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Model-based estimation of pesticides and transformation products and their export pathways in a headwater catchment

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Abstract

Pesticides applied onto agricultural fields are frequently found in adjacent rivers. To what extent and along which pathways they are transported is influenced by intrinsic pesticide properties such as sorption and degradation. In the environment, incomplete degradation of pesticides leads to the formation of transformation products (TPs), which may differ from the parent compounds regarding their intrinsic fate characteristics. Thus, the export processes of TPs in catchments and streams may also be different. In order to test this hypothesis, we extended a distributed hydrological model by the fate and behaviour of pesticides and transformation products and applied it to a small, well-monitored headwater catchment in Switzerland. The successful model evaluation of three pesticides and their TPs at three sampling locations in the catchment enabled us to estimate the quantity of contributing processes for pollutant export. Since all TPs were more mobile than their parent compounds (PCs), they exhibited larger fractions of export via subsurface pathways. However, besides freshly applied pesticides, subsurface export was found to be influenced by residues of former applications. Export along preferential flow pathways was less dependent on substance fate characteristics than soil matrix export, but total soil water flow to tile drains increased more due to preferential flow for stronger sorbing substances. Our results indicate that runoff generation by matrix flow to tile drains gained importance towards the end of the modelling period whereas the contributions from fast surface runoff and preferential flow decreased. Accordingly, TPs were to a large extent exported under different hydrological conditions than their PCs, due to their delayed formation and longer half-lives. Thus, not only their different intrinsic characteristics but also their delayed formation could be responsible for the fact that TPs generally took different pathways than their PCs. We suggest that these results should be considered in risk assessment for the export of agricultural chemicals to adjacent rivers and that models should be extended to include both PCs and TPs.

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1 Introduction

Following their application, pesticides are largely retained in the field and degraded. Only a small proportion – usually less than a percent (Leu et al., 2004a) – is transported to adjacent streams and rivers. However, this small fraction may be enough to be harmful for aquatic organisms, either by permanent exposure at low concentrations or acute exposure at high peak concentrations (Cold and Forbes, 2004) or both. Moreover, pesticides are often only partially degraded and the emerging transformation products (TPs) are ubiquitous in the environment (e.g. Olsson et al., 2013). The drawback of incomplete mineralisation is that TPs are often more mobile than their parent compound (PC), can be more persistent and even more toxic (Boxall et al., 2004). Thus, while the contamination potential of the PC declines, total contamination of the catchment system may rise due to the formation of TPs.

A variety of hydrological processes are able to mobilize both pesticides and TPs and transport them from their application point or area of formation towards the river as diffuse pollution source (Tang et al., 2012). Surface runoff was shown to be a major pathway of river contamination by pesticides (Schulz, 2001). Especially the first rainfall-runoff events after pesticide application are able to mobilize large amounts (Shipitalo and Owens, 2003). Under baseflow conditions, Kalkhoff et al. (2003) found only low concentrations of applied pesticides but significantly higher concentrations of TPs. Johnson et al. (1996) showed that lateral subsurface flow may contribute significantly to total pesticide transport. Zehe and Flüßler (2001) highlighted the effects of soil macropores for fast preferential solute transport towards tile drains. Tile drains may act as short-circuits for pesticide transport and were shown to be a major loss pathway (Doppler et al., 2012).

However, the routes of pesticide movement in a catchment are not solely governed by water flow pathways, but also by the physico-chemical characteristics of the specific molecule (Brown and van Beinum, 2009) and their interaction along the flow pathway. The most important substance characteristics governing the fate of pesticides in

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the environment are sorption and transformation (Arias-Estévez et al., 2008). Sorption regulates the mobility of a substance. Higher sorption comes along with higher retention because of lower mobility and thus it is less likely that sorptive substances are transported from the location of application or formation. Still, adsorbed substances may be transported attached to eroded soil particles (Hladik et al., 2009). Transformation affects the amount of a PC and TP being available for export in a catchment. Transformation kinetics and pathways are generally different in different environmental compartments like soil, plant and air and for different processes and compounds (PPDB, 2009).

Environmental fate of pesticides starts frequently with pesticide application on an agricultural field. Pesticides applied to the soil surface reach a rather thin upper soil layer, which was found to be in the range of mm to cm (Ahuja et al., 1981). Since this layer interacts with runoff by sorption processes it is often called mixing layer and contributes to dissolved or adsorbed pesticide concentration in runoff. The partitioning between dissolved and adsorbed pesticides is usually calculated using sorption isotherms. Additionally, Gouy et al. (1999) highlighted the importance of desorption kinetics, i.e. the velocity of desorption, as limiting factor for export of pesticides by surface runoff. In fact, the hysteresis of the sorption isotherm found by many researchers may be affected by sorption kinetics (Limousin et al., 2007). The quantity of pesticides and TP infiltration into the soil matrix and deeper soil layers is strongly depended on sorption strength. Infiltration into preferential flow pathways greatly reduces sorption due to less contact area with soil and less contact time (Singh et al., 2002). Still, sorption even occurs during fast macropore transport and especially in small macropores (Jarvis, 2007).

Using process-based models is a possibility to distinguish between different export pathways since export pathways may be represented separately. Craig and Weiss (1993), for example, used a non-calibrated GLEAMS (Groundwater Effects of Agricultural Management Systems) model in order to differentiate surface and subsurface export of pesticides towards a river. Holvoet et al. (2008) estimated the fraction of pes-

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ticide spray drift in runoff using a modified SWAT model. However, the validation of contributions from each export pathway remains a problem. Therefore, most modelling studies solely concentrated on the total amount or concentration of pesticide exported from a catchment (e.g. Flanagan et al., 2008 using AnnAGNPS model or Kannan et al., 2006 using SWAT model). Additionally, catchment scale models only considered PCs and neglect TPs. Currently, only leaching models are able to simulate the dynamic formation and fate of TPs (e.g. Ma et al., 2004; Fox et al., 2007 using RZWQM model; Rosenbom et al., 2009 using the MACRO model).

Considering the fact that TPs have generally different environmental fate characteristics and different zones of application/formation than their parent compounds, TPs may also have different export pathways towards a river. In order to test this hypothesis, we use a process-based hydrological model that is able to separately simulate lateral matrix and macropore flow, tile drain flow fed by soil matrix or preferential pathways and overland flow to the river. The model was extended to estimate reactive pesticide transport including sorption and degradation and formation of TPs. The extended model was applied to a well-monitored Swiss headwater catchment and dominant pathways were analysed.

2 Methods

2.1 Study site

The Ror catchment (1.95 km²) is located in the Swiss plateau and has gentle slopes with altitudes between 489 and 554 m a.s.l. (Fig. 1). The majority of the catchment is agriculturally used (90 %) and underlain by tile drains. A more detailed description of the catchment can be found in Leu et al. (2004a). The catchment was subject to various studies about hydrological processes (Frey et al., 2009, 2011; Schmockler-Fackel et al., 2007) and pesticide export processes (Leu et al., 2004a, b; Stamm et al., 2004; Freitas

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et al., 2008) in the past. In this study, process knowledge and sampling data generated by these former studies was used for model setup and model performance evaluation.

2.2 Substances and data sources

The substances in focus of this study were the herbicides Dimethenamid with the TP Dimethenamid OXA (D-OXA), Atrazine with the TP Desethylatrazine (DEA) and Metolachlor with the TP Metolachlor ESA (M-ESA). All three pesticides are mobile substances in water with rather low sorption coefficients (Table 1). The TPs have lower organic carbon sorption coefficients (K_{OC}) than their PCs, which indicated that they are more mobile compared to their PCs, especially D-OXA and M-ESA. All TPs of this study are more persistent in the environment than their PCs. The reaction compartment of all TPs is soil (PPDB, 2009), i.e. they are usually formed by microbial activity and non-biotic processes such as non-biotic oxidation and hydrolysis.

River sampling data used in this study was taken during a pesticide application experiment in 2000 (Leu et al., 2004a). In this experiment a mixture of pesticides (8.68 kg Dimethenamid, 9.62 kg Atrazine and 4.83 kg Metolachlor) was applied under controlled conditions on certain fields (Fig. 1). Subsequently, PC and TP river concentrations and runoff were sampled at three river sampling stations for three months. With this setup, the influence of unknown pesticide applications on river concentrations could be minimized. Still, some point source influences for Atrazine and Metolachlor were identified. Additionally, Atrazine and Metolachlor were used in previous years in the catchment, which could be seen in the experiment by relatively high baseflow concentrations of these substances. Details on the sampling scheme and analytical methods can be found in Leu et al. (2004b).

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2.3 The model ZIN-AgriTra

The model used in this study, ZIN-AgriTra, is a further development of the phosphorus export, erosion and hydrological model ZIN-Sed 2D (Gassmann et al., 2013). It is a spatially fully distributed model and able to simulate small time steps (minutes-hours).

2.3.1 Hydrological processes

Precipitation is conceptualized to fall to an interception storage I_{\max} and the soil surface simultaneously, depending on the fraction f_{canopy} of a cell covered by the interception storage. Infiltration into the soil matrix is calculated by the Green and Ampt approach (Green and Ampt, 1911), remaining water at the soil surface initiates macropore infiltration or is routed as overland flow.

Water movement within the unsaturated soil matrix is calculated by the Richards equation. The unsaturated hydraulic conductivity is estimated according to the Mualem–Van Genuchten equation (van Genuchten, 1980). An anisotropy factor can be specified to distinguish between the lateral and vertical hydraulic conductivity. The soil consists of three soil layers. Soil moisture within a layer is assumed to increase from field capacity θ_{FK} to saturation θ_{Sat} with depth z using a power law function:

$$\theta(z) = z^c \cdot (\theta_{\text{Sat}} - \theta_{\text{FK}}) \quad (1)$$

The exponent c is an empirical parameter representing drainage properties of a soil and thus the ability to form a capillary fringe. Integrating Eq. (1) between $z = 0$ and $z = \text{WT}$ (water table) and considering the upper boundary condition $\theta(0) = \theta_{\text{FK}}$ results in

$$\text{WT} = \frac{Q_{\text{sat}} - Q_{\text{act}}}{\theta_{\text{Sat}} \cdot \frac{1}{c+1} \cdot (\theta_{\text{FK}} - 1) - \theta_{\text{FK}} + 1}. \quad (2)$$

Q_{act} is the actual water content (mm) and Q_{sat} the saturated water content (mm). A water table is only calculated for a soil water content above θ_{FK} . In the model the soil layer

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establishes a water table successively from bottom layer to top layer. Figure S1b of the Supplement shows the influence of exponent c on the calculation of the water table depth.

The maximum macropore flow velocity $K_{s(\text{ma})}$ is calculated by an approach derived from pipe flow using the law of Hagen–Poiseuille (Wang et al., 1994):

$$K_{s(\text{ma})} = \frac{\pi \cdot g \cdot \left(\frac{d_{\text{ma}}}{2}\right)^4}{8 \cdot \nu} \cdot n_{\text{Macro}} \quad (3)$$

n_{Macro} is the number of macropores per m^2 , g is the acceleration due to gravity, d_{ma} is the diameter of the macropore and ν is the viscosity of water, which is assumed to be constant over time. The outflow velocity of water from vertical macropores is calculated by a power law depending on the relative filling of the macropores θ_{ma} following the MACRO model (Larsbo et al., 2005):

$$K_{\text{ma}} = K_{s(\text{ma})} \cdot \theta_{\text{ma}}^{n^*}, \quad (4)$$

where n^* is an exponent representing macropore size distribution and tortuosity. Once water is present in a macropore, it is in interaction with the soil matrix. The infiltration of macropore water into the soil matrix is calculated in the model by a radial Green and Ampt approach following Weiler (2005).

Tile drains are short-cuts for soil water (matrix and macropore) to reach the river and are conceptualized as follows: all vertical subsurface flow in an area affected by tile drains is directly routed to the adjacent river segment (Frey et al., 2009). Lateral soil water is considered to reach the tile drain from two sides:

$$K_{\text{drain}} = K_f \cdot \frac{\text{WT}_{\text{drain}}}{d_{\text{drain}}/2} \cdot 2, \quad (5)$$

where K_{drain} is the flow to the drain pipe, K_f is the saturated hydraulic conductivity, WT_{drain} the water table height above the drain pipe and d_{drain} the distance between drain pipes.

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Overland flow is calculated in two dimensions by a diffusive wave approach. The implementation was done in a similar way as presented for the CASC-2D model by Johnson et al. (2000) with the difference that flow is calculated in ZIN-AgriTra in eight possible flow directions. Flow velocity u is derived by Mannings equation:

$$u = \frac{1}{n_{\text{Man}}} \cdot R_h^{\frac{2}{3}} \cdot s^{\frac{1}{2}}, \quad (6)$$

where R_h is the hydraulic radius, n_{Man} Mannings' roughness coefficient and s the friction slope:

$$s = s_{\text{DEM}} + \frac{\Delta W T_{\text{surface}}}{d_{\text{cell}}}. \quad (7)$$

s_{DEM} is the surface slope, $\Delta W T_{\text{surface}}$ the surface water table difference between two neighbouring cells and d_{cell} the cell length. Although realistic catchment connectivity should be possible with this setup, it was shown that changing the grid resolution of a digital elevation model also changes connectivity. Thus, we additionally applied a forcing grid, differentiating areas where overland flow is connected to the river from areas not connected (Frey et al., 2009).

Actual evapotranspiration ET_a is calculated as the sum of interception storage evaporation ET_{int} , soil evaporation ET_{soil} and plant transpiration ET_{plant} :

$$ET_a = ET_{\text{int}} + ET_{\text{soil}} + ET_{\text{plant}} \quad (8)$$

The calculation of ET_{int} starts from a value of potential evapotranspiration ET_p given as input into the model and considers the actual water volume in the interception storage I_{act} :

$$ET_{\text{int}} = \begin{cases} f_{\text{canopy}} \cdot ET_p & \forall f_{\text{canopy}} \cdot ET_p \leq I_{\text{act}} \\ I_{\text{act}} & \text{otherwise} \end{cases} \quad (9)$$

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Soil evaporation decreases with soil depth using the SWAT approach (Neitsch et al., 2011):

$$ET_{\text{plant}} = C_{\text{crop}} \cdot (ET_p - ET_{\text{int}} - ET_{\text{soil}}) \cdot \frac{z_{\text{root}}}{z} \cdot \frac{\theta_{\text{act}} - \theta_{\text{PWP}}}{\theta_{\text{FK}} - \theta_{\text{PWP}}} \quad (10)$$

$\forall \theta_{\text{PWP}} \leq \theta_{\text{act}} \leq \theta_{\text{FK}}$

The fraction of ET_p which was not evaporated from the interception storage or the soil is used for ET_{plant} calculations. For this purpose, the root depth z_{root} and the actual soil moisture θ_{act} were considered:

$$ET_{\text{plant}} = C_{\text{crop}} \cdot (ET_p - ET_{\text{int}} - ET_{\text{soil}}) \cdot \frac{z_{\text{root}}}{z_{\text{layer}}} \cdot \frac{\theta_{\text{act}} - \theta_{\text{PWP}}}{\theta_{\text{FK}} - \theta_{\text{PWP}}} \quad \forall \theta_{\text{PWP}} \leq \theta_{\text{act}} \leq \theta_{\text{FK}} \quad (11)$$

ET_{plant} was zero below permanent wilting point θ_{PWP} and had its maximum between field capacity θ_{FK} and saturation. C_{crop} is the crop coefficient considering variations in the water demand of different landuse types (Allen et al., 1998).

2.3.2 Chemical fate processes

In the ZIN-AgriTra model the mixing layer is incorporated as a thin soil layer interacting with surface runoff. The degradation rate in the mixing layer can be specified independently from the deeper soil layers, representing the influence of both phototransformation and microbial transformation. By infiltration of contaminated water, the substance can be transported into the first soil layer.

Sorption conceptualization in the model starts from the consideration that dissolved actual concentration C_{solved} and adsorbed concentration C_{sorbed} are usually not in equilibrium. Thus, the dissolved equilibrium concentration $C_{e, \text{solved}}$ is calculated from the sum of dissolved and adsorbed concentration

$$C_{\text{total}} = C_{\text{sorbed}} \cdot \text{SSC} + C_{\text{solved}} \quad (12)$$

and a linear isotherm by:

$$C_{e, \text{ solved}} = \frac{C_{\text{total}}}{1 + f_{\text{OC}} \cdot K_{\text{OC}} \cdot \text{SSC}} \quad (13)$$

SSC is the sediment concentration, K_{OC} is the organic carbon distribution coefficient and f_{OC} is the fraction of organic carbon in soil. The adsorbed equilibrium concentration $C_{e, \text{ sorbed}}$ is calculated using Eq. (13) and following the relationship of Eq. (12) by:

$$C_{e, \text{ sorbed}} = \frac{C_{\text{total}} - C_{e, \text{ solved}}}{\text{SSC}} \quad (14)$$

Sorption kinetics is considered in the model by a pseudo first-order rate equation (Azizian, 2004):

$$\frac{dC_{\text{sorbed}}}{dt} = r \cdot (C_{e, \text{ sorbed}} - C_{\text{sorbed}}), \text{ where} \quad (15)$$

r is the sorption rate constant and dt the time step. Since adsorption and desorption velocities are usually different, the rate constant r has different values for adsorption (r_{ad}) and desorption (r_{de}). In the ZIN-AgriTra model, sorption is calculated between soil matrix and soil water, between preferential flow and the surrounding soil, between overland flow and the mixing layer and between overland flow and suspended sediments. Dissolved solutes in macropores are considered to be in contact with and sorbed to 1 mm of soil around the macropore.

Pesticide transformation is calculated by a first order degradation approach. The formation of a TP is ruled by the formation fraction $ff_{\text{PC-TP}}$ as suggested by Kern et al. (2011). The value of $ff_{\text{PC-TP}}$ integrates the changing molecule mass of the TP and considers the formation of multiple TPs or fractions of total mineralization of the PC. The soil mass balances of PC mass m_{PC} and TP mass m_{TP} in the model are:

$$\frac{dm_{\text{PC}}}{dt} = m_{\text{app}} - \left(\frac{\ln(2)}{\text{DT50}_{\text{PC}}} \cdot m_{\text{PC}} \right) - m_{\text{PC, Runoff}} - m_{\text{PC, inf}} \quad (16)$$

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and

$$\frac{dm_{\text{TP}}}{dt} = \left(ff_{\text{PC-TP}} \cdot \frac{\ln(2)}{\text{DT50}_{\text{PC}}} \cdot m_{\text{PC}} \right) - \left(\frac{\ln(2)}{\text{DT50}_{\text{TP}}} \cdot m_{\text{TP}} \right) - m_{\text{TP, Runoff}} - m_{\text{TP, inf}}, \quad (17)$$

where m_{app} is the pesticide mass applied in the field and DT50_{PC} and DT50_{TP} are the first-order half-life times of the PC and TP respectively. The mass exported towards the river ($m_{\text{PC, Runoff}}$ and $m_{\text{TP, Runoff}}$) and the mass infiltrating into deeper soil layers or aquifers ($m_{\text{PC, inf}}$ and $m_{\text{TP, inf}}$) are also considered in these equations. Different DT50 were specified in the mixing layer and in the three soil layers.

Substances may be exported to the river via lateral macropore and matrix flow, flow to tile drains via macropores and soil matrix and via surface runoff. While in subsurface runoff only dissolved substances are considered, overland flow also transports adsorbed matter. Although ZIN-AgriTra is capable to account for adsorbed matter transport, this pathway was not relevant in the present study since all substances were mobile and, additionally, erosion was not a problem in the Ror catchment.

2.4 Model setup

2.4.1 Implementation

Due to the relatively long run times of this model, the code was implemented in C++, using Microsoft Visual Studio, 2010. The Ror catchment was set up with quadratic cells of 10 m length and rainfall input time steps of 10 min. Depending on soil moisture and water table changes from one time step to another, the model time step is adapted to guarantee a stable model run and a closed water balance. The model setup of this study resulted in a model run time of about 2 h on an Intel® Core™ i5-3320M CPU @2.6 GHz for a single PC-TP combination.

2.4.2 Initial conditions and water balance

There are two main difficulties when modelling short time periods: the choice of initial conditions and the question of the plausibility of the model setup. In order to get a realistic soil moisture distribution as initial condition for hydrological modelling, we performed a warm-up model run from 1 October 1999–7 May 2000, using rainfall and potential evapotranspiration data from the meteorological station Waedenswil (47°13' N, 8°41' E). This run was continued until the end of the year 2000 to get the whole water balance of the year and thus check for the plausibility of the model setup.

Although the experiment was the first pesticide application in 2000, residues of former applications led to an identifiable baseflow concentration of all substances (Leu et al., 2004b). We adjusted the initial soil mass of pesticide residues in order to match the baseflow concentrations in the eastern subbasin, where substances were assumed to stem solely from subsurface flow (Table 2). The initial masses were spatially and vertically equally distributed in the three soil layers.

2.4.3 Hydrological parameters

Rainfall was taken from two sampling stations in the catchment at 10 min resolution (Fig. 1) and spatially distributed, using inverse distance weighting. Potential evapotranspiration was calculated using the FAO crop evapotranspiration method and C_{crop} were taken from Allen et al. (1998). Other vegetation related parameters were adapted according to the Plant Parameter Database (PlaPaDa, Breuer et al., 2003) or from the SWAT database (Neitsch et al., 2010). Soil hydraulic properties were taken from Frey et al. (2009) and Green and Ampt infiltration parameters were taken from Rawls et al. (1983) or estimated as specified in Maidment (1993) from saturated hydraulic conductivity. The exponent c of Eq. (1) was estimated from the soil water retention curve by assuming a linear distribution of matrix potential from the soil surface to the water table (Fig. S1a of the Supplement). Most soils in all layers were in a range of $c = 2$ –5. Only the sand layer in the eastern subbasin had a value of $c = 20$. The basic

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extent of tile drains was available from a map in this study (Fig. 1). However, it was assumed that this map lacks some independently installed drainages. Thus, similar to Frey et al. (2009), we added drainages in sink areas where water was accumulating during rainstorms.

For calculations of macropore flow, the number and diameter of macropores were needed. In cambisols of the same region, Weiler (2005) determined macropore numbers between 90–300 m⁻² and macropore diameters between 4–6.4 mm. In tilled areas the number of macropores is usually lower due to pore destructions by ploughing (Beven and Germann, 1982). Thus, we set the number of macropores to 200 m⁻² in non-tilled and 100 m⁻² in tilled areas. The macropore diameter was set to 4 mm for all soils. The orientation of macropores in lateral direction depends on the incline of slopes and the underground uniformity. Since slopes were relatively flat, we set the lateral connectivity to 5 %. The macropore distribution index was set to 4, which is a default value in the MACRO model (Larsbo et al., 2005). All soil and vegetation related parameters can be found in Tables S1–S4 of the Supplement.

The catchment boundaries consisted of no-flow boundary conditions for overland flow and lateral subsurface flow. Vertically, the model allowed for an outflow of soil water from the third soil layer towards not connected aquifers. Freitas et al. (2008) reported on groundwater levels in the Ror catchment in 2003. While the levels were rising up to the soil surface in the southern subbasin, levels hardly reacted to rainfall the eastern subbasin. This was attributed to a sandy subsoil layer, which was able to drain this tributary effectively. We assumed that also the exchange of water across the catchment boundaries was elevated in this region. Therefore, we set the vertical boundary condition in the eastern subbasin to 0.5 mm d⁻¹, while the rest of the catchment was considered to be underlain by an impermeable layer.

2.4.4 Chemical parameters

Sorption kinetics is often not determined in experimental studies but generally fast for pesticides and faster for adsorption than for desorption (e.g. Shariff, 2011; Baskaran

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and Kennedy, 1999). We estimated kinetic parameters to be $r_{ad} = 10$ (1/d) and $r_{de} = 5$ (1/d). The impact of kinetic parameters on modelling of the main release event can be seen in Fig. S2 of the Supplement. The depth of the mixing layer was set to 2 cm (Ma et al., 2004). Microbial activity decreases nonlinearly with soil depth. In a soil depth of 80–100 cm the number of bacteria was found to be about 10–20 % of the surface soil (Tate III, 1979; Susyan et al., 2006). Consequently, the degradation half-life times strongly increase with depth. To account for this increase we multiplied the mixing layer half-life times $DT50_{\text{mixing layer}}$ by 1.25 to get DT50 in the 1st layer (mean of 0–23 cm), by 2.0 for the 2nd layer (mean of 23–58 cm) and by 10 for the 3rd layer (mean of 59–122 cm).

2.4.5 Model calibration

Due to the relatively long runtimes, the only applicable way for model adaptation to sampled values was a manual calibration. Overall, only few hydrological parameters were calibrated in this study. Evapotranspiration was adapted by changing C_{crop} in a way that the onset of discharge after the drier period from mid of June 2000 to beginning of July 2000 could be reproduced, resulting in a multiplication of all C_{crop} values by 1.2. The soil anisotropy factor was calibrated to 10, considering the subsurface flow reaction of the catchment to rainfall and the falling limbs of runoff events. The macropore density of the sand layer in the east was calibrated by considering the amount of substance export to the river in the eastern subbasin after the calibration of sorption and transformation parameters. A value of 2 %, compared to the other layers, was found. The basis for calibration of K_{OC} , $DT50_{\text{mixing layer}}$ and ff_{PC-TP} were ranges of parameter values found in the literature (Table 1). Sorption coefficients were found to raise or drop the whole substance flux time series whereas complex interactions were found during calibration of PC and TP transformation parameters. Thus, it was not possible to calibrate the PCs and TPs independently but both had to be considered simultaneously.

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2.4.6 Evaluation of model results

The model was set up to simulate discharge and substance fluxes of the three pesticides and their TPs from 7 May 2000–1 August 2000. In addition to pesticide residue modelling, a model run was parameterized for the transport of a conservative solute (CS) at the same application rate as Metolachlor. Since a CS is defined to lack sorption and degradation, the model results could be used to discuss the influence of environmental fate characteristics on pathways and export masses.

The pesticide residue model results were compared to sampling data at three stations in the Ror catchment, in order to ensure a correct spatial prediction (Fig. 1). The performance of the model was evaluated by Nash–Sutcliffe efficiency (N_{eff}) (Nash and Sutcliffe, 1970). Since N_{eff} was shown to have weaknesses for evaluating time series with very high internal variability such as micropollutants (Vezzaro and Mikkelsen, 2012) we additionally calculated the root mean square error (RMSE) and the coefficient of determination (R^2). For an evaluation of the water balance of the year 2000 we had to use literature values since sampling data was restricted to the above mentioned timespan.

3 Results

3.1 Model performance

The modelled water balance of the Ror catchment for the year 2000 resulted in 1264 mm rainfall, 519 mm evapotranspiration, 729 mm discharge, 12 mm underground outflow and 3 mm soil storage change. The high temporal resolution of the modelled time series shows the huge dynamics of mass fluxes in the catchment over six orders of magnitude (Figs. 2, S3 and S4), whereas discharge ranged over three orders of magnitude. Mass fluxes in the southern subbasin were an order of magnitude higher than mass fluxes in the eastern subbasin. The model was able to reproduce the main export

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event (No. 6) and the events in the late season at all sampling stations and for all substances. Although small discharge events (events 1–5, 10–11) were underestimated at all stations, discharge was modelled with high values of performance measures (Table 3). Similarly, pollutant losses were underestimated for these events. Nevertheless, 5 goodness-of-fit values suggested a successful modelling for the entire time series at the outlet and in the southern subbasin. In the eastern subbasin N_{eff} was low for most substances and thus suggested that the model failed in reproducing pesticide residue losses. Still, RMSEs were in the range of only a few ng L^{-1} and R^2 suggested a medium successful but significant modelling of the time series dynamics.

10 Substance loads were calculated for timespans with available sampling data (Table 4). The model successfully predicted loads at all stations and for all substances except D-OXA, which was predicted an order of magnitude too low in the eastern subbasin. Nevertheless, especially the huge difference between the eastern and the southern subbasin was simulated appropriately.

15 3.2 Pathway predictions

The export of the conservative solute was about 32% whereas the PCs were only exported between 0.3% and 1.0% (Table 5). Except DEA, all TPs were recovered in a higher or equal fraction compared to their PCs. At the end of the simulation, 67% of the CS was left in the soil, but only 0.3–5.7% of the pesticide residues. The pesticides were considered to be mineralized to 89–99%. Only the conservative solute had 20 a noticeable transport (0.3%) of vertical subsurface export to deeper aquifer layers.

During the modelling period, the three sampling stations behaved differently regarding runoff generation and pesticide residues export (Fig. 3). Surface flow contributed more to total runoff in the southern subbasin and less in the eastern subbasin where 25 slow runoff generation processes prevailed. The relative export of pesticide residues was higher in the southern subbasin than in the eastern subbasin. The CS was exported in all stations almost solely via matrix flow to tile drains but in a much higher fraction in the eastern subbasin, following runoff generation processes. This pathway

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also prevailed for all pesticide residues in the eastern subbasin except Dimethenamid, which was mainly exported by preferential flow to tile drains. In the southern subbasin, only M-ESA had a considerable export by subsurface processes while the rest was predominantly exported by overland flow. Export at the catchment outlet was a mixture of the other two stations with higher export via subsurface processes than in the southern subbasin, but the main export pathway being overland flow for all substances 5 except M-ESA which had a higher export by matrix flow to tile drains.

Time series of the different transport processes contributing to substance export and discharge are shown for the main export event (MEE, 23 days after application) and later events (60–71 days after application) in Figs. 4 and 5 and in the Supplement Figs. S5 and S6. The CS mimicked tile drain flow from soil matrix at all sampling stations, but with higher dynamics compared to water discharge. All pesticide residues had sharp export peaks via overland flow but PCs generally had higher overland flow fractions than their TPs. Compared to the MEE, the slower runoff generation processes 15 had larger fractions in the later events in all three stations, which resulted for all substances in higher export fractions by matrix flow to tile drains. Although the fraction of macropore flow decreased in the later events, the fraction of substance export via this pathway increased in the southern subbasin and at the outlet. Only in the eastern subbasin lower fluxes via preferential flow to tile drains were estimated 60–71 days after application. The export via overland flow for the later events decreased in the same way as surface runoff fractions decreased.

The maximum peak fluxes of the CS in the later events were about two-fold the peak fluxes of the MEE at the catchment outlet (Fig. 4). Peak fluxes of the PCs towards the end of the study period, however, were only about 5% (Dimethenamid) and about 20% (Metolachlor and Atrazine) of the MEE, while TPs had about 20% (D-OXA) and about 25 75% (DEA and M-ESA).

4 Discussion

4.1 Parametrization

Due to missing experimental values, sorption kinetics parameters and soil half-lives for deeper soils had to be assessed for this study. We assumed a non-linear increase of DT50 with depth which was similarly also applied in former studies by Jury et al. (1987) and Ma et al. (2004). Sorption kinetic parameters are rarely determined in experimental studies and only general information is available: kinetics is generally fast for mobile pesticides with most sorption occurring within a few hours (e.g. Locke, 1992), which is equal to first-order rate coefficients approximately between 10 and 50 d⁻¹. The chosen sorption kinetic parameters of this study were slower than most values reported in the literature (e.g. $r_{de} = 24 \text{ d}^{-1}$ for Metolachlor, Shariff, 2011). However, considering that literature experiments were conducted using sieved soils, which probably over-estimated environmental sorption velocity by neglecting diffusion into soil aggregates and increasing the surface for sorption (Villaverde et al., 2009), our parameter values appear to be reasonable. De Wilde et al. (2008) concluded that rate coefficients were higher for strongly sorbing pesticides, which indicates a correlation between K_d and kinetic parameters. In this study, all pesticides and TPs were rather mobile compounds and thus we considered the rate coefficients to be equal for all substances. Still, we suggest more experimental studies to determine sorption kinetic parameters under realistic environmental conditions in order to reduce associated parameter uncertainty.

4.2 Model performance and uncertainty

The reasonability of the modelled water balance and the model setup is confirmed by the fact that mean annual evapotranspiration was estimated before to be in the range of 500–550 mm for this region (Menzel et al., 2011). The high-resolution (10 min) goodness-of-fit values point to a largely successful model application for all substances at the outlet and in the southern subbasin, whereas the eastern subbasin exhibited

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lower performance values. Still, the fluxes were low in the eastern subbasin and thus the absolute errors were low as well. This effect was also observed by Gassmann et al. (2012) when modelling erosion and suspended sediment transport at a high temporal resolution and may be an effect of both, a temporal shift of the model results and an over- or underprediction. Nevertheless, other catchment scale models use a daily resolution (e.g. SWAT, AnnAGNPS), which would not have been able to catch the dynamics of rainfall-runoff events in the Ror catchment. The underestimation of discharge and pollutants during some small events in this study may result from an under-representation of sealed areas like roads and farmyards and the disconnection of these areas from the river in the model, due to the in this respect coarse spatial resolution of 10 m. Since it is unlikely that rainfall below 2 mm (events 1–2) triggers a runoff and pollutant response from agricultural areas we suppose that the majority of pollutants and water in the small events originated from impervious surfaces. For events 1–5, Leu et al. (2004a) identified farmyard losses for Metolachlor and Atrazine. However, also small amounts of pesticide residues may stem from roads were it was deposited by spray drift during the application. Overall, the events, which could not be modelled well, were 1–2 orders of magnitude smaller regarding the herbicide loads than the main loss events (event 6–7) and thus only play a minor role for total substance export, which was confirmed by the successful modelling of total export loads (Table 4).

The problem of pesticide residuals from previous years was tackled by introducing an initial uniform soil mass of all substances prior to application, chosen in order to match sampled substance concentrations in the eastern subbasin. This led to an over-estimation of background concentrations in the southern subbasin, showing that the initial soil mass of substances was rather non-uniformly distributed in the catchment. Thus, the initial soil concentrations from Table 2 may only be seen as calibrated parameters and not as real residual amounts in the catchment. To get detailed information about this boundary condition, it would be necessary to take soil samples all over the catchment from different soil depths. However, since the residuals deliver only a small

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amount of substances compared to total export masses, such a sampling campaign could not be considered an applicable approach.

Despite the above-mentioned problems with residues and point sources, pesticide application uncertainty, which is common and problematic in pesticide modelling studies (Fohrer et al., 2013), could largely be neglected since detailed information from the farmers about the location and amount of application was available. Some uncertainty may result from the sorption concept used in ZIN-AgriTra. We assumed a linear isotherm to be applicable for the description of the sorption equilibrium, although the Freundlich isotherm was found to produce better fits in experimental sorption studies using organic chemicals (e.g. PPDB, 2009). However, the Freundlich isotherm cannot be solved in analytical form (Frolkovič and Kačur, 2006), necessitating numerical approaches. A numerical solution for the calculation of sorption in each time step and cell would have resulted in too long computation times. Most probably, the effect of using a Freundlich isotherm instead of a linear approach would result in an initially longer tailing of dissolved substances after an event followed by a rapid decline for lower concentrations. Still, the successful modelling of this study suggests that it was in the first hand more important to simulate the correct water pathways than implementing a more complex sorption isotherm for predicting export masses. Furthermore, Stamm et al. (2004) found no tremendous differences between the linear and the Freundlich isotherm for Atrazine, using soils from the Ror catchment.

4.3 Export and pathway predictions

Considering only conservative transport, i.e. neglecting sorption and transformation, 67 % of the applied mass would have remained in the soil and about 32 % would have been exported to the river (Table 5). Thus, all deviations from these values could be attributed to the intrinsic physico-chemical properties of the substances and the initial substance masses in the soil. Especially the much lower export fractions of the pesticide residues compared to the CS reflect the influence of sorption and transformation. For PCs, the influence of degradation can be seen in the lower mass fluxes of the later

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events compared to the MEE. D-OXA was found to be less persistent than the other TPs and the fractions of the later peaks compared to the earlier was therefore only 20 %. Still, D-OXA had larger peaks in the later season than its PC and Metolachlor and M-ESA had similar high peaks (Fig. 4). Thus, our simulations showed that TPs tend to be exported to a higher degree in the later season than their PCs, which was corroborated by field studies before (e.g. Huntscha et al., 2008).

Experimental studies analysing the entire pathway of agrochemicals from application to the river are rare (e.g. Doppler et al., 2012). In this study, missing sampling data of substance export processes such as export fluxes by overland flow or tile drains prohibited a direct validation of modelled export processes. Still, the largely successful spatial prediction of substance export and the knowledge about the difference of predominating export processes in the subbasins provided a document of the reasonability of modelled quantities of different export pathways.

Previous studies concluded that lower sorption of agrochemicals results in higher export via overland flow (e.g. Patakioutas and Albanis, 2004). Relative export masses for PCs confirmed this theory (Fig. 3). For TPs, the situation was found to be more complex, since formation fractions, PC half-lives and TP half-lives temporally delay the maximum availability of TPs in the mixing layer. Thus, despite lower K_{OC} values, overland flow export fractions of TPs were lower than their PCs. The CS was not exported by overland flow at all. This can be explained by the hydrological processes of runoff generation: in accordance with Schmocker-Fackel et al. (2007), who studied in detail the runoff generation processes in this catchment, the model calculated overland flow mainly as saturation excess in the Ror catchment. This means that water infiltrated and flushed the non-sorptive CS from the mixing layer into the soil before overland flow was generated (Fig. 6). Thus, at the onset of overland flow, no CS was available for export in the mixing layer.

Various studies reported that lateral subsurface flow may contribute significantly to the export of pesticides (Johnson et al., 1996) and especially TPs during baseflow conditions (Kalkhoff et al., 2003). In our study, only the highly mobile M-ESA showed

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a considerable amount of export via lateral subsurface flow at the outlet (Fig. 3). We attribute the low percentage to the gentle slopes in the catchment and low lateral flow velocities in the soil. Further, we assume that a large part of the substance mass in lateral flow originated from the substance masses we set as initial conditions in the soil. The modelling timespan may have been too short to find substantial lateral flow contributions from freshly applied pesticides in the river, which is confirmed by the overall low contribution of this pathway to CS export.

Over the whole study period, we found higher soil matrix leaching rates to tile drains for the highly mobile TPs than for their more sorptive PCs (Fig. 3). Similar to lateral subsurface export, we assume that the initial residues contribute to the total export of substances by soil matrix flow to tile drains. This is confirmed by the fact that even the CS and the highly mobile TPs of the freshly applied pesticides reached the third soil layer, in which the tile drains were located, only to a low fraction at the end of the study period (Fig. 6). Still, in combination with the higher DT50 of TPs, the leaching explains the gradually rising export fractions via matrix-fed tile drains for M-ESA and D-OXA (Johnson et al., 1996), whereas the less mobile substances remained completely in the mixing layer, even in the late season. Thus, our results are in agreement with Flury (1996) concluding that leaching of substances through the soil matrix is rather slow and mainly dependent on flow velocity and the substances' sorption properties.

The exact influence of preferential macropore flow on pesticide export is not yet known (Jarvis, 2007). Although it seems trivial that vertical preferential flow has the potential to increase substance transport to tile drains, it may also decrease export by bypassing substances which infiltrated into the soil matrix earlier (Larsson and Jarvis, 1999). In this study, leaching due to preferential flow to tile drains increased total tile drain export of PCs by a factor 1–4 while TP export only increased 0.1–0.8-fold. The total export fraction via preferential flow to tile drains was in the same order of magnitude (0.02–0.07 %) for all substances although their K_{OC} values differed by a factor 30 and their DT50 by a factor 13. Thus, the importance of the intrinsic pesticide properties seemed to be reduced for vertical preferential flow (e.g. Larsson and Jarvis, 2000;

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Kördel et al., 2008), but total tile drain export increased more for stronger sorbing substances.

The higher export of substances by matrix flow to tile drains in the later events due to increased water flow by this pathway is a document of the importance of the hydrological processes, and especially the contributions of each runoff generation process, for substance export modelling (Holvoet et al., 2005; Cryer and Havens, 1999). Additionally, this effect was stronger for TPs than for PCs. The reason may be that TPs were available in a higher fraction in these later events than PCs due to their delayed formation and longer degradation half-lives. Furthermore, the higher mobility of TPs caused a stronger leaching which resulted in higher availability of TPs in the subsoil for export to tile drains (Fig. 6).

5 Conclusions

The simulation of the presence of pesticide residues in river water released from agricultural catchments was focused on parent compounds in the past, using a rough temporal resolution. In this study, we introduced the fate of pesticides and the dynamic formation and fate of TPs into a hydrological model using a high temporal resolution. The model successfully simulated discharge and export of three pesticides and their TPs at three river sampling stations in a headwater catchment. Thus, this application showed that current conceptualizations of transformation processes can be implemented in catchment scale models in order to simulate river export fluxes of TPs. Furthermore, the correct spatial prediction suggests an application of the model for a delineation of critical source areas for pesticide residue export as influenced by their intrinsic substance characteristics.

The model results revealed that the amount of pesticide export and its pathways were influenced by physico-chemical substance characteristics in a similar way as reported by experimental and modelling studies in the literature. However, the factors influencing TP export were found to be much more complex, since TPs reach their mass peak in

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the catchment delayed to the PC and all fate parameters of the PC and the TP determine their environmental fate. As a consequence, the importance of single hydrological transport processes was different for PC and TP export. Thus, we conclude that PCs and TPs have generally different export pathways in a catchment due to their different environmental fate characteristics. This fact should be considered in risk assessment for the export of agricultural chemicals to adjacent rivers. Moreover, catchment scale models should be extended to include both PCs and TPs.

Supplementary material related to this article is available online at:
<http://www.hydrol-earth-syst-sci-discuss.net/10/9847/2013/hessd-10-9847-2013-supplement.pdf>.

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Table 1. Physico-chemical properties of studied pesticides and their TPs with molar mass M , degradation half-life in soil $DT50_{\text{soil}}$, organic carbon sorption coefficient K_{OC} and maximum formation fraction ff_{max} .

Substance	CAS-No.	M (g mol^{-1})	$DT50_{\text{soil}}$ (days)	K_{OC} (L kg^{-1})	ff_{max} (weight-%)
Dimethenamid	87674-68-8	276	6 ^b –20 ^a	90 ^a –474 ^a	–
D-OXA	380412-59-9	271	20 ^c –41 ^c	4 ^c –17 ^c	0.1 ^c
Atrazine	1912-24-9	216	12 ^b –34 ^a	69 ^a –140 ^d	–
DEA	6190-65-4	188	33 ^d –45 ^e	38 ^f –76 ^d	0.21 ^e
Metolachlor	51218-45-2	284	11 ^b –45 ^a	121 ^a –309 ^a	–
M-ESA	171118-09-5	351	131 ^d	11 ^d	0.1 ^e

^a Leu et al. (2004a).

^b Freitas et al. (2008).

^c BASF (2005).

^d Kern et al. (2011).

^e PPDB (2009).

^f Bottoni et al. (1996).

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Table 2. Calibrated chemical model parameter values. M_{ini} is the initial soil concentration of substances.

Substance	DT50 _{mixing layer} (days)	DT50 _{1st layer} (days)	DT50 _{2nd layer} (days)	DT50 _{3rd layer} (days)	K_{OC} (L kg ⁻¹)	ff_{PC-TP} (weight-%)	M_{ini} (g ha ⁻¹)
Dimethenamid	10	13	20	100	140	–	0.03
D-OXA	20	26	40	200	10	0.04	0.05
Atrazine	16	20	32	160	110	–	0.27
DEA	40	50	80	400	50	0.07	0.27
Metolachlor	20	25	40	200	300	–	0.53
M-ESA	131	164	262	1310	15	0.025	0.90

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Table 3. Goodness-of-fit values for pesticide and TP modelling. n is the number of data points involved, N_{eff} the Nash–Sutcliffe efficiency, RMSE the root mean square error and R^2 the coefficient of determination ($p < 0.001$ unless otherwise specified).

Substance	unit	Outlet				Eastern subbasin				Southern subbasin			
		N_{eff}	RMSE	R^2	n	N_{eff}	RMSE	R^2	n	N_{eff}	RMSE	R^2	n
Discharge	m ³ s ⁻¹	0.93	0.022	0.94	12 240	0.80	0.015	0.88	12 240	0.77	0.019	0.80	12 240
Dimethenamid	mg s ⁻¹	0.82	0.067	0.84	427	-3.29	0.002	0.44	79	0.75	0.053	0.80	243
D-OXA	mg s ⁻¹	0.86	0.052	0.89	33	-0.02	0.008	0.55*	10	–	–	–	–
Atrazine	mg s ⁻¹	0.81	0.170	0.84	432	0.05	0.004	0.45	117	0.72	0.143	0.76	243
DEA	mg s ⁻¹	0.81	0.050	0.82	33	0.43	0.001	0.66	23	0.65	0.049	0.72	60
Metolachlor	mg s ⁻¹	0.76	0.046	0.80	434	-0.09	0.002	0.38	117	0.73	0.035	0.78	243
Meto-ESA	mg s ⁻¹	0.69	0.028	0.73	34	0.25	0.004	0.65*	11	–	–	–	–

* $p < 0.05$.

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Table 4. Sampled and modelled substance loads at the three subbasin outlets.

	Outlet		Eastern subbasin		Southern subbasin		Timespan
	Sampled (g)	Modelled (g)	Sampled (g)	Modelled (g)	Sampled (g)	Modelled (g)	
Dimethenamid	20.5	20.0	0.1	0.2	14.9	15.5	8 May–14 Jul 2000
D-OXA	12.1	9.8	0.3	0.05	–	–	8 May–4 Jun 2000
Metolachlor	16.2	15.3	0.7	0.2	10.7	9.7	8 May–14 Jul 2000
M-ESA	6.8	4.5	0.3	0.1	–	–	8 May–4 Jun 2000
Atrazine	64.1	54.8	1.0	0.6	43.6	38.8	8 May–14 Jul 2000
DEA	10.8	9.3	0.1	0.1	7.8	7.1	8 May–4 Jun 2000

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Table 5. Modelled substance balances at the end of the modelling period. The percentage is related to the sum of application mass and initial soil substance.

Substance	River export (%)	Left in soil (%)	Mineralized (%)	Percolation ¹ (%)
Conservative solute	32.3	67.4	0.0	0.3
Dimethenamid	0.3	0.3	98.7	0.0
D- OXA	0.3	0.4	–	0.0
Atrazine	1.0	2.6	93.6	0.0
DEA	0.5	2.4	–	0.0
Metolachlor	0.7	5.7	89.0	0.0
M-ESA	0.8	3.8	–	0.0

¹ Subsurface export of substances from the catchment.

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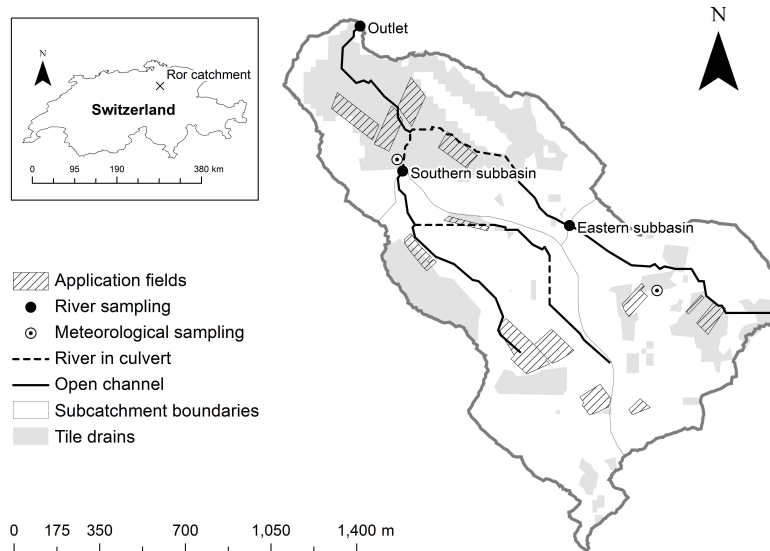


Fig. 1. The Ror catchment with pesticide application fields and artificially drained areas (swisstopo (Art. 30 GeolV): 5704 000 000/DHM@2003, reproduced with permission of swisstopo/JA100119).

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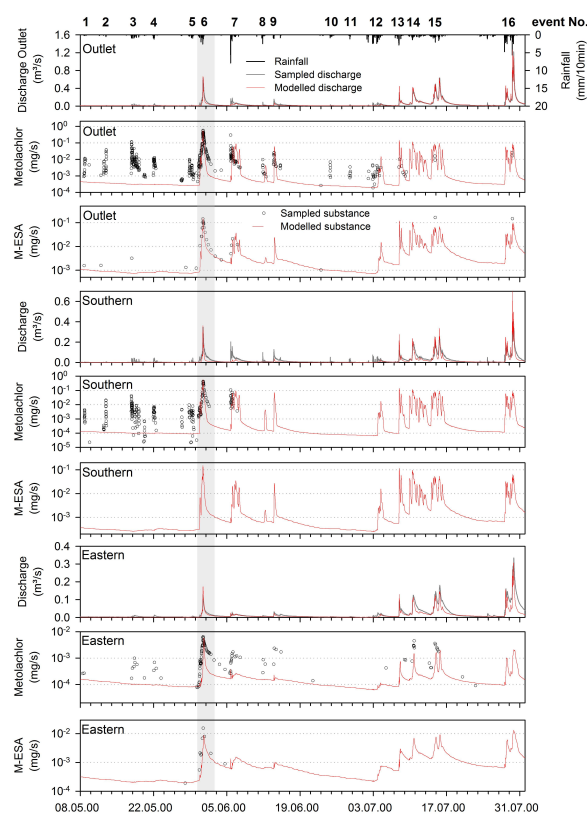


Fig. 2. Modelled and observed discharge, Metolachlor and M-ESA fluxes at all three sampling stations. The main loss event (No. 6) is shaded.

9884

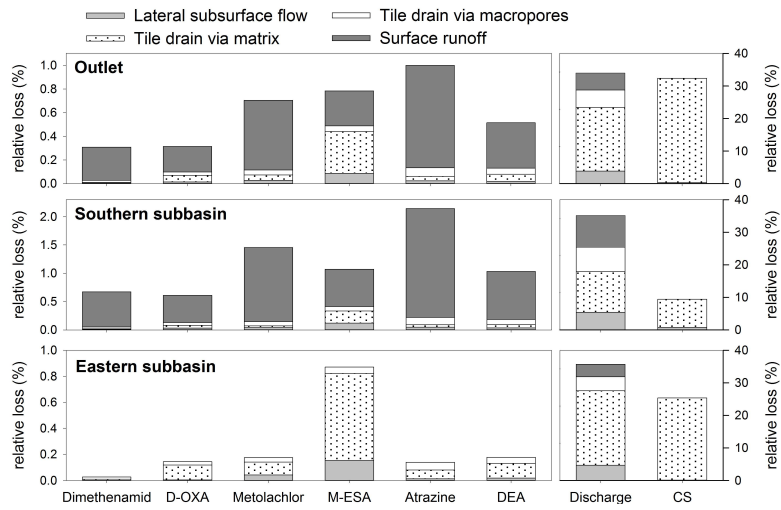


Fig. 3. Modelled contributions of different export pathways to substance export for the whole modelling period at all three stations. The fractions are related to the corresponding sum of applied pesticide mass and initial conditions.

9885

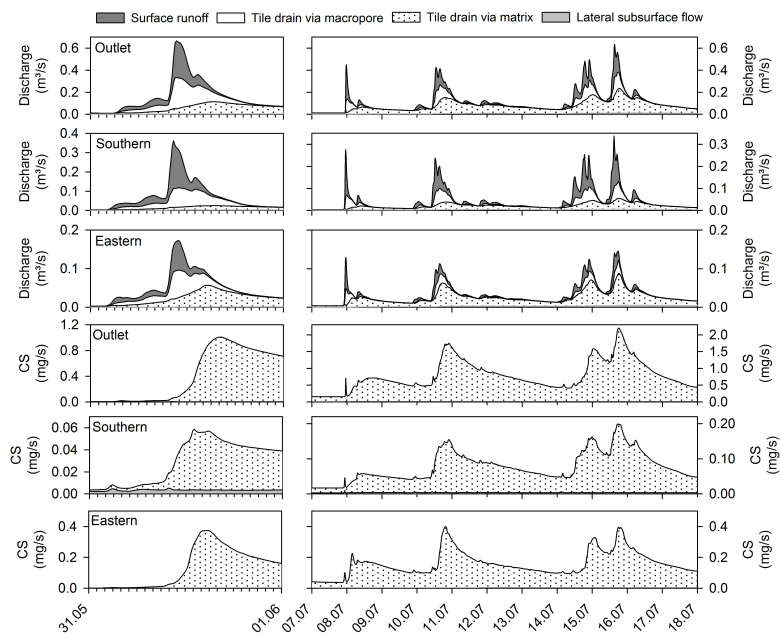


Fig. 4. Modelled pathways of discharge and conservative solute (CS) fluxes reaching the catchment outlet during the main export event (23 days after application) and 60–71 days after application.

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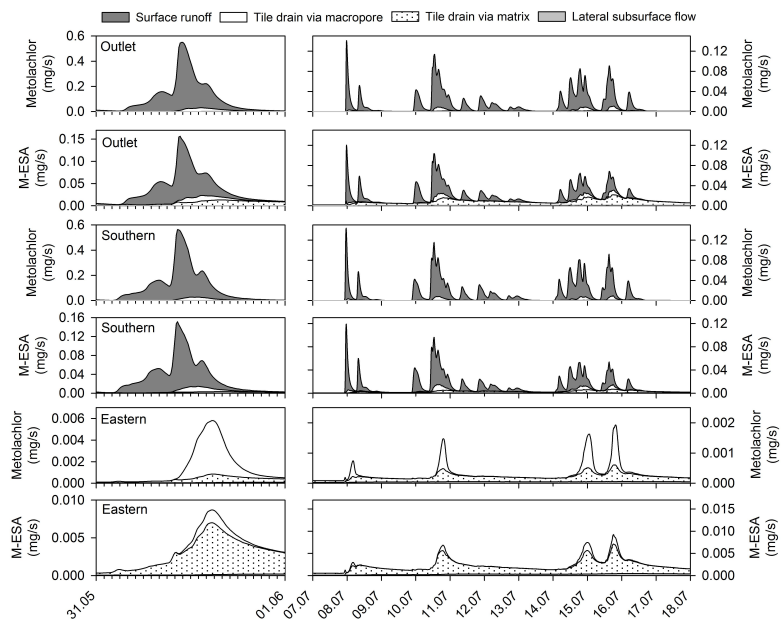


Fig. 5. Modelled pathways of Metolachlor and M-ESA fluxes reaching the outlet of the eastern subbasin during the main export event (23 days after application) and 60–71 days after application.

9887

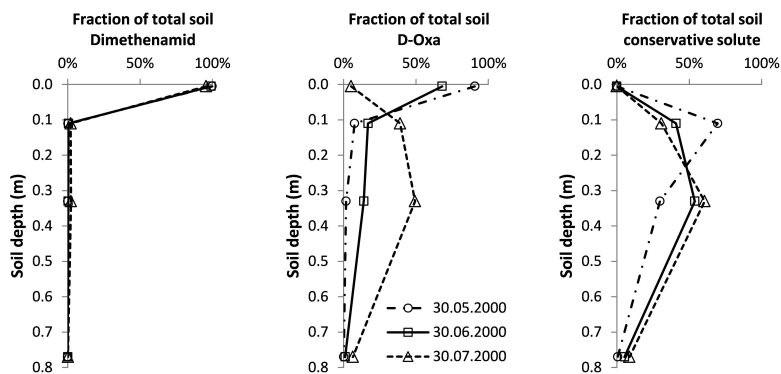


Fig. 6. Modelled distribution of relative Dimethenamid, D-OXA and conservative solute masses in the mixing layer and the matrix of the three soil layers at different time points.

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