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# Fuzzy committees of specialised rainfall-runoff models: further enhancements

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## Abstract

Often a single hydrological model cannot capture the details of a complex rainfall-runoff relationship, and a possibility here is building specialised models to be responsible for a particular aspect of this relationship and combining them forming a committee model.

5 This study extends earlier work of using fuzzy committees to combine hydrological models calibrated for different hydrological regimes – by considering the suitability of the different weighting function for objective functions and different class of membership functions used to combine the local models and compare them with global optimal models.

## 10 1 Introduction

Conceptual hydrological models are based on fluxes and storages representing relevant hydrological processes and one of the challenges is to identify a set of parameters characterizing the behaviour of time-varying stream flows in a catchment. In lumped models the parameters cannot be measured directly due to the dimensional and scaling problems (Beven, 2000). These are computed based on the measurement of meteorological forcing data to produce model predictions that are as close as possible to the observed discharge data using some degree of expertise and experience. Typically this approach focuses on the single model using the best single set of parameters. However the model produced by one best set of parameters might not equally well describe the characteristic of the hydrological processes for all ranges of flow, and multiple models can be built from different components of flow hydrograph that correspond to characteristic of different flow regimes. These models can be then combined providing a more comprehensive and accurate representation of catchment processes. Such models are referred to as multi-models, or committee models.

25 The idea of multi-model approach is not new in hydrological modelling – for example early works of Keefer and McQuivey (1974), Todini and Wallis (1977), Bruen (1985)

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and Becker and Kundzewicz (1987) who were building piece-wise linear models instead of the overall linear hydrological model. Cavadias and Morin (1986) aggregated several watershed models which were considered by WMO (1986) for intercomparison of their model performances. Juemoe et al. (1987) combined a conceptual model and a statistical model which is known as synthesized constrained linear systems model. This model was developed by combination of Xinanjiang model (Zhao, 1977) and constrained linear system model (Todini and Wallis, 1977). McLeod et al. (1987) combined three models namely, transfer function noise model, periodic autoregressive model and conceptual model for flow forecast. Since then various authors were exploring various approaches to identification of different hydrological regimes and the ways of combining specialised models, both process-based and data driven, e.g. Shamseldin et al. (1997); Abrahart and See (2002); Solomatine and Xue (2004); Anctil and Tape (2004); Solomatine (2006); Oudin et al. (2006); Ajami et al. (2006); Fenicia et al. (2007); Nasr and Bruen (2008); Cullmann et al. (2008) and Toth (2009).

This paper continues to explore and improve the dynamic combination “fuzzy committee” method outlined in Solomatine (2006) and further developed and tested in Fenicia et al. (2007). Weights assigned to each specialised model’s output are based on optimally designed fuzzy membership functions, and they may be different at every time step depending on the current value of flow. In the present paper we test the performance of several weighting schemes used in calculating objective functions, different membership functions used to combine models, and we are doing this employing validation of all built models. Two more case studies are considered. Two approaches of optimization are used (i) multi objective optimization Non-dominated Sorted Genetic Algorithms (NSGA II) by Deb et al. (2002) to find Pareto optimal solutions of local models, (ii) Single objective optimization – Genetic Algorithm (GA) by Goldberg (1989) and Adaptive Cluster Covering Algorithm (ACCO) by Solomatine (1999) are used to calibrate optimal local and single global models.

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## 2 Methodology

### 2.1 Lumped conceptual modelling

A simplified version of HBV model (Lindström et al., 1997; Fenicia et al., 2007) is used for study. This is a lumped conceptual hydrological model which includes conceptual numerical descriptions of the hydrological processes at catchment scale. The model comprises subroutines for snow accumulation and melt, soil moisture accounting procedure, routines for runoff generation, and a simple routing procedure. The model has 13 parameters, however only 9 parameters are effectively used when there is no snow-fall.

### 2.2 Building specialised models

We build several sub-models can be built instead of using only one model to better characterize the various sub-processes determining the catchment hydrological behaviour. The details of such an approach have been reported in Fenicia et al. (2007), and it is briefly outlined below, complemented by the possibilities of its further improvement. We considered high flows and low flows as distinctive regimes, or states of the system behaviour. Our aim was to accurately reproduce the system response during both regimes. In order to evaluate the performance of the single hydrological model in both conditions, the two weighted objective functions are used, where one is stressing the model error with respect to low flow simulation, and the other stressing the model error with respect to high flows.

The two objective functions are defined as follows:

$$\text{RMSE}_{\text{LF}} = \sqrt{\frac{1}{n} \left( \sum_{i=1}^n (Q_{\text{s},i} - Q_{\text{o},i})^2 \cdot W_{\text{LF},i} \right)} \quad (1)$$

$$\text{RMSE}_{\text{HF}} = \sqrt{\frac{1}{n} \left( \sum_{i=1}^n (Q_{s,i} - Q_{o,i})^2 \cdot W_{\text{HF},i} \right)} \quad (2)$$

$$W_{\text{LF},i} = (l)^N \quad (3)$$

$$W_{\text{LF},i} = \begin{cases} 0, & \text{if } l > \alpha \\ (1 - l \cdot (1/2 - \alpha))^N, & \text{if } l \leq \alpha \end{cases} \quad (4)$$

$$W_{\text{HF},i} = (h)^N \quad (5)$$

$$W_{\text{HF},i} = \begin{cases} 1, & \text{if } h > \alpha \\ (h/\alpha)^N, & \text{if } h \leq \alpha \end{cases} \quad (6)$$

$$l = \frac{Q_{o,\text{max}} - Q_{o,i}}{Q_{o,\text{max}}}, \quad h = \frac{Q_{o,i}}{Q_{o,\text{max}}}; \quad (7)$$

where  $n$ : total number of time steps;  $Q_{s,i}$ : simulated flow for the time step  $i$ ;  $Q_{o,i}$ : observed flow for the time step  $i$ ;  $Q_{o,\text{max}}$ : maximum observed flow,  $N$ : power value for quadratic function = 2 and cubic function = 3, and  $\alpha$ : threshold for selecting weights of flows. The two weighting functions  $W_{\text{LF}}$  and  $W_{\text{HF}}$  allow placing a stronger weight on the low or on the high portions of the hydrograph. As a result,  $\text{RMSE}_{\text{LF}}$  places a stronger weight on low flows errors and a weaker weight on high flows errors than  $\text{RMSE}_{\text{HF}}$ . By computing both objective functions over the whole range of discharges, both functions constrain the model to fit the entire hydrograph.

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### 2.3 Combining specialised models

The specialised models are built under the conditions of different regimes of catchment hydrological responses and are combined using appropriate combining scheme. However the issue is how to handle the compatibility at the boundaries between the two different specialised models. One of the possible ways is to use a soft weighting scheme that switches smooth transition between boundaries. The contribution of each specialised model makes use of a fuzzy attribution of weights so-called “fuzzy committee” described by Solomatine (2006). In this weighting scheme first assigned two transitional parameters ( $\gamma, \delta$ ) and the values of membership functions corresponding to each specialised model. The membership function of low flow model assigned 1 when the simulated flow is below the parameter  $\gamma$ , then starting to decrease in the proximity of the region boundary when flow between  $\gamma$  and  $\delta$ ; and decreasing to zero beyond the boundary when the flow is above  $\delta$  (see Fig. 2). Similarly the membership function of the high flow model follows as viva versa of low flow model. These membership functions for the two local models are described in Eqs. (9) and (10). The outputs of models are multiplied by the weights that depend on the value of flow and then normalised which is given in Eq. (8).

The committee model defines as follow:

$$Q_{c,i} = (m_{LF} \cdot Q_{LF,i} + m_{HF} \cdot Q_{HF,i}) / (m_{LF} + m_{HF}) \quad (8)$$

$$m_{LF} = \begin{cases} 1, & \text{if } h < \gamma \\ 1 - (h - \gamma) / (\delta - \gamma)^N, & \text{if } \gamma \leq h < \delta \\ 0, & \text{if } h \geq \delta \end{cases} \quad (9)$$

$$m_{HF} = \begin{cases} 0, & \text{if } h < \gamma \\ (h - \gamma) / (\delta - \gamma)^{1/N}, & \text{if } \gamma \leq h < \delta \\ 1, & \text{if } h \geq \delta \end{cases} \quad (10)$$

where  $m_{LF}$  and  $m_{HF}$ : membership functions for the two local models,  $Q_{LF,i}$  and  $Q_{HF,i}$ : simulated high and low flows for the time step  $i$ ;  $\gamma$  and  $\delta$ : threshold for high and for low flows respectively,  $N$ : power value used to smooth between the models, the value 1 is given for Type A and 2 or more for Type B. First two optimal specialised models that one for the low-flow ( $Q_{LF,i}$ ) and one for the high-flow ( $Q_{HF,i}$ ) are sought using optimization algorithms and then two membership function parameters  $\delta$  and  $\gamma$  are introduced to combine specialised models which ruled the transition between the specialised models. The committee model  $Q_c$  is calculated by combination sets of  $\delta$  and  $\gamma$  which are selected within given intervals and the performance measure is calculated by root mean squared error (RMSE) and Nash-Sutcliffe efficiency (NSE) (Nash and Sutcliffe, 1970) which is presented in Appendix A.

### 3 Results and discussions

The three catchments, namely, Alzette catchment in Luxemburg, Leaf river catchment in USA and Bagmati catchment in Nepal, are selected for case study. The summary statistics and records of data for calibration and verification of catchments are presented in Table 1. The experiment follows the one used in an earlier study (Fenicia et al., 2007) where the Alzette catchment was considered, and only calibration data was considered for building the models without further validation. We present here additional two other catchments (Leaf and Bagmati) with both calibration and verification period and compare the overall model performance when using different weighing schemes for objective functions (Fig. 1) and different membership functions (Fig. 2).

The ranges of model parameters used in optimization of the HBV model are given in Table 2. We produced the local models (high flow and low flow) which are optimized by multi- and single-objective optimization algorithms. The some of the investigated set of parameters from different models are given in Table 4 (Appendix B).

The differently parameterized local models are calibrated by NSGAI, GA and ACCO, and then combined by using various membership functions. In each experiment a

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committee model is compared with the single optimal model which is calibrated by two different single-objective optimization algorithms (GA and ACCO).

The results for the Leaf and Bagmati catchments are presented on Figs. 3 and 4. Interestingly, the committee model is better on both objective functions than the local specialised models for all case studies except Bagmati. For this catchment, the RMSE calculated by single optimal model in verification is  $108.60 \text{ m}^3 \text{ s}^{-1}$  and RMSE of the committee model using weighting schemes Type I–III calculated around  $106.68\text{--}107.75 \text{ m}^3 \text{ s}^{-1}$ , however it can be noticeably improved and obtained  $104.56 \text{ m}^3 \text{ s}^{-1}$  from the weighting scheme Type IV and membership function B. (see Table 3). In addition we tested a committee model which is built by single optimization of local models separately using ACCO and GA, and compare against single optimal model in Alzette and Leaf catchment.

In Leaf catchment we tested all possible combinations of different weighting schemes types and classes of membership functions. Noticeably, all committee models improved their performances in verification. The RMSE of single model produced  $26.76 \text{ m}^3 \text{ s}^{-1}$  in verification period, however when used new types of weighting and membership functions used RMSE dropped to  $23.41 \text{ m}^3 \text{ s}^{-1}$ . Table 3 reports the performance of committee models and single-optimal models calibrated by ACCO and GA for each catchment. The value of  $\delta$  and  $\gamma$  shown here is found by optimization of the committee model.

The performances of the committee models which are built from the combination of the two local models for high and low flows with respect to the hydrograph simulations are represented on Fig. 5, It can be observed that the committee model combines the best features of the local models.

Our experiments have lead to the two important observations related to using weighting function for objective functions (Fig. 1 and Eqs. 3–7) in calibration of local models:

- Quadratic function we used earlier (Fenicia et al., 2007) was in fact the first guess that it will reasonably weight different values of flow. In our latest experiments

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obtained very close values which means that there is a very narrow region where local models “work together”. Potentially this may lead to situations when a minor change in average flows will force the committee model to produce relatively large changes in outputs. An idea which is tested now is to enforce a wider  $[\gamma, \delta]$  range even at the expense of model accuracy on calibration set.

Further development and application of the presented approach is seen in the following. Its application can be extended to coupled modelling system (e.g. Climate change, flood inundation) where hydrological complexity significantly influences whole system (e. g. Hostache et al., 2011). We used same metric of objective functions for different magnitude of flows which are originated from statistical theory. However the nature of this metric (e.g., RMSE) basically oriented for high flows and one might not be suited for low flows. Therefore the performance measure can be acknowledged in the form of transformed metric (e.g. transformed RMSE) to calibrate low flow model (e.g. van Werkhoven et al., 2009; Williwm, 2009; Kollat et al., 2012). Further developments are foreseen in improving the weighting schemes involving hydrological states and various combinations of variables influencing the stream flow (for example those presented by Oudin et al., 2006; Kim et al., 2006; Corzo and Solomatine, 2007a, b; Marshall et al., 2007; Jeong and Kim, 2009). Combining these approaches will lead to techniques for discovering various regimes in the time series representing the modelled system – this would allow for optimal combination of domain (hydrologic) knowledge incorporated in models with the automatic machine learning or time series analysis routines

## Appendix A

### Performance measure of committee model

The quality of the stimulated discharges from committee models could be assessed by two standard global statistical measures and visual plots of hydrograph in calibration and verification period. In following equations,  $Q_{o,j}$ : observed discharges for the time

step  $i$ ,  $Q_{c,i}$ : is the simulated discharges for the time step  $i$  and  $n$  is the number of observations.

1. RMSE (root mean square error) measures the average error between the observed and the simulated discharges from committee model. The closer the RMSE value is to zero which denote the better the performance of the model.

$$\text{RMSE} = \sqrt{\frac{1}{n} \left( \sum_{i=1}^n (Q_{c,i} - Q_{o,i})^2 \right)} \quad (\text{A1})$$

2. NSE (Nash-Sutcliffe efficiency; Nash and Sutcliffe, 1970) measures the one minus absolute squared differences between the simulated discharges from committee model and observed discharges normalized by the variance of the observed discharges. The value of NSE is in the range of  $[-\infty, 1]$  and value of one is a perfect fit of model.

$$\text{NSE} = \sqrt{1 - \frac{\sum_{i=1}^n (Q_{o,i} - Q_{c,i})^2}{\sum_{i=1}^n (Q_{c,i} - \bar{Q}_{o,i})^2}} \quad (\text{A2})$$

## Appendix B

### Best set of parameters

The identified set of parameters by different optimization algorithms ACCO, NSGAI, and GA are given in Table 4.

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**Table 1.** The summary of the runoff data for Alzette catchment in Luxembourg, Leaf catchment in USA, and Bagmati catchment in Nepal.

Statistical properties	Complete data	Calibration data	Verification data
<b>Alzette (Area = 288 km<sup>2</sup>)</b>			
Period (day/month/year hour)	29/7/2000 12:00– 6/8/2002 07:00 LT	29/7/2000 12:00– 6/8/2001 07:00 LT	6/8/2001 08:00– 6/8/2002 07:00 LT
Number of data	17720	8960	8760
Average (m <sup>3</sup> s <sup>-1</sup> )	4.64	5.55	3.70
Minimum (m <sup>3</sup> s <sup>-1</sup> )	0.45	0.59	0.45
Maximum (m <sup>3</sup> s <sup>-1</sup> )	51.41	51.41	31.15
Standard deviation (m <sup>3</sup> s <sup>-1</sup> )	5.35	5.52	5.00
<b>Leaf (Area = 1924 km<sup>2</sup>)</b>			
Period (day/month/year)	28/7/1951– 21/9/1961	28/7/1951– 25/7/1957	26/7/1957– 21/9/1967
Number of data	3717	2190	1527
Average (m <sup>3</sup> s <sup>-1</sup> )	28.28	23.02	35.81
Minimum (m <sup>3</sup> s <sup>-1</sup> )	1.56	1.56	2.92
Maximum (m <sup>3</sup> s <sup>-1</sup> )	2.38	549.35	1313.91
Standard deviation (m <sup>3</sup> s <sup>-1</sup> )	64.48	47.37	82.51
<b>Bagmati (Area = 3500 km<sup>2</sup>)</b>			
Period (day/month/year)	1/1/1988– 31/12/1995	1/1/1988– 30/6/1993	1/7/1994– 31/12/1995
Number of data	2922	1940	922
Average (m <sup>3</sup> s <sup>-1</sup> )	150.0	140.16	179.17
Minimum (m <sup>3</sup> s <sup>-1</sup> )	5.1	5.1	6.7
Maximum (m <sup>3</sup> s <sup>-1</sup> )	5030.0	3040	5030
Standard deviation (m <sup>3</sup> s <sup>-1</sup> )	271.2	226.42	350.83

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**Table 3.** The performances of single optimal models and committee models (RMSE and NSE) of various catchments. The bold values stand for best performance of committee models.

Catchments	Models	Weighted function		Membership function			RMSE		NSE	
		Type	N	Type	$\delta$	$\gamma$	Calibration	Verification	Calibration	Verification
Alzette	Qs(ACCO)	–					2.3697	2.393	0.8158	0.8133
	Qs(GA)	–					2.3095	2.419	0.8253	0.7656
	Qc(NSGAll)	I	2	A	0.5	0.3	1.9911	2.0688	0.8698	0.8285
	Qc(ACCO)	I	2	A	0.5	0.25	2.0984	2.0586	0.8557	0.8302
	Qc(GA)	I	2	A	0.6	0.4	2.1894	2.1489	0.8427	0.7789
Leaf	Qs(ACCO)	–					17.56	26.76	0.866	0.899
	Qs(GA)	–					17.36	26.58	0.883	0.910
	Qc(ACCO)	I	2	A	0.39	0.37	15.63	25.23	0.894	0.910
		II	2	A	0.45	0.44	16.01	24.38	0.888	0.916
		III	2	A	0.65	0.14	15.60	24.52	0.894	0.915
		IV	2	A	0.56	0.55	16.20	25.68	0.886	0.906
	Qc(GA)	I	2	B	<b>0.39</b>	<b>0.38</b>	<b>15.63</b>	<b>25.26</b>	<b>0.894</b>	<b>0.910</b>
		II	2	B	0.45	0.44	16.03	24.38	0.888	0.916
		III	2	B	0.94	0.15	15.67	24.72	0.893	0.913
		IV	2	B	0.56	0.55	16.20	25.68	0.886	0.906
		I	2	A	<b>0.51</b>	<b>0.5</b>	<b>15.76</b>	<b>24.88</b>	<b>0.892</b>	<b>0.912</b>
		II	2	A	0.66	0.14	16.13	25.81	0.887	0.905
		III	2	A	0.99	0.16	16.53	24.67	0.881	0.914
		IV	2	A	0.99	0.3	16.60	23.96	0.880	0.919
		I	2	B	0.99	0.15	16.30	25.56	0.884	0.907
		II	2	B	0.87	0.16	16.22	25.58	0.885	0.907
	Qc(NSGAll)	III	2	B	0.99	0.31	16.47	24.34	0.882	0.916
		IV	2	B	0.99	0.42	16.55	24.06	0.881	0.918
		I	1	B	0.42	0.41	15.96	24.04	0.889	0.918
		I	3	B	0.99	0.23	16.50	25.53	0.881	0.908
		I	2	A	0.5	0.49	16.05	23.86	0.888	0.919
		II	2	A	<b>0.5</b>	<b>0.49</b>	<b>15.71</b>	<b>23.85</b>	<b>0.892</b>	<b>0.919</b>
		III	2	A	0.86	0.47	17.36	23.41	0.869	0.922
IV		2	A	0.86	0.45	16.76	23.97	0.878	0.919	
I		2	B	0.5	0.29	16.45	23.96	0.883	0.919	
II		2	B	0.5	0.15	16.71	23.95	0.892	0.919	
Bagmati	III	2	B	0.99	0.49	17.29	23.46	0.870	0.922	
	IV	2	B	0.99	0.46	16.71	23.97	0.878	0.919	
	I	1	A	0.38	0.36	16.58	23.86	0.880	0.919	
	I	3	A	<b>0.5</b>	<b>0.49</b>	<b>15.96</b>	<b>23.79</b>	<b>0.889</b>	<b>0.920</b>	
	Qs(ACCO)	–					101.20	108.60	0.873	0.828
	Qs(GA)	–					103.35	110.53	0.868	0.817
	Qc(NSGAll)	I	2	A	0.62	0.53	94.22	107.58	0.867	0.824
I	3	A	0.6	0.42	93.70	107.24	0.888	0.825		
II	3	A	0.55	0.5	93.53	106.61	0.889	0.827		
III	3	A	0.6	0.3	92.67	107.75	0.891	0.823		
IV	2	A	0.61	0.52	90.91	105.40	0.895	0.837		
IV	2	B	<b>0.62</b>	<b>0.54</b>	<b>90.90</b>	<b>104.56</b>	<b>0.895</b>	<b>0.859</b>		

The value of  $\alpha = 0.75$  used in weighting schemes Type II, III and IV.

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**Table 4.** The some identified set of parameters by different optimization algorithms.

		Pars	FC	LP	ALFA	BETA	K	K4	PERC	CFLUX	MAXBAS
Alzette	ACCO	SO	284.83	0.26	0.06	0.65	0.02	0.01	0.16	0.04	10.96
		LF	356.34	0.46	0.1	0.42	0.02	0	0.14	0.1	13.48
		HF	414.48	0.19	0.3	0.49	0	0.03	0.97	0.01	8.51
	GA	SO	309.97	0.35	0.03	0.72	0.03	0.01	0.27	0.01	11.45
		LF	255.11	0.46	0.07	0.98	0.03	0.01	0.23	0.05	12.62
		HF	338.84	0.56	0.06	0.95	0.01	0.02	0.89	0	8.37
	NSGA-II	LF	253.24	0.16	0.07	0.54	0.02	0	0.13	0	9.49
		HF	253.25	0.34	0.07	0.52	0.02	0.01	0.14	0	9.54
	Leaf	ACCO	SO	272.11	0.29	0.3	1.57	0.27	0.26	2.27	0.62
NSGA-II			LF	301.88	0.36	0.37	1.95	0.14	0.24	1.07	0.89
HF		274.26	0.9	0.45	2.27	0.15	0.26	1.24	0.85	5.86	
Bagmati	ACCO	SO	354.98	0.71	0.17	1	0.28	0.08	8	0	2.55
		NSGA-II	LF	419.58	0.76	0.15	1.01	0.35	0.07	7.99	0.03
	HF	419.63	0.62	0.1	1.11	0.42	0.25	7.66	0.04	2.92	

SO: single optimal model; LF: low flow model; HF: high flow model.

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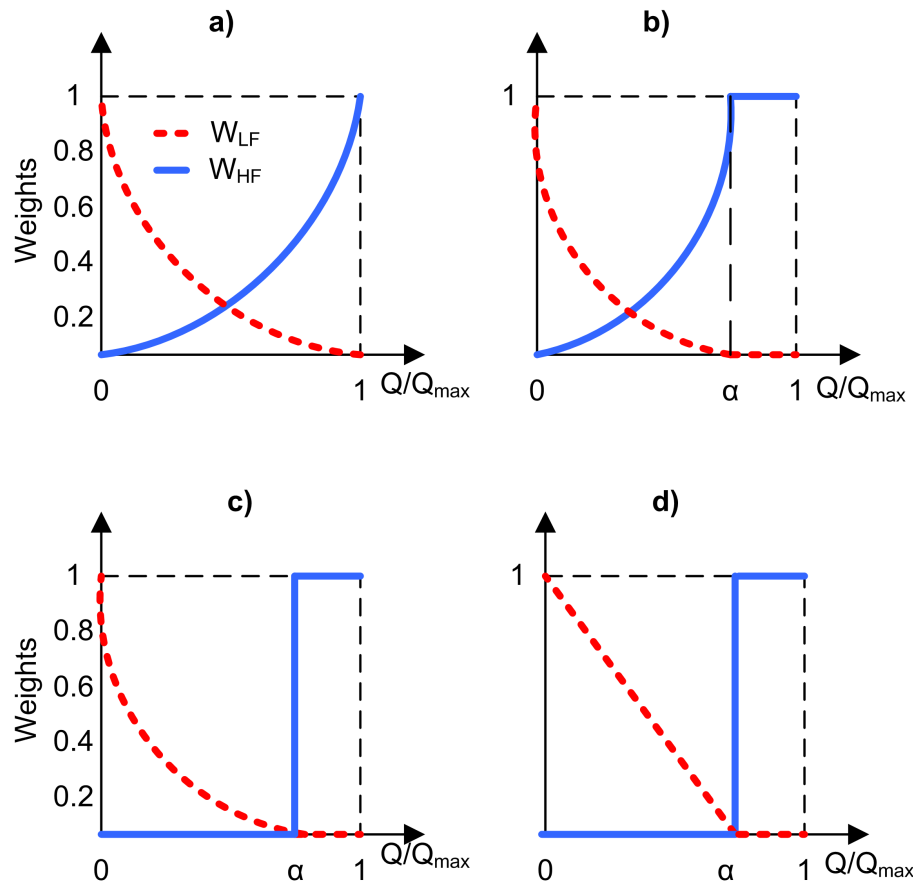
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**Fig. 1.** (a) Type-I, -weighting scheme for objective functions studied in Fenicia et al. (2007). (b) Type-II, (c) Type-III, and (d) Type-IV; additionally these three weighting schemes attempted in the latest experiments.

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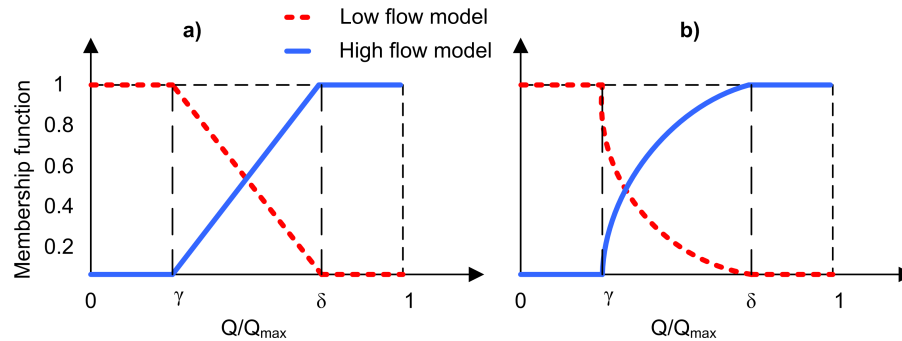
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**Fig. 2.** (a) A typical fuzzy membership function used to combine the local models (Type A), (b) a class of membership functions for high and low flow models tested in the new experiments (Type B).

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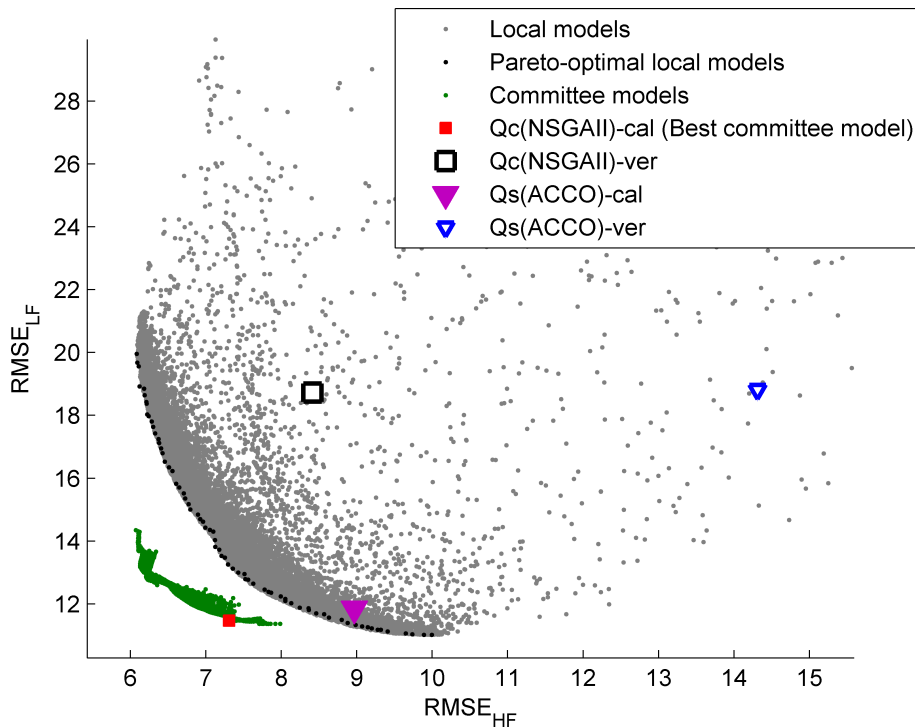
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**Fig. 3.** The identified sets of Pareto-optimal parameterisations of local models, committee models and optimal global models (optimization by NSGAI), and optimal global (single) models calibrated by ACCO in Leaf catchment. The objective functions values for the test data set are shown as well, where  $Q_c$  – committee model,  $Q_s$  – single optimal model, cal – calibration and ver – verification.

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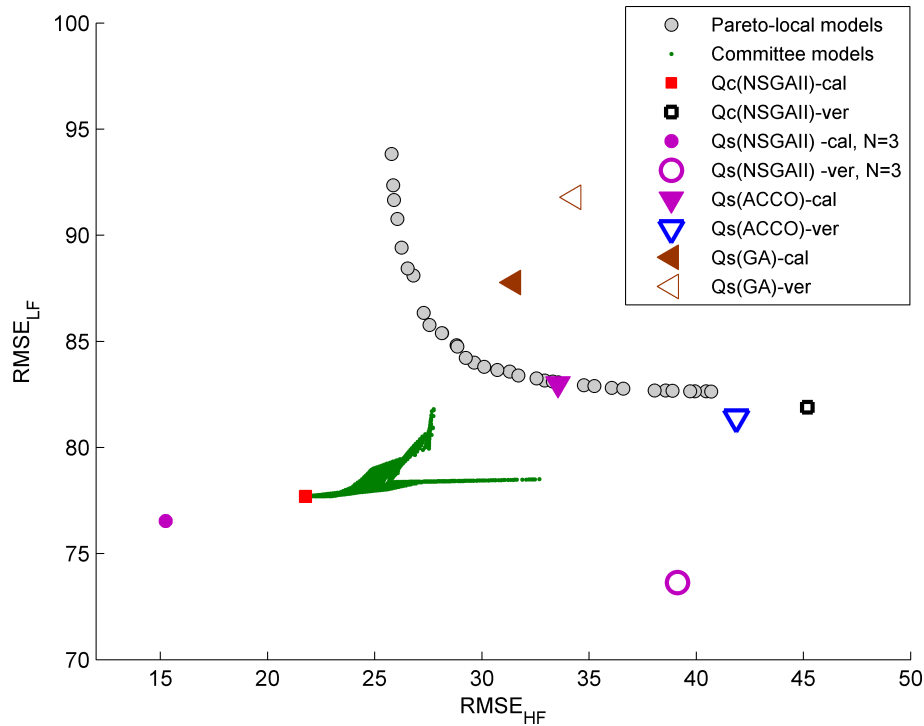
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**Fig. 4.** The identified sets of Pareto-optimal parameterisations of local models, committee models (optimization by NSGAI), and optimal global (single) models calibrated by ACCO and GA in Bagmati catchment.

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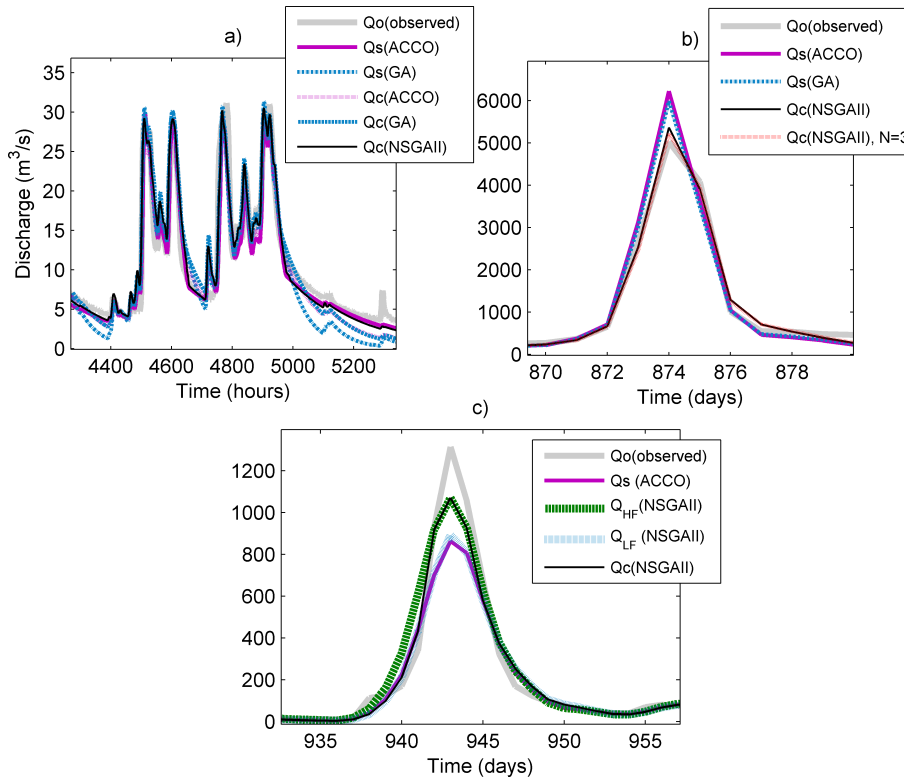
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**Fig. 5.** A fragment of hydrograph generated from various models,  $Q_o$  – observed discharge,  $Q_s$  – model identified by single optimization (ACCO and GA),  $Q_c$  – committee model (ACCO, GA and NSGAII),  $N$  – power value used in weighted scheme of objective functions ( $N = 2$  – quadratic (default) and  $N = 3$  – cubic),  $Q_{HF(LF)}$  – high and low flow, **(a)** Alzette (31 January 2002 08:00:00 – 18 March 2002 03:00:00), **(b)** Bagmati (20 May 1990–28 May 1990), and **(c)** Leaf (13 February 1960–8 March 1960).

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