-age status in its  
16 evolution. Considering its current status, the environmental problems that face  
17 Dianchi Lake should be managed differently than those in other lakes, such as Lugu  
18 Lake, which is a younger-age lake. As a result, when creating the management  
19 strategies for Dianchi Lake, we should consider the function of the lake and protect it  
20 through a different classification level.

21 The “Six Key Programs”, including lake interception, ecological restoration, river  
22 training, lake dredging, water source protection and water diversion, have made great  
23 contributions to water pollution control. Based on the above management strategies  
24 and the evolution stage of Dianchi Lake, we should adopt appropriate methods and  
25 governing tactics. The water quality in Caohai Lake is below Class V and is now in a  
26 heavy eutrophic state. During its long-term evolution, the lake deposited a layer of silt,  
27 which is now another source of pollution to the water. Therefore, strengthening  
28 endogenous pollution control is the key task for pollution control, and the

1 fundamental improvement of water quality of Caohai Lake depends on the measures  
2 taken in the upper reaches of the Caohai Watershed, including further recovery of  
3 submerged plants, resource utilization of floating plants and the reinforcement of  
4 sediment disposability. As such, the swamping trend and the aging process of Dianchi  
5 Lake could be stunted. Waihai Lake is the main water body of Dianchi Lake, and the  
6 water quality there is also below Class V and in a moderate eutrophic state. The  
7 management strategies for endogenous pollution in Waihai Lake are mainly based on  
8 restocking algae-eating fish and the ecological restoration of macrophytes. Only by  
9 choosing suitable comprehensive control measures that consider the temporal and  
10 spatial changes of water quality can the pollution status of Dianchi Lake be changed.  
11 Beyond that, we should accelerate the development of water transfer projects to carry  
12 out water diversion to Dianchi Lake and prevent water shortages in the area. We  
13 could thus increase the water circulation rate, shorten the residence time of water, and  
14 change the state of Dianchi Lake. Meanwhile, these management strategies could be  
15 utilized by other lakes which have same evolution process or types.

## 16 **5 Conclusions**

17 The Dianchi basin played a significant role in the social stability and the economic  
18 development of the Yunnan Province. This paper has focused on temporal and spatial  
19 changes in the water quality and the management strategy for Dianchi Lake. Based on  
20 analysis of the water parameters from 2005 to 2012, it was shown that the current  
21 status of water quality in Caohai Lake was much worse than that of Waihai Lake, and  
22 the water quality in the study area experienced two different periods from 2005 to  
23 2012. Water quality seriously degraded during the economic boom around the period  
24 of the “Eleventh Five-Year Plan” (2005–2010) due to a combination of natural factors  
25 and human activities. It then gradually improved from 2010 to 2012 because of the  
26 “standard emission directive to industry”. Although some activities and regulations



1 were implemented to enhance the lake environment, many problems were still present  
2 in the lake management strategy. To solve these problems, it is important that suitable  
3 control measures are chosen that account for the temporal and spatial changes of  
4 water quality in this old-age lake.

5

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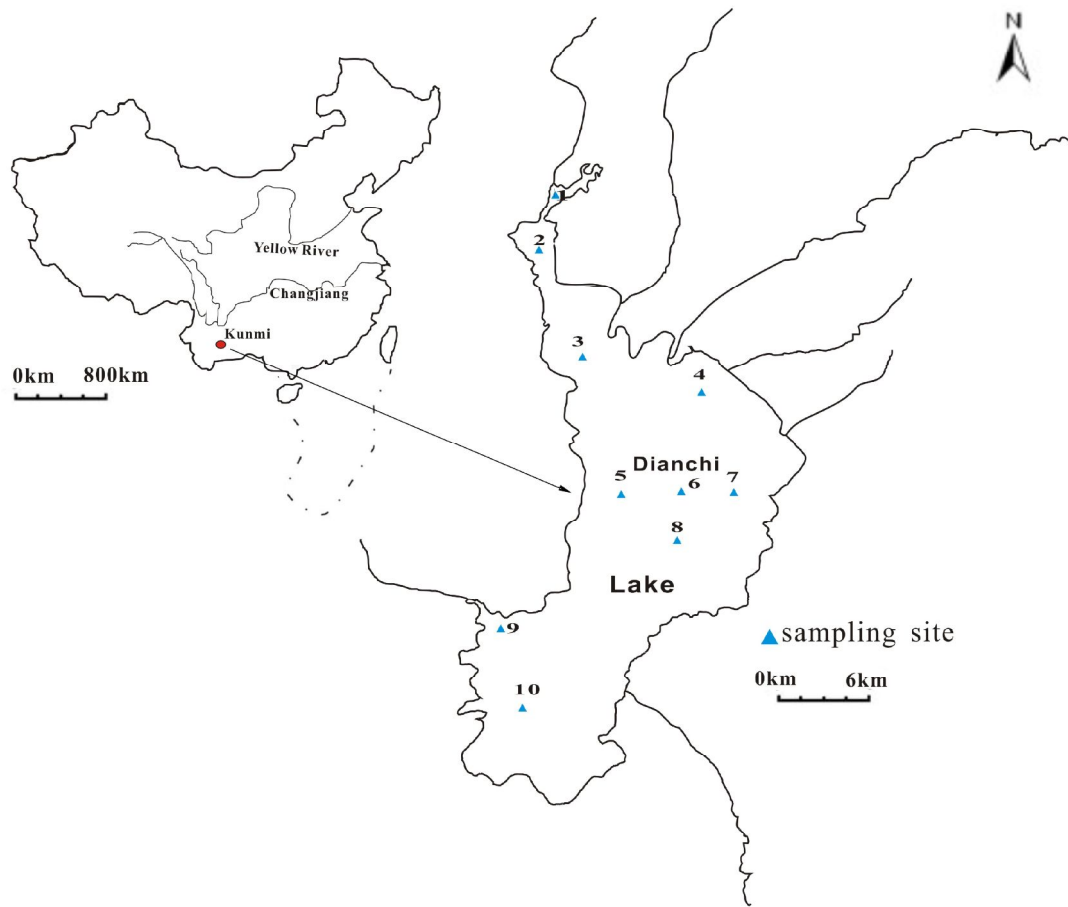
1 Table 1. Correlation coefficients between the environmental variables in Dianchi  
 2 Lake (Pearson, 2-tailed)

Variables	Chl-a	Water temperature	PH	DO	COD <sub>Mn</sub>	COD	BOD <sub>5</sub>	NH <sub>4</sub> <sup>+</sup> -N	TP	TN
Chl-a	1									
Water temperature	0.093	1								
PH	-.250*	0.019	1							
DO	-.408**	0.074	.645**	1						
COD <sub>Mn</sub>	.317**	-.244*	.249*	-0.108	1					
COD	-0.165	.270*	.451**	.410**	0.09	1				
BOD <sub>5</sub>	.563**	0.058	-.731**	-.753**	-0.075	-.624**	1			
NH <sub>4</sub> <sup>+</sup> -N	.352**	0.123	-.695**	-.792**	-0.042	-.424**	.819**	1		
TP	.418**	0.169	-.658**	-.745**	-0.045	-.365**	.787**	.968**	1	
TN	.410**	0.061	-.762**	-.823**	-0.036	-.494**	.878**	.983**	.947**	1

\*. Correlation is significant at the 0.05 level (2-tailed).

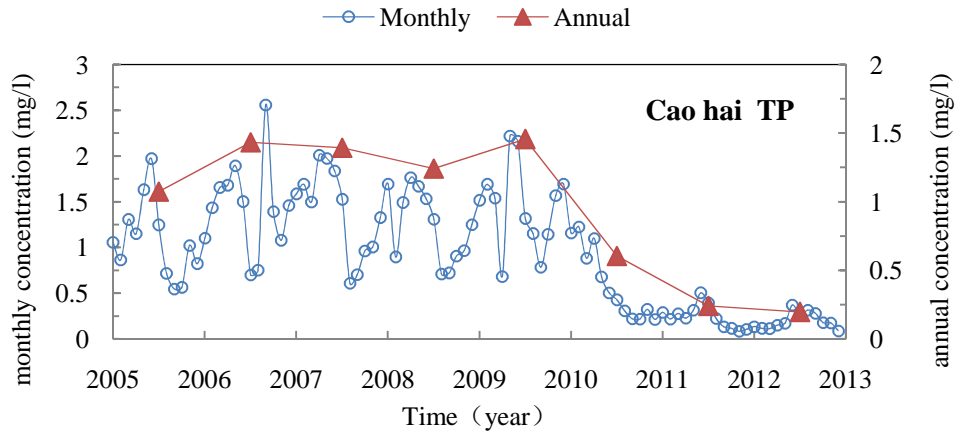
\*\*. Correlation is significant at the 0.01 level (2-tailed).

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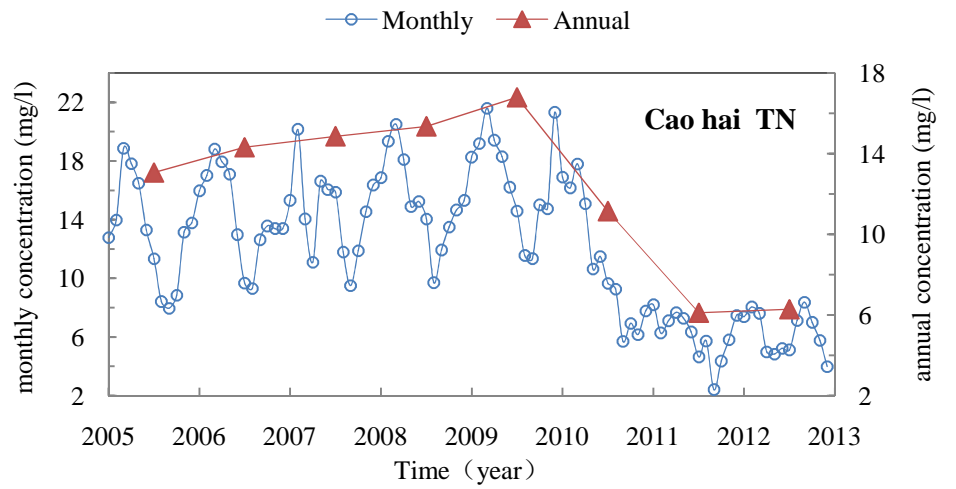


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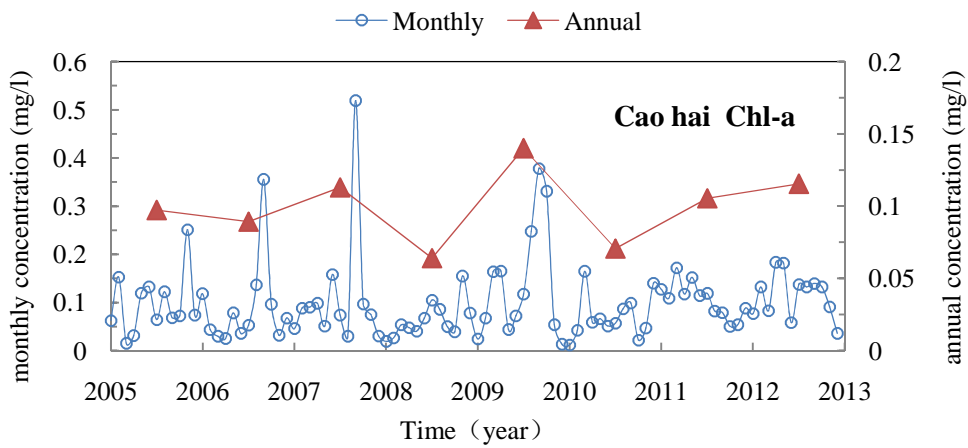
Figure 1. A map showing the location of the study area and the sampling sites



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Figure 2. Temporal changes of TP, TN, and Chl-a in Caohai Lake

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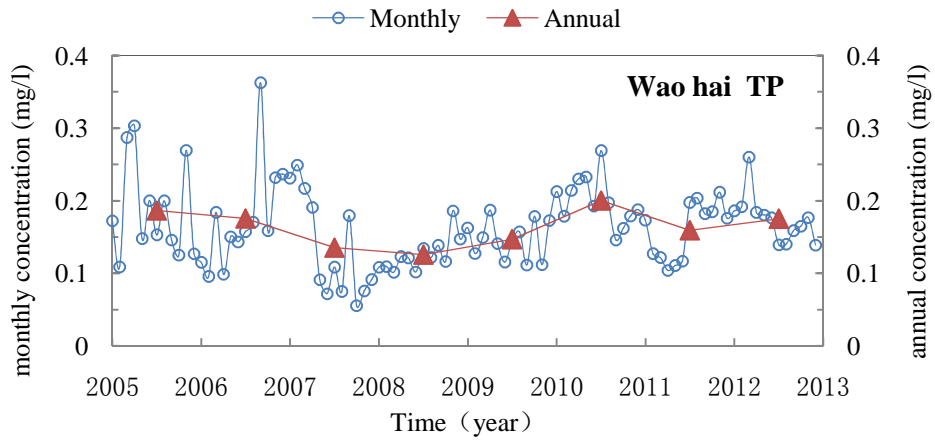
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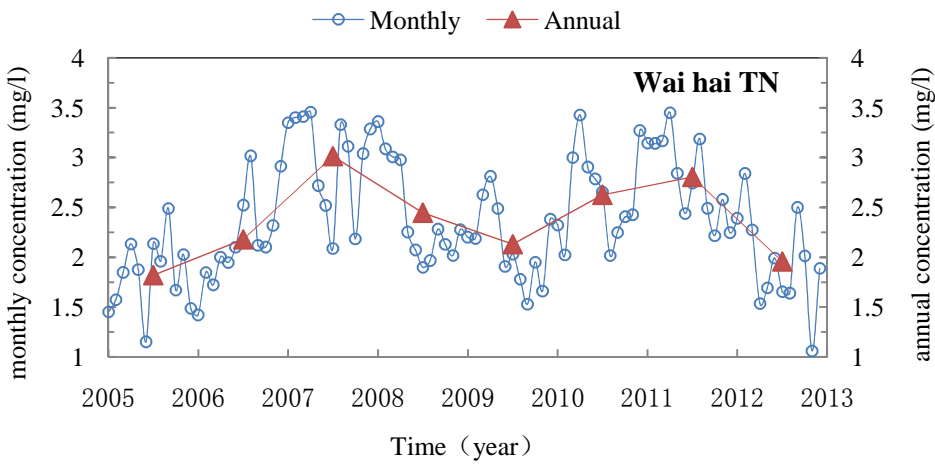
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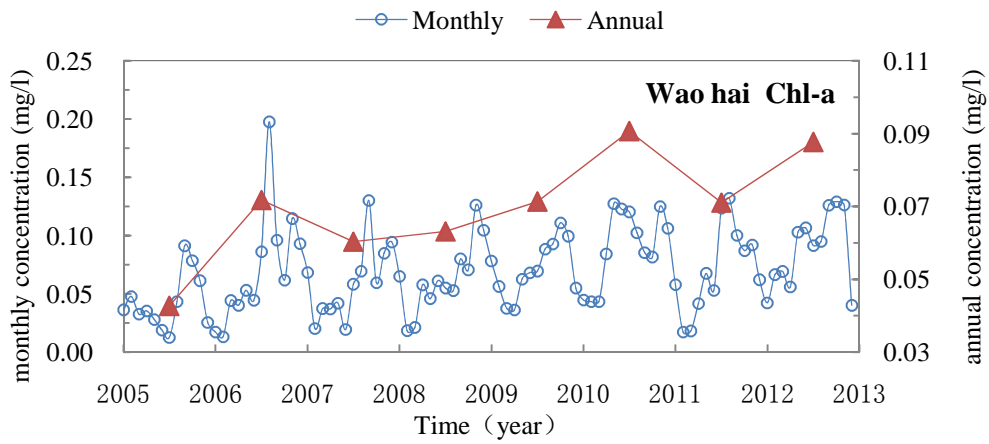




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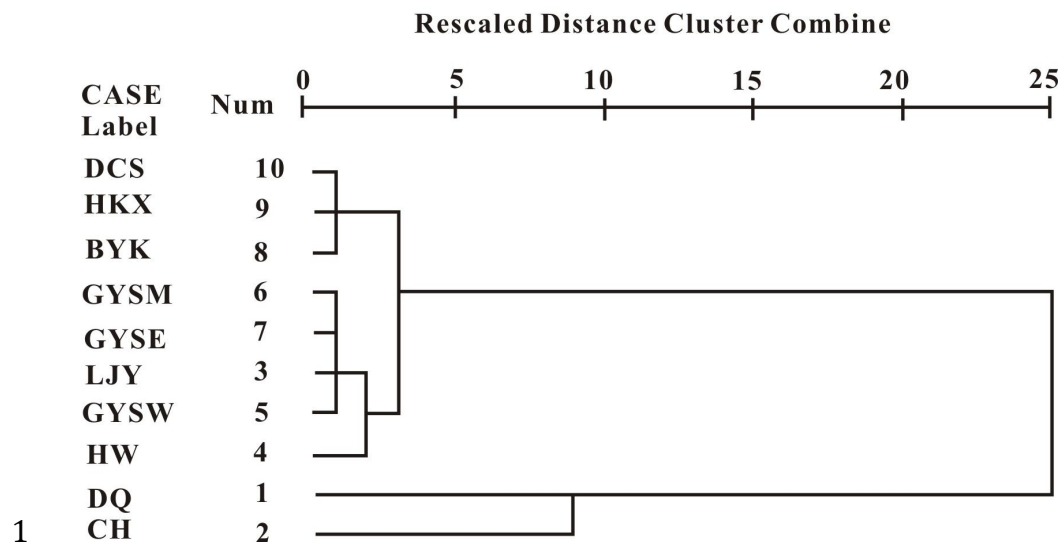


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Figure 3. Temporal changes of TP, TN, and Chl-*a* in Waihai Lake



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Figure 4. Dendrogram showing sampling site clusters on Dianchi Lake