#### **1** Remote Sensing Techniques for Predicting Evapotranspiration from Mixed Vegetated

# 2 Surfaces

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# 13 Abstract

Evapotranspiration (ET) as the key component of hydrological balance is the most difficult 14 15 factor to quantity. In the last decades, ET estimation has been benefitted from advances in remote sensing particularly in agricultural fields. However, quantifying evapotranspiration 16 17 from mixed landscape vegetation environs is still complicated and challenging due to the heterogeneity of plant species, canopy covers, microclimate, and because of costly 18 methodological requirements. Extensive numbers of studies have been conducted in 19 20 agriculture and forestry that alternatively ought to be borrowed for mixed landscape vegetation studies with some modifications. This review describes general remote sensing-21 based approaches to estimate ET and their pros and cons. Considering the fact that most of 22 23 them need extensive time investment, medium to high level of skills and are quite expensive, 24 the simplest approach; interface, is recommended to apply for mixed vegetation. Then, VIbased approach was discussed for two categories of agricultural and non-agricultural 25 26 environs. Some promising studies were mentioned to support the suitability of the method for mixed landscape environs. 27

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Key words: remote sensing; evapotranspiration; satellite/airborne images; spatial and
 temporal heterogeneity; NDVI

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#### 33 **1. Introduction**

Quantification of evapotranspiration as a fundamental requirement in the local and global 34 assessment and management of climate change, land use, water budget and irrigation is of 35 both interest and concern. Water loss by evaporation can occur from three main sources of 36 soil, vegetation surface or atmosphere (Burt et al., 2005). Soil evaporation is affected by soil 37 moisture status, soil physical and chemical characteristics, tilth conditions, soil cover (e.g. 38 39 mulch), and ecological parameters. Evaporation of vegetation surface is influenced by vegetation type, species, canopy cover, microclimate, and water availability to the plants by 40 41 precipitation or irrigation. Atmosphere evaporation may happen from irrigation water (e.g. sprinkler droplets) that varies for different irrigation systems and meteorological conditions. 42 There is a specific form of evaporation from plants tissues that is named transpiration. The 43 44 sum of evaporation and transpiration is collectively termed evapotranspiration (ET) which is 45 the main consumptive of irrigation and precipitation in vegetation environs (Nouri et al., 2012). ET occurs not only from vegetation leaves but also from stems, flowers and roots. 46 Evapotranspiration, as an important component of the hydrological cycle affects soil water 47 availability, soil water chemistry, and vegetation healthiness and aesthetics (Johnson and 48 Belitz, 2012;Lucke et al., 2011;Jovanovic and Israel, 2012). Considering the fact that more 49 than 90% of annual rainfall is consumed by ET in arid and semi-arid areas (Glenn et al., 50 2007), the importance of ET measurement is not deniable. 51

For decades, weather-based methods (Allen, 2000;Allen et al., 1998), soil moisture measurements (Allen et al., 1998;Nouri et al., 2012), and surface energy balance approaches have been the dominant techniques for predicting vegetation ET (Allen et al., 2011a;Li et al., 2009;Silberstein et al., 2001;Tanaka et al., 2008;Yunusa et al., 2004). Broad numbers of numerical models were introduced for the local and regional ET measurements but they mostly need detailed input data of soil, vegetation and climate. It limits their application to the specific areas with the long-term comprehensive records of required input data (Kustasand Norman, 1996).

Over last decade, ET estimation has been improved through advanced technologies and 60 increasingly well-developed infrastructure and instruments particularly remote sensing. ET 61 estimation using satellites imagery is the most efficient and economic technology that can 62 63 employ for a broad range of pixel to global scales. It also was coupled to some empirical 64 methods to simplify the ET measurement and shorten the input data requirements. Later on, in order to minimise atmospheric effects on optical data (e.g. clouds in the images), 65 microwave imagery took the place in measuring surface moisture and surface temperature 66 67 (Kustas and Norman, 1996).

68 Climate change and water availability are major concerns today, indicating the importance of 69 water and carbon flux assessments. Satellite imagery provides the required information to 70 assess surface water, carbon and energy exchanges through quantifying certain biochemical 71 and biophysical parameters mainly associated with photosynthesis and chlorophyll content. 72 Although both ET and carbon exchanges are controlled by stomata, they have been remotely-73 sensed and modelled in different ways using different biophysical parameters (Anderson et 74 al., 2008).

Yet despite a broad range of promising technologies and sophisticated facilities, ET estimation of urban parklands remains insufficiently characterized. This complexity of this challenge is due to diversity in water needs of heterogeneous and multi-story mixed vegetation systems (Drexler et al., 2004; Sumantra, 2011). The value of urban green spaces and particularly urban parklands has been studied comprehensively (Weber and Anderson, 2010). The important role of parklands in the areas of public health and well-being indicates the critical importance for a better understanding of parkland water demand. Consequently

# this study is focused on ET from urban parklands as the main component of vegetation water requirement.

ET estimation using hydrological methods (e.g. water balance), micro-meteorological methods (e.g. energy balance) or direct ET measurement methods can only be considered as point measurements. Extrapolation of ET rates from a point to a large area decreases the accuracy of the estimation. Analysis of satellite or airborne images using remote sensing techniques is a practical method for developing the spatial variation of ET at a regional scale (Vinukollu et al., 2011).

Due to the highly distributed nature of mixed landscape vegetation, remote sensing could be an ideal technique of ET measurement for these types of landscapes. ET measurement by remote sensing provides an area-based estimation that can be updated frequently. Also, because it has the capability of quantifying the vegetation characteristics including species composition, vegetation type and moisture status for a broad area, more accurate results would be obtained.

Variety of complicated RS-based models and algorithms has been introduced and evaluated 96 for different vegetation types in different scales. They are mostly comparable in the pixel-97 scale spectral homogeneity assumption. In the mixed landscape planting, diversity of 98 vegetation is in contrast with the spectral homogeneity assumption. Additionally, 99 100 inconsistency in reflectance properties of mixed vegetation may lead in misclassification of 101 land covers. However, image processing advances besides high spatial, spectral and temporal 102 resolution satellite/airborne images diminish the mentioned challenges in classification and permit improved records of land cover changes (Small, 2003;Small and Lu, 2006). 103

With a fast growing interest in the implementation of green infrastructure which often
involved mixed vegetation types, the current study has mainly focused on the application of

106 remote sensing techniques in heterogeneous parklands. In ET estimation of small urban green spaces, biophysical components of urban ecosystem should be considered. It was 107 comprehensively discussed by Ridd (1995). He introduced a Vegetation-Impervious-Soil 108 109 surfaces (VIS) model to consider the major urban features affecting evapotranspiration rate in ET measurement. Further studies used the VIS model and match it with the image processing 110 methodology (Phinn et al., 2002) to get a better result. In 2012, Wang and Dickson 111 recommended combination of field and satellites-based measurements to obtain a more 112 precise estimation of daily, monthly and annually ET rates (Wang and Dickinson, 2012). It 113 114 should be noted that for each particular approach; field-based, RS-based or combined approaches, there are specific assumptions that may impose some limitations or restrictions to 115 the capability and applicability of the method besides some uncertainty or errors to the 116 117 outcomes.

Others have written full reviews of ET and remote sensing (Courault et al., 2005a;Glenn et al., 2007;Kalma et al., 2008;Li et al., 2009), thus this paper concentrates on relevant RS approaches for predicting ET from mixed vegetated surfaces mainly at a local scale. It also summarizes the merits and drawbacks of each method.

# 122 Remote sensing methods for estimating ET

Different categories were introduced for ET estimation using remote sensing (Allen et al., 2011a;Kustas and Norman, 1996;Li et al., 2009). The most comprehensive categorisation was proposed by Courault et al. (2005a) and well discussed by Calcagno et al. (2007). They classified remote sensing methods for ET estimation into four groups, namely empirical direct, residual, inference, and deterministic methods.

128 2.1 Empirical direct methods

Assessing the energy balance using some land surface properties like albedo, canopy cover,
leaf area index (LAI) and surface temperature is the principle of ET estimation by remote
sensing.

$$132 \qquad R_n = LE + H + G \tag{1}$$

133 The net radiant energy (Rn) is divided to soil heat flux (G) and atmospheric fluxes (sensible134 heat flux H and latent energy exchanges LE).

Observed heterogeneous vegetated surfaces can be considered as a single layer (component) 135 or multiple layers (two components of soil and vegetation). In a single layer approach, net 136 137 radiation is related to the whole surface and sensible heat flux is related to the aerodynamic resistance between surface and above surface (2m height). Dynamic resistance is affected by 138 wind speed, atmospheric stability, roughness lengths for momentum and heat. However, 139 140 momentum and heat variables (e.g. surface temperature) significantly vary for different vegetation height and density. In multilayer approaches, sensible heat flux considers both soil 141 and vegetation resistance with the equivalent temperature (Courault et al., 2005a). 142

Due to the importance of biophysical and biochemical cycles associated with photosynthesis 143 processes, some coupled modelling systems have benefited from the spatial and temporal 144 correlation of ET and CO<sub>2</sub> to improve the accuracy of ET estimation derived from remote 145 sensing surface energy and water balance models (Anderson et al., 2008; Kim and Leith, 146 2003). For instance, Anderson et al. (2008) used thermal satellite imagery to implement an 147 analytical light-use efficiency based model (computing carbon assimilation and transpiration 148 149 at the canopy scale) of bulk canopy resistance within a two source energy balance approach. Their results confirmed an improvement in energy budget assessment compared to in-situ 150 eddy covariance tower observations mainly by reduction in prediction errors for the latent 151 152 heat flux. In another study, Houborg et al. (2011) investigated integrating the analytical lightuse efficiency based model using airborne images into a two source energy balance model for predicting land surface CO<sub>2</sub> and energy fluxes for a corn field. They found that the calibrated analytical light-use efficiency based model could be correlated with airborne leaf chlorophyll content and hourly water, carbon and energy fluxes derived from a two source energy balance

- 157 <mark>model.</mark>
- For an urban area, the most sensitive parameters in the surface energy balance are the total 158 latent heat flux of the natural surface and the total sensible heat flux of the urban surface. 159 Local changes in the total sensible heat flux of the urban surface may result in approximately 160 a 20% change in the total heat flux. These parameters are very important when dealing with 161 mesoscale studies. Due to the relatively small spatial scale of parklands, the available 162 literature does not currently support the need for including biochemistry surface parameters 163 in the estimation of ET in parklands. This could be potentially of more concern in urban 164 boundary layer meteorology modelling since the urban surface often involves buildings that 165 disturb air flow and generate turbulent eddies with different physical parameters of albedo 166 and evaporation (Flagg and Taylor, 2011). 167

Empirical direct methods are characterised by a semi-empirical relationship between net radiation and cumulative surface and air temperature differences. Air temperature is measured by a ground-based weather station while surface temperature is obtained from satellite imagery. These methods are usually based on the theoretical assumption of a constant value of the ratio  $H/R_n$  during the day and no soil flux:

173 
$$ET_{24} = R_{n24} + A - B (T_s - T_a)$$
 (2)

where  $ET_{24}$  is daily ET,  $R_{n24}$  is net daily radiation,  $T_s - T_a$  is the difference between the midafternoon surface temperature and the maximum air temperature (this is termed the Stress Degree Day or SDD), and A and B are calibration parameters. This method can be accurate ina local scale study area if calibrations and interpolations are accurate.

Due to the strong relationship between Vegetation Indices (VI) and surface temperature, 178 Carlson et al. (1995) developed a trapezoidal scheme to determine a relationship between 179 SDD and NDVI (Normalized Difference Vegetation Index) that resulted in an appropriate 180 measurement of soil moisture conditions in different depths. They suggested that the strong 181 182 relationship between surface radiant temperature and NDVI may yield in more accurate estimation of soil moisture status. Gillies et al. (1997) employed multispectral images to 183 estimate surface soil water content, vegetation cover, and surface energy fluxes in the mixed 184 185 landscape vegetation of trees and grasses and compared the results with ground measurements. In spite of many uncertainties in mixed vegetation, soil types, shading and etc. 186 results showed comparable errors to ground measurement. Yuan and Bauer (2007) 187 188 determined the amount of urban mixed vegetation and land surface temperature via satellite image analyses and then measured latent heat flux and evapotranspiration. Their analyses 189 190 indicated that the relationship between NVDI and land surface temperature varies seasonally so they recommended using thermal infrared remote sensing in mixed landscape environs. 191

192 Anderson et al. (2012) described the uniqueness of land surface temperature maps (thermal

193 images) in ET monitoring and mapping over large areas. Improvement in the spatio-temporal

194 resolution of images has both increased accuracy and reduced errors in ET estimation.

# 195 2.2 Residual methods

In this method, empirical and physical relationships are combined to estimate the energy balance components (except ET) directly through remote sensing (Kalma et al., 2008;Su, 2002a). ET is estimated as the residual of the energy balance equation. Latent energy exchange is estimated using a linear relationship between latent energy exchanges and surface air temperature differences at a specific time (Boegh et al., 1999;Calcagno et al.,
2007). Reasonable accurate results can be obtained from this approach in midday. However,
ground-based weather data is required to interpolate the results for the longer periods of daily
or monthly records.

Several models have been introduced and employed to investigate the spatial variation of 204 radiance and satellite image reflectance. Reliable but complex methods are based on different 205 206 models: Surface Energy Balance Algorithm for Land or SEBAL (Teixeira et al., 2009;Sun et al., 2011;Timmermans et al., 2007); Surface Energy Balance Index or SEBI (Yang and 207 Wang, 2011;Galleguillos et al., 2011); Simplified Surface Energy Balance Index or S-SEBI 208 209 (Roerink et al., 2000;Sobrino et al., 2005); Surface Energy Balance System or SEBS (Rwasoka et al., 2011; Jia et al., 2003); and Two-Source Energy Balance or TSEB (Yao et al., 210 2010; Tang et al., 2011). The SEBAL method predicts the energy fluxes at a regional scale. 211 Remote sensing images are employed to estimate net radiation and soil heat flux 212 (Bastiaanssen et al., 1998;Tasumi et al., 2005). However, the SEBAL method does not use 213 precipitation as an input parameter in ET estimation so the rainfall impact cannot be mirrored 214 in the results (Anderson et al., 2008). 215

The SEBAL model has been widely used to estimate ET in different climates, ecosystems 216 and land covers, predominantly in agricultural studies. This model is a thermal infrared 217 remote sensing-based method that includes triangular approaches (Carlson et al., 1994; Jiang 218 and Islam, 2001), two-source energy balance approaches (Long and Singh, 2012a; Norman et 219 al., 1995), and one-source approaches (Su, 2002), SEBAL considers groups of pixels inside 220 221 the analysed area as being either dry or wet. In the dry pixels, the latent heat is assumed to be zero, so the available energy is totally transformed into sensible heat flux. For the wet pixels, 222 sensible heat flux is theorized to zero and surface and air temperatures are assumed to be 223 224 equal to each other (Calcagno et al., 2007). The SEBI model follows the principles of SEBAL

by hypothesizing the reflectance of maximum temperature for dry pixels and the reflectance 225 of minimum temperature for wet pixels (Roerink et al., 2000). The main distinction between 226 SEBI and SEBAL are the differences in definition, calculation, and interpolation of 227 maximum and minimum latent heat fluxes for a given set of layers (Li et al., 2009). The S-228 SEBI model simplifies the SEBI model by obtaining the extreme temperatures for the dry and 229 wet pixels (Roerink et al., 2000). The SEBS model involves three data sets of information. 230 231 The first set includes albedo, emissivity, temperature, LAI, and vegetation height. The second is a meteorological data set including temperature, air pressure, humidity, and wind. The third 232 233 data set includes direct or modelled solar radiation measurements. In contrast to the SEBAL model, the SEBS model does not assume that the sensible heat flux is zero for wet pixels (Su, 234 2002b). Senay et al. (2011) developed an enhanced version of the Simplified Surface Energy 235 236 Balance (SSEB) model and to evaluate its performance using the established METRIC model. They claimed that SSEB can be used to estimate ET with inputs of surface 237 temperature, NDVI, DEM, and reference ET. 238

#### 239 2.3 Inference methods

This method is termed inference method or vegetation indices. It is based on RS application
to measure a plant adjustment factor (such as crop factor or landscape factor) to determine the
actual evapotranspiration. Given the formula

$$ET_{plant} = K_{plant} * ET_{ref}$$
(3)

The actual evapotranspiration rate  $(ET_{plant})$  is readily calculated from the reference evapotranspiration  $(ET_{ref})$  and plant adjustment factor  $(K_{plant})$ . Equation 3 has been broadly described in FAO-56 (Allen et al., 1998). Reference evapotranspiration is achieved by the ground measurement and adjustment factor is applied to reduce evapotranspiration rate based on plant water need (Nouri et al., 2012). In this method, the main factors required for 249 analyses are crop characteristics and meteorological data. Crop resistance to transpiration is related to differences in plant height, roughness, reflection, density, and rooting system and 250 these all vary in the plant's different growth stages. Consequently these variables all need to 251 252 be measured periodically within the plant growing season. The main meteorological data include solar radiation, temperature, humidity, and wind. For more precise estimation, a 253 complex alternative approach for crop/plant coefficient (dual crop coefficient) is used by 254 separately considering transpiration from the plant canopy and evaporation from the soil. In 255 this approach measuring solar radiation interception by vegetation cover (for non-stressed 256 257 plants) yields the basal crop coefficient. Predicting available energy at the soil surface can lead to estimate of soil evaporation (Allen, 2000). 258

Application of the field-based approach in the mixed landscape vegetation introduces comes along with some limitations. Heterogeneity of plant spices, vegetation density and microclimate yields in a high variation of plant evapotranspiration rates even in small scales. However, some approaches were introduced and applied for the mixed vegetation environs. They comprehensively discussed by Nouri et al. (in-review). RS-based method is an alternative trustable approach that facilitates considering diversity of mixed vegetation in ET estimation.

Inference methods use the reflectance value of the red (R) and Near Infrared (NIR) bands to predict VI (particularly NDVI) or LAI (Leaf Area Index). Although it requires ground-based calibration, it is still more affordable than empirical and residual methods those need high cost detailed field measurements (Courault et al., 2005a). Many studies have been conducted to find the correlation between crop coefficients and VI and particularly NDVI (Consoli et al., 2006;Neale et al., 2005;O'Connell et al., 2009;Trout et al., 2008). However, Allen et al. (2005) found that the relationship between crop coefficients and VI exists but emphasizes that the specific relationship is not transferable. He stresses that this is true particularly because ofirrigation effects on soil moisture and water stress conditions.

#### 275 2.4 Deterministic methods

This method is established based on the complex soil, vegetation, atmosphere transfer models (SVAT). Remote sensing can be employed to either estimate energy balance components or to integrate (or calibrate) particular input data. In order to interpolate remote sensing data temporally, ground measurements are required. The SVAT models can predict energy exchanges without remote sensing information (Baldocchi et al., 2001), although Olioso et al. (2005), Jupp (1998), and Voogt and Oke (2003) highlighted several benefits of combining remote sensing data and SVAT models for ET estimation.

SVAT models need detailed surface and meteorological data. The third-generation SVATs considers photosynthesis, carbon assimilation and biochemical reactions in order to determine carbon, water vapour and energy exchange (Niogi et al., 2009; Anderson et al., 2000). These models have been applied at both the leaf scale and regional scale. In these approaches, carbon assimilation is equivalent to the photosynthesis rate. Even though leaf photosynthesis leads to a carbon flux, it is dependent on light intensity, carbon concentration and water stress.

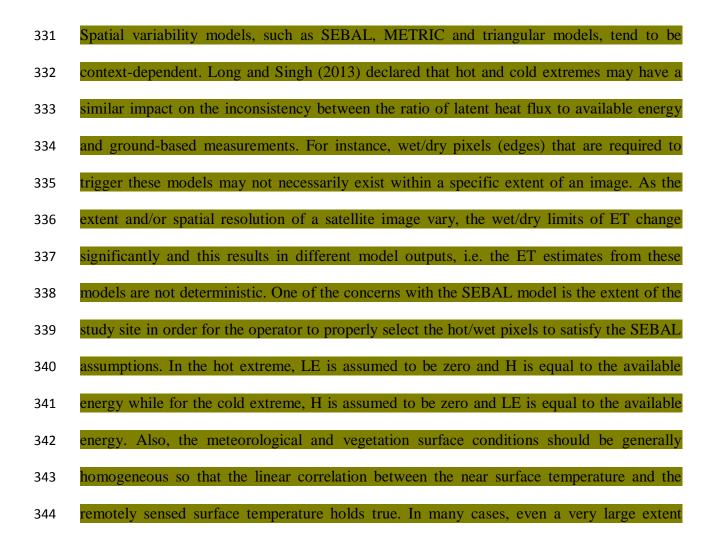
Unlike the residual approach, deterministic methods can be used on cloudy days when remote 290 sensing images are not available. Owen et al. (1998) assessed vegetation factors and surface 291 moisture availability in urban mixed vegetated surfaces using the SVAT model. They 292 293 claimed that a small change in land cover index (the influence of local land cover surrounding urbanized pixels) through urbanization dramatically changes 294 the 295 evapotranspiration rate. Mauser and Schaldich (1998) modelled the spatial variation of ET at 296 micro and macro scales by introducing PROMET (Process Oriented Model for297 Evapotranspiration), which is in the family of SVAT models.

#### 298 2.5 Other categorisations

Other researchers have proposed their own categories, the most common of which are now 299 discussed. Contreras et al. (2011) suggested two main groups of RS application in ET 300 301 prediction, namely physically-based algorithms and indirect residual techniques. A 302 physically-based algorithm usually relies on the Penman-Monteith equation (a principle method to estimate reference evapotranspiration). Indirect residual techniques quantify 303 304 surface energy balance parameters together with surface temperature/vegetation indices and the numerical process of SVAT. Recently, Allen et al. (2011a) proposed two main categories, 305 306 namely remote sensing energy balance techniques and satellite-based ET using vegetation indices. The former evaluates an energy balance through sensible heat flux using different 307 models (e.g. SEBAL, METRIC - Mapping Evapotranspiration at High Resolution and with 308 309 Internalized Calibration), mostly coupled with field measurements. Allen et al.'s second 310 category simply employs a vegetation index to estimate crop coefficients based on the close relationship between vegetation (NDVI, VI or LAI) and transpiration. They claimed that the 311 basal coefficient has the most consistent relationship with NDVI. 312

# 313 2. Advantages and disadvantages of remote sensing approaches

Combining satellite image and ground-based techniques has enhanced the accuracy of climatology data and particularly ET measurements (Wilson et al., 2003). Despite the advantages of using remote sensing techniques to measure ET, several disadvantages have also been reported. These include the time period between satellite captures, the high costs associated with obtaining high resolution images particularly airborne images, the uncertainty in estimating aerodynamic components and some errors in measuring narrow vegetation areas 320 such as riparian zones (Allen et al., 2011b;Boegh et al., 2009;Chen et al., 2005;Courault et al., 2005b; Jiang et al., 2009; McCabe and Wood, 2006; Min and Lin, 2006; Mutiga et al., 321 2010;Rana and Katerji, 2000;Stisen et al., 2008;Wu et al., 2010). It should also be noted that 322 temporal differences between satellite/airborne images can result not only from spectral 323 changes but also from daily, monthly, and yearly changes in sun position which directly 324 affect vegetation density (Weng et al., 2004). Bastiaanssen et al. (1998) suggested that 325 SEBAL does not work on cloudy days. Also, SEBAL has a specific regression model that 326 may not be suitable for all locations. Courault et al. (2005a) stated that climate data has an 327 328 important role in the SEBAL method and the accuracy of results is related to the density of meteorological stations in the study area. Moreover, they provided the following table of 329 advantages and disadvantages of remote sensing approaches for ET estimation (Table 2). 330



345 would not necessitate the existence of both hot and wet extremes. For instance, one would not be able to select a hot pixel from a large homogeneous forest. Secondly, there is not any 346 approach for the SEBAL/METRIC models to automate selection of extreme pixels from 347 images with varying extents, spatial resolutions and clouds (Long et al., 2011). Finally, even 348 when extremes can be properly selected from relatively large images, the SEBAL-type 349 algorithms appear to be limited in providing reasonable ET patterns due mostly to the use of 350 351 constant coefficients a and b in the SEBAL H algorithm. These constants do not accommodate the effect of variations in fractional vegetation cover on ET extremes (Long 352 353 and Singh, 2012b; Long and Singh, 2013).

354 Due to the importance of water and carbon budget assessment in regional water management, some researchers have recommended including biochemical and biophysical land surface 355 models to improve ET estimation. Significant correlation of system fluxes of ET (canopy 356 component assimilation and transpiration) with NDVI illustrates the importance of using 357 coupled modelling considering biochemical photosynthesis models and surface energy and 358 water balance frameworks at regional scales. However, while ET estimation approaches that 359 rely only on vegetation indices could potentially miss temporal variability resulting from 360 increased soil evaporation or vegetation stress (Anderson et al., 2008), the current study has 361 chosen to adopt a computationally efficient approach which appears to work well for the case 362 of mixed vegetation in urban parklands. 363

Allen et al. (2011a) asserted that in-situ measurements using the energy balance technique are time consuming and need extensive skills, while remote sensing-based ET prediction using vegetation indices involves rapid analyses for a large area and these can be performed by a mid-level skilled technician. However, in this approach the effects of ET from rainfall events between satellite overpasses might be missed but this does not diminish the importance of the relationship between ET and vegetation indices. There are several studies showing a strong correlation between ET and vegetation indices (Glenn et al.; Rossato et al., 2005; Nagler et
al., 2007; Palmer et al., 2009; Devitt et al., 2010; Johnson and Belitz, 2012; Nagler et al.,
2012). The authors agree with Allen's viewpoint in terms of the simplicity and quickness of
RS-based ET estimation using vegetation indices. Here the ET-VI relationships for
agricultural vegetation and mixed landscape vegetation are reviewed.

375 3. Relationship between vegetation indices and ET

Remote sensing applications have been expressively involved in estimation of canopy cover,
vegetation index, or leaf area index both in agricultural and non-agricultural (urban, forest...)
environments. Canopy cover as a direct driver of plant water demand and its relationship
with plant adjustment factor is a suitable indicator of plants evapotranspiration rate.

# 380 *3.1 Relationship between agricultural vegetation indices and ET*

381 Vegetation indices have been developed and successfully assessed evapotranspiration in the last four decades particularly in the agricultural studies (Glenn et al., 2008). A new 382 generation of vegetation indices data particularly NDVI from Worldview 2, GeoEye, or 383 IKONOS provide high resolution coverage of the earth at less than a meter pixel resolution. 384 Duchemin et al. (2006) investigated the practicality of using remotely sensed NDVI as an 385 386 indirect method of estimating LAI and reference evapotranspiration, ET<sub>ref</sub>. They proposed a linear relationship between NDVI and basal crop coefficient for irrigated agricultural fields. 387 388 Tasumi and Allen (2007) studied the relationship between ET, crop behaviour, vegetation 389 indices (NDVI) and crop coefficients (derived from satellite images) for several crops during 390 their growing stages. They found NDVI as a helpful indicator to understand irrigation 391 consumption and assess irrigation management.

Trout and Johnson (2007) estimated the water demand of agricultural crops by calculating crop coefficients and  $ET_{ref}$  from a weather station. Due to the high variability of crop

coefficients, an alternative method of measuring the crop coefficient based on light 394 interception by the canopy cover was introduced. This uncomplicated approach was able to 395 estimate the crop coefficient from its relationship with the basal crop coefficient. The crop 396 397 coefficients were estimated by remotely sensed NDVI. A multi-spectral camera was employed to measure canopy cover while the basal crop coefficient was derived from 398 lysimeter measurements and meteorological parameters. In another study, Trout et al. (2008) 399 400 used a multi-spectral camera to measure canopy cover directly from horticultural crops. They then compared the canopy cover derived from this method with that measured using remotely 401 402 sensed NDVI. They asserted that there was a high correlation and a linear relationship between crop canopy and NDVI and recommended the application of remotely sensed NDVI 403 404 to predict vegetation water demand.

Later, O'Connell et al. (2009) determined the irrigation demand of citrus, grape, and almond 405 406 irrigation sites in Australia by ET measurement using the SEBAL model. The relationship between ET and NDVI was also investigated. The results showed a strong relationship 407 408 between ET and NDVI for three crop species. Trout et al. (2010) compared the two remote sensing techniques of energy balance (SEBAL) and an indirect method using vegetation 409 index in order to predict ET. They confirmed that vegetation cover can be estimated from 410 satellite-based NDVI for a wide variety of crops (Trout, 2011;Trout et al., 2010). Contreras et 411 al. (2011) estimated ET from irrigated and natural oases in central Argentina using a linear 412 relationship between ET and vegetation index at seasonal and annual temporal scales. Season 413 1 was the growing season from October to April and Season 2 was the dormant season from 414 May to September. They compared remotely sensed ET estimations with ground-based ET 415 measurements at the plot and basin spatial scales (Fig. 1). They concluded that a satellite 416 image approach is an uncomplicated and robust method with two to eighteen percent 417 uncertainty. 418

#### 419 *3.2 Relationship between non-agricultural mixed vegetation indices and ET*

420 Remotely-sensed spatial, spectral, and temporal data can prominently enhance the ecological 421 knowledge of mixed landscape vegetation environment. Integration of ground-based field 422 measurement and RS-based data to calculate spectral vegetation indices (e.g. NDVI) simplify 423 and enhance the accuracy of ET estimation of mixed planting (Buyantuyev et al., 2007).

424 Keith et al. (2002) determined the spatial and temporal variability of vegetation greenness through NDVI in Galveston Bay (Texas) for the six continues years. The NDVI time series 425 were compared with ground measurement climate data particularly evapotranspiration. They 426 asserted that remotely-sensed NDVI coupled with weather data is a useful tool to monitor 427 water usage in sub-watershed scales. Nagler et al. (2004) compared LAI measured using a 428 429 plant canopy analyzer, NDVI measured by a hand-held radiometer and the NDVI calculated using low-level aerial photographs of natural riparian species along the Colorado River. They 430 compared the results from LAI and NDVI and reported 10% coefficients of variation (CV) 431 432 for NDVI in contrast to 40% CVs for LAI measurement. They asserted that for mixed 433 vegetation with different plant cover, NDVI provides more reliable information of physiological processes with lower CVs. Rossato et al. (2005) analysed long-term satellite 434 data to study the spatial and temporal variability of ET in Brazil. They reported a near linear 435 relationship between ET and NDVI and recommended NDVI measurement as an indirect 436 method of ET monitoring for different types of trees and ground covers. 437

Three independent in-situ methods of evaluating soil moisture conditions; sap flow, open top chamber, and eddy covariance were applied in a varied and multistorey vegetation areas in Australia to measure evapotranspiration (Cook et al., 1998; Hutley et al., 2000). Later on, Palmer et al. (2009) developed the MODIS LAI-ET model to estimate ET over the same place. Results were validated and compared with previous ground-based research. They found results driven from MODIS LAI-ET model closely approximate to groundmeasurements. This model can be scaled-up to the catchment.

Boegh et al. (2009) used the water balance equation and investigated its relationship to ET for 445 natural vegetation through the vegetation parameters of LAI and crop coefficient. They found 446 a close agreement between canopy growth and evapotranspiration rate predominantly in 447 forests. Devitt et al. (2010) estimated the ET of mixed shrubs and grasslands in three valleys 448 in Nevada (USA) over a three year period. ET prediction was based on an energy balance 449 using the eddy covariance method and this was scaled up for entire catchments using remote 450 sensing data. They also investigated the correlation between ET and NDVI. The vegetation 451 452 density was categorized into 0 to 0.1 for low density, 0.1 to 0.25 for moderate density, and more than 0.25 for high density. Their results confirmed the strong relationship ( $r^2 = 97\%$ , 453 P<0.001) between ET and NDVI (Fig. 2). 454

Recently, Johnson and Belitz (2012) introduced a new approach of using NDVI to quantify urban irrigation. Landsat Thematic Mapper satellite imagery, air photos, land-use maps, and climatic data were employed to predict the location and monthly irrigation rate in urban environments. They found the computed irrigation rate well correlated to actual evapotranspiration data.

## 460 **4.** Conclusion

An accurate estimation of ET is highly important to have sustainable irrigation management and healthy vegetation both in agricultural and non-agricultural environs. Increasing urbanisation, growing population and water scarcity are all major issues facing Australian society. Urban parklands will have an important role in creating a healthy society and this provides a key motivation for this study to review the water demand of urban green spaces (Nouri et al. 2012). The study has found that remote sensing provides an efficient approach for prediction of parkland water demand. Remote sensing had a great contribution in obtaining a more accurate ET estimation in both pixel-scales to global-scale studies. Increasing the accessibility and resolution of remote sensing data enables broad spatial coverage, routine updating, and the ability to provide selfconsistent measurements of critical physical properties that would be difficult or expensive to obtain in situ (Miller and Small, 2003). It also provides the opportunity of automated data collection covering spatially extensive and geographically discrete information in mixed vegetation conditions.

This review has compared various remote sensing methods for estimating ET. Based on the 475 most comprehensive categorization of RS application in ET estimation, four main categories 476 477 of empirical direct, residual, inference, and deterministic were described. Semi-empirical relationship between net radiation and cumulative surface and air temperature differences 478 characterise is the basis of the empirical direct approach. In the residual method, the 479 480 empirical and physical relationships are combined to estimate the energy balance components directly through remote sensing and ET is estimated as the residual of the energy 481 balance equation. Inference approach is based on RS application to measure a reduction 482 adjustment factor to modify the reference evapotranspiration and achieve the actual ET of the 483 specific plants. Deterministic method investigates the complex relationship of soil, 484 485 vegetation, atmosphere transfer through complex models.

The spatial and temporal variation of heterogeneous mixed landscape vegetation areas persuades finding a suitable approach with a higher capability of frequent update and spatial resolution. Between all described methods, supporting Allen's view, the authors recommend inference methods for the mixed landscape vegetation environs. Since, this approach is not only simple and rapid compared to others, but also has the capability of observing the heterogeneity of vegetation through Hyperspectral imageries. Some examples ET-VI relationships in agricultural field and mixed landscape vegetation areas were described to support the suitability and practibility of this approach. However, still several challenges are
presented in ET estimation using RS images such as long turn-around time of image
acquisition and the cost for the high resolution satellites.

The selection of the most appropriate approach is varied be based on the accuracy, budget,
time limitations, desired spatial and temporal resolutions, availability of ground data, and
particularly meteorological data.

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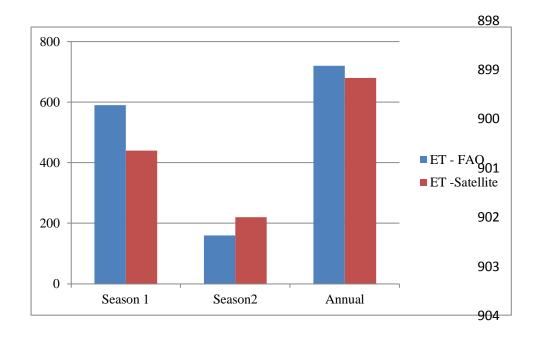
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# 876 Table 1- Table of abbreviations

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Remote Sensing	RS
Evapotranspiration	ET
Vegetation Index	VI
Stress Degree Day	SDD
Normalized Difference Vegetation Index	NDVI
Near Infrared	NIR
Surface Energy Balance Algorithm for Land	SEBAL
Surface Energy Balance Index	SEBI
Simplified Surface Energy Balance Index	S-SEBI
Surface Energy Balance System	SEBS
Two-Source Energy Balance	TSEB
Soil-Vegetation-Atmosphere Transfer	SVAT
Leaf Area Index	LAI
Process Oriented Model for Evapotranspiration	PROMET
Mapping Evapotranspiration at High Resolution and with Internalized Calibration	METRIC
Moderate-resolution Imaging Spectroradiometer	MODIS
Urban Heat Island	UHI
Digital Elevation Model	DEM
Food and Agriculture Organization	FAO
Coefficients of Variation	CV

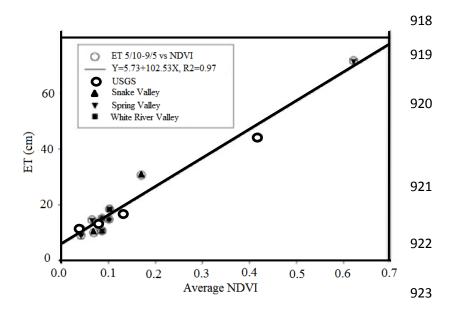
- 882 Table 2. Advantages and disadvantages of various remote sensing approaches for estimating ET (after Courault
- et al., 2005b)

Method/model	Advantages	Disadvantages
Empirical Direct	Operational from local to regional scales	Spatial variation of coefficients
Interference model	Operational if combined with ground	Requires calibration for each crop type
	measurement methods or models	K <sub>c</sub> varies according to water stress
Residual	Low cost	Requires detection of wet and dry
(SEBAL, S-SEBI)	Needs no additional climatic data	pixels
Deterministic	Permits estimation of intermediate variables	Requires more parameters
(SVAT)	such as LAI	Requires accurate remote sensing data
	Possible links with climate and/or	
	hydrological models	



905 Fig. 1 Comparison of ET rates (mm y-1) of ground-based (FAO-crop coefficient) and satellite-based methods

906	(after Contreras et al. 2011)
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924 Fig.2 Relationship between yearly ET and NDVI in three catchments in Nevada, USA (after Devitt et al., 2010)