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A fluid-mechanics-based classification scheme for surface transient storage in riverine environments: quantitatively separating surface from hyporheic transient storage

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Abstract

Surface transient storage (STS) and hyporheic transient storage (HTS) have functional significance in stream ecology and hydrology. Currently, tracer techniques couple STS and HTS effects on stream nutrient cycling; however, STS resides in localized areas of

- the surface stream and HTS resides in the hyporheic zone. These contrasting environments result in different storage and exchange mechanisms with the surface stream, which can yield contrasting results when comparing transient storage effects among morphologically diverse streams. We propose a fluid mechanics approach to quantitatively separate STS from HTS that involves classifying and studying different types
- of STS. As a starting point, a classification scheme is needed. This paper introduces a classification scheme that categorizes different STS in riverine systems based on their flow structure. Eight distinct STS types are identified and some are subcategorized based on characteristic mean flow structure: (1) lateral cavities (emerged and submerged); (2) protruding in-channel flow obstructions (backward- and forward-facing
- 15 step); (3) isolated in-channel flow obstructions (emerged and submerged); (4) cascades and riffles; (5) aquatic vegetation (emerged and submerged); (6) pools (vertically submerged cavity, closed cavity, and recirculating reservoir); (7) meander bends; and (8) confluence of streams. The long-term goal is to use the classification scheme presented to develop predictive mean residence times for different STS using fieldmeasureable by dremerrable personators and obtain a theoretical STS.
- ²⁰ measureable hydromorphic parameters and obtain a theoretical STS residence time distribution (RTD). The STS RTD can then be deconvolved from the transient storage RTD (measured from a tracer test) to obtain an estimate of HTS.

1 Introduction

Transient storage is the short-term storage of fluid due to the exchange of solutes and ²⁵ suspended particulates in the main flow with: (1) recirculating in-stream flow structures, referred to as surface transient storage (STS); and (or) (2) the hyporheic zone, referred



to as hyporheic transient storage (HTS) (Bencala and Walters, 1983; Boulton et al., 1998; Briggs et al., 2009). By definition, the total transient storage in a stream is the sum of STS and HTS. Transient storage has been known to provide refugia for aquatic communities when poor water quality, predation, strong currents, extreme surface wa-

- ter temperatures, droughts, and floods cause invertebrates to seek shelter in slower moving flows in the surface stream or in interstitial pore spaces of the hyporheic zone (Boulton, 1993; Lancaster and Hildrew, 1993; Brunke and Gonser, 1997). Transient storage also can improve water quality by removing metals (e.g., Bencala et al., 1984; Benner et al., 1995; Bencala, 2011); nutrients (e.g., Newbold et al., 1983; De Angelis storage also can be also can be
- et al., 1995; Thomas et al., 2001); organic contaminants (e.g., Squillace et al., 1993); and radioactive nuclides (e.g., Cerling et al., 1990). Improved water quality occurs because slower moving water in transient storage zones increases solute residence times (compared to the main channel), increasing the interaction of nutrient-rich surface waters with biogeochemically-reactive sediments (Harvey and Wagner, 2000; McClain et al., 2003; Gooseff et al., 2007).

Numerous tracer studies have strived to develop relationships between the transient storage mean residence time and volume, and various stream geomorphic and hydraulic parameters. The purpose of field-based tracer studies is to gain a better understanding of stream solute transport behavior by accounting for transient storage

- ²⁰ zones. Previous transient storage studies have focused on relating transient storage to the following hydromorphic parameters: channel order and constrainment (D'Angelo et al., 1993; Gabriel and Boufadel, 2002); streambed and aquifer lithology (substrate) (Valett et al., 1996, 1997; Morrice et al., 1997; Argerich et al., 2011); discharge (Hall et al., 2002; Harvey et al., 2003); transient storage area (Mulholland et al., 1997; Lae-
- ²⁵ nen and Bencala, 2001; Gücker and Boëchat, 2004; Ensign and Doyle, 2005); channel bed form (Harvey and Bencala, 1993; Gooseff et al., 2003; Anderson et al., 2005; Wörman et al., 2007; Cardenas et al., 2008); wood debris, leaf packs, and vegetation (Gabriel and Boufadel, 2002; Lautz and Siegel, 2006; Lautz et al., 2006; Wondzell et al., 2009b); streambed friction factor (Harvey et al., 2003; Salehin et al., 2003; Zarnetske



et al., 2007); stream power per unit width (Zarnetske et al., 2007); channel tortuosity (Kasahara and Wondzell, 2003; Baker et al., 2012); and hyporheic exchange (as determined by hydraulic gradients and streambed-aquifer hydraulic conductivity) (Harvey et al., 1996; Battin et al., 2003; Lautz and Siegel, 2006; Wondzell et al., 2009a, b).

- Tracer studies typically use 1-D transient storage models (e.g., OTIS; Bencala and Walters, 1983; Runkel, 1998) to quantify transient storage effects on solute transport because they have the advantage of providing reach-averaged parameter estimates. However, there are a number of drawbacks when using the inverse transient storage modelling approach. First, model parameter estimates are empirical and, therefore,
- not transferrable to either the same stream under different flow conditions or different stream types (i.e., small versus large streams, headwater, mid-order, or valley streams) (Harvey et al., 2003; Salehin et al., 2003; Stonedahl et al., 2012). Second, transient storage models assume that any mass entering a storage zone will return to the main channel at the location of entrainment, thereby neglecting mass transfer through rela-
- tively long hyporheic flowpaths (Bencala et al., 2011). Third, reach-averaging a stream's total transient storage parameters couples the effects of STS and HTS, and does not provide information on the relative influence of surface and hyporheic exchange on solute entrainment and retention (Choi et al., 2000; Briggs et al., 2009). Lastly, precise relationships between transient storage, solute exchange, and stream hydromorphic
- ²⁰ parameters have not been identified as different studies produce contrasting results. The weak relationships observed between transient storage and solute retention may be due to either the complexities associated with transient storage zones (Haggerty et al., 2009); or the inability of current tracer techniques to adequately identify relationships between stream hydromorphic parameters and nutrient uptake and retention ²⁵ when the effects of STS and HTS are coupled (Briggs et al., 2009).

Within the last decade, a number of field and numerical studies have attempted to resolve the issues associated with the inverse modelling approach by quantitatively separating STS from HTS; however, there are drawbacks to each approach. In-stream transport of tracers has increasingly been supplemented with measurements of tracer



transport into hyporheic and surface water storage zones (Harvey and Fuller, 1998; Harvey et al., 2005). The goal is to help isolate characteristics of STS and HTS environments, although the comparisons are often limited by the small number of point-scale observations, which may not be representative of storage processes in the reach as
⁵ a whole. Gooseff et al. (2005) performed tracer tests in two adjacent stream reaches that had comparable physical characteristics with the exception of streambed material: one was underlain by impervious bedrock and the other a thick alluvium (hyporheic zone). While the longer mean residence time of the alluvial reach clearly showed the impact of HTS on the stream residence time distribution (RTD), a reference stream
with insignificant HTS is needed in this approach. Tracer tests by Ensign and Doyle (2005) prior to and after wood debris removal clearly showed a decrease in STS and

the impact of STS on the RTD. Nonetheless, the drawbacks to this approach include: (1) removing wood debris is labor intensive; (2) wood debris provides refugia and contains microbial biomass, and their removal can adversely affect the stream ecology;

- and (3) the streambed was raked, which can change streambed hydraulic properties and disrupt microbial communities on streambed sediments. Gooseff et al. (2008) measured RTDs in the main channel and in twelve STS zones, but did not deconvolve the STS RTDs, resulting in a characteristic power-law tailing behavior. Gooseff et al. (2011) measured and deconvolved STS RTDs from the main channel RTD adjacent to each
- STS. This method is promising, but can be labor intensive if a large number of STS zones exist. Furthermore, a larger data requirement is needed than was used in the study (e.g., multiple sensors should be placed within a single STS zone) because STS zones are not well mixed and sensors placed in poorly-mixing regions overestimate mean residence time (Jackson et al., 2012). Stofleth et al. (2008) estimated HTS from
- Darcy's law, which is not constrained by mass balance and can be corrected using the continuity equation. Kasahara and Wondzell (2003), Lautz and Siegel (2006), Gooseff et al. (2006), and Wondzell et al. (2009a, b) solved the groundwater flow equation to estimate HTS, and Anderson and Phanikumar (2011) used a 3-D hydrodynamic and particle transport model to generate synthetic STS breakthrough curves (BTCs).



O'Connor et al. (2010) estimated STS from predictive equations based on the geometry of emerged lateral cavities at channel sides. The results were used to parameterize a transient storage model using simple a priori physical measurements. A drawback of such approaches is that rigorous data collection is required to obtain enough measure-

- ⁵ ments of streambed topography, hydraulic conductivity and boundary conditions (Gooseff et al., 2006; Wondzell et al., 2009a). Briggs et al. (2009) and Harvey et al. (2005) utilized the two-zone transient storage model developed by Choi et al. (2000) to differentiate STS from HTS by measuring tracer breakthrough in the STS and then utilizing a transient storage model partially parameterized with STS to determine HTS
- ¹⁰ by inverse modeling. Drawbacks to this approach include: (1) additional data collection (e.g., velocity and concentration time series); and (2) the parameterization of two additional parameters: transient storage area and the mass exchange coefficient.

We propose a new approach to quantitatively separate STS from HTS that involves the systematic study of different types of STS from a fluid mechanics perspective.

- ¹⁵ A systematic fluid mechanics approach is proposed because the interplay of fluid dynamics and biogeochemical processes in STS zones influence nutrient uptake, retention, and cycling in stream ecosystems (Lautz and Siegel, 2007; Nepf et al., 2007). Typically, the potential for certain biogeochemical transformations to occur is determined by the RTD (Stanford and Ward, 1988; Boulton et al., 1998). In STS, the RTD
- ²⁰ that arises depends on the fluid dynamics of mass and momentum exchange (i.e., circulation within the retention region and turbulence level), which influences the mixing and distribution of nutrients and larvae (Jouon et al., 2006; Gooseff et al., 2011).

The fluid mechanics approach will be applied to the study of different types of STS to gain insight into mechanisms driving mass and momentum exchange between the

²⁵ main channel and STS zones. The purpose of the fluid mechanics approach is not to incorporate all of the complexities associated with the flow structure of each STS type, but to identify key hydromorphic parameters (in the mean flow structure) influencing solute mean residence time. In this way, key hydromorphic parameters can be used to develop predictive mean residence time relationships for each type of STS. Predictive



relationships may need to be developed for ranges of STS geometries (e.g., width to length aspect ratios) and flow conditions (e.g., Reynolds numbers) because the mean flow field does not significantly change within specified ranges.

The long-term goal is to quantitatively separate STS from HTS by developing a theoretical STS RTD that is based on predictive relationships between field-measureable stream parameters and the mean residence times of different types of STS. The theoretical STS RTD can then be deconvolved from the total transient storage RTD (measured from a tracer test) to obtain an estimate of HTS. As a starting point, a classification scheme is needed to characterize different types of STS in riverine systems based on their flow structure.

The purpose of this paper is to introduce a classification scheme that categorizes different types of STS in riverine systems based on their flow structure. From a fluid mechanics perspective, all STS have flow fields characterized by the formation of a recirculation region comprised of one or more entrained gyres as well as by the formation

- of at least one free-shear flow: a jet, wake, or mixing layer. Eight distinct types of STS are identified and, in some cases, subcategorized on the basis of differing characteristic mean flow structure: (1) lateral cavities (emerged and submerged); (2) protruding inchannel flow obstructions (backward- and forward-facing step); (3) isolated in-channel flow obstructions (emerged and submerged); (4) cascades and riffles; (5) aquatic vege-
- tation (emerged and submerged); (6) pools (vertically submerged cavity, closed cavity, and recirculating reservoir); (7) meander bends; and (8) confluence of streams (Table 1).

The classification scheme presented is based on review of transient storage literature as well as field observations of rivers and streams. The mean turbulent flow structure

²⁵ characteristic to each STS type is described to provide a basic understanding of the key physical processes influencing exchange dynamics and mean residence time. This paper is not intended to provide a comprehensive review of all fluid mechanics literature. Instead, the classification scheme described is a compilation of previous studies



and is meant as a basis for future work and research directions to accurately quantify the effects of STS on stream solute transport.

2 Background: free shear flows

Free shear flows are comprised of coherent structures – turbulent features in the flow
field of different shapes (i.e., rollers, ribs, horseshoes, and tubes) that can be recognized within the more disordered flow. These coherent structures (larger scale turbulence) are formed in the velocity shear region by instabilities (e.g., Kelvin–Helmholtz, Corcos–Lin, Widnall), where smaller vortices interact by mechanisms such as pairing, tearing, or stretching to form larger scale vortices and coherent structures. Instabilities
in the flow structure constantly form and destroy vortices, inducing unsteadiness. However, much information can be obtained by considering the mechanisms of mass and

momentum exchange from the mean flow field.

There are three types of free shear flows: jets, wakes, and mixing layers. Some STS have flow fields that are a complex interaction between either differing free shear flows or the coalescence of similar free shear flows. Most STS types have a flow structure that is analogous to a type of flow studied in fluid mechanics. The mean flow structure of common wake and mixing layer-type flows, which are studied in fluid mechanics and commonly observed in STS, is described below.

2.1 Wakes

In a single wake field generated by a circular cylinder, a horseshoe vortex forms in front of the cylinder and is subjected to large-scale sweeping motions toward and away from the cylinder that alternately shed vortex tubes from each side (Fig. 1; Devenport and Simpson, 1990; Hinterberger et al., 2007). The vortex tubes are advected down-stream within mixing layers and roll up behind the cylinder body, forming a von Kármán vortex street comprised of streamwise-oriented rollers (finger vortices) of alternating



signs (Fig. 1a; Braza et al., 1990; Rai and Moin, 1993). Interactions between rollers in the mixing layers cause Kelvin–Helmholtz instabilities. The advected rollers in the von Kármán vortex street entrain and transport sediment (Kirkil et al., 2006, 2008). Fluid and sediment entrainment also occurs in a recirculation region of lower velocities

⁵ that forms behind the body (Shen and Diplas, 2008). Sediment scouring and entrainment occurs in front of the cylinder because large-scale sweeping motions amplify the turbulence and form a scour hole (Fig. 1b).

The wake field generated by a flat plate (width normal to flow \gg length parallel to flow) has a flow structure similar to a circular cylinder. Only the strength and size of the coherent structures differ. The strength of horseshoe vortex circulation and large-scale sweeping motions in front of a flat plate as well as coherence of alternately shed vortex tubes in the mixing layers are higher compared to a circular cylinder (Kirkil and

Constantinescu, 2009). This results in a wider von Kármán vortex street behind the flat plate with larger advected rollers that travel farther downstream and have greater ability to entrain sediments. The rate of scouring in front of the flat plate is faster and the size of the recirculation region behind the flat plate is larger, which also result in a greater ability to entrain solutes and sediments.

2.2 Recirculation regions enclosed by mixing layers

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Cavity flows (e.g., open lateral and vertically submerged cavities) and steps (e.g., backward-facing and forward-facing) have similar flow structure. For brevity, their generalized flow structure is described herein. Other additional complexities inherent to each of these flows are described in their respective subsections in Sect. 3.

Open cavities and steps have flow fields with three characteristic features: (1) shearing and flow separation at their leading edge (upstream corner or detachment point)

(Rockwell, 1983; Constantinescu et al., 2009); (2) a mixing layer that forms at the detachment point and impinges at a point downstream (reattachment point); and (3) a recirculation region between the streambank and mixing layer comprised of one or more counter-rotating gyres (see Fig. 2 for visualization) (Rockwell and Naudascher, 1978;



Rockwell and Knisely, 1980). Note that the detachment point refers to the location of an adverse (positive) pressure gradient in the main flow direction, which causes the upstream boundary layer to detach from a solid surface (Tritton, 1988). At the detachment point, vortical structures are advected downstream within the mixing layer (Rockwell,

- ⁵ 1977, 1998; Lin and Rockwell, 2001). The advected vortices coalesce and grow downstream due to Kelvin–Helmholtz instabilities before impinging on a surface (at the reattachment point), causing the unsteady transport of vorticity into the recirculation region (Sarohia, 1977; Rockwell and Knisely, 1979; Chang et al., 2006). Entrained vortices travel from the downstream to upstream expanse of the recirculation region in a jet-like flow, acusing the flow to regionulate (Realwell, 1008; Lin and Redwell, 2001).
- ¹⁰ flow, causing the flow to recirculate (Rockwell, 1998; Lin and Rockwell, 2001).

3 Classification scheme for surface transient storage

Eight distinct types of STS were identified in riverine systems and, in some cases, sub-categorized on the basis of differing characteristic mean flow structure. The STS classification scheme divides STS into the following categories: (1) lateral cavities (emerged and submerged); (2) protruding in-channel flow obstructions (backward- and forward-facing step); (3) isolated in-channel flow obstructions (emerged and submerged); (4) cascades and riffles; (5) aquatic vegetation (emerged and submerged); (6) pools (vertically submerged cavity, closed cavity, and recirculating reservoir); (7) meander bends; and (8) confluence of streams (Table 1). The mean flow structure characteristic to each STS is described. Current predictive relationships between STS mean residence times and stream hydraulic and morphologic features are presented (if known). For STS types that currently do not have predictive relationships, qualitative relationships are described for key parameters influencing mean residence time and areas are high-lighted where further research is needed.



3.1 Lateral cavities

In rivers and streams, lateral cavities form behind or in front of erosion-resistant obstructions, such as tree roots, logs, and other obstacles that protrude into the flow from the stream bank. Lateral cavities also can form as cutouts within bedrock due to either

- differences in lithology at bedrock contacts, or scouring and erosion along preferential planes of weakness, such as faults or bedding planes. Sequences of man-made lateral cavities, termed groyne fields, also can be found in rivers and are separated by groynes. Groynes are engineered structures comprised of either gravel, stone, earth, or piles and built at an angle to river banks to prevent bank erosion, encourage channel scouring for ship pavigation, and enhance sediment storage for fish and vegetation
- ¹⁰ nel scouring for ship navigation, and enhance sediment storage for fish and vegetation biodiversity (Uijttewaal et al., 2001, 2005; Engelhardt et al., 2004; McCoy et al., 2007; Weitbrecht et al., 2008; Yossef and De Vriend, 2011). The flow features associated with lateral cavities are complex and vary depending on whether the flow obstruction creating the lateral cavity is emerged or submerged.

15 3.1.1 Emerged lateral cavities

Regardless of whether a single or multiple emerged lateral cavities form along a stream bank, the flow field is always characterized by flow separation at the leading cavity edge, a mixing layer that spans the entire cavity entrance, and a recirculation region inside the cavity comprised of one or more counter-rotating gyres (Fig. 2; Rockwell and Naudascher, 1978; Rockwell and Knisely, 1980). The recirculation region is comprised of a large primary gyre and may contain one or more smaller, counter-rotating secondary gyres. Secondary gyre formation depends on the width (normal to flow, W) to length (parallel to flow, L) aspect ratio of the cavity and irregularities in the wetted perimeter of the cavity (Jackson et al., 2012). As a general rule for rectangular cavities, cavity aspect ratios where: (a) W/L < 0.5 result in the development of a two-

²⁵ cavities, cavity aspect ratios where: (a) W/L < 0.5 result in the development of a twogyre recirculation pattern with the secondary momentum-driven gyre forming in the upstream corner; (b) 0.5 < W/L < 1.5 result in the development of a one-gyre recirculation



pattern; and (c) W/L > 1.5 result in the development of a two-gyre recirculation pattern with the secondary gyre forming adjacent to the primary gyre far from the mixing layer (Fig. 2; Burggraf, 1966; Ghia et al., 1982; Weitbrecht and Jirka, 2001a; Cheng and Hung, 2006). Note that additional secondary gyres also can form due to irregularities in the wetted perimeter of a lateral STS (Jackson et al., 2012).

Within the last decade, many studies have investigated the mean residence time of solutes in lateral STS. A field study by Jackson et al. (2012) investigated the influence of gyre dynamics on mean residence time and found that the mean residence time of a lateral cavity is given by the primary gyre mean residence time and that secondary gyre volume, not mean residence time, contributes to the primary gyre's mean

- ¹⁰ ondary gyre volume, not mean residence time, contributes to the primary gyre's mean residence time. Langmuir (1908) derived an equation for the mean residence time of a continuous stirred tank reactor, which is analogous to a lateral STS zone (Danckwerts, 1953; Sardin et al., 1991). Uijttewaal et al. (2001) developed a predictive mean residence time for lateral storage zones based on field-measureable parameters by substituting the entrainment hypothesis postulated by Valentine and Wood (1977) into
- the mean hydraulic residence time formulated by Langmuir (1908):

5

$$\tau = \frac{WLd_{\text{STS}}}{ELd_{\text{E}}} = \frac{WLd_{\text{STS}}}{kULd_{\text{E}}}$$
(1)

where d_{STS} is the mean depth of the cavity, [L]; and d_E is the mean depth at the mixing layer interface, [L]; *U* is the mean main channel velocity, $[LT^{-1}]$; *E* is the exchange velocity leaving the cavity through the mixing layer interface, $[LT^{-1}]$; *k* is a dimensionless entrainment coefficient; and τ is the mean residence time, [T] (Fig. 2). The first quantity to the right of τ is the mean residence time derived by Langmuir (1908), the second quantity to the right of τ is the predictive mean residence time formulated by Uijttewaal et al. (2001), and the entrainment hypothesis assumes that E = kU. The entrainment coefficient has a range of variability (between 0.01 and 0.04) based on field and experimental studies (Valentine and Wood, 1977; Seo and Maxwell, 1992; Wallast et al., 1999; Uijttewaal et al., 2001; Kurzke et al., 2002; Weitbrecht and Jirka, 2001b; McCoy



et al., 2006; Hinterberger et al., 2007; Chang et al., 2007; Weitbrecht et al., 2008; Constantinescu et al., 2009; Jackson et al., 2012). The range of variability measured for the entrainment coefficient is due to the exclusion of parameters, such as streambed roughness, lateral cavity shapes, and vegetative drag (Jackson et al., 2012). Recently, Jackson et al. (2013) derived a predictive mean residence time for lateral cavities that incorporates streambed roughness and cavity shape. The predictive relationship uses field-measureable parameters and is applicable to both small streams and larger rivers:

$$\tau = [21 * d_{sts}^{0.59} * W^{0.59} * L^{0.22}] / [U^{0.17} * u_*^{0.21} * d_E^{0.51} * v^{0.13} * g^{0.25}] - 6.7$$

where τ is the mean residence time, [T]; u_* is the shear velocity, $[LT^{-1}]$; g is the gravitational acceleration, $[LT^{-2}]$; and v is the kinematic viscosity, $[L^2T^{-1}]$. This relationship was compared to over sixty field sites measured in six different studies and was found to have a strong linear correlation with an $R^2 = 0.83$ for conservative solutes. However, future work is still needed for non-conservative solute transport.

15 3.1.2 Submerged lateral cavities

5

Submerged lateral cavities have flow fields similar to emerged lateral cavities with the exception of an additional flow complexity: the upstream flow separates and part of the flow overtops the protruding erosion-resistant obstacle, such as a log (Fig. 3). Mass and momentum are exchanged by strong, fully three-dimensional coherent structures ²⁰ in the lateral mixing layer and at the water surface in the recirculation region due to the overtopping flow (Tominaga et al., 2001; Uijttewaal et al., 2005; Yossef and De Vriend, 2011). The overtopping flow disrupts the near-surface recirculation pattern that would otherwise occur for an emerged lateral cavity (Uijttewaal et al., 2005; McCoy et al., 2007). At low relative submergence levels (i.e., when the ratio of main channel depth to the protruding obstruction height is small), the flow field near the water surface

²⁵ depth to the protruding obstruction height is small), the flow field near the water surface nearly parallels the main channel flow during an overtopping event and then returns to



(2)

an emerged lateral cavity flow field between events (Uijttewaal et al., 2005). At higher submergence levels, the near-surface cavity flow remains nearly parallel to the main channel flow (Elawady et al., 2000; Uijttewaal et al., 2005; Yossef and De Vriend, 2011). The mid-depth and deeper regions of the recirculating flow are largely unaffected be-

- ⁵ cause the deeper cavity flow is driven by momentum exchange through the lateral mixing layer (Peng and Kawahara, 1997; Peng et al., 1999; McCoy et al., 2007). The relative strength of mass and momentum exchange is greater for a submerged lateral cavity when compared to an emerged lateral cavity, resulting in a relatively smaller mean residence time (Tominaga et al., 2001; McCoy et al., 2007, 2008). A majority
- of the solute exits the cavity via the bottom of the lateral mixing layer interface and at the cavity water surface due to flow overtopping the cavity's downstream flow obstacle (McCoy et al., 2007, 2008). No predictive relationships have been formulated to date to account for the influence of submergence on the mean residence time of a lateral cavity. Nonetheless, the mean residence time of a submerged lateral cavity is dependent on the following parameters:

$$\tau = f\left(u_*, U, d_{\mathsf{E}}, d_{\mathsf{STS}}, v, g, W, L, \left[d_{\mathsf{C}} - d_{\mathsf{STS}}\right]\right)$$

where $d_{\rm C}$ is the main channel depth, [L]; and $d_{\rm C} - d_{\rm STS}$ is the submergence level, [L].

3.2 Protruding in-channel flow obstructions

Isolated protruding logs or boulders extending from the streambank into the main chan nel flow generate flow fields equivalent to backward-facing and forward-facing steps in front of and behind the obstacle, respectively. As an example, in-situ flow deflection log jams, identified by Abbe and Montgomery (2003) as one type of wood debris in forested streams, form both backward- and forward-facing steps. Backward- and forward-facing steps also can develop in conjunction with an emerged lateral cavity
 formed by closely spaced obstructions protruding into the main channel (e.g., McCoy et al., 2006). Field studies have found that sediment entrainment within the recirculation regions of backward- and forward-facing steps results in bar development that



(3)

resembles flood plain deposits (Abbe and Montgomery, 2003). The flow structure of emerged backward- and forward-facing steps are described below. We note that sub-merged backward- and forward-facing steps also can occur, especially at high flows. In addition, underflow can occur beneath either a floating log or a log situated atop
⁵ an uneven streambed. However, no work has been done to date to determine the influence of either submergence or underflow on the flow structure of a backward- or forward-facing step, and these cases are not discussed.

3.2.1 Backward-facing step

The backward-facing step is characterized by flow separation at the obstacle head, a reattachment point at the streambank that returns the open channel flow to a fully developed state, and a recirculation region on the downstream side of the obstacle located near the streambank (Fig. 4; Kim et al., 1979; Silveira Neto et al., 1993; Hung et al., 1997; Fessler and Eaton, 1999). The recirculation region is delineated by a zero vorticity boundary and the farthest upstream and downstream locations of zero vorticity

- ¹⁵ correspond to the detachment and reattachment points, respectively (Kim et al., 1979; Williams and Baker, 1997). Gyre formation within the recirculation region is comparable to the lateral cavity at the same W/L with the exception that the mixing layer forms at an oblique angle to the channel flow, which elongates gyres within the downstream region. The dimensionless STS zone length (reattachment distance) is given by x/W, where
- $_{20}$ x is the distance downstream from the detachment point, [L]; and W is the flow obstruction width protruding into the flow, [L] (Fig. 4). The reattachment distance is highly dependent on Reynolds number and varies proportionally (Armaly et al., 1983; Williams and Baker, 1997). Increasing the Reynolds number moves the reattachment point farther downstream because higher in-channel flow velocities increase shearing across
- the mixing layer interface, causing higher momentum-driven vortical structures to travel farther downstream prior to impingement. The reattachment distance also varies proportionally to the channel expansion ratio with x/W asymptotically approaching smaller values as the channel expansion ratio is decreased (Kuehn, 1980; Durst and Tropea,



1981; Hung et al., 1997). Due to the high dependence of reattachment distance on hydromorphic parameters, the reattachment distance is still determined empirically and must be measured in the field.

- The mean residence time of a backward-facing step is largely dependent on the flow obstruction width (*W*), Reynolds number, and inclination angle, α (angle measured from the upstream streambank to the obstacle, see Fig. 4). An increase in *W* can increase recirculation region size depending on the upstream flow conditions. Thus, larger flow obstruction widths have the potential to increase the volume for fluid entrainment and mean residence time. The recirculation region volume also increases as 10 Reynolds number is increased; however, higher main channel flow also increases the
- strength of coherent structures in the mixing layer, thereby increasing mass exchange and decreasing mean residence time. The angle of the protruding in-stream flow obstacle, α , influences the size and strength of the recirculation region that forms behind the obstacle. For protruding obstacles angled upstream into the flow, an increase in α in-
- ¹⁵ creases the shearing strength at the separation point, meaning that vortical structures within the mixing layer have greater coherence (and momentum). This increases momentum transfer into the recirculation region, which increases the circulation of gyres inside the region and decreases mean residence time. The mixing layer also grows farther downstream before impinging on the streambank at higher inclination angles,
- increasing the size of the recirculation region (Chen et al., 2006), which may either enlarge the primary gyre or induce secondary gyre formation, subsequently reducing mean residence time. Therefore, the effect of inclination angle on mean residence time is poorly understood because mean residence time may increase or decrease depending on the relative influence of the angle on mixing layer exchange and recirculation
- region growth. A 3-D numerical study by Chen et al. (2006) showed that inclination angles less than 15 degrees are the limiting case for the formation of a mixing layer and recirculation region behind the obstacle. Thus, the mean residence time of a backward-facing step is dependent on the following parameters:

$$\tau = f(u_*, U, d_{\mathsf{E}}, d_{\mathsf{STS}}, v, g, W, L, x, \alpha)$$



(4)



noting that neither the submergence level, $d_{\rm C} - d_{\rm STS}$, nor underflow is accounted for in Eq. (4).

3.2.2 Forward-facing step

The flow dynamics associated with turbulent flow past a forward-facing step are more complex than that of a backward-facing step because, unlike a backward facing step, a forward-facing step has two distinct regions of flow separation: one region upstream of the step (obstacle) and one region at the step corner (head of obstacle protruding into the flow) (Fig. 5). Upstream flow separation occurs when the incoming turbulent boundary layer separates from the channel wall before approaching the obstacle due to an adverse pressure gradient imposed by the obstacle (Farabee and Casarella, 1986; Pearson et al., 2001). The separated boundary layer impinges at a point along the obstacle and forms a recirculation region in the corner between the obstacle and streambank. For a flow obstacle oriented normal to flow of width, W(Fig. 5a), the upstream detachment distance (from the obstacle), *x*, and reattachment distance (from

- ¹⁵ the streambank), *h*, are weakly dependent on Reynolds number, Re_W , (based on obstacle width) for 4000 < Re_W < 26 300 (Awasthi, 2012). The upstream detachment distance ranges from about 0.8 to 1.2 *W* and the reattachment distance ranges from about 0.5 to 0.6 *W* (Addad et al., 2003; Fiorentini et al., 2007; Camussi et al., 2008; Leclercq et al., 2009).
- The second separation region at the obstacle head results in a more complex flow field than the upper boundary layer separation region. Reattached flow travels along the flow obstacle and separates at the obstacle head, where a mixing layer forms and sheds vortices downstream (Kiya and Sasaki, 1983). The flow field downstream of the obstacle head is dependent on the orientation of the obstacle's protrusion into the
- flow. If a protruding obstacle is oriented such that its width protruding into the flow is much longer than its length (parallel to flow) (e.g., a log oriented normal to flow), then flow separation at the obstacle head forms a mixing layer whose downstream impingement forms the flow field of a backward-facing step (Fig. 5a). However, if a protruding

obstacle is oriented such that its width protruding into the flow is much shorter than its length (e.g., log(s) oriented parallel to flow), then flow separation at the obstacle head forms a mixing layer whose impingement at a point on the obstacle's length forms a recirculation region (Fig. 5b; Farabee and Casarella, 1986). One example of this flow type is logs oriented parallel to the channel axis, which typically form in higher gradient, semi-confined stream reaches and have been identified as bench jams (Abbe and

5

Montgomery, 2003). In the case of an obstacle oriented parallel to flow (Fig. 5b), the downstream reattachment distance of the recirculation region is dependent on Re_W , W, and inclination angle, α , into the flow. Studies of forward-facing steps typically relate reattachment distance to the flow obstacle geometry using the following hydromorphic ratio: W/δ , where δ is the boundary layer displacement thickness. Exact relationships between flow and geometry parameters and downstream attachment distance are poorly un-

- derstood due to the unsteady nature of the mixing layer at the obstacle head and the amplification of mixing layer instabilities from the upstream recirculating flow and mixing layer (Pearson et al., 2001; Awasthi, 2012). A generalized result for reattachment distance is that $W/\delta = 1.43$ yields reattachment distances ranging between 4.7 and 5.0 W for $17000 < Re_W < 50000$ (Moss and Baker, 1980; Addad et al., 2003; Gasset et al., 2005), and decreases to 3.2 W at higher Re_W (= 170000) (Leclercq et al., 2009). At lower W/δ (~0.2), reattachment distances range between 1.5 and 2.1 W
- ²⁰ 2009). At lower *W/o* (~0.2), reattachment distances range between 1.5 and 2.1*W* for 8000 < Re_W < 26 300 (Camussi et al., 2008), and decrease to 1.4*W* at higher Re_W (= 50 000) (Castro and Dianat, 1983). Thus, there is a general trend that increasing obstacle protrusion width into the flow increases reattachment distance and increasing Reynolds number decreases the reattachment distance.
- The mean residence time of recirculation regions upstream and downstream of the obstacle is dependent on a number of parameters. The size of the upstream recirculation region is weakly dependent on Reynolds number; however, the circulation of gyres (and mean residence time) in the recirculation region is driven by shearing in the mixing layer, which is dependent on Reynolds number (i.e., increased mixing



decreases residence time). The obstacle inclination angle also can influence recirculation region size and the number of gyres in the region. Increasing the number of gyres increases the mean residence time because smaller, counter-rotating secondary gyres have slower circulation compared to the primary gyre (Jackson et al., 2012). Reynolds

- ⁵ number and protruding obstacle width have more effect on the downstream recirculation region. Increasing obstacle protrusion width or decreasing Reynolds number will increase downstream recirculation region size, which increases primary gyre size in the recirculation region and, thus, mean residence time. For the downstream recirculation region, the inclination angle will have similar effects for the forward-facing step as in
- the backward-facing step where, as discussed in the previous section, mean residence time either will increase or decrease depending on upstream flow conditions. Thus, the exact influence of larger inclination angles on mean residence time is poorly understood. In summary, the mean residence time of a forward-facing step is dependent on the following parameters:
- 15 $\tau = f(u_*, U, d_{\mathsf{E}}, d_{\mathsf{STS}}, v, g, W, L, x, h, \alpha)$

Note that the submergence level, $d_{\rm C} - d_{\rm STS}$, and underflow are not accounted for in Eq. (5), and that two predictive mean residence time relationships may be needed to represent the upstream and downstream recirculation regions.

3.3 Isolated in-channel flow obstructions

Isolated in-channel flow obstructions are defined as any flow obstacle that is surrounded by main channel flow on all sides, such as a large boulder, log, or an amalgamation of wood debris. This type of flow is analogous to flow past a blunt body, which generates a single wake field. The flow structure is similar for flow obstacles of different shapes. Isolated in-channel flow obstructions can be either emerged or submerged.



(5)

3.3.1 Emerged isolated in-channel flow obstructions

Flow in the vicinity of an isolated boulder (Fig. 1) or log is characterized by turbulent flow around a circular cylinder or flat plate, respectively, as described in Sect. 2.3.1. The recirculation region formed behind isolated boulders and logs entrain solutes and

- ⁵ sediments. If the cross-stream length of the flow obstacle is of sufficient size, meaning that the boulder or log encompasses a large fraction of the channel width, then the recirculation region can form sand bars and islands in front of and behind the obstacle, respectively. For example, a bar apex jam a type of wood debris identified by Abbe and Montgomery (1996) is an amalgamation of wood debris oriented normal
- to flow and centered in the channel. Main channel flow either diverges around the jam or impinges and deflects on the upstream side of the jam in the vertical and transverse directions (Fig. 6). Vertical flow deflection and impingement on the streambed forms a large scour hole on the upstream side of the jam (see Fig. 1b). Large-scale sweeping motions in and out of the scour hole alternately shed vortices downstream of the
- jam, forming a turbulent wake field. A large recirculation region forms behind the jam and sediment entrainment in this region can form an island. Transverse flow deflection causes fluid to either flow around the jam or to temporary traverse upstream in a strong backward flow toward the oncoming streamflow. A stagnation point forms upstream of the scour hole and is centered above an arcuate bar where the horseshoe vortex forms.
- ²⁰ Solute entrainment and mean residence time of isolated emerged obstacles is dependent on a number a factors. The most influential factor is the size of the obstacle. The relative fraction of the obstacle width (normal to flow), *W*, to the channel width, *B*, determines the size of the recirculation region behind the obstacle and whether an arcuate bar forms upstream beneath the horseshoe vortex. Other variables of impor-
- tance are the flow depth, free-stream velocity, and porosity of the emerged obstacle, θ . Porosity is important in the case of wood debris where flow through the dam can disrupt the coherence of the wake field and increase the width of the vortex street, $W_{\rm VS}$, which increases mean residence time. Thus, the mean residence time of isolated



emerged obstacles is dependent on the following parameters:

 $\tau = f(u_*, U, d_{\mathsf{E}}, d_{\mathsf{STS}}, d_{\mathsf{C}}, v, g, W, B, \theta, W_{\mathsf{VS}})$

No work has been done to date to estimate residence times in wake fields behind blunt bodies.

5 3.3.2 Submerged isolated in-channel flow obstructions

Submerged isolated obstacles have the additional complexity of flow overtopping the obstacle and disrupting the wake field (Fig. 7). Upstream of the obstacle, larger submergence depths change the position and size of the horseshoe vortex. The horseshoe vortex moves closer to the obstacle and decreases in size as the submergence depth increases due to a weakening of the backward flow as more flow overtops the obstacle (Sadeque et al., 2008). The flow accelerates as it overtops the obstacle, causing the destruction of wake vortices directly behind the obstacle. However, at higher submergence depths, flow separation occurs at the downstream edge of the obstacle, forming a mixing layer. Kelvin–Helmholtz instabilities in the mixing layer form large-

- scale coherent structures that impinge on the streambed and transport vorticity behind the obstacle, forming a closed recirculation region. The length of the recirculation region increases as the flow depth increases once the recirculation region has formed. The width of the vortex street also increases as the flow depth increases, but vortex coherence and circulation weakens (Sadeque et al., 2008).
- The spatial distribution of solute entrainment differs between emerged and submerged isolated obstacles. In the submerged case, the potential for solute and sediment entrainment increases. As submergence increases, the increasing vortex street width, $W_{\rm VS}$, increases the region for solute entrainment and the weakening vortex coherence decreases the potential for solute transport. The closed recirculation region
- ²⁵ behind the obstacle is driven by mixing layer momentum exchange. Lower flow rates decrease exchange and increase mean residence times. The ratio of obstacle height, H, to submergence depth, $d_{\rm C} d_{\rm STS}$, also influences exchange. Higher submergence



(6)

depths cause the flow obstacle height to be positioned at lower mean velocities in the vertical velocity profile, decreasing shearing and momentum exchange and increasing mean residence times. Thus, the mean residence time of isolated submerged obstacles is dependent on the following parameters:

$$\tau = f(u_*, U, d_{\mathsf{E}}, d_{\mathsf{STS}}, d_{\mathsf{C}}, v, g, W, B, \theta, d_{\mathsf{C}} - d_{\mathsf{STS}}, H, W_{\mathsf{VS}})$$

$$(7)$$

3.4 Cascades and riffles

Cascades and riffles are relatively straight, steep, shallow reaches with higher flow velocities compared to adjacent geomorphic features, such as pools or steps (Montgomery and Buffington, 1997; Raven et al., 1998; Kang and Sotiropoulos, 2011). Cascade and riffle reaches typically are comprised of heterogeneously-spaced roughness elements, such as gravels, cobbles, or boulders, that have relatively large roughness heights – ratio of grain height, H_g , to mean water depth, d_c . Cascades and riffles are regions of high turbulent mixing and can be characterized by the coalescence of wake fields behind individual circular roughness elements (i.e., circular cylinders). In a co-alesced turbulent wake field, the wake field generated by one roughness element in-

- teracts with adjacent wake fields from nearby roughness elements. Advected rollers from the mixing layers induce the formation of anisotropic small-scale structures that interact to form complex wake fields (Tritico and Hotchkiss, 2005; Kang and Sotiropoulos, 2011). Closely spaced and (or) heterogeneous distributions of roughness elements
- and bedform features can destabilize individual wake fields, causing the rollers to lose their coherence and ability to entrain solutes (Constantinescu et al., 2013). The rollers also can lose their coherence at higher flow depths due to flow overtopping individual roughness elements; however, smaller closed recirculation regions can form as in the case of submerged flow obstructions (discussed above in Sect. 3.3.2). At high
- ²⁵ discharge, bed armoring is common along cascade and riffle reaches because high turbulent mixing (i.e., high transverse Reynolds shear stresses and turbulent kinetic energies) in the jet-like flow between roughness elements prevents deposition of finer sediment (Vanoni, 1975; Hirsch and Abrahams, 1981; Sear, 1996).



Cascades and riffles have fast exchange rates and relatively small mean residence times behind individual roughness elements (Kang and Sotiropoulos, 2011). However, solute entrainment within the coalesced wake field can contribute to reach-averaged estimates of mean residence time, as observed in a laboratory experiment of four riffle-

- ⁵ pool reaches by Seo and Maxwell (1992), where gravel (one particle thick) on the riffle reaches had a small contribution to the flume-length-averaged RTD. The contribution of cascade and riffle reaches to reach-averaged mean residence times may be significant depending on the cascade/riffle reach length, (L_R), mean grain diameter (D_g), and spacing between roughness elements (S_g), channel velocity (U), flow depth (d_C), and the mean submergence depth of the roughness elements ($d_C - H_g$). In summary,
- the mean residence time of cascade and riffle reaches is dependent on the following parameters:

$$\tau = f\left(u_*, U, d_{\mathsf{C}}, H_{\mathsf{g}}, d_{\mathsf{C}} - H_{\mathsf{g}}, v, g, L_{\mathsf{R}}, D_{\mathsf{g}}, S_{\mathsf{g}}\right)$$

3.5 Aquatic vegetation

- ¹⁵ Submerged and emerged aquatic macrophytes are common in rivers, wetlands, estuaries, marshes, and streams, and has been well-studied by Nepf, Ghisalberti, and coworkers. Seagrass meadows, dense algal mats (i.e., kelp forests), and mangroves are all types of aquatic vegetation canopies. Aquatic vegetation promotes sediment deposition, increases solute residence times, and enhances water quality through vertical
- ²⁰ mixing gradients due to increased drag and reduced shear stress near the streambed of the canopy (Nepf, 1999; Ghisalberti and Nepf, 2002, 2005, 2006; Harvey et al., 2005, 2009). Emerged and submerged aquatic macrophytes have differing physical characteristics and, therefore, differing influences on the main channel flow field. Emerged macrophytes have maximum heights that extend above the water surface and (typi-
- cally) rounder stem geometries to increase their rigidity and strength against oncoming flow, whereas submerged macrophytes have maximum heights below the water surface and flatter blade-like stems to increase their elasticity so they can move with the



(8)

main channel flow (Nepf, 2012). Thus, emerged macrophytes can be considered bluff bodies and submerged macrophytes can be considered streamlined bodies.

3.5.1 Emerged aquatic vegetation

The flow field associated with emerged aquatic vegetation is characterized by the coalescence of wake fields behind individual stems (Finnigan, 2000). As emerged canopies fill the entire flow depth, the mean velocity profile and turbulence characteristics of the main channel flow are dependent on the density (spacing) and frontal area geometry of individual macrophytes (Finnigan, 2000; Bennett et al., 2002). The mean velocity profile has a maximum canopy velocity near the streambed due to stem branching (Fig. 8). The turbulent length scale is set by either the mean spacing be-

- ¹⁰ branching (Fig. 8). The turbulent length scale is set by either the mean spacing between individual plant stems (ΔS) or the stem diameter (*d*), depending on whichever scale is smaller (Tanino and Nepf, 2008). Turbulence in the stem wake region is higher than turbulence generated in the boundary layer region of the streambed, represented as bed shear stress (Nepf, 1999). Therefore, the turbulent kinetic energy produced, which is dependent on the ratio of stem drag in the wake region to viscous drag, scales
- with the stem drag (Nepf and Koch, 1999). The turbulence intensity in the wake region varies proportionally with increasing stem density (Gambi et al., 1990).

The flow structure imposed by emerged aquatic vegetation is complex. Flow and mass transport equations have been derived by double-averaging the Navier–Stokes

- ²⁰ equations (see Nepf, 2012). The transport equations describe fluid transport through an emerged aquatic canopy using a diffusion coefficient, *D*, which is the sum of the turbulent diffusion coefficient and mechanical diffusion coefficient, which accounts for tortuosity of flow paths (Nepf, 1999). In sparsely vegetated canopies, turbulent diffusion dominates and $D = 0.2U_cd$, where U_c is the mean canopy velocity, $[LT^{-1}]$ (Lightbody
- ²⁵ and Nepf, 2006). In densely vegetated canopies, the influence of turbulent diffusion decreases and mechanical diffusion becomes the dominate diffusion process, especially at higher Reynolds numbers. The mechanical diffusion coefficient is given by: $D/U_cd = ad$, where *a* is the frontal area per canopy volume, [L⁻¹] (Nepf et al., 2007).



Several relationships have been found in the literature relating different hydromorphic parameters. Mean flow velocity (U_c) varies inversely with the front area (and diameter) of individual stems, vegetation density, and the canopy drag coefficient (C_D) (Nepf, 2012). The drag coefficient decreases downstream along the vegetation canopy because vegetation upstream shelter stems downstream from the impact velocity; thus, the bulk drag coefficient decreases downstream as vegetation stem density increases (Nepf, 1999; Nepf et al., 2007). However, no simple relations have been developed to date relating mean residence time to hydromorphic parameters. Predictive relation-ships may be derived for relative volumes of stream reaches occupied by emerged canopies. Thus, the mean residence time of emerged canopies is dependent on the following parameters:

 $\tau = f(g, \Delta S, U_{\rm c}, d, a, C_{\rm D})$

3.5.2 Submerged aquatic vegetation

Submerged aquatic vegetation has a flow field characterized by the formation of a mixing layer at the canopy-water interface and a wake region in the canopy (Fig. 9; Finnigan, 2000; Ghisalberti and Nepf, 2002). The mean velocity profile in a submerged canopy is dependent on the canopy density and relative influence of canopy to bed drag (Wilson et al., 2003; Sukhodolov and Sukhodolova, 2006). In sparse submerged canopies, bed drag is larger than canopy drag and the mean velocity profile follows
a turbulent logarithmic velocity profile, whereas in dense submerged canopies, bed drag is smaller than canopy drag and the mean velocity profile has an inflection point poar the top of the canopy (Ghisalberti and Nepf, 2002; Wilson et al., 2003; Sukhodolov

- near the top of the canopy (Ghisalberti and Nepf, 2002; Wilson et al., 2003; Sukhodolov and Sukhodolova, 2006; Nepf and Ghisalberti, 2008). At the top of the canopy, the drag discontinuity increases velocity shear, causing flow separation and the formation
- of a mixing layer (Gambi et al., 1990; Stoesser et al., 2009). The inflection point in the velocity profile causes vortical structures in the mixing layer to billow and grow down-stream due to Kelvin–Helmholtz instabilities (Ikeda and Kanazawa, 1996; Ghisalberti and Nepf, 2002; Nepf and Ghisalberti, 2008; Stoesser et al., 2009).



(9)

Canopy drag, density, and submergence depth (ratio of channel depth, *H*, to canopy height, *h*) determine the penetration depth of the mixing layer into the canopy (Fig. 9). Mixing layer penetration depth is inversely proportional to canopy drag and density and varies proportionally to submergence depth (Ghisalberti and Nepf, 2004; Poggi et al., 2004). For less dense and deeply submerged canopies (H/h > 10), turbulent sweep and eject motions from vortical structures in the mixing layer penetrate the en-

- sweep and eject motions from vortical structures in the mixing layer penetrate the entire canopy height and transfer mass and momentum between the main channel and canopy (Fig. 9a; Fitzmaurice et al., 2004; Ghisalberti and Nepf, 2006; Nepf and Ghisalberti, 2008). Deeply submerged canopies promote sediment resuspension and trans-
- port. For dense and shallow submerged canopies (*H*/*h* ≤ 5), turbulent sweep and eject motions from vortical structures in the mixing layer do not penetrate the entire canopy height (Fig. 9b; Ghisalberti and Nepf, 2004, 2005, 2009; Poggi et al., 2004). Shallow submerged canopies promote sediment entrainment by shielding the streambed from mixing layer turbulent stresses (Nepf, 2012). Entrainment and transport can be en-
- ¹⁵ hanced if the canopy is comprised of flexible plants and the main channel velocity exceeds a threshold value (Nepf, 2012). In this case, the advection of higher momentumdriven vortical structures causes a coherent waving pattern at the top of the canopy due to their downstream transport (Fig. 9c). This phenomenon is called a monami and causes canopy drag reduction and deeper penetration of coherent structures into the canopy (Ackerman and Okubo, 1993; Ghisalberti and Nepf, 2002, 2009; Nepf and
- the canopy (Ackerman and Okubo, 1993; Ghisalberti and Nepf, 2002, 2009; Nepf and Ghisalberti, 2008).

Nepf et al. (2007) developed a vertical transport model for flow through submerged aquatic canopies by dividing the canopy into an exchange and wake zone (Fig. 9c). Two timescales were developed, one each for the wake and exchange zone. The timescale of the wake zone, which is analogous to an STS zone, is given by (Nepf et al., 2007):

 $T_{\text{wake}} = \frac{\left(h - \delta_{\text{e}}\right)^2}{0.2U_{\text{c}}d}$

25



(10)

where δ_e is the mixing layer penetration depth into the canopy, [L]. For deeply submerged canopies, $\delta_e = h$. For shallow submerged canopies, $\delta_e < h$, and is predicted by (Nepf et al., 2007):

$$\delta_{\rm e} = \frac{0.23 \pm 0.6}{0.6 \, a C_{\rm D}}$$

⁵ Vertical transport in the wake zone is governed by the hydraulic gradient and balances between bed and canopy drag (Ghisalberti and Nepf, 2009). Vertical transport in the exchange zone is governed by the hydraulic gradient and turbulent stresses (Nepf and Vivoni, 2000). The timescale for the exchange zone (mixing layer) is given by (Nepf et al., 2007):

¹⁰
$$T_{\rm e} = \frac{\delta_{\rm e}}{E} = \frac{\delta_{\rm e}}{(0.17 \pm 0.02)\sqrt{-gS(H-h)}(C_{\rm D}ah)^{0.13}}$$
 (12)

where *E* is the exchange velocity at the wake-exchange zone interface, $[LT^{-1}]$; *g* is the gravitational acceleration, $[LT^{-2}]$; *S* is the channel slope; and C_D is the canopy drag coefficient given by $C_D = 2gSH/ahU_c^2$. Note that Ghisalberti and Nepf (2005) found that there is a linear relationship between *E* and the velocity difference between the main channel and wake region velocity, ΔU (Fig. 9c); therefore, the denominator in Eq. (12) can be approximated by ΔU . Ghisalberti and Nepf (2005) also found that the vertical turbulent diffusivity can be approximated by $t_{ml}\Delta U$, where t_{ml} is the total mixing layer thickness, [L]. From the vertical transport model, the mean residence time of a submerged canopy can be estimated by the wake zone timescale.

20 3.6 Pools

Pools are deep, slow-moving, recirculating in-stream flow structures and are prevalent in rivers and streams (Raven et al., 1998; Kang and Sotiropoulos, 2011). Pools typically form as part of step-pool or riffle-pool sequences (Montgomery and Buffington, 1997);



(11)

along cascade reaches (Montgomery and Buffington, 1997); downstream of flow constrictions (Wright and Kaplinski, 2011); at abrupt changes in bed slope (Raven et al., 1998); or as backwater areas upstream and downstream of flow obstructions (Abbe and Montgomery, 2003). The flow dynamics of pools differ depending on the upstream flow conditions, whereby seasonal flow conditions can substantially change the flow field. Therefore, careful attention is needed when classifying the flow field of a pool.

3.6.1 Pool type 1: the vertically submerged cavity

5

In some riverine systems pools can be sufficiently deep, meaning that either an abrupt break in slope or deep scouring causes the pool bathymetry to be sufficiently below the bathymetry of the upstream reach at the pool head. In this case, the pool can be classified as a vertically submerged cavity. The flow dynamics of a vertically submerged cavity are analogous to the flow dynamics associated with lateral cavities (Fig. 10). Open channel flow across the top of the cavity causes flow separation at the upstream edge, mixing layer formation across the entire cavity entrance, and a recirculation re-

- gion within the cavity. The cavity aspect ratio produces the same pattern of gyre dynamics observed in a lateral cavity. The predictive mean residence time in (1) can be applied to vertically submerged pools. Flume studies of flow past vertically submerged cavities show that the dynamics of mass and momentum exchange are the same for both lateral and vertically submerged cavities, where exponential RTDs arise and es-
- timated entrainment coefficients are well within the range predicted for lateral cavities (e.g., Valentine and Wood, 1977; Seo and Maxwell, 1992; Chang et al., 2007). The predictive relationship in (2) was shown to work well for the experimental results of Seo and Maxwell (1992), who investigated exchange dynamics in a sequence of gravel-bed riffles with vertically-submerged pools.



3.6.2 Pool type 2: the closed lateral cavity

Many natural pools in streams have a geometry that resembles a lateral cavity, whereby one streambank is relatively straight and the other streambank has a lateral cavity. At sufficiently high flows, the flow field will be characteristic to a lateral cavity (Fig. 11a).

- ⁵ However, as the main channel flow decreases, the flow dynamics associated with lateral cavities can evolve into a flow field more characteristic of slower moving pools (Fig. 11b, c). For example, the mixing layer spanning the lateral cavity entrance will lose momentum as discharge decreases and the reattachment point at the downstream cavity edge will migrate upstream and reattach at a point along the wetted perimeter
- ¹⁰ wall inside the cavity. As the reattachment point travels upstream due to lower channel velocities, flow will be advected directly into the cavity (Rathburn and Wohl, 2003). Thus, the mixing layer will not span the entire cavity length and a recirculation region will not encompass the entire cavity, as in an open emerged lateral cavity (Shen and Floryan, 1985; Lawson and Barakos, 2011). The flow field will evolve from an open
- ¹⁵ lateral cavity flow to a closed cavity flow. A closed cavity flow resembles a backward-facing step in the upstream cavity region and a forward-facing step in the downstream cavity region with no interaction between these flows (Fig. 11c). The mixing layer impinges at the streambank inside the cavity, reattaches to the streambank boundary, and a recirculation region forms in the upstream region of the cavity, forming a flow
- similar to that over a backward facing step. The reattached boundary layer detaches in the downstream cavity region, forming a mixing layer that impinges on the trailing cavity edge and a recirculation region in the downstream cavity region, forming a flow similar to that over a forward facing step. If the reattachment point inside the cavity is sufficiently far downstream in the cavity, then a forward-facing step-like flow will not
- ²⁵ be observed (Fig. 11b) (e.g., Rathburn and Wohl, 2003; Wright and Kaplinski, 2011). Flow velocities decrease and disperse laterally toward the pool outlet within the main channel region adjacent to the closed cavity.



The closed cavity pool has a dynamic solute and sediment flushing pattern. Sediment entrainment occurs within the upstream and downstream recirculation regions of the closed cavity. At higher flows, the reattachment point in the cavity is located farther within the downstream cavity region. This causes a larger upstream recirculation ⁵ region to form, increasing sediment entrainment in the upstream cavity region (Thompson, 1997; Thompson et al., 1998; Thompson and Hoffman, 1999). Sediment transport occurs in the downstream cavity region due to flow advection, causing scouring and erosion. At lower flows, the reattachment point migrates upstream, decreasing the size of the upstream recirculation region and promoting sediment transport. No work has 10 been done to date to characterize the mean residence time of a closed lateral cavity.

The mean residence time of a closed lateral cavity is complex because it is dependent on parameters associated with an emerged lateral cavity, and backward- and forward-facing steps:

$$\tau = f\left(u_*, U, d_{\text{STS}, \text{FFS}}, d_{\text{STS}, \text{BFS}}, d_{\text{E}}, v, g, W, L, x_{\text{FFS}}, x_{\text{BFS}}\right)$$
(13)

¹⁵ where x_{FFS} and x_{BFS} are the lengths (parallel to flow) of the forward-facing and backward-facing steps, respectively, [L]; *L* is the total cavity length (parallel to flow), [L]; *W* is the cavity width (normal to flow), [L]; $d_{\text{STS,FFS}}$ and $d_{\text{STS,BFS}}$ are the mean depths of the forward-facing and backward-facing steps, respectively, [L]; and d_{E} is the mixing layer depth, [L].

20 3.6.3 Pool Type 3: a recirculating reservoir

Recirculating reservoir-type pools can form downstream of channel constrictions, cascades, riffles, log steps, or waterfalls. Deep, slow-moving, recirculating pools also can form upstream and downstream of wood debris that extend across the entire channel width and obstruct channel flow such that water overtops the wood debris. Wood debris accumulations can partially or completely impound channel flow. Specific types of wood

accumulations can partially or completely impound channel flow. Specific types of wood debris include: (1) stable orthogonal tree boles that form log steps; (2) combination debris jams – comprised of larger orthogonal in-situ tree boles and smaller driftwood –



that grow laterally from upstream debris accumulations and have a larger lateral extent than the channel width; (3) chaotic accumulations of waterborne driftwood; and (4) beaver dams (Abbe and Montgomery, 2003).

- The mean residence time of a reservoir-type pool can be obtained from (1) by redefining the hydromorphic parameters: *W* and *L* are the pool width (normal to flow) and length (parallel to flow), respectively, [L]; d_{STS} is the mean pool depth, [L]; d_E is the mean pool depth at the pool outlet, [L]; and *E* is the exchange velocity leaving the pool through the pool outlet, [LT⁻¹]. However, as the exchange velocity is a difficult parameter to adequately measure in the field, an entrainment coefficient can be substituted as in the second relation in (1). As dams and jams typically form recirculating pools, the rel-
- ative porosity, θ , of the wood debris attributed to underflow and flow through the debris also should be considered. No work to date has estimated an entrainment coefficient for this type of flow; however, the mean residence time of recirculating reservoir-type pools is dependent on the following parameters:
- 15 $\tau = f(u_*, U, d_{STS}, d_E, v, g, W, L, \theta)$

20

Recirculating reservoir: a jet-like flow

A recirculating reservoir-type pool downstream of channel constrictions, cascades, or riffles forms when faster main channel velocities upstream of the pool converge with slower flow downstream, transferring momentum and driving flow recirculation. Higher flow velocities enter the pool head as jet-like flows, causing flow separation and, sometimes, the formation of localized recirculation regions (Fig. 13a; Peterson and Mohanty, 1960; Bathurst, 1979; Thompson et al., 1996; Raven et al., 1998; Kang and Sotiropoulos, 2011). The localized recirculation regions are dominated by one large gyre and

form to the left and right banks of the incoming jet-like flow at the pool head (Kang and
 Sotiropoulos, 2011). Momentum exchange of the recirculating gyres with the incoming
 jet can increase the incoming flow velocities at the pool head (Booker et al., 2001). As
 the higher incoming velocities encounter slower moving water downstream in the pool,



(14)

the velocity decreases and flow disperses laterally toward the pool outlet (Sear, 1996; Kang and Sotiropoulos, 2011). Decelerating flow velocities toward the pool outlet cause sediment deposition (Abbe and Montgomery, 1996). These pools typically have graded beds where coarser sediments are deposited near the pool head due to higher flows and scouring, and finer sediments are deposited near the pool outlet as flow decreases

and scouring, and finer sediments are deposited near the pool outlet as flow decre longitudinally through the pool (Iseya and Ikeda, 1987; Lisle et al., 1991).

Recirculating reservoir: flow impingement

A recirculating reservoir-type pool upstream of wood debris (e.g., beaver dams, log jams) forms when flow impingement deflects flow laterally and downward toward the streambed. The accelerated downwelling flow impinges on the streambed, causing scouring and erosion (Abbe and Montgomery, 1996). The downwelling flow forms a scour pool just upstream of the wood debris (see Fig. 1b for example). Sweeping motions in and out of the scour hole generate a recirculating flow deep in the pool. The laterally deflected flow scours and erodes the streambed on either side of the wood de-

¹⁵ bris, and some of the flow is deflected upstream in a strong backward flow toward the oncoming streamflow (Fig. 12b). The balance of incoming and backward flow forces creates a stagnation point upstream of the debris and causes flow recirculation. For pools formed upstream of wood debris, sand bars can develop just upstream of the scour pool beneath the stagnation point as a result of flow deceleration and deposition
 20 (Abbe and Montgomery, 2003).

Recirculating reservoir: scour pool

25

A recirculating reservoir-type pool downstream of a waterfall, log step, debris dam, or log jam is typically called a scour or plunge pool (Lamb et al., 2007). In this case, the jet of upstream flow enters vertically at the pool head and drives downwelling flow (and falling sediments) toward the streambed (Fig. 12b). A scour hole forms at the pool head due to downwelling flow scouring the streambed. For rapidly varied flow,



the incoming jet-like flow can form a hydraulic jump comprised on streamwise-oriented rollers (Endreny et al., 2011). The flow velocity in the pool decreases and disperses laterally toward the outlet.

3.7 Meander bends

- Meander bends are characterized by a complex interaction of turbulent flow structures. Planform longitudinal bank curvature causes flow separation along the inner and outer banks and an imbalance of centrifugal and transverse pressure forces, inducing the direction of near-surface flow toward the outer bank (cut bank) and near-streambed flow toward the inner bank (point bar) (Fig. 13; Van Bendegom, 1947; Rozovskii, 1957;
 Bagnold, 1960; Leopold et al., 1960; Dietrich and Smith, 1983; Thompson, 1986). Flow
- separation at the start of the bend forms two mixing layers: one along the inner bank and one along the outer bank nearly across from one another. A strong jet-like flow forms between the mixing layers and impinges near the apex of the outer bank, raising water surface levels and inducing downwelling flow toward the streambed (Blanckaert,
- ¹⁵ 2010). Downwelling flow impingement and subsequent transverse deflection toward the inner bank, which is termed a curvature-induced secondary flow, is the predominant cause of bed scouring along the outer bank (Thomson, 1876; Johannesson and Parker, 1989; Hodskinson and Ferguson, 1998; Blanckaert, 2010). Scouring also is influenced by small vortices that form adjacent to the outer bank when centrifugal forces interact
- with streamwise anisotropic coherent structures in the outer bank shear layer (Hey and Thorne, 1975; Bathurst et al., 1979; Thorne et al., 1985; Kang and Sotiropoulos, 2011). Jamieson et al. (2010) showed that small outer bank vortices are centered above scour holes. Increased transverse Reynolds stresses and turbulent kinetic energy are the mechanisms by which curvature-induced secondary flow and small outer bank gyres coour and arode the outer bank (Planckapt and Graf, 2001; Planckapt and do Vriend)
- scour and erode the outer bank (Blanckaert and Graf, 2001; Blanckaert and de Vriend, 2004; van Balen et al., 2009).

The flow dynamics associated with the inner bank induce solute and sediment transport and entrainment. The inner bank mixing layer advects vortical structures



downstream (Fig. 13). The vortical structures typically impinge just downstream of the meander bend's point of highest curvature and cause the unsteady transport of vorticity and momentum toward the inner bank, forming a recirculation region (Bagnold, 1960; Leeder and Bridges, 1975; Kang and Sotiropoulos, 2011). The inner bank recirculation

- ⁵ region is comprised of a large primary gyre centered atop the point bar in the region of high bend curvature. A number of smaller secondary gyres develop upstream along the point bar due to primary gyre momentum exchange (Leeder and Bridges, 1975; Ferguson et al., 2003). Deposition and entrainment occur along the inner bank because inner bank gyres have low velocities and turbulent mixing (i.e., low turbulent kinetic energy)
- (Schmidt, 1990; Kang and Sotiropoulos, 2011). Predominant locations of sediment entrainment within the inner bank recirculation region occur near the primary gyre vortex center and the separation and reattachment points (Rubin et al., 1990; Schmidt, 1990). Kang and Sotiropoulos (2011) found that the primary gyre vortex center is situated directly atop the apex of the point bar.
- No relations have been developed to date to characterize the mean residence time of solutes and sediments entrained by a meander bend. However, relations have been found in the hydrology literature to relate hydromorphic parameters in a meander bend. Leeder and Bridges (1975) and Schmidt (1990) found that flow separation and vortical structure coherence, which influences inner bank recirculation and entrainment, is
- ²⁰ dependent on the Froude number and the degree of meander bend curvature. The meander bend curvature is quantified using a curvature ratio, R/B, where R is the meander bend radius of curvature, [L]; and B is the main channel width, [L] (Leeder and Bridges, 1975; Blanckaert and de Vriend, 2010). A hydromorphic parameter for sharply curved meander bends is given by: $C_{\rm f}^{-1}H/B$, where $C_{\rm f}$ is the Chézy-type friction coef-
- ficient; and *H* is the channel depth, [L] (Blanckaert and de Vriend, 2010). Blanckaert (2010) showed that the flow dynamics of the outer and inner bank are strongly influenced by bed topography, where the inner bank recirculation region is dependent on channel depth and inner bank shear layer depth, $d_{\rm E}$. Blanckaert (2010) also derived a required condition for bank curvature-induced flow separation and recirculation:



 $R_{\min}/B < 0.5(C_{f}^{-1}H/B)^{1/2}$, where R_{\min} is the minimum radius of curvature, [L]. In summary, the mean residence time of a meander bend point bar is dependent on the following parameters:

 $\tau = f(u_*, U, d_{\text{STS}}, d_{\text{E}}, v, g, R, R_{\min}, B, C_{\text{f}}, H, W, L)$

⁵ where *W* and *L* are the point bar width (normal to flow) and length (parallel to flow), respectively, [L].

3.8 Confluence of streams

The flow field at the confluence of two non-parallel streams is characterized by flow separation at the point of streambank convergence (junction point) and the develop ment of a mixing interface downstream of the junction point comprised of streamwise-oriented coherent vortical structures (Fig. 14; Rhoads and Kenworthy, 1995; Bradbrook et al., 1998). The streamwise-oriented vortical structures are formed by the higher transverse momentum generated from incoming stream convergence (Sukhodolov and Rhoads, 2001). Vortical structures in the free mixing interface are shed from each stream at the junction point and advect downstream, accelerating the downstream flow within the mixing interface (Rhoads and Kenworthy, 1995). Vortical structure pairing has been documented in the mixing interface, whereby shedded vortical structures from each stream are advected downstream in pairs from the junction point (e.g., Rhoads and Kenworthy, 1998; Rhoads and Sukhodolov, 2001; Constantinescu et al., 2011).

- ²⁰ The pattern of vortical structure pairing in the mixing interface has been described as being in the wake, Kelvin–Helmholtz, or combined wake-Kelvin–Helmholtz mode (Fig. 14; Miyawaki et al., 2009, 2010; Constantinescu et al., 2011). When the largescale streamwise-oriented vortical structures rotate in opposite directions (counterrotating), the mixing interface is in the wake mode (Fig. 14a), whereas when the large-
- scale vortical structures rotate in the same direction (co-rotating), the mixing interface is in the Kelvin–Helmholtz mode (Fig. 14b; Constantinescu et al., 2011). In the wake



(15)

mode, the counter-rotating vortices are shed from the separation point and grow downstream (from weak Kelvin–Helmholtz instabilities) without an increase to their circulation, which limits their ability to entrain sediment. In the Kelvin–Helmholtz mode, strong Kelvin–Helmholtz instabilities arise in the mixing interface due to interactions between

- ⁵ vortices in the mixing layers, causing vortex pairing between the mixing layers (Constantinescu et al., 2011). In this case, the mixing interface is comprised of vortices that merge, grow, and increase their circulation as they are advected downstream from the separation point, which increases their ability to entrain sediment. In some cases, such as high velocity ratios and confluence angles (α) between two converging streams, the mixing interface can have vortical structures whose interactions can be characterized
 - by both the Kelvin–Helmholtz and wake mode (Miyawaki et al., 2010).

Mixing interface position, mode, and coherence strength is dependent on the velocity (or momentum) ratio of the incoming streams, bathymetry (i.e., bed discordances), and the confluence angle at the junction point (Biron et al., 1996a, b). Note that the velocity

- ¹⁵ ratio is given by: U_1/U_2 , where U_1 and U_2 are the mean channel velocities of the main and tributary channels, respectively, $[LT^{-1}]$. If the velocity ratio between the incoming streams is small, then the mixing interface is in the wake mode (Fig. 14a). The mixing interface is positioned along the channel centerline downstream of the confluence and is comprised of strongly coherent vortical structures (Constantinescu et al., 2011).
- However, if the velocity ratio between the incoming streams is large, then the mixing interface is in the Kelvin–Helmholtz mode and the stream with the larger momentum pushes the mixing layer interface toward the opposite streambank (Fig. 14b; Miyawaki et al., 2010). Vortical structures in the mixing layer of the higher momentum stream have greater coherence than vortical structures in the mixing layer of the lower mo-
- ²⁵ mentum stream (Rhoads and Kenworthy, 1998). The coherence of vortical structures in the mixing interface also depends on the streambed topography and the confluence angle of the incoming streams, where concordant streambeds and larger confluence angles generally increase vortical structure coherence (Miyawaki et al., 2010).


An additional flow field complexity is the separated shear layers that form along the outer streambanks of the incoming streams at the confluence. The longitudinal bank curvature imposed by the confluence causes outer streambank flow separation. At high confluence angles and low momentum ratios, flow separation at the outer stream-

- ⁵ banks forms mixing layers that interact with the mixing interface (Fig. 14a). At high confluence angles and momentum ratios, the higher momentum stream pushes the mixing interface toward the opposite streambank and the lower momentum stream mixing layer interacts with the mixing interface (Fig. 14b). The interaction of vortical structures causing scouring and erosion. Flow separation, mixing layer formation and downstream impirement of the outer bank of the bigher momentum stream is the super stream of the structures causing scouring and erosion.
- downstream impingement at the outer bank of the higher momentum stream is the same as that of a meander bend. A recirculation region forms along the outer bank (near the high momentum stream) atop a point bar (Fig. 14b; Constantinescu et al., 2011).
- The mean residence time of solutes and sediments in stream confluences has not
 ¹⁵ been studied to date. Key parameters influencing entrainment in the mixing interface are: velocity and momentum ratios, the confluence angle, and bed concordance. Larger confluence angles and higher momentum ratios increase solute entrainment and mean residence time. The exact influence of bed topography discordance on vortical structure coherence and transport is still largely unknown as discordant topographic features
 ²⁰ between channels have been found to both increase vortical structure coherence (e.g., Nincovaki et al., 2010) and degrapse apheronae (e.g., De Serree et al., 1000). Thus
- Miyawaki et al., 2010) and decrease coherence (e.g., De Serres et al., 1999). Thus, the mean residence time of a stream confluence (without the formation of a point bar) is dependent on the following parameters:

$$\tau = f(u_*, U_1, U_2, W_{\text{VS}}, \alpha, d_{\text{E}}, d_{\text{VS}}, \nu, g, B)$$

where W_{VS} is the vortex street width, [L]; α is the confluence angle; d_E is the shear layer depth, [L]; d_{VS} is the vortex street depth, [L]; and *B* is the main channel width downstream of the confluence, [L]. If a point bar is present, the mean residence time is



(16)

also dependent on parameters associated with a meander bend:

 $\tau = f(u_*, U_1, U_2, W_{\text{VS}}, \alpha, d_{\text{E}}, d_{\text{VS}}, \nu, g, B, d_{\text{STS}}, R, R_{\min}, C_{\text{f}}, H, W, L)$

4 The next step: utilizing the STS classification scheme

We hypothesize that, for each STS type (and subtype) identified in the classification
scheme, mean residence time relationships can be derived for a range of flow conditions and geometries using field-measureable hydromorphic parameters. Employing dimensional analysis, non-dimensional mean residence times can be related to a combination of non-dimensional quantities, such as Reynolds number, Froude number, shape factors, bed roughness parameters, aspect ratios, submergence ratios, velocity ratios, and other case-specific parameters. Non-dimensional mean residence times can then be compared to collected data for verification. This method was recently used by Jackson et al. (2013) ["A Mean Residence Time Relationship for Lateral Cavities in Gravel-Bed Rivers and Streams: Incorporating Streambed Roughness and Cavity Shape"] to successfully relate the non-dimensional mean residence time of a lateral
merged cavity to six non-dimensional quantities. For each STS identified, mean residence

- emerged cavity to six non-dimensional quantities. For each STS identified, mean residence time is a function of a number of hydromorphic parameters (see Eq. 1–17). In all cases, mean residence time is dependent on the main channel velocity, gravitational acceleration, and bed friction (or canopy drag for aquatic vegetation) (Table 2). These parameters form two non-dimensional quantities: Reynolds number and Froude num-
- ²⁰ ber; however, the characteristic length scale differs among STS types. For example, the mean depth at the shear layer interface (d_E) is the characteristic length scale for the emerged lateral cavity, whereas the stem diameter (d) is the characteristic length scale for aquatic vegetation. In most cases the characteristic length scale is unknown. The definition of bed roughness also will be defined differently between cases. In emerged
- ²⁵ lateral cavities, the channel bed roughness of the upstream boundary layer (i.e. upstream of the cavity separation point) is used to define the shear velocity. For the confluence of two streams, the bed roughness of each stream above the confluence must



(17)

be considered to define the shear velocity. Thus, case-specific hydromorphic parameters dictate the flow structure and mean residence time characteristic to each STS, and detailed analyses are needed to determine appropriate metrics for defining hydraulic, geometric, and roughness quantities.

5 Broader impacts of STS classification scheme

The STS classification scheme presented provides a foundation for future studies in the areas of fluid dynamics, geomorphology and hydrology. In fluid dynamics, the classification scheme has presented flow types where little to no work has been done, such as submerged backward- and forward-facing steps. In addition, flow types where more work needs to be done is highlighted. Investigations into the flow structure of different STS types (and the influence of different hydromorphic parameters on the mean flow field) will aid in the development of predictive mean residence time relationships.

In geomorphology, the fluid-mechanics-based classification scheme may remove complexities and ambiguities associated with relating different types of STS between ¹⁵ different riverine systems. For example, backwater areas in riverine systems are typically defined as pools; however, lateral cavities, backward- and forward-facing steps, vertically submerged cavities, closed cavities, and recirculating reservoirs have all been identified as pools in the hydrology literature. This has led to complications and inconsistencies in the study of pool maintenance and sediment transport dynamics. As an

- example, in the well-studied riffle-pool sequence, many hydraulic reversal theories have been provided in the literature to describe the maintenance of pools based on hydraulic mechanisms, including an abrupt decrease in water surface slope (Keller, 1971); a decrease in mean cross-sectional velocity (Lane and Borland, 1954); and changes in near-bed velocity and shear stress (Keller, 1969, 1971; Lisle, 1979). These hydraulic
- reversal theories postulate that high velocities and bed shear stress occur on the upstream riffles and low velocities and bed shear stress occur in the downstream pools at low flows, causing the downstream transport of fine sediment into pools (Hack, 1957;



Keller, 1971; Lisle and Hilton, 1992). Conversely, at high flows, lower velocities and bed shear stress are observed on the riffles compared to the mid-pool region, causing the downstream transport of coarse sediment from the pools to downstream riffles (Keller, 1971; Andrews, 1979; Lisle, 1979; Ashworth, 1987; Clifford, 1993). Booker
et al. (2001) showed that hydraulic reversal theories weakly explain pool formation dynamics because decreased riffle velocity and bed shear stress were responsible for the maintenance of three out of eight pools that form in riffle-pool sequences along a meander bend of the Highland Water in the UK. Furthermore, Campbell and Sidle

(1985), Sidle (1988), Carling (1991), Keller and Florsheim (1993), and Sear (1996) also
observed weak relationships between hydraulic reversals along upstream riffles and maintenance of their downstream pools at higher discharges, suggesting that these hydraulic reversals are not the main mechanism for pool formation and maintenance. We postulate another explanation: the flow dynamics of exchange may change, producing a different type of flow field in the pool at high discharges, such as from a closed
cavity to a recirculating reservoir. Therefore, the hydraulic reversal theory may only be applicable to pools with a specific flow structure.

In hydrology, developing predictive mean residence times and RTDs for different STS using field-measureable parameters will provide an accurate, reliable, and inexpensive method for estimating STS. Eventually, predictive relationships for different types of

- STS can be combined using a weighting factor that describes the volume percentage (and relative influence) of each STS type to obtain a theoretical STS RTD. This approach will allow for the quantitative separation of STS from HTS in a tracer test, where the theoretical STS RTD can be deconvolved from the total transient storage RTD to obtain the HTS RTD. Quantitatively separating STS from HTS will allow for the deter-
- ²⁵ mination of whether specific processes are occurring in-stream, in the hyporheic zone, or both (Harvey and Wagner, 2000). Predictive relationships also will provide a more direct comparison between transient storage and solute dynamics and exchange processes among morphologically diverse streams (D'Angelo et al., 1993). Furthermore, predictive relationships will aid stream restoration efforts in mitigating nutrient transport



by providing a quantitative means for assessing the impact of hydromorphic parameters on in-stream structure design (Bukaveckas, 2007; Baker et al., 2012). For example, stream restoration projects typically restore stream ecosystems by emplacing in-stream structures that increase biological diversity; however, different STS structures may enbance the growth of different types of biotic communities and thus, affect the nutrient

⁵ hance the growth of different types of biotic communities and, thus, affect the nutrient uptake capabilities of the stream (Argerich et al., 2011).

6 Conclusions

This paper introduces a classification scheme that categorizes different types of STS in riverine systems based on their flow structure. Eight distinct types of STS are identified and, in some cases, subcategorized on the basis of differing characteristic mean flow structure: (1) lateral cavities (emerged and submerged); (2) protruding in-channel flow obstructions (backward- and forward-facing step); (3) isolated in-channel flow obstructions (emerged and submerged); (4) cascades and riffles; (5) aquatic vegetation (emerged and submerged); (6) Pools (vertically submerged cavity, closed cavity, and recirculating reservoir); (7) meander bends; and (8) confluence of streams. This classical cavity is the structure of streams. This classical cavity is the structure of streams.

sification scheme provides a foundation for studying different types of STS with greater quantitative accuracy so that greater insight will be gained into key hydromorphic parameters influencing mass and momentum exchange.

The long-term goal is to develop predictive mean residence times for different types of STS using field-measureable hydromorphic parameters, which will provide the ability to quantitatively separate STS from HTS. In some cases, predictive relationships may need to be developed for ranges of STS geometries and Reynolds numbers. Eventually, predictive relationships for different types of STS can be combined using a weighting factor that describes the volume percentage (and relative influence) of each STS type

on solute exchange to obtain a theoretical STS RTD. The theoretical STS RTD can then be deconvolved from the total transient storage RTD (measured from a tracer test) to obtain an estimate of HTS.



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 Table 1.
 Summary of STS Classification Scheme.

STS Type	Distinguishing Characteristics
<i>Lateral Cavity</i> Emerged Submerged	Mixing layer spans entrance and recirculation region forms in cavity. Overtopping flow parallels main channel flow.
Protruding Flow Obstructions Backward-Facing Step Forward-Facing Step	Recirculation region forms behind obstruction protruding from bank. Recirculation region forms in front of and behind obstruction protrud- ing from bank.
Isolated Flow Obstructions Emerged Submerged	Horseshoe vortex in front of and von Kármán vortex street behind obstruction in flow. Closed recirculation region behind obstruction in flow.
Cascades and Riffles	Coalescence of (circular cylinder-type) wake fields.
Aquatic Vegetation Emerged Submerged	Coalescence of wake fields and maximum canopy velocity near bed. Mixing layer at top of canopy and a monami for flexible canopies.
Pools Vertically Submerged Cavity Closed Lateral Cavity Recirculating Reservoir	Pool bathymetry sufficiently below upstream reach bathymetry. Lateral cavity flow field has a backward- and forward-facing step. Jet-like flow: Flow enters and generates regions of recirculating flow. Flow impingement: Flow impinges, deflects, and recirculates. Scour Pool: Vertical flow enters, scours pool head, and recirculates.
Meander Bends	Inner bank mixing layer forms recirculation region and point bar and outer bank mixing layer scours and erodes streambank.
Confluence of Streams	Velocity ratio dictates mixing interface position, mode, and coherence.



STS Type	Flow	Roughness	Constants	Case-Specific Parameters
Lateral Cavity				
Emerged	U	И.	gυ	$d_{\rm E}, d_{\rm STS}, W, L$
Submerged	U	И.	gυ	$d_{\rm E}, d_{\rm STS}, W, L, (d_{\rm C} - d_{\rm STS})$
Protruding Flow Obstructions				
Backward-Facing Step	U	И.	gυ	$d_{\rm E}, d_{\rm STS}, W, L, x, \alpha$
Forward-Facing Step	U	U _*	gυ	$d_{\rm E}, d_{\rm STS}, W, L, x, \alpha, h$
Isolated Flow Obstructions				
Emerged	U	И.	gυ	$d_{\rm E}, d_{\rm STS}, d_{\rm C}, W, W_{\rm VS}, B, \theta$
Submerged	U	U _*	gυ	$d_{\rm E}, d_{\rm STS}, d_{\rm C}, W, W_{\rm VS}, B, \theta, H, W_{\rm VS}, (d_{\rm C} - d_{\rm STS})$
Cascades and Riffles	U	И.	gυ	$d_{\rm C}, D_{\rm g}, H_{\rm g}, L_{\rm R}, S_{\rm g}, (d_{\rm C} - H_{\rm g})$
Aquatic Vegetation				
Emerged	U _c	$C_{\rm D}$	g	$a, d, \Delta S$
Submerged	Ů _c	$C_{\rm D}^{\rm J}$	g	a, d, h, H, $\delta_{e}, S, \Delta U$
Pools				
Vertically Submerged Cavity	U	И.	gυ	$d_{\rm F}, d_{\rm STS}, W, L$
Closed Lateral Cavity	U	u,	gυ	$d_{\rm F}, W, L, d_{\rm STSFES} d_{\rm STSBES}, x_{\rm FES}, x_{\rm BES}$
Recirculating Reservoir	U	<i>u</i> _*	gυ	$d_{\rm E}, d_{\rm STS}, W, L, \theta$
Meander Bends	U	$u_* C_f$	gυ	d _E , d _{STS} , <i>W, L, B, H, R, R</i> _{min}
Confluence of Streams	U_1 U_2	$u_* C_{\rm f}$	gυ	$d_{\rm E}, d_{\rm STS}, d_{\rm VS}, W, L, H, W_{\rm VS}, B, \alpha, R, R_{\rm min}$

Table 2. Summary of hydromorphic parameters characteristic to STS types.





Fig. 1. Plan view **(A)** and cross-sectional view **(B)** schematic of flow field around a circular cylinder (figure adapted from the work of Kirkil et al., 2006; Hinterberger et al., 2007; Shen and Diplas, 2008).





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ratios and cross-sectional view schematic showing definition of hydromorphic parameters.



Fig. 3. Schematic of the flow field for a submerged lateral cavity.





Fig. 4. Schematic of the flow field for a backward-facing step.











Fig. 6. Bar apex jam: example flow field for an isolated in-channel flow obstruction (figure adapted from the work of Abbe and Montgomery, 1996, 2003).





Fig. 7. Schematic of the flow field for a submerged isolated in-channel obstacle.

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Fig. 8. Schematic of the flow field for emerged aquatic vegetation (figure adapted from Nepf, 2012).





Fig. 9. Schematics of the flow field for submerged aquatic vegetation. **(A)** Influence of deep submergence and sparse canopy on mixing layer penetration depth. **(B)** Influence of shallow submergence and a dense canopy on mixing layer penetration depth. **(C)** Flow structure within a flexible submerged canopy showing monami phenomenon (figure adapted from Ghisalberti and Nepf, 2002, 2005; Nepf et al., 2007; Nepf and Ghisalberti, 2008; Nepf, 2012).





Fig. 10. Schematic of the flow field for a vertically submerged cavity. Gyre formation within the cavity is depicted for W/L < 0.5. Note that gyre formation in vertically submerged cavities is equivalent to lateral cavities at the same W/L (see Fig. 3).





Fig. 11. Schematics showing the evolution of the flow field from an open lateral cavity (A) to a closed lateral cavity (C).



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Fig. 12. Schematics illustrating three different flow field examples of recirculating reservoir-type pools. **(A)** A jet-like flow forms recirculation regions on each side of the pool near the entrance. **(B)** Upstream of in-channel obstacle, flow impingement forms recirculation regions near streambanks and a deep recirculating flow in scour hole. Downstream of in-channel obstacle, vertical jet-like flow enters pools and forms deep recirculating flow in scour hole.








Fig. 14. Flow field schematics of a stream confluence with a small velocity ratio between converging streams **(A)** and with a large velocity ratio between converging streams **(B)** (figure adapted from the work of Miyawaki et al., 2010; Constantinescu et al., 2011).

