

Regional water-balance modelling using flow-duration curves with observational uncertainties

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Abstract

Robust and reliable water-resources mapping in ungauged basins requires estimation of the uncertainties in the hydrologic model, the regionalisation method, and the observational data. In this study we investigated the use of regionalised flow-duration curves (FDCs) for constraining model predictive uncertainty, while accounting for all these uncertainty sources. A water-balance model was applied to 36 basins in Central America using regionally and globally available precipitation, climate and discharge data that were screened for inconsistencies. A rating-curve analysis for 35 Honduran discharge stations was used to estimate discharge uncertainty for the region, and the consistency of the model forcing and evaluation data was analysed using two different screening methods. FDCs with uncertainty bounds were calculated for each basin, accounting for both discharge uncertainty and, in many cases, uncertainty stemming from the use of short time series, potentially not representative for the modelling period. These uncertain FDCs were then used to regionalise a FDC for each basin, treating it as ungauged in a cross-evaluation, and this regionalised FDC was used to constrain the uncertainty in the model predictions for the basin.

There was a clear relationship between the performance of the local model calibration and the degree of dataset consistency – with many basins with inconsistent data lacking behavioural simulations (i.e., simulations within predefined limits around the observed FDC) and the basins with the highest dataset consistency also having the highest simulation reliability. For the basins where the regionalisation of the FDCs worked best, the uncertainty bounds for the regionalised simulations were only slightly wider than those for a local model calibration. The predicted uncertainty was greater for basins where the result of the FDC-regionalisation was more uncertain, but the regionalised simulations still had a high reliability compared to

43 the locally-calibrated simulations and often encompassed them. The regionalised FDCs were
44 found to be useful on their own as a basic signature constraint; however, additional
45 regionalised signatures could further constrain the uncertainty in the predictions and may
46 increase the robustness to severe data inconsistencies, which are difficult to detect for
47 ungauged basins.
48

49 **1 Introduction**

50 Knowledge about the temporal and spatial variability of water resources is essential for
51 effective management of these resources, for preventing water-related disasters, and for
52 fostering cooperation and avoiding conflict over trans-boundary waters. Mapping of this
53 variability requires hydrologic models in situations where: 1) discharge data are of
54 insufficient quality, 2) predictions are required for time periods with no monitored discharge,
55 or 3) predictions are required for basins without discharge monitoring stations. Model-
56 parameter values and their uncertainty ranges can be estimated by calibration to measured
57 data in the first two cases whereas the last case requires a regionalisation procedure.
58 Discharge data are non-existent, intermittent or non-available for many basins, which make
59 Predictions in Ungauged Basins (PUB) an important prerequisite for comprehensive water-
60 resources mapping (Blöschl et al., 2013). However, estimating the response of an ungauged
61 basin always involves some uncertainty, and one of the features of the PUB science plan was
62 the development of methods to constrain that uncertainty (Hrachowitz et al., 2013; Sivapalan
63 et al., 2003). In this study we addressed uncertainties in the observational data, the
64 hydrological model parameterisation and the regionalisation method (based on regionalised
65 flow-duration curves, FDCs).

66 Conceptual water-balance models have traditionally been regionalised by transferring
67 parameter values from gauged to ungauged basins using some measure of hydrologic
68 similarity or a regression with model parameter values as dependent variables and physical
69 characteristics of the basins as independent variables (Seibert, 1999; Jakeman et al., 1992;
70 Parajka et al., 2005; Xu, 2003). Such procedures are often limited by their assumption of
71 model-parameter independence and incomplete assessment of predictive uncertainty for
72 gauged and ungauged basins (McIntyre et al., 2005; Bardossy, 2007; Buytaert and Beven,
73 2009).

74 Wagener and Montanari (2011) discuss a convergence of approaches for PUB in recent years
75 where regionalisation is based on the expected functional behaviour of the ungauged
76 watershed rather than the model and its parameters. Watershed behaviour has been quantified
77 in the form of information or “signatures” derived from discharge or other types of data for
78 model calibration in recent studies (Winsemius et al., 2009; Son and Sivapalan, 2007; Yu and
79 Yang, 2000; Castiglioni et al., 2010; Westerberg et al., 2011b; Blazkova and Beven, 2009;
80 Yadav et al., 2007). Many of these studies have been made within a set-theoretic approach for
81 uncertainty estimation (e.g. Blazkova and Beven, 2009; Yadav et al., 2007; Winsemius et al.,
82 2009), but Bayesian statistical approaches have also been used (e.g. Bulygina et al., 2009).
83 The types of information that have been used include recession curves (Winsemius et al.,
84 2009), slope of the FDC (Yilmaz et al., 2008; Yadav et al., 2007), base-flow index (Bulygina
85 et al., 2009), spectral properties (Montanari and Toth, 2007), and flood discharge and snow-
86 water equivalent frequency quantiles (Blazkova and Beven, 2009). Calibration approaches
87 focused on matching hydrological signatures thus allow regionalisation to be performed
88 directly on a wide range of hydrologic information, which is then used to constrain model
89 parameters at ungauged sites. Yadav et al. (2007) regionalise constraints on expected

90 watershed response behaviour in the UK and account for uncertainty in the regionalisation
91 method. Kapangaziwiri et al. (2012) use regionalised signature constraints for runoff ratio
92 (long-term ratio of runoff over precipitation) and slope of the FDC in combination with prior
93 parameter estimation. Yu and Yang (2000) regionalise FDCs and calibrate their model
94 against a performance measure based on specific exceedance percentages of the FDC using
95 an optimisation algorithm.

96 Uncertainties in observational data affect the information content of data and derived
97 signatures and it is therefore important to estimate and account for these uncertainties also in
98 rainfall-runoff model regionalisation (Hrachowitz et al., 2013). However, as noted in the
99 recent review by McMillan et al. (2012) no studies have so far explicitly investigated the role
100 of observational uncertainties in this context. Discharge-data uncertainty can often be
101 estimated based on rating-curve analyses and has received increasing attention in recent
102 years. Relative errors of around 10–20% for medium to high flows, with higher ranges for
103 low flows (50–100%) and out-of-bank flows (40%) are typically reported (McMillan et al.,
104 2012). The main uncertainties relate to the approximation of the true stage-discharge relation
105 by the rating curve. Discharge data are therefore especially uncertain in alluvial rivers with
106 non-stationary stage-discharge relationships (Jalbert et al., 2011; Guerrero et al., 2012) and
107 for flow conditions outside those used for constructing the rating curve. Model input data,
108 especially precipitation, are also affected by sometimes substantial uncertainties that are more
109 difficult to estimate and may have non-stationary characteristics, e.g. because of temporal
110 changes in the number and quality of precipitation gauges (Westerberg et al., 2010; Brath et
111 al., 2004). In some cases the observational uncertainties can be so large that the model
112 forcing and evaluation data are physically inconsistent (Beven and Westerberg, 2011), e.g.
113 because of inferred actual evaporation greater than potential evaporation (Kauffeldt et al.,
114 2013) or runoff ratios greater than one (Beven et al., 2011). Such data inconsistencies will be
115 “disinformative” in calibration of a model built on such assumptions. Datasets can be
116 screened for inconsistencies prior to modelling (Kauffeldt et al., 2013; Beven et al., 2011),
117 however, identification of inconsistent data might prove difficult in cases where auxiliary
118 information is not available or where disinformation is not easily identified.

119 The aim of this study was to investigate if regionalised FDCs could be used to reliably
120 constrain water-balance prediction uncertainty in ungauged basins, while estimating and
121 analysing uncertainties in the observational data and regionalisation method as well as the
122 model parameterisation. We used the FDC-calibration method of Westerberg et al. (2011b)
123 together with regionalised FDCs, therefore also testing this method for a wider range of
124 basins than in the previous study. A variety of approaches have been used for regionalisation
125 of FDCs (reviewed by Bloeschl et al., 2013), including the fitting of a frequency distribution
126 (Castellarin et al., 2004) or a parametric equation (Yu et al., 2002) to the FDCs where the
127 parameters are regionalised through regression with basin characteristics as independent
128 variables. Holmes et al. (2002), building on the work of Burn (1990a, b), use a region-of-
129 influence (ROI) approach to predict FDCs for the UK, with a dynamic definition of a ROI
130 based on hydro-geologic similarity. While some studies explore uncertainty in the
131 regionalised FDCs (e.g. Yu et al., 2002) and data uncertainties in snow-model regionalisation
132 (He et al., 2011) and rainfall and parameter uncertainties in modelling a poorly gauged urban
133 basin (Sikorska et al., 2012), none has, to our knowledge, accounted for discharge and input-
134 output data uncertainties in FDC or rainfall-runoff model regionalisation.

135

136 2 Study area and data

137 2.1 Study area

138 Central America is a region with a highly variable climate in both space and time despite its
139 small extent (around 520,000 km²). This has resulted in many water-related disasters;
140 flooding with severe consequences such as inundations and destruction of important crops,
141 promulgation of landslides, and loss of lives (Waylen and Laporte, 1999); and sustained
142 droughts with severe consequences for hydro-power generation, water supply, irrigation and
143 tourism (George et al., 1998). The characteristics of the complex regional climate have been
144 well studied (e.g. Alfaro, 2002; Amador et al., 2006; Magaña et al., 1999; Enfield and Alfaro,
145 1999), but there are relatively few published hydrological modelling studies (but see e.g.
146 Birkel et al., 2012; Westerberg et al., 2011b; Hidalgo et al., 2013). One reason for the scarcity
147 of peer-reviewed literature is the difficulty to access comprehensive and good-quality hydro-
148 meteorological data, and several studies point to the need for data quality control in this
149 region (Aguilar et al., 2005; Westerberg et al., 2010; Flambard, 2003). The regional
150 precipitation regime has a less marked seasonal variability on the Atlantic Coast compared to
151 the Pacific Coast, where around 80% of the precipitation falls in the rainy season from May
152 to October–November (Portig, 1976). There is also a rainfall minimum, the so-called
153 midsummer drought or *veranillo* in July–August on the Pacific Coast, resulting in a bimodal
154 regime with two peaks in June and September–October (Magaña et al., 1999). The
155 spatiotemporal variability of precipitation is high, since precipitation is often convective, and
156 associated with different mechanisms such as hurricanes, tropical storms, and easterly waves
157 in the atmosphere (Peña and Douglas, 2002). Temperature variability is low, with a greater
158 diurnal than seasonal variation that is characteristic of the tropics. Climate variability on an
159 inter-annual time scale is pronounced with large differences between wet and dry years; this
160 variability is modulated by ENSO (El Niño/Southern Oscillation) and Atlantic sea-surface
161 temperatures (Diaz et al., 2001; Enfield and Alfaro, 1999).

162 2.2 Model forcing data

163 The water-balance model we used was driven with daily precipitation and daily potential
164 evaporation data and calibrated and evaluated using daily discharge. Comprehensive local
165 climate and discharge datasets covering the whole of Central America are difficult to obtain
166 as observation data are either non-existing or cannot be made available with a reasonable
167 effort. We therefore used globally or regionally available gridded meteorological data in this
168 study. In early attempts with the regional model, potential evaporation calculated from ERA-
169 Interim (Dee et al., 2011) climate variables at a 0.75° resolution and TRMM precipitation
170 data (Huffman et al., 2007) with a spatial resolution of 0.25° were used for the period 1998–
171 2009. However, this resulted in inconsistently simulated hydrographs in a few test basins
172 since the TRMM precipitation did not compare well to local precipitation data. We therefore
173 used daily precipitation data from the CRN073 dataset (Magaña et al., 1999; Magaña et al.,
174 2003) at a spatial resolution of 0.5° that covers Central America, Mexico and the Caribbean
175 region for the period 1958–2000. It is based on station data from the national weather
176 services blended with satellite precipitation estimates for the oceans. The station data cover
177 different time periods resulting in time-varying errors and some obvious in-homogeneities
178 could be seen for many stations in the late 1990s, which may result from inclusion of
179 malfunctioning automatic rain gauges. Since the temporal coverage of this dataset did not
180 overlap sufficiently with the potential evaporation calculated from the ERA-Interim data, we
181 used the WATCH Forcing Data (WFD; Weedon et al., 2010) for the period 1958–2000 at a

182 0.5° spatial resolution. The WFD provide bias-corrected variables based on the ERA-40
183 reanalysis (Uppala et al., 2005) and we used specific humidity, atmospheric pressure, 2-metre
184 air temperature, 10-metre wind speed, net shortwave radiation and net long-wave radiation to
185 calculate potential evaporation using the Penman-Monteith FAO-56 equation (Allen et al.,
186 1998). Specific humidity was first converted to relative humidity using a mixing-ratio method
187 and 10-metre wind speed was converted to 2-metre wind speed using a logarithmic
188 relationship (Allen et al., 1998). Prior to the calculation of potential evaporation, the quality
189 of the WFD data was evaluated using daily weather data (Global Surface Summary of the
190 Day, or GSOD) from the National Climatic Data Center (NCDC, 2011). The comparison was
191 made for 18 half-degree cells spread over the study area, each of which contained at least one
192 GSOD station with at least five years of daily data. The evaluation showed that WFD air
193 temperature and the WFD-derived relative humidity were reasonably correlated with GSOD
194 data although with average biases of -1.7°C and +6 % respectively. No significant correlation
195 was found between WFD and GSOD wind-speed data, which is often the least sensitive
196 variable for the estimation of potential evaporation on the daily scale. The WFD radiation
197 components showed good agreement when compared with radiation components derived
198 from sunshine hours recorded at the airport in Tegucigalpa, Honduras.

199 **2.3 Discharge data and basin delineation**

200 The discharge data were obtained from the Global Runoff Data Centre (GRDC, 2010), which
201 includes data from 91 discharge stations from all Central-American countries except Belize.
202 Daily data were only available for 77 stations of which none were located in Guatemala or El
203 Salvador. In addition to these 77 stations we included two Honduran stations (Paso La Ceiba
204 on the Choluteca River and La Chinda on the Ulúa River) for which daily discharge and its
205 uncertainty had been calculated using a time-variable rating curve in a fuzzy regression based
206 on estimated uncertainties in the stage and discharge measurements (Westerberg et al., 2011a
207 describe the calculation for the Paso La Ceiba basin). The total period for which there were
208 data for at least one station was 1952–2009, with most of the data available 1965–1994. We
209 used official rating curves and stage-discharge measurements for another 35 stations in
210 Honduras (see section 4.2) to estimate discharge-data uncertainty for all GRDC stations in
211 this study. Paso La Ceiba and La Chinda were included in this dataset together with three of
212 the GRDC stations; but discharge time series were not available for the remainder and they
213 could therefore not be included in the rest of the study.

214 The GRDC discharge data and the station locations were analysed to select stations with: 1) a
215 sufficient number of years with data (≥ 5 years), 2) discharge that appeared to have sufficient
216 quality from a visual inspection of the time series, 3) no detected influence from major dams
217 in the basin during 1965–1994, and 4) a location that was not in the basin of another of the
218 stations. Obvious outliers in the series (values orders of magnitudes too large) were removed.
219 This procedure resulted in a set of 36 basins that could potentially be used for regionalisation.
220 These basins (Fig. 1) were delineated from the HydroSHEDS elevation data (Lehner et al.,
221 2008), a gridded global hydrography dataset with the highest resolution (3") publicly
222 available at present. Upstream areas for HydroSHEDS pixels were derived by Gong et al.
223 (2011). The basins were registered in the HydroSHEDS flow network overlaid with
224 0.25°x0.25° cells. Only the parts of the boundary cells that were in the catchment, as
225 delineated by the HydroSHEDS pixels, contributed discharge to the downstream gauging
226 station. The GRDC station coordinates sometimes had a low precision and were adjusted to
227 obtain basins with the right basin area using visual inspection of river locations from satellite
228 images and/or coordinates of higher quality from local sources. We used a tolerance of 10%

229 difference between the area reported in the GRDC database and that obtained from the
230 delineation together with a visual inspection of basin boundaries. Since a large part of Central
231 America is mountainous, the greatest source of uncertainty in basin areas is likely the exact
232 location of the stations and not the precision of the delineation algorithm. While all
233 calculations were made on a depth per unit area basis, uncertainty in catchment area has a
234 direct effect on the water balance calculation. Many discharge series had frequent gaps and
235 the temporal availability of data at the stations varied substantially in the region, with most
236 data available for Panama and the least for Costa Rica (Fig. 2).

237

238 **3 Regional water-balance model**

239 We tested a simple lumped version of the water-balance model WASMOD (Xu, 2002) that
240 was previously used with good results in Honduras (Westerberg et al., 2011b), and we used
241 the same model equations as in this earlier study. The model has four parameters (sampling
242 ranges for uncertainty estimation given in parenthesis); for actual evaporation ($[0, 1] -$),
243 routing of fast flow ($[0, 1] d^{-1}$), fast flow ($[e^{-11}, 1] mm^{-1}$) and slow flow ($[e^{-12}, 1] mm^{0.5} d^{-1}$),
244 see model equations in Table 1 in Westerberg et al. (2011b). These parameter intervals were
245 used for all catchments since no information on parameter regionalisation was available. The
246 0.25° spatial resolution used with the TRMM and ERA-Interim data in the early model
247 version was retained for the CRN073 and WFD data at a 0.5° scale since the centre locations
248 of the CRN073 and WFD cells differed by 0.25° . The precipitation and evaporation data were
249 interpolated to the higher resolution using nearest-neighbour interpolation. Monte Carlo
250 simulations with 150,000 model runs were performed for each basin using uniformly sampled
251 parameter values and a four-year model warm-up period.

252

253 **4 Method**

254 This study was carried out in five steps (Fig. 3): 1) observational uncertainties were first
255 analysed and estimated through: a) a screening for dataset inconsistencies, b) estimation of
256 discharge uncertainty using a rating-curve analysis, and c) estimation of the temporal
257 uncertainty in FDCs stemming from short time series; 2) regionalisation of FDCs; 3) local
258 calibration of the water-balance model using all available data (for comparison to the
259 regionalised results); 4) regional modelling by constraining the uncertainty in basins treated
260 as ungauged with the regionalised FDCs; and 5) posterior performance analysis of the results.
261 We used the period 1965–1994 because of a comparably large availability of discharge data,
262 and since the CRN073 precipitation data did not show the same occurrence of in-
263 homogeneities as in the later period.

264 **4.1 Screening for data inconsistencies**

265 The consistency of the model input and evaluation data for each basin was evaluated for both
266 long-term averages and the daily time-series scale. The long-term analysis used a Budyko
267 curve (Budyko, 1974), which shows the relationship between the aridity index (long-term
268 ratio of potential evaporation over precipitation) and the runoff ratio. The Budyko relation
269 was plotted to identify stations with inconsistent data; either a runoff ratio greater than one or
270 inferred actual evaporation greater than potential evaporation (grey areas in Fig 4). The
271 second quality check was the calculation of the correlation between the Current Precipitation
272 Index (CPI; Smakhtin and Masse, 2000) and discharge for intermediate and high flows. The

273 CPI is essentially the sum of the Antecedent Precipitation Index (API, Kohler and Linsley,
274 1951) and the precipitation on the current day and was calculated using a decay coefficient of
275 $K = 0.85$ (the lowest value in the range quoted by Smakhtin and Masse) so that for day t the
276 index is,

$$277 \quad I_t = I_{t-1}K + R_t \quad (1)$$

278 where R_t was precipitation at day t . All basins with a correlation between CPI and discharge
279 lower than 0.3 were identified in red on the Budyko curve (Fig 4). It could be seen that these
280 basins were mostly located in the inconsistent, grey areas in Fig. 4 (except for one station that
281 had a correlation greater than 0.3 despite an unrealistic runoff ratio, which in this case might
282 result from an uncertain basin area). The long- and short-term analyses thus gave similar
283 results, which increased our confidence in the screening methods.

284 There were four basins with unrealistic runoff ratios ($\gg 1$) and these were excluded leaving a
285 final 32 basins for the regionalisation. The four excluded basins were all small basins in the
286 mountainous parts of Costa Rica (maximum elevations between 1800–3000 m.a.s.l.) and the
287 precipitation data at a scale of 0.5° were likely not sufficiently representative for these basins.
288 There were three basins with runoff ratios close to one as well as low correlations between
289 discharge and CPI, which indicated that the data may be inconsistent, but these were kept for
290 further study since such runoff-ratio values may be a result of discharge-data uncertainty.
291 Two additional basins (Laja Blanca and Boca de Cupe) had combinations of aridity-index
292 and runoff-ratio values that were far from the theoretical line but were not excluded (Fig. 4
293 and Table 1 in Appendix A). Both basins were located in the easternmost part of Panama and
294 had seemingly too high mean annual precipitation values, which might be a result of poor
295 coverage of local precipitation stations in the CRN073 dataset in that area. Mean annual
296 precipitation 1971–2002 presented by the Panamanian hydroelectric company show around
297 1000–2500 mm year⁻¹ lower values (ETESA, 2007), which indicates a major source of
298 uncertainty.

299 **4.2 Estimation of discharge uncertainty**

300 Stage-discharge measurements for the 35 discharge stations in Honduras (basin areas 110–
301 21400 km², see also Section 2.3) were used to estimate the uncertainty in the discharge data
302 as an upper and lower uncertainty bound. These 35 stations had rating curves that had been
303 classified as having an acceptable or good quality in a previous Honduran water-resources
304 project and the rating-curve equations reported in that project (Flambard, 2003) were used
305 here. Rating-curve data from other countries were not available and it was assumed that the
306 errors of the reported discharge data were similar to those in Honduras, i.e., that the
307 Honduran stations were representative for measurement practices and conditions in the
308 region. The discharge uncertainty could therefore be underestimated in cases where discharge
309 data from the other countries include stations with poorer rating curves. Site-dependent
310 uncertainties, e.g. related to a poor choice of measurement location, could not be quantified.
311 For many stations there was considerable temporal variability in the rating measurements.
312 For these stations a rating curve for a period with many measurements covering a large part
313 of the flow range was selected. The residuals along each rating curve were then calculated as
314 a percentage of the rating-curve-calculated discharge corresponding to the same stage
315 measurement. To facilitate comparison between the residuals at different stations for different
316 flow ranges, the discharge data were normalised by the mean discharge for each basin, using
317 mean discharges reported in the Honduran national water-balance study (Balairón Pérez et

318 al., 2004) as we had no discharge time-series data. The normalised discharge was grouped in
319 frequency intervals limited by the percentiles 1, 5, 10,... , 95, 100; the 1 percentile was used
320 instead of zero to exclude the very lowest flows that resulted in large relative residuals
321 because of division by values close to zero. The 2.5 and 97.5 percentile values for the
322 residuals belonging to each group of normalised discharges were calculated and used together
323 with the median normalised discharge in each group to calculate the rating-curve uncertainty
324 as a function of the normalised discharge. Exponential and power-law functions were fitted to
325 the positive and negative residual percentiles respectively, and these functions were then used
326 to estimate discharge uncertainty for all the GRDC stations in the regionalisation.

327 When mean daily discharge is calculated, it is important to realise that the actual observations
328 might have been collected with different temporal resolutions. If stages are not registered
329 continuously this can result in a commensurability error in daily discharge data especially if
330 measurements are taken in-between flow peaks. In Honduras, three measurements were taken
331 during the day and in some cases more around flow peaks (Westerberg et al., 2011a;
332 Flambard, 2003). The size of this error depends on the size and response time of the basin,
333 with larger values for small basins and those that have a quick response. We used a value of
334 17%, previously estimated using 15-minute-resolution stage data for the 1766 km² Paso La
335 Ceiba basin in Honduras which responds quickly to rainfall and is comparably small
336 (Westerberg et al., 2011a). The estimate can therefore be considered conservative for most of
337 the stations in the regionalisation. In Costa Rica, stage was recorded continuously using
338 limnigraphs; this error source was therefore excluded for these stations. For the other
339 countries we had no information on the stage-recording method and the Honduran practice
340 was assumed. An estimated error in the actual stage reading of 5% was also added to the
341 uncertainty bounds, as previously used in the fuzzy rating-curve method by (Westerberg et
342 al., 2011a). The different uncertainties were assumed to be additive when calculating the
343 daily discharge uncertainty. This is a simplification that may have resulted in overestimated
344 uncertainty bounds.

345 **4.3 Calculation of FDCs and temporal uncertainty from short time series**

346 The discharge uncertainty estimates were used in the calculation and regionalisation of FDCs
347 for all basins. The FDC, traditionally calculated for a period of record, describes the time
348 duration that a certain flow is equalled or exceeded, and is a compact signature of runoff
349 variability that has often been regionalised to ungauged basins (Bloeschl et al., 2013). Our
350 regionalisation was based on data for the period 1965–1994 and in all the following analyses
351 only years with at least 80% complete data (either calendar year or hydrological year
352 depending on reported format) were used to avoid biases in the FDCs. First, evaluation points
353 (EPs) were defined as specific exceedance percentages on the FDCs (using the same method
354 as Westerberg et al., 2011b). The choice of EPs emphasises different aspects of the
355 hydrograph; some previous studies have only used low-flow EPs for FDC regionalisation
356 (e.g. 30–99% exceedance by Castellarin et al., 2004), while others have used EPs covering
357 almost the entire flow range from 0.1 to 99% exceedance (Mohamoud, 2008). We did not
358 include the very lowest or highest flows since these would likely be associated with the
359 largest uncertainty, but used a volume-weighting method for calculating EPs (Westerberg et
360 al., 2011b), which resulted in simulations with a good match to the whole flow range in this
361 previous study. This means that EPs for each basin (*local EPs*) were determined so they were
362 evenly spaced according to the area under the FDC (that equals the volume of water
363 contributed by flows in a certain magnitude range) with increments of 5%. This resulted in 19
364 EPs when excluding the maximum and minimum flows. The same EPs had to be used for all

365 basins in the regionalisation and we chose these as the median EP values of all the different
 366 sites for each of the 19 EPs (*regional EPs*) The calibration using the at-site data for each
 367 basin was assessed using both the local and regional EPs to evaluate the effect of this
 368 difference. Uncertain FDCs consisting of the best-estimate specific discharge with
 369 uncertainty limits were calculated using the observed discharge data and their estimated
 370 uncertainty bounds. This calculation of the uncertainty in the FDC implied an assumption that
 371 the uncertainty may consist of non-stationary bias rather than a random error (see also
 372 Westerberg et al., 2011b).

373 Varying temporal data availability (stations that do not have data covering the whole 30-year
 374 period used for the regionalisation, Fig. 2) results in added uncertainty to the calculated FDCs
 375 because the FDC based on the available data might differ from that for the entire period. We
 376 estimated this *temporal uncertainty* in the upper and lower uncertainty bounds as a function
 377 of the number of years with data using the nine stations that had long-term data (at least 80%
 378 complete daily data in total in 1965–1994). Seven of these were located in Panama, one
 379 station in Honduras and one in Nicaragua. In terms of the variability of the FDCs, these
 380 stations covered most of the observed range of the normalised FDC discharge values. There
 381 were between 5–29 years of data at all the stations in the modelling period 1965–1994 and
 382 the uncertainty was estimated using all possible consecutive 5, 6, ..., 29-year periods and
 383 1000 randomly generated series of non-consecutive years. For the latter the order of the years
 384 was not maintained and individual years could not be selected more than once per realisation
 385 when the 5–29-year series were generated. The uncertainty was calculated from the
 386 realisations as the 2.5 and 97.5 percentiles of the percentage uncertainty in the specific
 387 discharge values at the upper/lower uncertainty bounds for the FDC EPs. The largest
 388 uncertainty from the two sampling schemes (random and consecutive) for each number of
 389 years with data was used. This temporal uncertainty was finally added to the FDC uncertainty
 390 bounds as a function of the number of years of discharge data at each station in 1965–1994.

391 **4.4 Regionalisation of FDCs with uncertainty**

392 These uncertain FDCs were regionalised using a weighted linear combination of the N most
 393 similar basins. We defined similarity based on a number of climate and basin characteristics
 394 which all had been found to be related to the FDC discharge values in a correlation analysis
 395 (Table 1). These characteristics were standardised by subtracting the mean and dividing by
 396 the standard deviation for all basins. The similarity was then calculated using the similarity
 397 measure defined by Burn (1990a, b) as the Euclidean distance in the space spanned by the
 398 standardised characteristics (Eq. 2):

$$399 \quad d_{it} = \sqrt{\sum_{m=1}^M (X_{mi} - X_{mt})^2} \quad (2)$$

400 d_{it} is the Euclidean distance between the target basin t , and basin i in the data pool; X_{mi} , is the
 401 standardised characteristic m for basin i . While geographic distance was not included
 402 explicitly, differences in the characteristic QLONG essentially agree with geographic
 403 distance because of the spatial distribution of the basins. The weights for each basin in the
 404 regionalisation were, similar to Holmes et al. (2002), calculated based on the relative inverse
 405 distances (Eq. 3):

$$w_{it} = \frac{1}{\sum_{i=1}^N \frac{1}{d_{it}}} \quad (3)$$

407 w_{it} was the weight of basin i in prediction of target basin t and N was the number of basins in
 408 the data pool. For calculating the predicted FDCs using these weights the uncertain discharge
 409 at each EP was defined as a fuzzy number with a triangular membership function defined by
 410 the lower, crisp (best-estimate) and upper uncertainty limits. The uncertainty in the
 411 regionalisation was accounted for through a weighted aggregation of the fuzzy discharge at
 412 each EP using the N most similar basins. The general weighted mean operator for fuzzy
 413 numbers of Dubois and Prade (1980) was used to aggregate these membership functions to a
 414 new membership function; the individual membership functions were rescaled so that the
 415 area under the curves equalled the weights w_{it} and then summed over the range of the support
 416 (Fig. 5). The 2.5, 50 and 97.5 percentiles of the cumulative distribution of the aggregated
 417 membership function were finally used as lower, crisp and upper uncertainty bounds for the
 418 regionalised FDC.

419 The FDC regionalisation was evaluated in a jack-knife cross-evaluation by excluding one
 420 basin at a time because the low number of stations did not allow for separate calibration and
 421 validation sets. The correspondence between the predicted and observed FDC-discharge
 422 uncertainty bounds at the EPs was evaluated by two measures. The *reliability* of the predicted
 423 uncertainty bounds was calculated as the overlapping range between the observed and
 424 simulated uncertainty bounds as percentage of the observed range. The *precision* of the
 425 predicted uncertainty bounds was calculated as the overlapping range as percentage of the
 426 simulated range. These measures were previously used by Westerberg et al. (2011b) and
 427 Guerrero et al. (2013). They are similar to the ones used by Yadav et al. (2007) and Breinholt
 428 et al. (2012), but differ in that they incorporate an estimate of the uncertainty in the observed
 429 discharge data, where that estimate consists of an upper and lower bound that allows for non-
 430 stationary biases in-between the bounds.

431 **4.5 Local and regional water-balance modelling**

432 The simulated uncertainty from the Monte Carlo runs was first constrained (in a local
 433 calibration) using limits of acceptability in the extended Generalised Likelihood Uncertainty
 434 Estimation (GLUE) method (Beven, 2006) for the locally calculated FDCs (Westerberg et al.,
 435 2011b). This was done both for the local EPs and the regional (median) EPs used in the
 436 regionalisation, using the discharge data for each station in 1965–1994 (Section 4.3).
 437 Behavioural simulations were required to be within the limits of acceptability defined from
 438 the discharge-data uncertainty at each of the 19 EPs. Then the simulations were constrained
 439 with the regionalised FDCs. In both cases an informal likelihood was calculated in the same
 440 way as Westerberg et al. (2011b), using the sum of a triangular weighting at each EP of the
 441 simulated value relative to the observed data and its limits of acceptability. Simulations with
 442 correlation in deviations across successive EPs then obtain a lower weight but can still be
 443 behavioural if they are inside all limits of acceptability, i.e. a systematically under- or
 444 overestimated FDC for (part of) the flow range can still be behavioural but get a lower
 445 weight. The simulated uncertainty bounds were calculated at each time step as the 2.5 and
 446 97.5 percentiles of the likelihood-weighted distribution of the simulated discharge of all
 447 behavioural parameter-value sets.

448 4.6 Posterior performance analysis

449 The resulting simulated uncertainty bounds were analysed, as with the FDC regionalisation,
450 by calculating two different model diagnostics that assess the similarity between the
451 uncertainty bounds for the simulated and observed discharge. *Reliability* was in this case
452 defined as the percentage of time that the simulated and observed uncertain intervals
453 overlapped, and *precision* was in the same way as for the FDC regionalisation the
454 overlapping range expressed as a percentage of the simulated range, but here calculated as the
455 average value for the number of days with observations. All the model diagnostics were
456 calculated for low, intermediate and high flows separately. Low flows were defined as flows
457 smaller than the median flow, high flows as flows that were exceeded 1% of the time, and
458 intermediate flows were all flows in between these limits.

459

460 5 Results

461 5.1 Estimation of discharge uncertainty

462 The analysis of discharge uncertainty for the 35 Honduran stations showed that five stations
463 had most medium to high-flow residuals in the range $\pm 10\%$ of the discharge calculated from
464 the official curves. The remainder had larger deviations and the 2.5 and 97.5 percentiles of
465 the distributions were around $\pm 25\%$, with larger percentage uncertainties for low flows (Fig.
466 6). Underestimation was larger than overestimation and there were sometimes poor rating-
467 curve fits to the lowest measurements. For some stations the average residual values varied
468 with flow as a result of poorly fitted rating curves. The exponential and power-law functions
469 fitted to the positive and negative residual percentiles respectively fitted well to the data with
470 adjusted R²-values of 0.80 and 0.98 (Fig. 6). Uncertainty values for normalised discharges
471 smaller/larger than the smallest/largest point used in the fitting were set to the smallest/largest
472 value when these functions were used to calculate the discharge uncertainties for the GRDC
473 stations. The final calculated uncertainty in discharge after the stage and temporal
474 commensurability error had been added varied between -266% and +64% of the crisp
475 discharge for the low-flow range and between -52% and +45% for the high-flow range,
476 where negative (positive) values denote underestimation (overestimation) as in Fig. 6. The
477 uncertainty ranges for the lowest flows were larger than the previously calculated discharge-
478 uncertainty limits at Paso La Ceiba (Westerberg et al., 2011a) and La Chinda as an effect of
479 larger uncertainty in the fitting of some official rating curves. The medium to high flow range
480 was almost identical to that for Paso La Ceiba but around 5% larger in this calculation than
481 that for La Chinda where the non-stationarity in the stage-discharge relationship was less
482 pronounced compared to at Paso La Ceiba.

483 5.2 Calculation and regionalisation of FDCs with uncertainty

484 The added uncertainty to the FDC discharge as a result of time series shorter than the 30-year
485 modelling period varied in the range of 3–45% (4–33%) for the upper (lower) uncertainty
486 bound (for time series with 5–29 years of data). This temporal uncertainty was added to the
487 uncertainty bounds for the FDC discharge values for the stations with incomplete time series
488 data before the regionalisation. The FDCs showed great variability in the region; normalised
489 discharge (by mean discharge) varied in the range 3.8–27 (0.05–0.59) for the lowest (highest)
490 regional EP at an exceedance percentage of 0.52% (75%). The number of surrounding basins
491 to be included in the FDC regionalisation was chosen as eight as a trade-off between increase

492 in reliability and decrease in precision (Fig. 7). In 12 of the 32 basins the regionalised FDCs
493 encompassed the observed FDCs (reliability = 100% for all EPs). At some of these basins
494 (e.g. no. 5, 12, 18, 22, and 24, Fig. 7) there were also high precision values. There were six
495 stations where the minimum reliability was less than 50% (Fig. 7). Observations from these
496 stations plotted in the upper and lower extremes of the Budyko curve and included the most
497 extreme FDCs in the region in terms of shape and magnitude of specific discharge, two of
498 these stations had been identified as having likely disinformative data. The poorer
499 performance for the most extreme FDCs was not surprising given that the linear weighted
500 combination method used for regionalisation makes it difficult to predict the most extreme
501 FDC shapes. There was a clear relation between runoff ratio and precision (not shown), with
502 higher precision in humid basins (except for Guatuso, no. 1, which had an inconsistent runoff
503 ratio of 1.05 and a greatly underestimated regionalised FDC at all EPs). Examples of
504 regionalised FDCs for four stations, including one of the best (San Francisco, no. 24) and one
505 of the worst (Tamarindo, no. 16), are given in Fig. 8.

506 **5.3 Water-balance modelling using local calibration**

507 Local calibration of the model parameters to the observed FDCs resulted in behavioural
508 simulations in 26 of the 32 basins using the regional EPs, of which basin no. 17 had no
509 behavioural simulations when using the local EPs (Fig. 9). The basins with no behavioural
510 simulations included three basins in northern Costa Rica (no. 2–4) that had runoff ratios of
511 different magnitudes but approximately the same mean annual precipitation (Table 1 in
512 Appendix A), as well as the two Panamanian stations (no. 27 and 28) that deviated
513 substantially from the Budyko curve (Fig. 4). The differences in the reliability and precision
514 between the simulations calibrated using local and regional EPs were small (Fig. 9). There
515 were 13 basins for the regional EP calibration with reliability $\geq 50\%$ for low, intermediate and
516 high flows. Unrepresentative precipitation data likely had an important contribution to the
517 poorer performance in the other basins since a visual inspection showed obvious differences
518 between basins with lower and higher high-flow reliability (Fig. 10). To further test this
519 hypothesis, the correlation between the observed discharge for intermediate and high flows
520 and CPI was plotted against the high-flow reliability for the local calibration with regional
521 EPs (Fig. 11), and it could be seen that the basins with poor performance also had a poor
522 agreement between CPI and observed discharge. For some basins (Fig. 10, bottom) there
523 appeared to be a frequent timing difference of one day for the flow peaks, which may be
524 related to commensurability uncertainty between precipitation and discharge stemming from
525 precipitation measurements taken in the morning but discharge representing daily averages
526 (Westerberg et al., 2011b). This may have had an impact on the values of the reliability and
527 precision measures (it would lead to lower values, especially for high flows), but would have
528 had little impact on the FDC-calibration.

529 **5.4 Regional water-balance modelling**

530 The reliability of the regionalised simulations was comparable to that of the local calibration,
531 with generally higher values for the regionalisation with some exceptions for intermediate
532 (Guatuso, basin no 1, see below) and high flows (Fig. 12a–c). The precision values were
533 often lower, in particular for low and intermediate flows; this was in general related to the
534 wider uncertainty bounds for the regionalised simulations (as a consequence of the greater
535 uncertainty in the regionalised FDCs).

536 The predicted uncertainty bounds for the regionalised simulations always overlapped with the
537 locally-calibrated simulation bounds (except for Guatuso, basin no. 1, which had an
538 inconsistent runoff ratio of 1.05 and a regionalised FDC that was greatly underestimated),
539 and also encompassed them for a large part of the time for most basins (Fig. 12d, 100%
540 overlap as percentage of the locally-calibrated bounds means that they are encompassed). The
541 overlap in percentage of the regional bounds (with a low value indicating relatively wide
542 regional bounds) showed a similar pattern to the precision of the FDC regionalisation. There
543 was also a clear relation for the aridity index with relatively wider regionalised bounds in
544 more arid basins (Fig. 12e), which appears to be a result of relatively greater uncertainty for
545 regionalised FDCs in arid basins in combination with narrow locally-calibrated bounds as a
546 result of few behavioural simulations in the most arid basins. Similar results with greater
547 uncertainty in regionalisation in arid basins were also found by Bloesch et al. (2013).

548 There was almost no difference between the locally and regionally simulated hydrographs
549 where the regionalisation of the FDCs worked best (e.g. Camaron, basin no. 22, Fig. 12 and
550 Fig. 13). Where the regionalised FDCs had wider uncertainty bounds, the predicted
551 simulation uncertainty was greater than that from the local calibration (e.g. Balsa, no 6 and
552 Agua Caliente, no. 12, Fig. 13). In such cases additional regionalised information, e.g.
553 recession behaviour (Winsemius et al., 2009), might provide additional constraints. For
554 basins where the regionalisation worked less well, such as at Guanias (no. 14, that, except for
555 Guatuso, had the poorest regionalisation results of the stations with behavioural local
556 simulations) there was, apart from wide uncertainty bounds, also a systematic shift to the
557 uncertainty bound for the less well regionalised part of the flow range (here high flows) but
558 still a high degree of overlap with the locally-calibrated uncertainty bounds (Fig. 12 and Fig.
559 13). There were six basins with behavioural simulations when the wider regionalised FDCs
560 were used to constrain the simulations but not when using the local data (e.g. Guardia, no. 2).
561 In all these cases the data seemed inconsistent when inspecting the time series of discharge
562 and precipitation.

563

564 **6 Discussion and concluding remarks**

565 This study has explored a method for predictions in ungauged basins based on FDCs that
566 accounts for uncertainty in the observed data, the FDC-regionalisation method and the model
567 parameterisation. This method is novel in for the first time explicitly incorporating
568 observational uncertainties in rainfall-runoff model regionalisation; uncertainty in discharge
569 from rating-curve analyses, uncertainties stemming from the use of short discharge time
570 series, and analyses of uncertainties stemming from disinformative data. It also addresses the
571 need for reliable predictions in ungauged basins in developing regions, where data limitations
572 are often important, as highlighted by Hrachowitz et al. (2013).

573 **6.1 Estimation and impact of observational uncertainties**

574 **6.1.1 Discharge data uncertainty**

575 Discharge-data uncertainty can often be an important source of error (McMillan et al., 2010),
576 which to our knowledge has not previously been accounted for in regionalisation. We
577 estimated the uncertainty in the GRDC discharge data using 35 rating stations in Honduras,
578 with the assumption that measurement practices and rating-curve derivation were similar in
579 the rest of the region. The different uncertainties in the discharge-uncertainty estimation were
580 assumed to be additive which may have resulted in overestimated uncertainties. It was,

581 however, likely a conservative estimate that reflected the lack of information about site-
582 specific conditions. The estimated discharge uncertainty was similar but somewhat higher to
583 that reported in the review by McMillan et al. (2012), with the largest uncertainties for low
584 flows for many stations as a result of poor rating-curve fits in combination with higher
585 natural variability and relative measurement uncertainties for low flows (Pelletier, 1988).
586 Patterns could be seen for some of the Honduran discharge stations in the variation of the
587 residuals as a function of normalised flow as a result of poor rating-curve fits. An assumption
588 of errors with a simple structure within the bounds was therefore not appropriate when the
589 estimated uncertainty bounds were used for the GRDC discharge station data in model
590 evaluation, but the limits-of-acceptability approach we used allowed for non-stationary biases
591 within the observed uncertainty bounds.

592 **6.1.2 Precipitation data uncertainty**

593 Overall, precipitation data quality was probably the most limiting factor. The WFD variables
594 used to calculate potential evaporation differed somewhat to local station data, but
595 precipitation data quality is more important than evaporation data quality in many cases
596 (Paturel et al., 1995). Because of lack of information about the magnitude of the precipitation
597 errors, we only treated this uncertainty source implicitly through data-screening analyses and
598 visual inspections of the time series. The CRN073 precipitation data were the best available
599 gridded data for the Central-American region. However, because of the high spatial
600 variability of precipitation (Alfaro, 2002; Magaña et al., 1999), the resolution of the CRN073
601 data was not sufficient for many basins – in particular those located in mountainous regions
602 where runoff ratios greater than one were found likely because of underestimated
603 precipitation. In such circumstances no hydrological model that assumes mass balance can be
604 expected to give good predictions (Beven et al., 2011). There were also noticeable time-
605 variable errors in the precipitation dataset as a result of changes in station density and/or
606 measurement equipment.

607 **6.1.3 Detection and impact of dataset inconsistencies**

608 The two methods that were used to screen the dataset for inconsistencies between the runoff
609 and climate data gave mostly similar results. The disinformative outliers on the Budyko curve
610 resulted from runoff ratios much greater than one (Section 6.1.2) and from some basins with
611 overestimated precipitation compared to higher-quality local information. Most basins with
612 low discharge-CPI correlation were outliers on the Budyko curve, with often obvious
613 mismatches between the precipitation and discharge data time series, and there was a strong
614 relation between the discharge-CPI correlation and high-flow reliability in the local
615 calibration. This suggests that this method was useful for identifying inconsistent data in this
616 region, and we recommend the use of data-screening methods in future regional studies. It
617 should be remembered, however, that there may be shorter informative periods even if long-
618 term averages are inconsistent, and matching peaks in precipitation and discharge should not
619 be expected under all circumstances. Event-based runoff ratios may be useful to identify data
620 with inconsistent events in basins with low baseflow but require sub-daily data in most basins
621 (Beven et al., 2011).

622 Identification of disinformative data prior to modelling may not always be possible, and
623 another method for dealing with such data inconsistencies is therefore to use model-
624 evaluation criteria that are robust to moderate disinformation (Beven and Westerberg, 2011).
625 Calibration focused on hydrological signatures, such as FDCs, could be expected to be more
626 robust to moderate disinformation, such as the presence of a few events with inconsistent
627 inputs and outputs (Westerberg et al., 2011b). Our study combined these two methods for

628 addressing the significant data uncertainties in studies of this type, and both were necessary
629 considering that all disinformation could not be identified in the data screening and that the
630 calibration method in some cases resulted in behavioural simulations even with highly
631 disinformative input data. The latter cases can be detected in gauged catchments, but calls for
632 discharge-data independent data screening methods and/or the use of multiple signature
633 constraints in ungauged catchments. Further research is needed to investigate the effects of
634 disinformation on signature calibration and how best to estimate the effect of observational
635 uncertainties on the values of different types of signatures. The choice of an appropriate
636 likelihood in the face of the errors that affect hydrological inference has been discussed in
637 great detail (Beven et al., 2012; Clark et al., 2012). In this study we found a high presence of
638 non-stationary errors in the model input and evaluation data with little information about the
639 magnitudes. This made the informal likelihood function we used a suitable choice since it
640 allowed implicitly for some of these errors without requiring an error model to statistically
641 represent the error characteristics.

642 **6.2 The use of FDCs for regional water-balance modelling**

643 The regionalised simulations were generally reliable compared to local simulations in the
644 basins where behavioural simulations were found in local calibration. In the basins where the
645 regionalisation of the FDCs worked best there was little difference between the regionalised
646 and local simulations. Where it worked less well the predicted uncertainty was sometimes
647 much wider than the local uncertainty bounds and the most extreme FDC shapes were less
648 well predicted, leading to some systematic shifts to the uncertainty bounds compared to the
649 local calibrations in those cases. Greater uncertainty in the regionalised compared to the local
650 FDCs reduced their information content for constraining model predictive uncertainty in
651 ungauged basins. This was especially important in the presence of disinformative input data,
652 where simulations within the regionalised FDC uncertainty bounds were found in some
653 basins but not within the locally-estimated FDC bounds that were narrower.

654 In local model calibration, posterior-performance analyses are useful to check whether the
655 chosen signatures (e.g. the FDC) provide sufficient constraints for the particular modelling
656 application (type of model structure, basin, climate, etc.) or whether additional information is
657 needed to constrain the simulations (Westerberg et al., 2011b). However, in regionalisation
658 such analyses cannot be made for the ungauged catchments and it would be advisable to
659 always apply several different regionalised signatures (Yadav et al., 2007; Castiglioni et al.,
660 2010) to ensure greater robustness of the predictions – especially in the presence of
661 completely disinformative input data. It would, however, still be important to perform data
662 screening and posterior performance analyses in the nearby gauged basins since similar
663 behaviour, uncertainties and conditions might be expected. The use of other signatures
664 requires further investigation of how observational uncertainties affect the uncertainty in
665 different types of signatures and their regionalisation.

666 The method for FDC calibration developed by Westerberg et al. (2011b) was here tested for a
667 wider range of basins and resulted in a high reliability in the local calibration in basins where
668 the data screening indicated that the data had good quality. An assessment of the performance
669 for different hydrograph aspects and of different ways of choosing the EPs on the FDCs, as in
670 the previous study, was not made here but would be useful to assess the performance of the
671 FDC calibration for the wider range of hydrological conditions in this study. It could be seen
672 that in arid basins the discharge was generally more constrained in recession periods
673 compared to in humid basins (likely as a result of the more non-linear FDC shape), indicating

674 that recession information (e.g. Winsemius et al., 2009; McMillan et al., 2013) might be
675 useful to further constrain the uncertainty bounds in the latter case. Further conclusions on
676 the strengths and weaknesses of the FDC calibration for this wider range of basins could also
677 be drawn through the use of different model structures, e.g. different conceptualisations of
678 groundwater storage and runoff generation in groundwater-dominated basins. The
679 parsimonious model structure used here might be overly simple in many cases even if it
680 showed good results previously at Paso La Ceiba (Westerberg et al., 2011b). Compared to
681 those results, the average reliability was lower here (86%, compared to 95% previously), with
682 the main difference between the simulations being the precipitation data. The CRN073
683 precipitation used here had a correlation of only 0.77 with the locally-interpolated
684 precipitation in that study. It might also be possible to estimate the prior parameter ranges
685 based on catchment and climate characteristics, however such an analysis was outside the
686 scope of this paper and would also be affected by disinformation in the regionalisation data.

687 **6.3 Regionalisation of FDCs with uncertainty**

688 The FDC-regionalisation method was based on a fuzzy aggregation of the FDCs from the
689 hydrologically most similar basins, which accounted for uncertainty in the data as well as the
690 regionalisation relation. It resulted in generally reliable results except for the most extreme
691 FDC shapes. This was because of the weighted combination of the FDCs in combination
692 with relatively few gauged stations for a quite heterogeneous region. We found it important
693 to include climate as well as basin characteristics in the definition of hydrologic similarity
694 since rainfall is a dominating factor in shaping the hydrological regime in Central America
695 (George et al., 1998; Waylen and Laporte, 1999). The representativeness of the climate data
696 likely affected the calculation of hydrologic similarity and therefore the FDC regionalisation.
697 The different lengths of the discharge series resulted in a temporal uncertainty that we
698 estimated as a function of the number of years with data. The FDC-regionalisation approach
699 we used was similar to that of Holmes et al. (2002) who used a much larger set of basins. The
700 effect of the chosen number of hydrologically similar catchments was evaluated in a cross-
701 evaluation, and we recommend performing this type of analysis to inform the choice. Further
702 conclusions about the advantages and disadvantages of the regionalisation method could be
703 drawn by testing it in other regions with better-quality data.

704 **6.4 Concluding remarks**

705 The FDC contains important information about hydrological behaviour that is needed for
706 most water-balance investigations in ungauged basins, and it is therefore of interest on its
707 own as well as a basic regionalised model constraint in many cases. Further research will be
708 required to reveal what additional regionalised information is needed to ensure robust
709 predictions under different circumstances and how uncertainties in such additional
710 regionalised information can be reliably estimated. This study provides a strong
711 demonstration of the need to assess the quality of the data used to inform the estimation of
712 ungauged basin responses in a regionalisation study. The potential for non-stationary
713 epistemic errors and hydrological inconsistencies means that the regionalisation might be
714 subject to significant uncertainties that are difficult to estimate by standard statistical
715 methods. This implies that deterministic predictions might be misleading, and that explicit
716 recognition of uncertainty should be used in decision making. Where the estimates of
717 uncertainty are particularly high, further data collection might be valuable in making
718 decisions for water-resources management.

720 **Appendix A: Discharge stations and basin characteristics**

721 Table 1. Discharge stations and basin characteristics, indices calculated for 1965–1994 except
 722 for RR and E_{POT}/P that were calculated for the period of discharge record (i.e. the same as in
 723 the Budyko plot, Fig. 4)

No River@Station	Lat. (°)	Long. (°)	Area (km ²)	RElev ¹ (m)	E_{POT}/P^2 (-)	RR ³ (-)	MAP ⁴ (mm)	RL5 ⁵ (days)	NYr ⁶
1 Rio Frio@Guatuso	10.67	-84.82	287	1787	0.47	1.05	2869	129	7.0
2 Tempisque@Guardia	10.55	-85.58	972	1877	0.72	0.26	2213	186	5.0
3 Tenorio@Rancho Rey	10.47	-85.16	236	1742	0.46	0.38	2869	129	11.0
4 Rio Canas@Libano	10.43	-85.02	132	1346	0.49	0.29	2869	129	7.0
5 Rio La Barranca @Guapinol	10.03	-84.58	197	1920	0.58	0.55	2452	208	5.0
6 Grande de Tarcoles @Balsa	9.93	-84.38	1660	2688	0.53	0.50	2438	215	9.0
7 Grande de Candelaria@El Rey	9.67	-84.30	667	2393	0.55	0.55	2490	209	7.0
8 Rio Terraba@Palmar	8.97	-83.47	4825	3798	0.41	0.67	2952	197	11.0
9 Estrella@Pandora	9.73	-82.95	634	2190	0.48	0.77	2653	205	7.0
10 Sixaola@Bratsi	9.55	-82.88	2131	3759	0.47	0.97	2562	210	7.0
11 Humuya@Guacamaya	14.74	-87.64	2621	2081	0.75	0.27	1525	251	13.0
12 Agua Caliente @Agua Caliente	14.67	-87.32	1578	1865	0.72	0.34	1493	265	13.0
13 Guayape@Guayabilas	14.59	-86.29	2229	1757	0.60	0.21	1770	244	15.0
14 Coco@Guanas	13.50	-85.95	5527	1739	0.98	0.17	1304	291	17.7
15 Rio Villa Nueva @Puente	12.93	-86.83	1044	1568	1.04	0.26	1458	283	13.0
16 El Tamarindo @Tamarindo	12.25	-86.71	217	310	1.29	0.17	1410	273	25.7
17 Brito@Miramar	11.38	-85.95	235	385	0.98	0.21	1645	244	21.7
18 Grande de Matagalpa @Paiwas	12.78	-85.12	6498	1514	0.71	0.35	1782	238	10.0
19 Mico @Muelle de los Bueyes	12.07	-84.53	1673	938	0.51	0.37	2587	197	13.0
20 Chiriqui Viejo @Paso Canoa	8.53	-82.83	805	3350	0.34	0.72	3394	164	30.0
21 Chiriqui@Interamericana	8.42	-82.35	1331	3267	0.32	0.89	3850	155	28.0
22 Tabasara@Camaron	8.07	-81.63	1172	2206	0.37	0.72	3346	210	29.7
23 San Pablo @Interamericana	8.20	-81.25	756	1820	0.36	0.65	3213	211	27.4
24 Santa Maria@San Francisco	8.22	-80.97	1379	1812	0.41	0.62	2911	202	29.7
25 La Villa@Atalayita	7.87	-80.53	1019	917	0.64	0.46	1929	247	30.0
26 Rio Grande @Rio Grande	8.43	-80.50	505	1654	0.52	0.46	2471	197	29.7
27 Chucunaque @Laja Blanca	8.40	-77.83	2963	1031	0.32	0.26	4088	62	10.7

28 Tuirá@Boca de Cupe	8.05	-77.57	2409	1803	0.17	0.21	5378	24	20.4
29 Chagres@Chico	9.26	-79.51	409	904	0.46	0.76	3167	186	9.0
30 Changuinola @Valle del Risco	9.28	-82.53	1692	3276	0.39	0.96	3124	189	23.0
31 Rio Ulúa@Chinda	15.12	-88.20	8579	2757	0.73	0.47	1511	256	29.0
32 Rio Choluteca @Paso La Ceiba	14.29	-87.06	1805	1664	0.88	0.17	1268	287	13.7
33 Rio Toro@Veracruz	10.5	-84.22	196	2611	0.42	1.29	3016	131	7.0
34 Sarapiquí@Puerto Viejo	10.46	-84.00	825	2833	0.38	1.36	3261	141	11.0
35 Naranjo@Londres	9.46	-84.07	224	2932	0.50	1.61	2578	210	7.0
36 Pejibaye@Oriente	9.82	-83.68	231	2051	0.51	1.77	2371	222	7.0

724 ¹ RElev is the elevation range in metres

725 ² E_{POT}/P is the aridity index, where E_{POT} is potential evaporation and P is precipitation, here
726 calculated for the period with discharge data at each station

727 ³ RR is the runoff ratio, total runoff divided by total precipitation calculated for the period
728 with discharge data at each station

729 ⁴ MAP is the mean annual precipitation

730 ⁵ RL5 is the average number of days per year with precipitation below 5 mm

731 ⁶ NYr is the number of years with 80% complete data in a year or hydrological year in 1965–
732 1994

733

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748

749 **References**

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960 Table 1 Basin and climate characteristics. Climate indices calculated for 1965–1994

Characteristic type	Characteristic name	Unit	Description
Climate	PSTD	mm	Standard deviation of daily precipitation.
Climate	RL5	days	Number of days per year with $P < 5$ mm. Used to characterise the length of the region's highly variable dry season.
Climate	P/E_{POT}	[-]	Ratio of average annual precipitation and average annual potential evaporation, a wetness index previously used for regionalisation by Yadav et al (2007).
Topography	DPSBAR	m/km	Index of watershed steepness from the UK Flood Estimation Handbook, the average of the steepest drainage path slope for each cell in the basin (Bayliss, 1999)
Topography	RELEV	m	Elevation range, calculated as maximum minus minimum elevation
Location	QLONG	decimal degrees	Longitude of discharge station

961

962

963

964 Fig. 1 The Central-American region, elevation distribution and the location of the studied
965 basins and the Honduran rating stations.
966

967 Fig. 2 Temporal availability of data for each discharge station, countries in parenthesis (CR =
968 Costa Rica, HN = Honduras, NI = Nicaragua, and PA = Panama)
969

970 Fig. 3 Schematic description of the method used in this study
971

972 Fig. 4 Budyko curve showing the relationship between the aridity index and the runoff ratio
973 for periods with discharge data at each station in 1965–1994 (Fig. 2). Areas outside the
974 theoretical limits of the Budyko curve (indicating inconsistent data) are marked in grey.
975 Basins with a correlation between CPI (Eq. 1) and discharge for intermediate and high flows
976 of less than 0.3, also indicating data inconsistencies, are plotted in red.

977

978 Fig. 5 Regionalisation of uncertain FDCs using the general weighted mean operator for fuzzy
979 numbers by Dubois and Prade (1980) for each EP. The individual membership functions for
980 the fuzzy FDC discharge for each of the N surrounding stations were rescaled so that the area
981 under the curves equalled the weights and then summed over the range of the support to a
982 new membership function for the regionalised FDC (top). The 2.5, 50 and 97.5 percentiles of
983 the cumulative distribution of the aggregated membership function were then used as lower,
984 crisp and upper uncertainty bounds for the regionalised FDC (red circles).
985

986 Fig. 6 Rating-curve residuals for 35 Honduran stations (one colour per station) and 2.5 and
987 97.5 percentiles of the residuals in each group (the groups were differentiated by frequencies
988 of 1, 5, 10... 95, 100%) plotted against the median normalised (by mean discharge) discharge
989 in each group. Functions were fitted to the 2.5 and 97.5 percentiles against the median
990 normalised discharge in each group respectively to calculate rating-curve uncertainty as a
991 function of the normalised discharge. The residuals were calculated as rating-curve discharge
992 minus observed discharge as a percentage of the rating-curve discharge and the plot excludes
993 a few smaller and larger residuals to improve the visibility for the main flow range.

994

995 Fig. 7. Reliability and precision of the FDC regionalisation, with different numbers of
996 hydrologically similar basins included in the regionalisation (top) and the minimum and
997 maximum values for each station for the chosen number of basins (N=8, bottom).
998

999 Fig. 8 Examples of regionalised and observed uncertain FDCs. Both discharge and EP
1000 exceedance percentage values are shown in log space. The thin/dashed lines represent the
1001 best-estimate discharge data and the thick lines the upper and lower uncertainty bounds.
1002

1003 Fig. 9 Number of behavioural simulations using local calibration to FDCs with local and
1004 regional EPs, and using regionalised FDCs (top), reliability (middle) and precision (bottom)
1005 measures for low, intermediate and high flows, for local and regional EPs respectively in
1006 local calibration.
1007

1008 Fig. 10 Precipitation, observed and simulated discharge (mm day^{-1}) at Bratsi, station no. 10
1009 (top), one of the stations that had a poor correlation between observed discharge and CPI

1010 (0.12), and at Paiwas, station no. 18 (bottom) that had a high correlation between observed
1011 discharge and CPI (0.60). The simulated discharge was calibrated using FDCs calculated
1012 from local observed discharge and using the regional EPs.

1013

1014 Fig. 11 High-flow reliability for the local calibration with regional EPs plotted against the
1015 correlation coefficient between the Current Precipitation Index (CPI, Eq. 1) and observed
1016 discharge for intermediate and high flows. Basins without behavioural simulations were
1017 assigned a reliability of zero.

1018

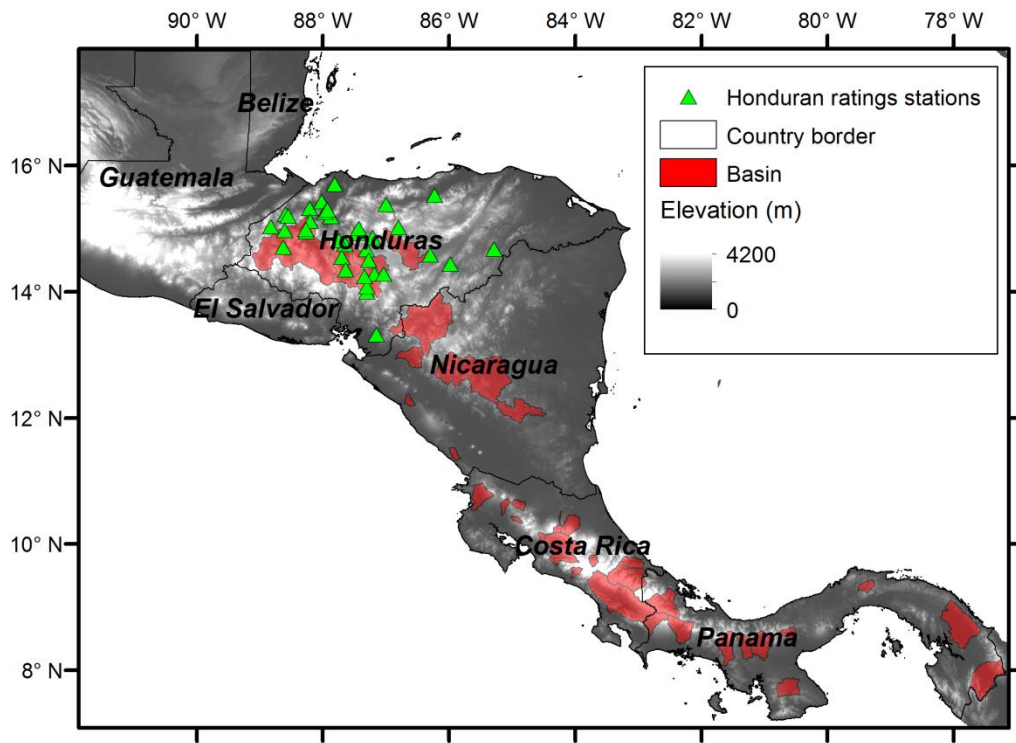
1019 Fig. 12 Comparison of observed and simulated uncertainty bounds for simulations
1020 constrained with local and regionalised FDCs for a) low, b) intermediate and c) high flows
1021 for the 24 basins that had behavioural local simulations; d) comparison of regionally
1022 constrained and locally-calibrated uncertainty bounds, the overlapping range between these
1023 bounds is expressed as a percentage of the width of the locally-calibrated and the regionalised
1024 bounds respectively and the 10th percentile and median values of the distribution for each
1025 time series are shown; e) width of the regionalised bounds as a percentage of the width of the
1026 overlapping area between the regionalised and the locally-calibrated uncertainty bounds, then
1027 taken as the average value for the whole time series, plotted against the aridity index.

1028

1029 Fig. 13 Precipitation (dark blue), comparison of simulated uncertainty bounds from
1030 regionalisation (red) and local calibration (black) with observed discharge (light blue) at
1031 Camaron (no. 22 with the best FDC-regionalisation), Guanias (no. 14 that, except for Guatuso,
1032 had the poorest FDC-regionalisation when there were behavioural local simulations), Balsa
1033 (no. 6 with high FDC-regionalisation uncertainty), Agua Caliente (no. 12 with a good FDC-
1034 regionalisation but poorer data consistency and local calibration), and Guardia (no. 2 with
1035 inconsistent data and no local behavioural simulations). The regionalised (red) and observed
1036 uncertain (blue) FDCs are shown in log-log space (right in each plot) together with the
1037 correlation between discharge and CPI for intermediate and high flows. The observed FDCs
1038 are plotted as used in the local calibration, i.e. without added temporal uncertainty.

1039

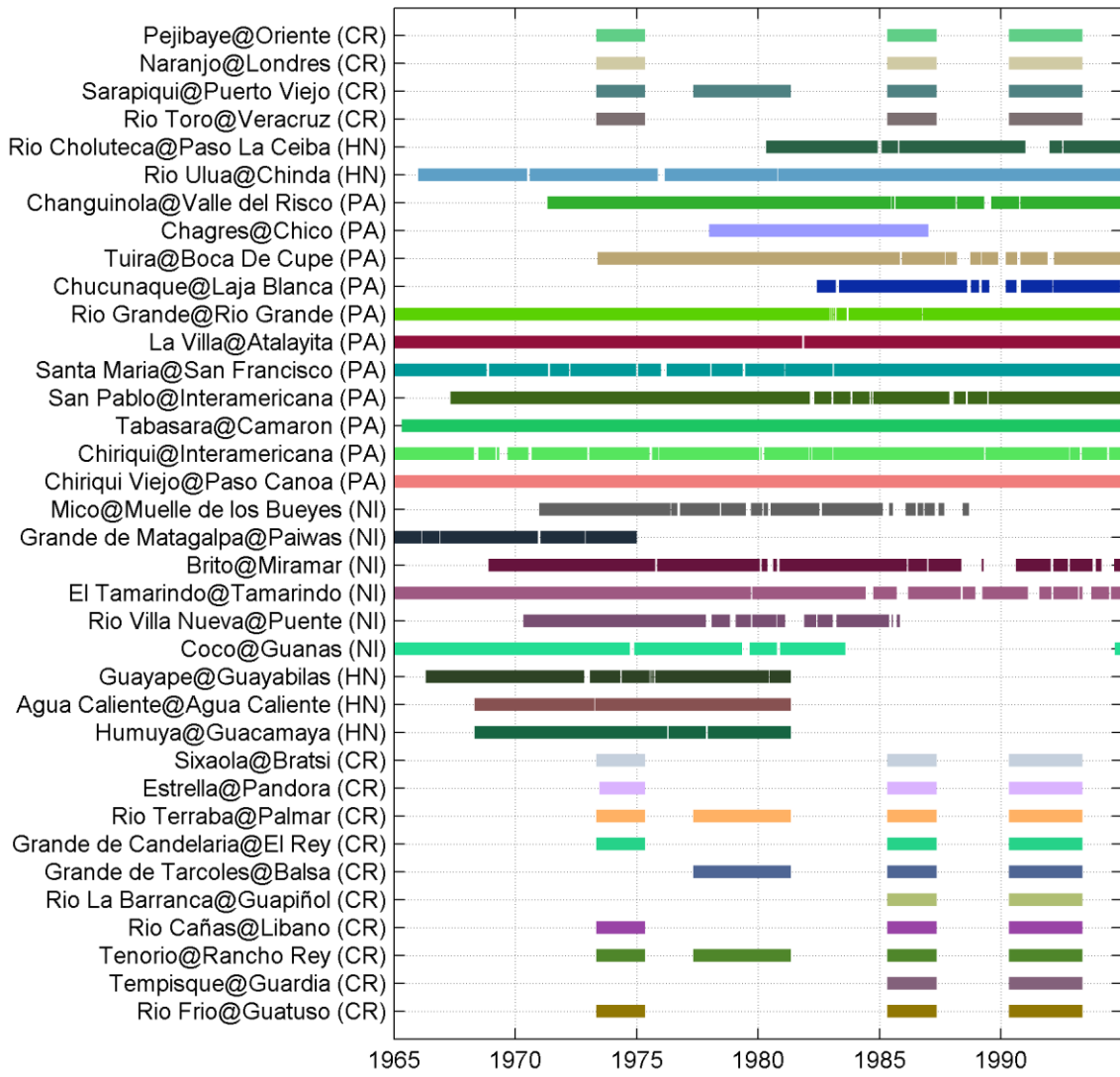
1040



1041

1042 Fig. 1

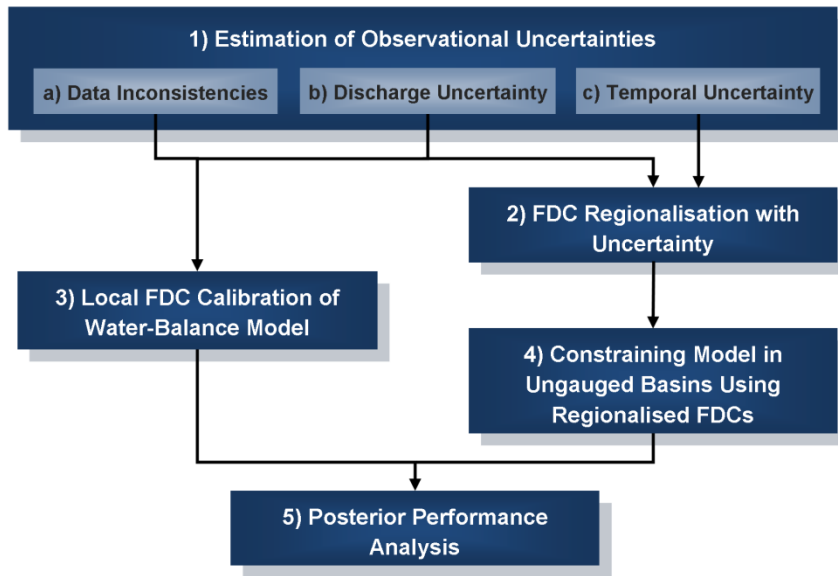
1043



1044

1045 Fig. 2

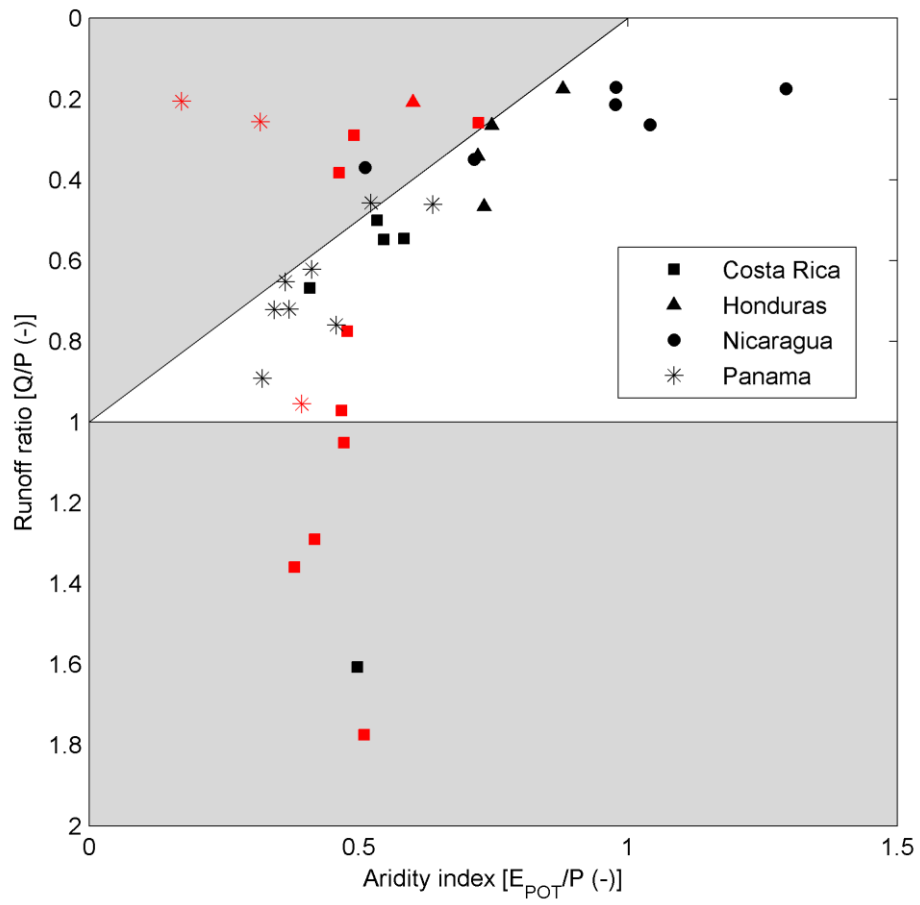
1046



1047

1048 Fig. 3

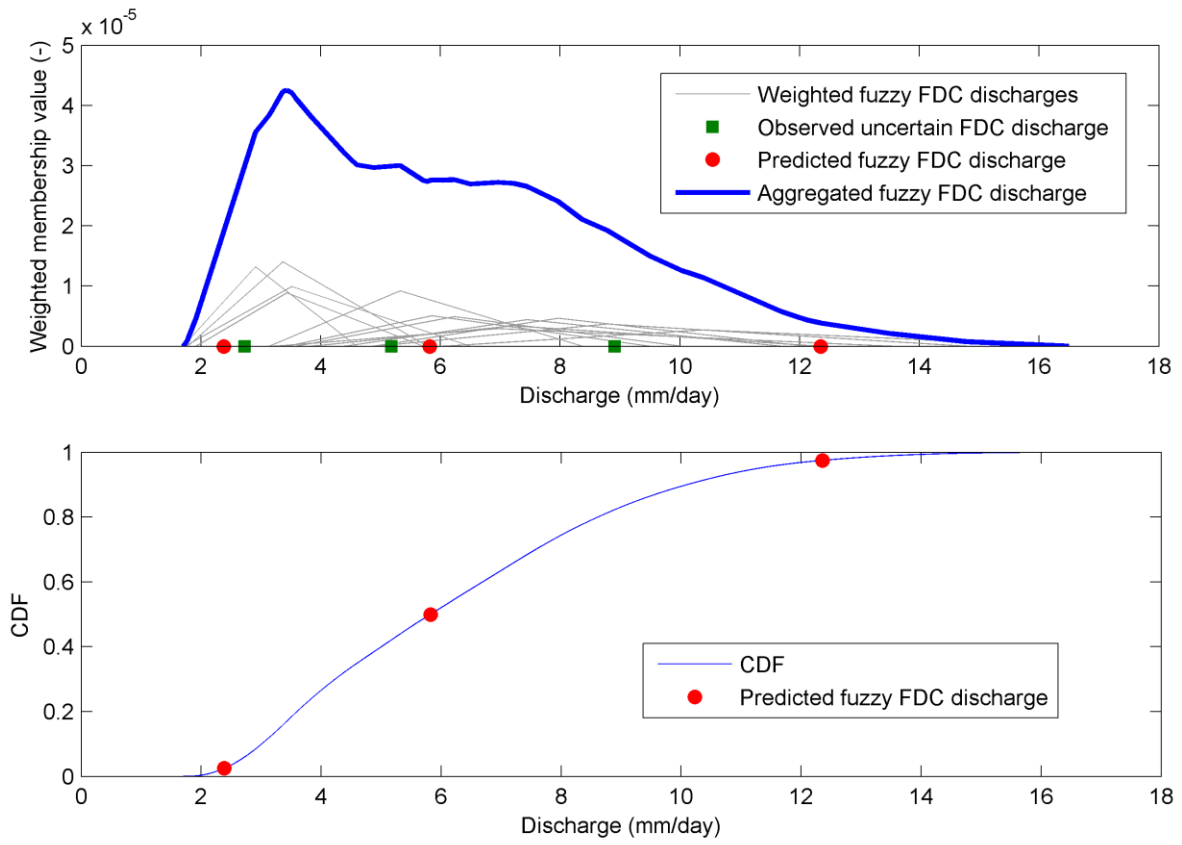
1049



1050

1051 Fig. 4

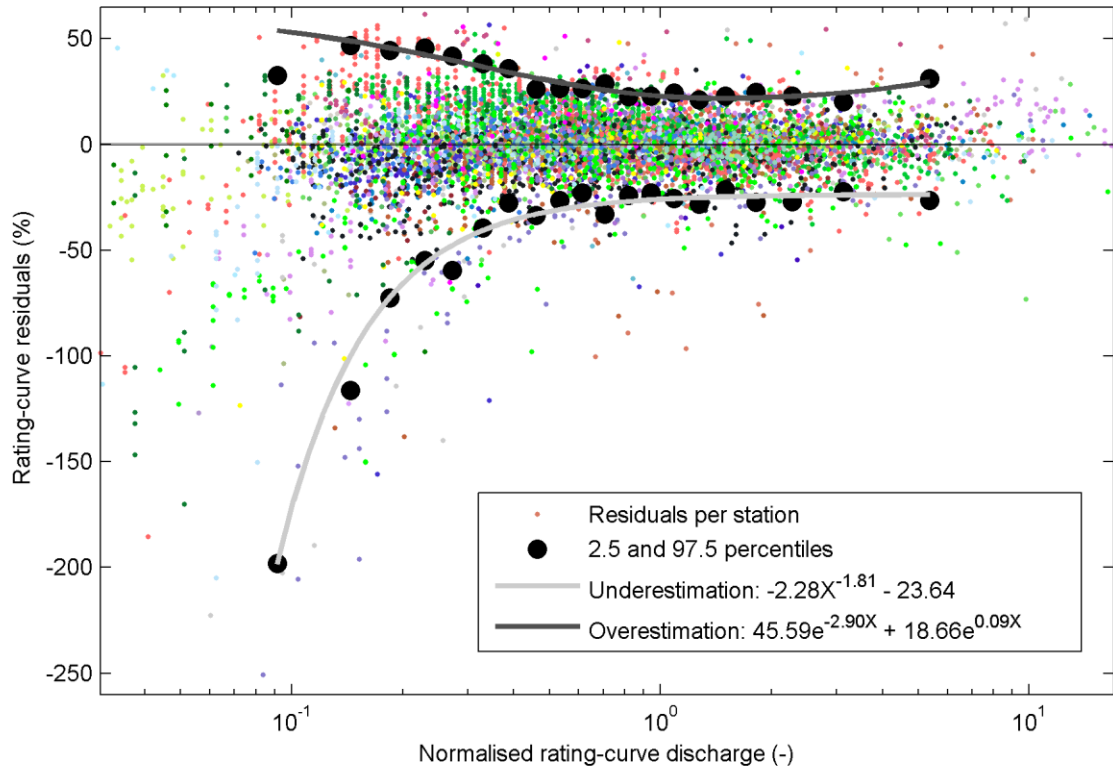
1052



1053

1054 Fig. 5

1055

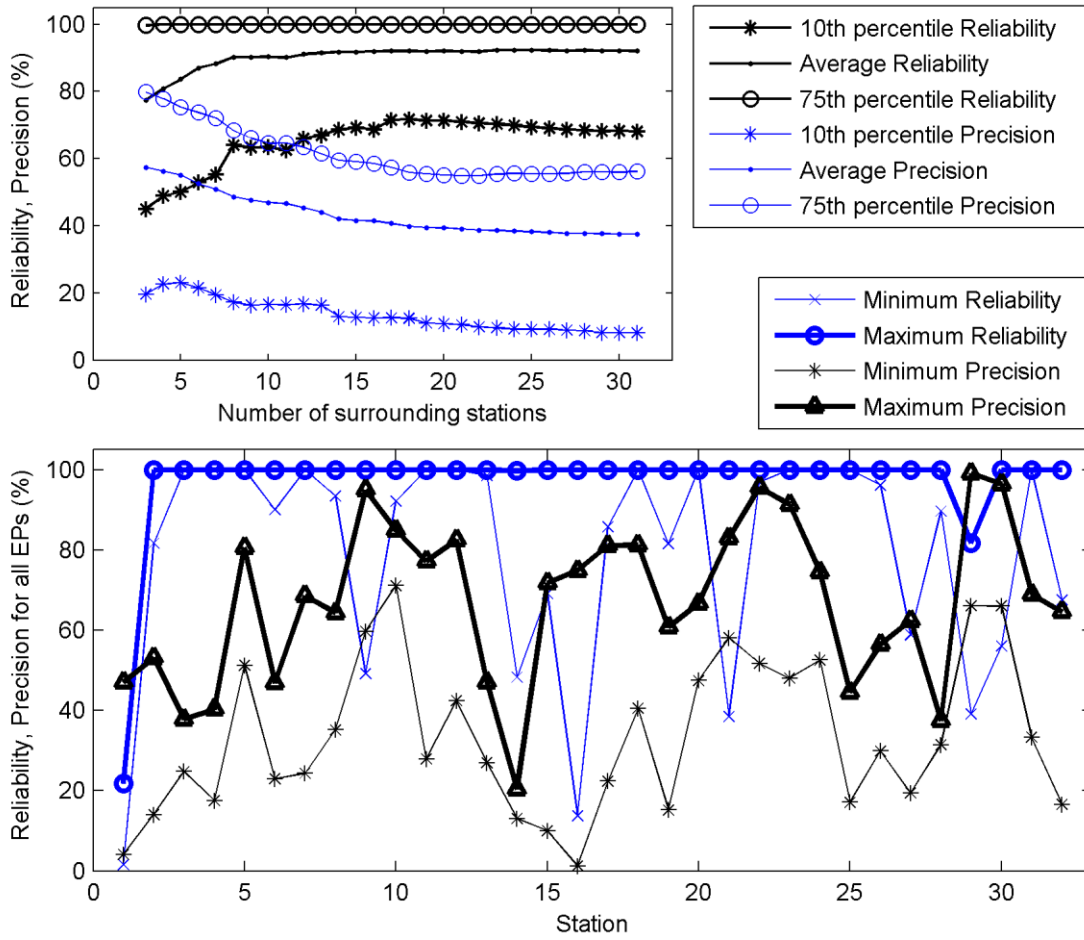


1056

1057 Fig. 6

1058

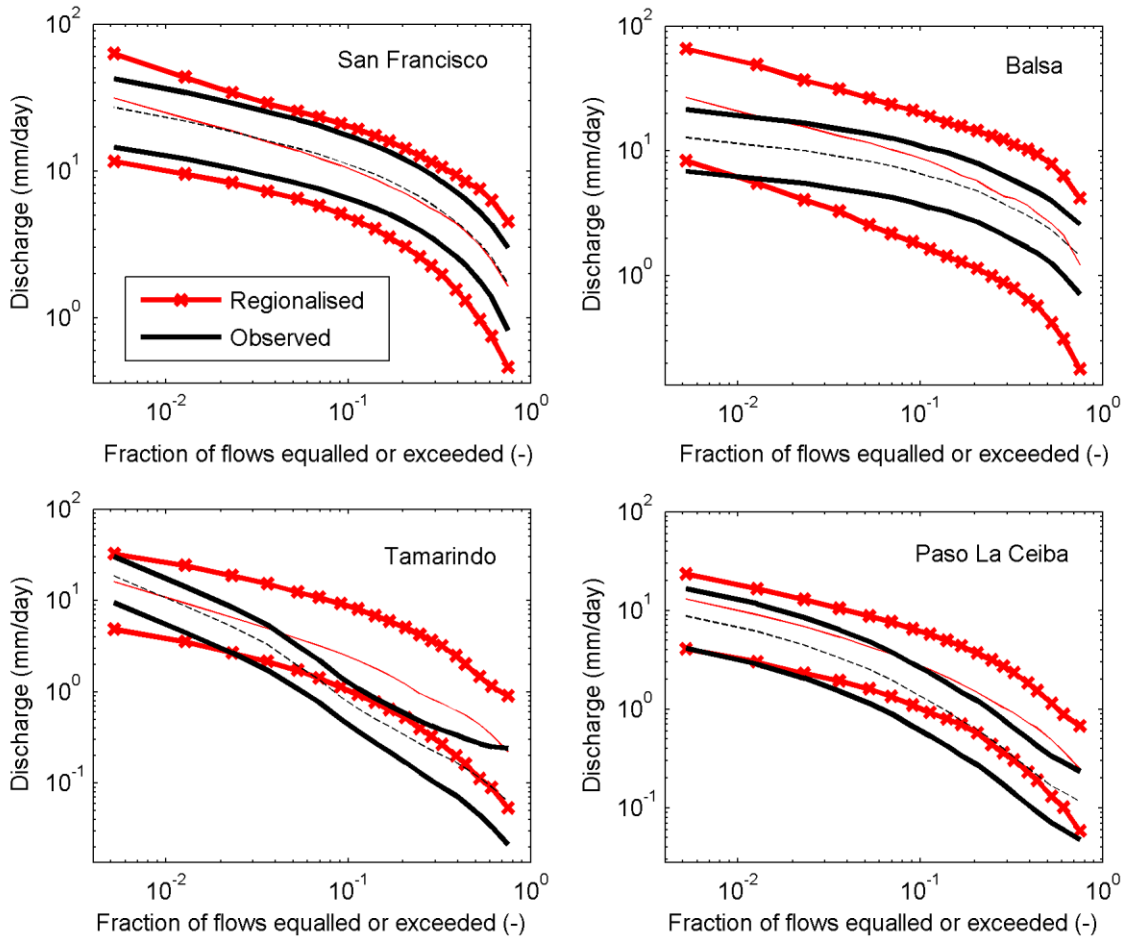
1059



1060

1061 Fig. 7

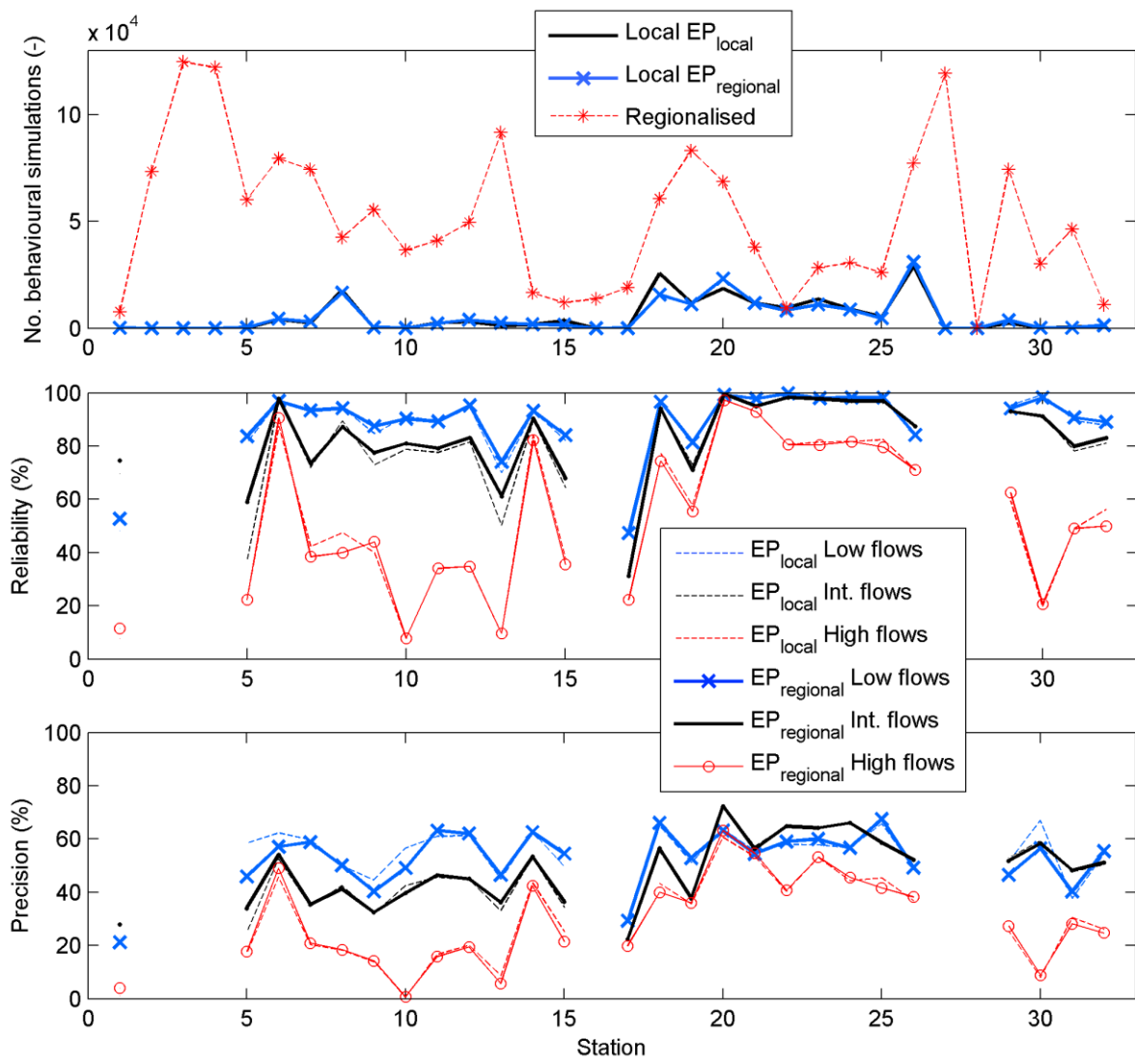
1062



1063

1064 Fig. 8

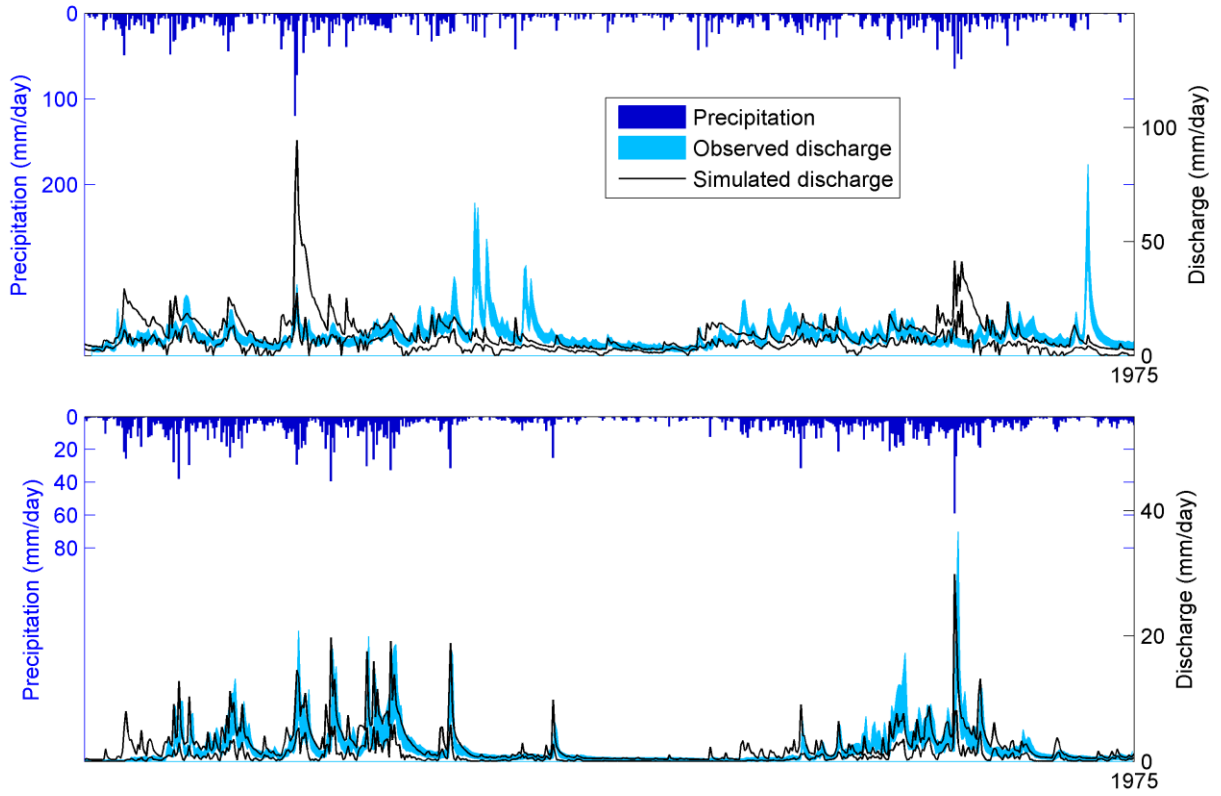
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1066

1067 Fig. 9

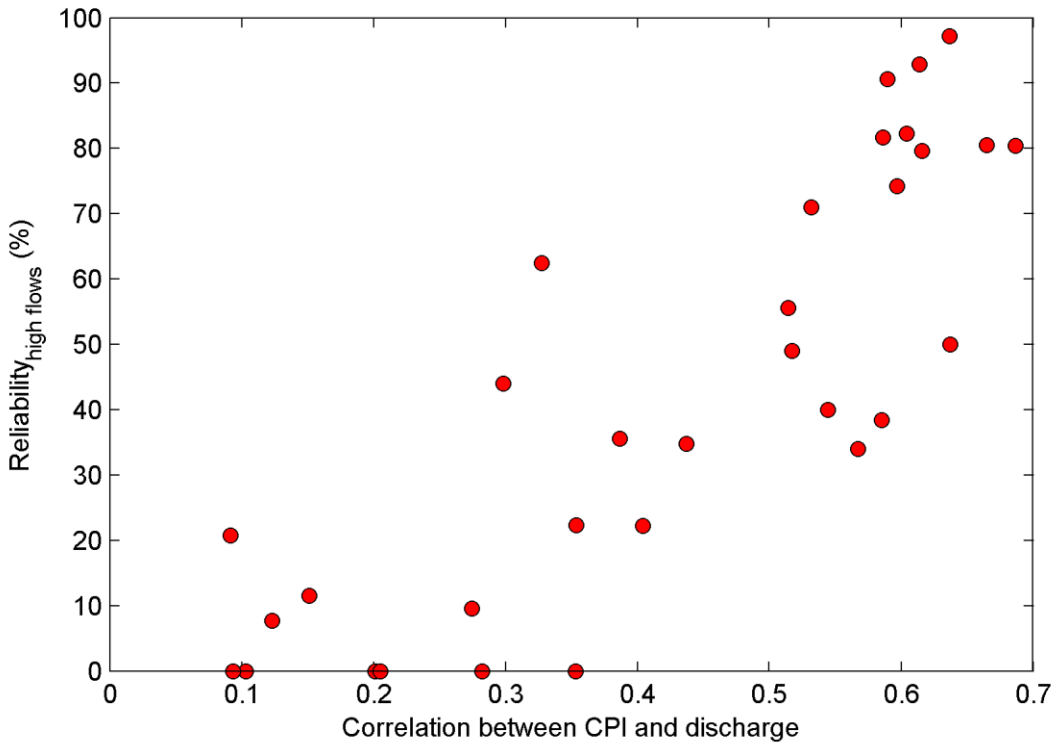
1068



1069

1070 Fig. 10

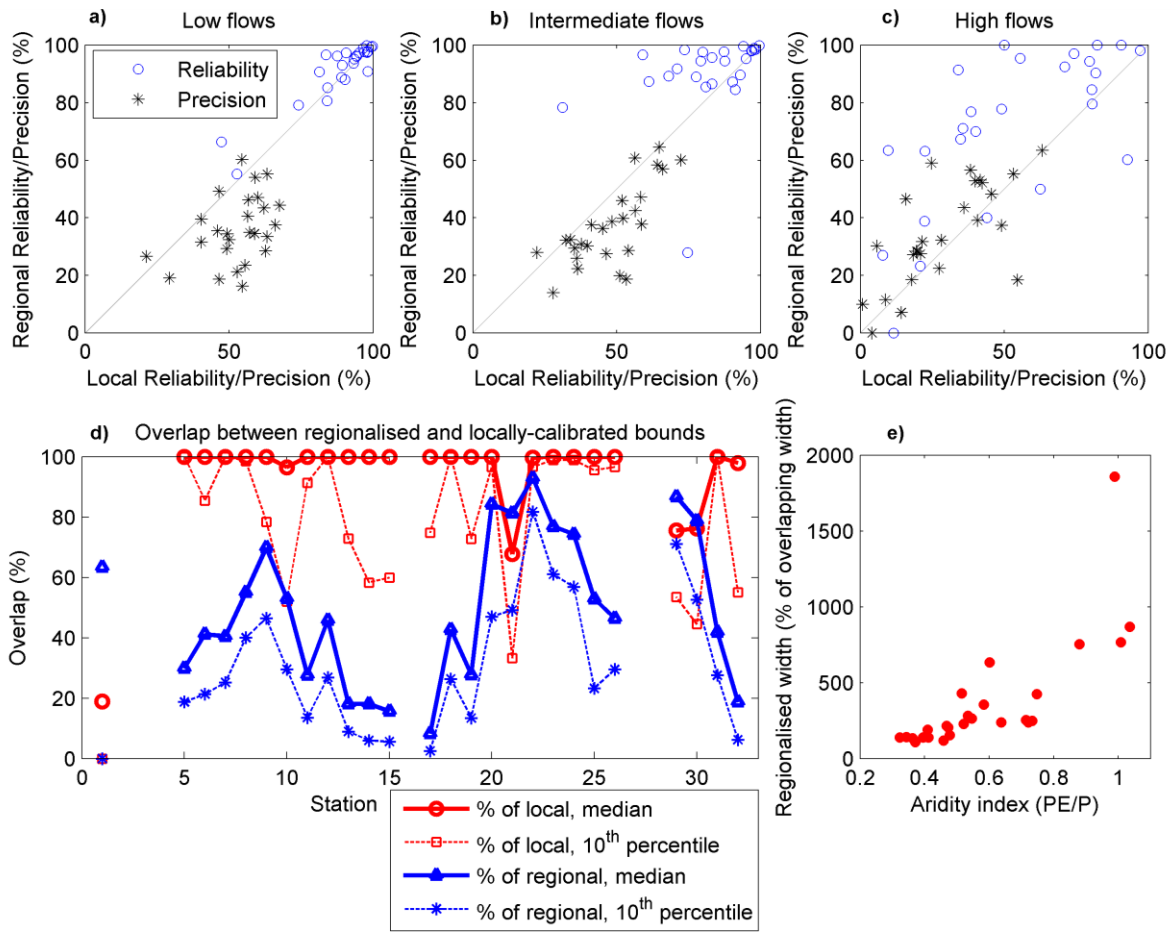
1071



1072

1073 Fig. 11

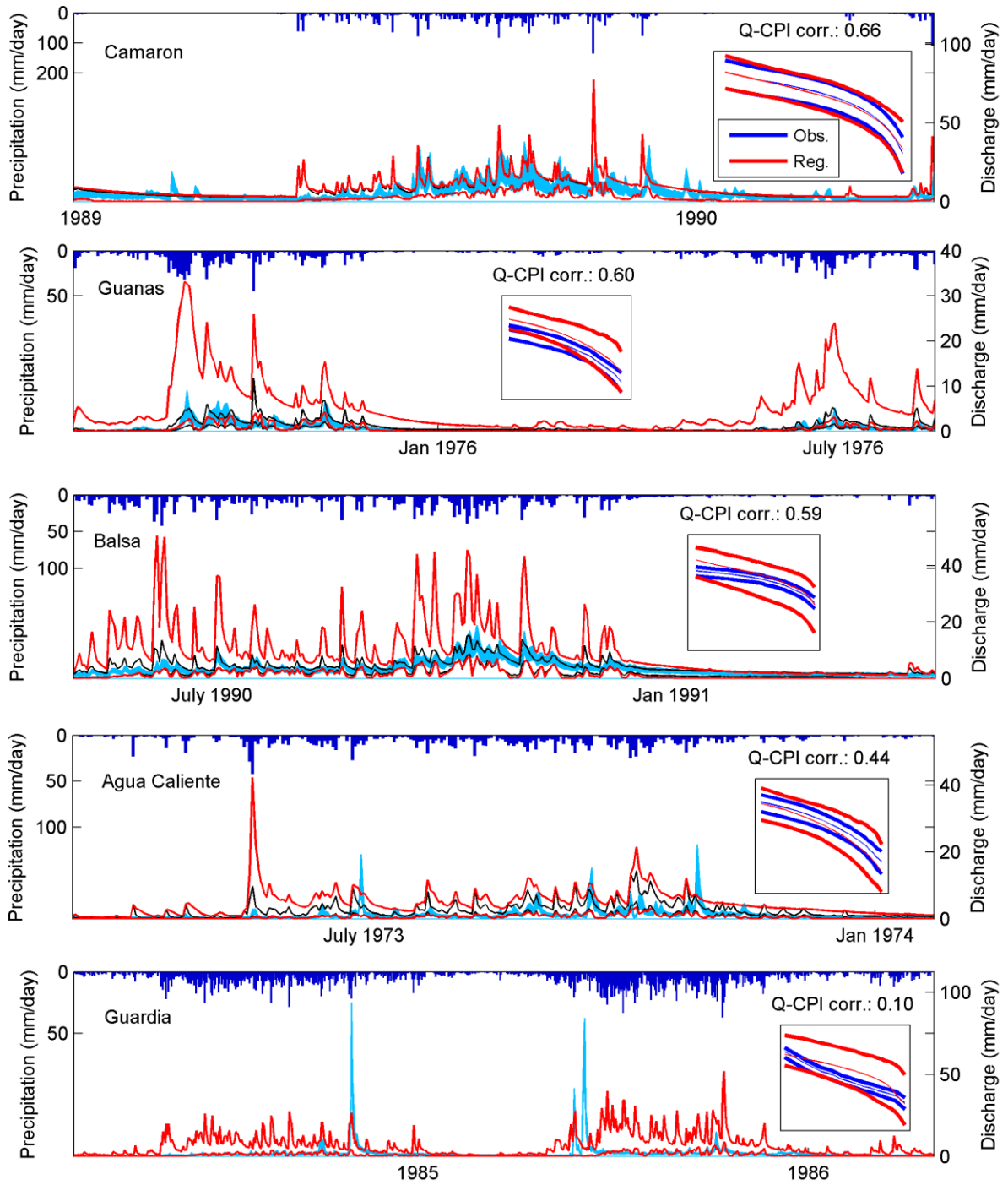
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1075

1076 Fig. 12

1077



1078

1079 Fig. 13