

1 Temporal and Spatial Changes of Water Quality and
2 Management Strategies of Dianchi Lake in Southwest
3 China

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10

11 **Abstract**

12 Temporal and spatial changes to the water quality of Dianchi Lake in Southwest
13 China were investigated using monthly monitoring data from 2005 to 2012. Dianchi
14 Lake is divided into two parts, Caohai Lake and Waihai Lake, by a manmade dike.
15 Caohai Lake lies at the north of Dianchi Lake, while Waihai Lake is the main water
16 body of Dianchi Lake and accounts for 96.7% of the whole area of the lake. Based on
17 the analysis of total phosphorus (TP), total nitrogen (TN), and chlorophyll a(Chl-a)
18 concentrations, it was determined that, in Caohai Lake, the annual concentrations of
19 these variables ranged from 0.19-1.46 mg/l, 6.11-16.79 mg/l, 0.06-0.14mg/l,
20 respectively. In addition, the annual concentrations of TP, TN and Chl-a in Waihai
21 Lake ranged between 0.13-0.20 mg/l, 1.82-3.01 mg/l, 0.04-0.09mg/l, respectively.
22 Cluster Analysis (CA) classified the 10 monitoring sites into two groups (group A and
23 group B) based on similarities of water quality characteristics. Our data revealed that
24 the current status of water quality within Caohai Lake was much worse than that of
25 Waihai Lake. Water quality was seriously degraded during the economic boom near
26 the period of the “Eleventh Five-Year Plan” (2005–2010), and gradually improved
27 from 2010 to 2012 because of the “standard emission directive to industry”. The main

1 factors that influenced the spatial and temporal changes to water quality were natural
2 factors including lake evolution and regional characteristic as well as human factors
3 such as pollution load into the lake and management strategies that were already
4 adopted. Some activities and regulations were implemented to enhance the lake
5 environment by controlling wastewater emissions and establishing regulations to
6 protect the lakes in the Yunnan Province. However, problems with institutional
7 fragmentation (horizontal and vertical), simple treatment methods, low-intensity
8 investment in pollution control, and lack of meaningful endogenous pollution control
9 strategies were still present in the lake management strategy. To solve these problems,
10 suitable control measures are needed, especially considering the current old-age status
11 of Dianchi Lake. The fundamental improvement of the water quality within Caohai
12 Lake was dependent on the measures taken in the upper reaches of the Caohai
13 Watershed, including further recovery of submerged plants, resource utilization by
14 floating plants and the reinforcement of sediment disposal. Management strategies for
15 endogenous pollution in Waihai Lake were mainly dependent on restocking
16 algae-eating fish and the ecological restoration of macrophytes. In this way, the
17 swamping trend and the ageing process that is occurring in Dianchi Lake can be
18 stunted. And the management strategies would be a contribution to the management
19 of water conflicts between human and ecosystems in similar lakes.

20

21 **1 Introduction**

22 The formation, development, and evolution of lakes have recorded regional
23 environmental changes because they are relatively independent, natural complexes.
24 Due to historical rapid population growth and development of industry and agriculture
25 in the lake catchment region, the lake has become shallower as it has aged and will
26 eventually fill up (Wang and Dou, 1999). The lifetime of a lake ranges from
27 thousands of years to millions of years, and can be divided into several stages:
28 adolescence, adulthood, old age, and decline (adolescence (Lugu Lake), adulthood

1 (Taihu Lake), old age (Dianchi Lake), and the decline phase (Lop Lake)). For
2 example, at the beginning of the formation of a rift lake, which forms due to
3 large-scale fault activity, the subsidence of the lake basin changes rapidly and the
4 basin is mainly filled with accumulated coarse sediments. As the lake reaches
5 adulthood, the subsidence gradually slows down and a lacustrine delta develops. At
6 this point, the lake changes from deep to shallow and the main sediments become
7 fine-grained. In addition, aquatic plants and phytoplankton increase to large numbers.
8 Throughout the old age phase, a large amount of sediment fills up the lake basin due
9 to greater sedimentation causing the water area to become narrow and shallow until,
10 finally, a swamp lake evolves (Wang and Dou, 1999). What is more, water quality
11 changes in eutrophication go from moderate (adolescence) to slightly eutrophied
12 (adulthood) and finally to a midrange of eutrophication (old age). This is the process
13 of lake evolution from young age to old age (Yang et al., 2010). Lastly, Lop Lake
14 presents a good example of changes to water flow and water area, whereby the overall
15 trend is that lakes shrink and even dry up to disappearance as they evolve to later
16 stages. Under natural conditions, the lake aging process develops slowly; however,
17 with the development of social economy in many areas, the evolution and
18 disappearance of lakes have been greatly accelerated (Katsuki et al., 2009; Choudhary
19 et al., 2010; Kabir et al., 2011; Zan et al., 2012). Similar to older people, in the
20 process of lake development, when a lake becomes old, it will attempt to maintain its
21 previous level of function but never has exactly the same biological and chemical
22 components and concentrations. Therefore, for each evolution stage in different lakes,
23 we should adhere to specific management strategies to keep the lake in a healthy state
24 (Laghari et al., 2012).

25 Dianchi Lake is a typical lake that was formed in approximately 3000kaBP in the late
26 Pliocene. After a long-term evolution, Dianchi Lake is now becoming an
27 overcompensation lake in the late stage of its evolution (Yu et al., 1990). By
28 analyzing various environmental parameters recorded in the lake sediments, including

1 pollen, TOC, TN, and many other indicators of susceptibility, the evolutionary history
2 of Dianchi Lake has been reconstructed from approximately 13ka before the present.
3 It was reported that during the Han and Tang Dynasties, the lake level was
4 approximately 3 meters lower than today. Between the 1960s and the 1970s, rapid
5 economic development coupled with reclamation, soil erosion, and siltation, caused
6 the water quality of Dianchi Lake to seriously deteriorate (Wu et al, 1998). Over the
7 last 50 years, the water quality in Dianchi Lake has been degrading rapidly and, as a
8 result eutrophication has become the most pervasive threat to the lake (Tuo, 2002;
9 Xing et al., 2005; Zhang et al., 2009; Le et al., 2010; Li et al., 2012). Although much
10 work has been done to control the water quality, the eutrophication problem has not
11 been solved yet. Therefore, it has become a primary problem that is restricting the
12 economic development of Kunming City. In addition, the lack of water as a resource
13 is another long-term issue to which we should pay close attention.

14 Although many researchers have already made some achievements with Dianchi Lake,
15 most of the previous studies have focused only on lake surface water quality (Yang et
16 al., 2010) or on the water quality among the rivers (Yu et al., 2010; Huan et al., 2010).
17 The implemented control strategies are fragmented, and these strategies have ignored
18 that this lake is in an old evolution stage. The objective of this study was to
19 investigate the temporal and spatial changes to the water quality of Dianchi Lake and
20 determine Dianchi Lake's primary problems. In addition, the current status of the
21 old-age lake will be considered, and some management strategies tailored to the
22 evolution course of Dianchi Lake will be given. The results of this study will be
23 useful to the policy makers around the country and abroad that are making decisions
24 regarding control of environmental problems in Dianchi Lake or other similar lakes.
25 And also the results could be a contribution to the management of water conflicts
26 between human and ecosystems (Christofides et al., 2005; Cai et al., 2009).

1 **2 Material and methods**

2 **2.1 Study area and monitoring sites**

3 Dianchi Lake (24°40'-25°02'N, 102°36'-102°47'E) is a rift lake that is located in
4 Kunming City in the Yunnan Province of Southwest China (Fig.1). Its average water
5 depth is approximately 5 m, its maximum water depth is 8 m, and its surface area is
6 approximately 306 km² (Du et al., 2011). Dianchi Lake is divided into two parts,
7 Caohai Lake and Waihai Lake, by a manmade dike. Caohai Lake lies at the north of
8 Dianchi Lake, while Waihai Lake is the main water body of Dianchi Lake and
9 accounts for 96.7% of the whole area of the lake.

10 Previous paleoenvironmental studies have shown that based on the long-term
11 evolution of Dianchi Lake, it has been classified as an old-age lake (Yu et al., 1990).
12 In addition, the ecological environment in Dianchi Lake has seriously deteriorated
13 since the 1960s because of rapid economic development and its associated human
14 activities, such as cultivation and fishing (Gao et al., 2004; Cai et al., 2011; Wang et
15 al., 2009; Guo et al., 2013).

16 We have analyzed the water quality parameters in order to investigate the temporal
17 and spatial changes of water quality in Dianchi Lake. Data for lake water quality from
18 2005-2012 were provided by the Center for Environmental Monitoring in Kunming,
19 Yunnan Province. Twelve water quality parameters, including dissolved oxygen (DO),
20 permanganate index (COD_{Mn}), biological oxygen demand (BOD₅), ammonia
21 (NH₄⁺-N), chemical oxygen demand (COD), total phosphorus (TP), total nitrogen (TN),
22 and chlorophyll a (Chl-a) were collected monthly from the monitoring stations.

23 The sampling points are shown in Fig.1. Ten water quality sampling points were
24 established for monitoring water quality in Dianchi Lake. Of these points, two were in
25 Caohai Lake (points 1 and 2) and the other eight were in Waihai Lake. The names of
26 each of the sampling points from 1 to 10 were: Duanqiao (DQ), the center of

1 Caohai(CH), Luojiaying(LJY), middle of Huiwan(HW), Guanyinshan West(GYSW),
2 middle of Guanyinshan(GYSM), Guanyinshan East(GYSE), Baiyukou(BYK), Haikou
3 West(HKX) and Dianchi South(DCS). The sampling depth at each point was 0.5
4 meters below the water surface, and the monitoring frequency was once a month. The
5 sampling, preservation, transportation, and analysis of the water samples were
6 performed following standard methods (State Environment Protection Bureau of
7 China 2002).

8 **2.2 Study methodology**

9 **Independent *t* test and Pearson correlation**

10 Statistical analysis was conducted using the SPSS 20.0 software package. One-way
11 ANOVA (LSD test) and independent-sample *t* tests at the 0.05 confidence level were
12 conducted to test the difference between group mean values. A two-tailed Pearson
13 correlation analysis was conducted to illustrate the correlative relationships between
14 water parameters.

15 **Cluster analysis**

16 CA is an unsupervised pattern detection method that partitions all dissimilar cases into
17 different groups (Shrestha and Kazama, 2007; Lu et al., 2011; Gbolo and Gerla, 2013).
18 The results of CA help to interpret the data and indicate patterns (Singh et al. 2004).
19 Hierarchical CA, the most common approach, starts with each case in a separate
20 cluster and joins the clusters together step by step until only one cluster remains
21 (Lattin et al., 2003; McKenna, 2003). In this study, hierarchical CA was performed on
22 the standardized data using Ward's method with squared Euclidean distances as a
23 measure of similarity (Zhou et al., 2007). Ward's method uses analysis of variance
24 (ANOVA) to calculate the distances between clusters to minimize the sum of squares
25 of any two possible clusters at each step, and it was expressed as follows:

$$26 \quad d_{ij} = \left[\sum_{k=1}^m (x_{ik} - x_{jk})^2 \right]^{\frac{1}{2}} \quad (1)$$

1 $(i, j = 1, 2 \dots n)$

2 where d_{ij} is the distance between the i th sample and the j th sample, x_{ik} is the k th
3 parameter of the i th sample, x_{jk} is the k th parameter of the j th sample, and $i, j =$
4 $1, 2, 3, \dots, 10$.

5 **3 Results**

6 **3.1 Temporal changes of TP, TN and Chl-a in Dianchi Lake**

7 Temporal changes in TN, TP, and Chl-a are shown in Fig.2 and Fig.3 and were based
8 on the monitoring data collected at ten sites in Dianchi Lake from 2005 to 2012.

9 Monthly concentrations of TP, TN and Chl-a in Caohai Lake ranged from 0.08 mg/l
10 (November 2011) to 2.56 mg/l (September 2006), 2.42 mg/l (September 2011) to 21.6
11 mg/l (March 2009), and 0.01mg/l (January 2010) to 0.52mg/l (September 2007),
12 respectively. The annual concentrations of TP, TN and Chl-a in CaohaiLake were
13 from 0.19 to 1.46 mg/l, 6.11 to 16.79 mg/l, and 0.06 to 0.14mg/l, respectively. The
14 monthly concentrations of TP and TN declined gradually; however, no obvious trend
15 was found for Chl-a concentrations.

16 Monthly concentrations of TP, TN and Chl-a in Waihai Lake ranged from 0.06 mg/l
17 (October 2007) to 0.36 mg/l (September 2006), 1.06 mg/l (November 2012) to 3.46
18 mg/l (April 2011), and 0.01mg/l (February 2006) to 0.20mg/l (August 2006),
19 respectively. In addition, the annual concentrations of TP, TN and Chl-a in Waihai
20 Lake ranged from 0.13-0.20 mg/l, 1.82-3.01 mg/l, and 0.04-0.09mg/l, respectively.
21 No clear temporal trends for these three water parameters were observed.

22 The correlative relationships between environmental variables were analyzed. The
23 results showed that Chl-*a* had a significant positive correlation to BOD₅, TP, and TN.
24 In addition, TN and TP were both negatively correlated to PH, DO, COD_{Mn}, and COD
25 (Table 1), a finding that has been reported in other lakes (Yang et al., 2013).

26 Eutrophication is the most widespread water quality problem in many countries,

1 especially China (Xia et al., 2011; Liu et al., 2012; Wang et al., 2012).Our results
2 showed that the annual concentrations of TP, TN, and Chl-*a* in Caohai Lake were
3 significantly higher than those in Waihai Lake, which indicated that the current status
4 of water quality of Caohai Lake is much worse than that of Waihai Lake. According
5 to the classification of water parameters outlined in the Environmental Quality
6 Standards for Surface Water, which has been promoted by the Chinese government,
7 the water quality of Caohai Lake was in a heavy eutrophic state during this study
8 period and was categorized below Class V. Similarly, water quality in Waihai Lake
9 was also below Class V and the eutrophic state was moderate. As the water quality
10 continues to deteriorate, the trophic condition of Dianchi Lake will become more and
11 more serious.

12 In this study, changes were observed in the water quality of the whole lake from 2005
13 to 2012 based on three major indicators: TP, TN, and Chl-*a*. The water quality in the
14 lake experienced two stages: (1) 2005-2010, when water quality was seriously
15 degraded during the economic boom during the period of the “Eleventh Five-Year
16 Plan” and (2) 2010-2012, when water quality gradually improved because of the
17 “standard emission directive to industry”. A series of environmental problems arose
18 during the first stage due to a lack of environmental consciousness by managers at
19 different government levels (Wang and Lin, 2010; Veld and Shogren, 2012). Three
20 indicators reached their maximum during this period: TP in 2006 with a value of 2.56
21 mg/l, TN in 2009 with a value of 21.6 mg/l, and Chl-*a* in 2007 with a value of
22 0.52mg/l. In the second stage, from 2010 to 2012, the indicator values declined,
23 especially in Caohai Lake. The improvement was related to the Chinese government
24 and the Yunnan Province placing great importance on the management of the Dianchi
25 Lake watershed.

26 **3.2 Spatial changes of TP, TN and Chl-*a* in Dianchi Lake**

27 Spatial CA produced a dendrogram with two groups (Fig.4). Cluster A comprised

1 sites 1-2, and cluster B contained sites 3-10. Cluster B was further divided into two
2 groups: sites 8-10 in group B and the other sites (3-7) in group C. All classifications
3 had varied significance levels because the sites within the groups had similar natural
4 backgrounds and were likely affected by similar pollution sources. Sites 1-2 in cluster
5 A were located in Caohai Lake and were primarily impacted by industrial wastewater,
6 agricultural runoff, and municipal sewage, which corresponded to areas with relatively
7 high pollution. The other sites in cluster B were located in Waihai Lake, with sites 3-7
8 located in the northern part of Waihai Lake and sites 8-10 located in the southern part
9 of the lake. Clusters A (sites 1-2) and B (sites 3–10) corresponded to relatively high
10 and low polluted regions, respectively. These results suggest that pollution control
11 treatments should be assessed in each region.

12 **4. Discussion**

13 **4.1 Influence Factor Analysis for Spatial and Temporal Distribution of** 14 **Water Quality**

15 **4.1.1 Natural factors**

16 Dianchi Lake is a typical plateau lake in China. The tributaries that flow into the lake
17 outnumber those that go out of the lake and water resources are scarce. As a result, the
18 water renewal period is much longer. In this case, inputs of salts and other substances
19 could easily accumulate in the lake (Wang and Dou, 1999). During the long-term
20 evolution of Dianchi Lake, factors such as fragile ecological conditions, a shallow
21 water level, insufficient inflow, and the age stage of the lake have caused the pollution
22 in the lake to be more serious and the water quality to become increasingly
23 deteriorated (Cai et al., 2007; Tan et al., 2009). The spatial distribution of water
24 quality is related to the regional characteristics and development of the Dianchi basin;
25 different areas were not the same as others, so the changes in water quality appeared

1 to have different tendencies. The spatial changes in water quality showed that Caohai
2 Lake was seriously polluted because Caohai Lake was the only water body that
3 received domestic sewage and wastewater from treatment plants in the western part of
4 the main urban area.

5 **4.1.2 Human factors**

6 The main sources of pollution in Dianchi Lake were the large population and the
7 irrational exploitation of resources. Industrial pollution, agriculture pollution, and
8 other domestic pollution, which directly threatened the water quality of Dianchi Lake,
9 were the primary causes of water eutrophication in Dianchi Lake. There were also
10 many agricultural lands and farms around the lake, which produced large amounts of
11 agricultural non-point source pollution. This run-off could not be effectively
12 controlled and thereby contributed to high levels of pollution.

13 Flowers and plants are the local specialty of the Yunnan Province. Due to the large
14 planting area and high fertilizer usage, undegraded and unabsorbed fertilizer was
15 washed into the water (Gao and Yang, 2006). However, abundant rock phosphate was
16 found around Waihai Lake. Because of the unreasonable mining and wanton
17 destruction of surface vegetation, a large amount of phosphorus entered Waihai Lake
18 and gradually accumulated during the evolution of the lake, eventually becoming a
19 substantial threat to the water quality (Tanaka et al., 2013).

20 In addition, endogenous pollution is a factor that should not be ignored. Due to the
21 long period of eutrophication, Dianchi Lake is covered with a thick layer of sediment,
22 which contains humus and organic matter and could become another source of
23 pollution to the water column (Tan et al., 2010).

24 According to research, 187446 t of phosphorus was contained in 0.3 m of sediment, an
25 amount that was 500 times greater than the phosphorus contained in the water column
26 (Guo, 2003). Therefore, when the concentration of nitrogen and phosphorus in the
27 water decreases, the nitrogen and phosphorus in the sediments will spontaneously
28 release and become another major source of pollution.

1 Additionally, the temporal distribution of water quality in Caohai Lake and Waihai
2 Lake showed that the year-to-year differences in water quality were also related to the
3 control strategies that were adopted. Inappropriate management measures will not
4 lead to any further improvements to water pollution. Conversely, if we take suitable
5 control measures, they will significantly improve the water quality status.

6 **4. 2 Water pollution control strategies round the country and abroad**

7 **4.2.1 Strategies for water pollution control abroad**

8 Many lakes have created serious eutrophication problems after the rapid economic
9 development in foreign countries, so foreign countries started earlier in lake
10 eutrophication control (Wade et al., 2007). As a result, these polluted lakes all got
11 very good recovery after a long time of "pollution first, treatment later" governance
12 model. Foreign countries have accumulated a lot of valuable experience in treatment
13 of lake pollution, and it is very useful for our work in water pollution control
14 especially for those same type lakes.

15 Lake Biwa is the largest freshwater lake in Japan, with a total area of 670 km². It is a
16 major drinking water source of over 1400 people. During the early 1970s, along with
17 the lake area of industrial development and population growth, water pollution in
18 Lake Biwa became evident after a massive outbreak of freshwater red tide in 1977
19 and subsequent outbreak of blue-green algae in 1983. The Shiga Prefectural
20 Government has set up the target treatment of lake in stages, and managed this lake
21 step by step. Through the enforcement of the target, the prefecture promoted the
22 construction of sewerage facilities, nitrate and phosphorus effluent regulation of
23 factories and commercial facilities, and banned the use of household detergent
24 containing phosphorus. Consequently, the loads of nitrogen and phosphorus have been
25 significantly reduced, and the concentrations of phosphorus and chlorophyll a in the
26 water of Lake Biwa have declined up to the present (Hiroya et al., 2012).The

1 eutrophication phenomenon of Lake Moses in America and Lake Bled in Slovenia
2 after the implementation of pollutant emissions and water dilution engineering has
3 radically improved (Gantzer et al., 2010). Measures in the city park lake in Louisiana
4 Baton Rouge and Sweden Trummen Lake (Tu et al., 2007) were conducted mainly by
5 Lake Dredge over the whole lake sediments. In the city park lake, the surface
6 sediment which was contaminated by heavy metals was placed in the depression, and
7 then it was covered by deep uncontaminated sediment. The remaining lake sediments
8 were used to construct beach in the south part in order to increase the storage capacity
9 of the lake of oxygen and reduce the frequent death of fish (Ruley and Rusch, 2002).
10 Lake improvement is a long-term formidable task, we should not be anxious for
11 success; conversely, we must respect the laws of nature, from the perspective of
12 harmonious coexistence of people and lakes, thereby, restore the ecological
13 environment of the lake (Dong et al., 2011).

14 **4.2.2 Strategies for water pollution control in Dianchi Lake**

15 **Issues related to the management of Dianchi Lake**

16 **Zero o'clock Action**

17 Dianchi Lake is included in the national "three rivers and three lakes" pollution
18 control project outlined in the "Ninth Five-Year Plan" (1996-2000). Meanwhile,
19 Yunnan Province and Kunming City have taken a series of measures to improve water
20 conditions in Dianchi Lake. Particularly due to the implementation of "Zero o'clock
21 Action", industrial pollution has been effectively controlled.

22 In 1999 the "standard emission directive to industry" was issued. It instructed
23 companies around the Dianchi watershed to treat their discharged wastewater
24 appropriately by zero o'clock on the 1st of May 1999 to meet the state wastewater
25 emission standard. If any company did not meet the standard by the deadline, it would
26 be required to stop operations or it would be closed. This was called "Zero o'clock
27 Action" (Wang et al. 2006; Wang et al., 2006). The industrial pollution control effect

1 was remarkable and was particularly evident around Dianchi Lake, where 249 major
2 enterprises completed the task. The total amount of industrial pollution into the lake
3 was thus reduced from 10%-30% to 2%-14%(He et al., 2011).

4 However, some problems still remain. Many factories made some temporary changes
5 to meet the mission standards set by the government. Once the region was no longer
6 being scrutinized, these companies returned to their prior polluting methods. In
7 addition, many factories were not examined or punished due to a lack of monitoring
8 capacity.

9 **Regulations for the Protection of Dianchi Lake in the Yunnan Province**

10 Given the environmental deterioration and the demand for clean water, the
11 “Regulations for Protection of Dianchi Lake in Yunnan Province” were passed by the
12 Yunnan provincial government, with implementation beginning on the 1st of January
13 2013. The main features of these regulations include the following:

- 14 ● The Yunnan Provincial government and the government at all levels will
15 incorporate the protection work of Dianchi Lake into the national economy and
16 social development planning, meanwhile establishing the protection and
17 long-term mechanisms of ecological compensation.
- 18 ● The Dianchi Lake Basin will be divided into three protected areas and an urban
19 drinking water source protection area and will be protected according to the above
20 classifications.
- 21 ● Reclaiming land from lakes, fish cage aquaculture, and excessive discharge of
22 wastewater and solid waste in the lake will be banned.
- 23 ● Specific rewards for contributing to the protect Dianchi Lake will be offered, and
24 legal penalties for activities that violate the regulations will be imposed.

25 Before the announcement of these regulations, other regulations that protected
26 Dianchi Lake were established by Kunming City in July 1988. Over the past 24 years,
27 the regulations have played an important role in protecting resources, combating
28 pollution, and improving the ecological environment. However, with the rapid

1 development of the social economy, environmental protection of Dianchi Lake, water
2 ecological balance, and other aspects of water supply and demand have become
3 increasingly prominent. In the wake of so many new problems, provincial, rather than
4 municipal, regulations are needed to resolve these issues. Although the new
5 regulations have met the regulations for improving the lake environment, their
6 long-term efficacy depends on many other factors such as the active collaboration of
7 various sectors of government agencies and enhanced public consciousness about
8 environmental protection.

9 **Lake dredging**

10 Serious pollutants within the lake have deposited a large amount of silt, which contain
11 various harmful and toxic pollutants that have accumulated over the years. Through
12 the Phase I, II, III projects of lake dredging, 424,000 tons sediment from Caohai Lake
13 were transferred by dredging, which has significantly improved the water quality of
14 Caohai Lake (Ding and Lai, 2011).

15 **Pollutant interception**

16 Sewage and garbage are the main sources of pollution in Dianchi Lake. By 2005,
17 eight sewage treatment plants has been built in the Dianchi Lake Basin and newly
18 renovated and expanded trunk sewers were approximately 590 kilometers. The
19 sewage collection rate could reach 74%. Meanwhile, the urban garbage removal rate
20 is 95%, and the harmless treatment rate is 93.6% (Ding and Lai, 2011).

21 **Eutrophication control**

22 Serious eutrophication was the main problem in Dianchi Lake. In 1999, Kunming
23 City put 240 tons of drugs into Caohai Lake, which cost approximately 6 million yuan,
24 to remove algae. Although it had some effect on algal control, there were certain
25 drugs that caused adverse impacts on benthic animals and zooplankton. Therefore, as
26 learned by the practice of Kunming City, using a chemical method to remove algae in
27 a large area of the lake is not suitable. A physical method of removal of the floating
28 algae on the water is another option; however, the energy consumption and product

1 cost are high so it cannot be used at a large scale (He J et al., 2012). A third method
2 employs biotechnology. The water hyacinth can curb the spread of algae; however,
3 once the growth of algae is under effective control, the water hyacinth can become a
4 new pollutant. From the above perspectives, the control of algae is still a worldwide
5 problem (Jin et al., 2008; Yan et al., 2012).

6 **4.2.3 Main problems in the current management strategy**

7 By comparing the foreign management strategy over strategies for water pollution
8 control in Dianchi Lake, we found that although the environmental management of
9 Dianchi Lake has occurred over several years, and some activities and regulations
10 have been implemented to enhance the lake environment by controlling wastewater
11 emissions and establishing regulations to protect the lakes in the Yunnan Province.
12 However, the effect on the control of lake eutrophication is still unsatisfactory, and
13 there are problems with institutional fragmentation (horizontally and vertically),
14 simple treatment methods, low-intensity investment in pollution control, and a lack of
15 meaningful endogenous pollution control strategies. For example, with lake dredging,
16 the third phase project has already been put into effect. However, despite more than
17 ten years of hard work, only one-tenth of the silt has been cleared out of the lake.
18 Pollutant interception around the lake has been completed, but there are still questions
19 of how to improve efficiency. Considering these kinds of issues and the deficiencies
20 of the available treatment methods, we should analyze the current status of the lake
21 evolution stage and form suitable management strategies for appropriate actions. This
22 will provide a basis for ecological restoration planning and policy making in the
23 future.

24 **4.3 Management Strategy for Dianchi Lake**

25 It has been reported in many studies that Dianchi Lake, which was formed in
26 approximately 3000ka BP during the late Pliocene, has entered old-age status in its
27 evolution. Considering its current status, the environmental problems that face
28 Dianchi Lake should be managed differently than those in other lakes, such as Lugu

1 Lake, which is a younger-age lake. As a result, when creating the management
2 strategies for Dianchi Lake, we should consider the function of the lake and protect it
3 through a different classification level.

4 The “Six Key Programs”, including lake interception, ecological restoration, river
5 training, lake dredging, water source protection and water diversion, have made great
6 contributions to water pollution control. Based on the above management strategies
7 and the evolution stage of Dianchi Lake, we should adopt appropriate methods and
8 governing tactics. The water quality in Caohai Lake is below Class V and is now in a
9 heavy eutrophic state. During its long-term evolution, the lake deposited a layer of silt,
10 which is now another source of pollution to the water. Therefore, strengthening
11 endogenous pollution control is the key task for pollution control, and the
12 fundamental improvement of water quality of Caohai Lake depends on the measures
13 taken in the upper reaches of the Caohai Watershed, including further recovery of
14 submerged plants, resource utilization of floating plants and the reinforcement of
15 sediment disposability. As such, the swamping trend and the aging process of Dianchi
16 Lake could be stunted. Waihai Lake is the main water body of Dianchi Lake, and the
17 water quality there is also below Class V and in a moderate eutrophic state. The
18 management strategies for endogenous pollution in Waihai Lake are mainly based on
19 restocking algae-eating fish and the ecological restoration of macrophytes. Only by
20 choosing suitable comprehensive control measures that consider the temporal and
21 spatial changes of water quality can the pollution status of Dianchi Lake be changed.

22 Beyond that, we should accelerate the development of water transfer projects to carry
23 out water diversion to Dianchi Lake and prevent water shortages in the area. We
24 could thus increase the water circulation rate, shorten the residence time of water, and
25 change the state of Dianchi Lake. Meanwhile, these management strategies could be
26 utilized by other lakes which have same evolution process or types.

1 **5 Conclusions**

2 Water conflict between human and ecosystem is a key issue for sustainable water
3 resources management. Especially in recent years, due to population growth and
4 economic development, problems of water pollution are getting worse. So proper
5 management strategies of water conflicts between human and ecosystems in these
6 lakes are needed.

7 The Dianchi basin played a significant role in the social stability and the economic
8 development of the Yunnan Province. This paper has focused on temporal and spatial
9 changes in the water quality and the management strategy for Dianchi Lake. Based on
10 analysis of the water parameters from 2005 to 2012, it was shown that the current
11 status of water quality in Caohai Lake was much worse than that of Waihai Lake, and
12 the water quality in the study area experienced two different periods from 2005 to
13 2012. Water quality seriously degraded during the economic boom around the period
14 of the “Eleventh Five-Year Plan” (2005–2010) due to a combination of natural factors
15 and human activities. It then gradually improved from 2010 to 2012 because of the
16 “standard emission directive to industry”. Although some activities and regulations
17 were implemented to enhance the lake environment, many problems were still present
18 in the lake management strategy. To solve these problems, it is important that suitable
19 control measures are chosen that account for the temporal and spatial changes of
20 water quality in this old-age lake.

21

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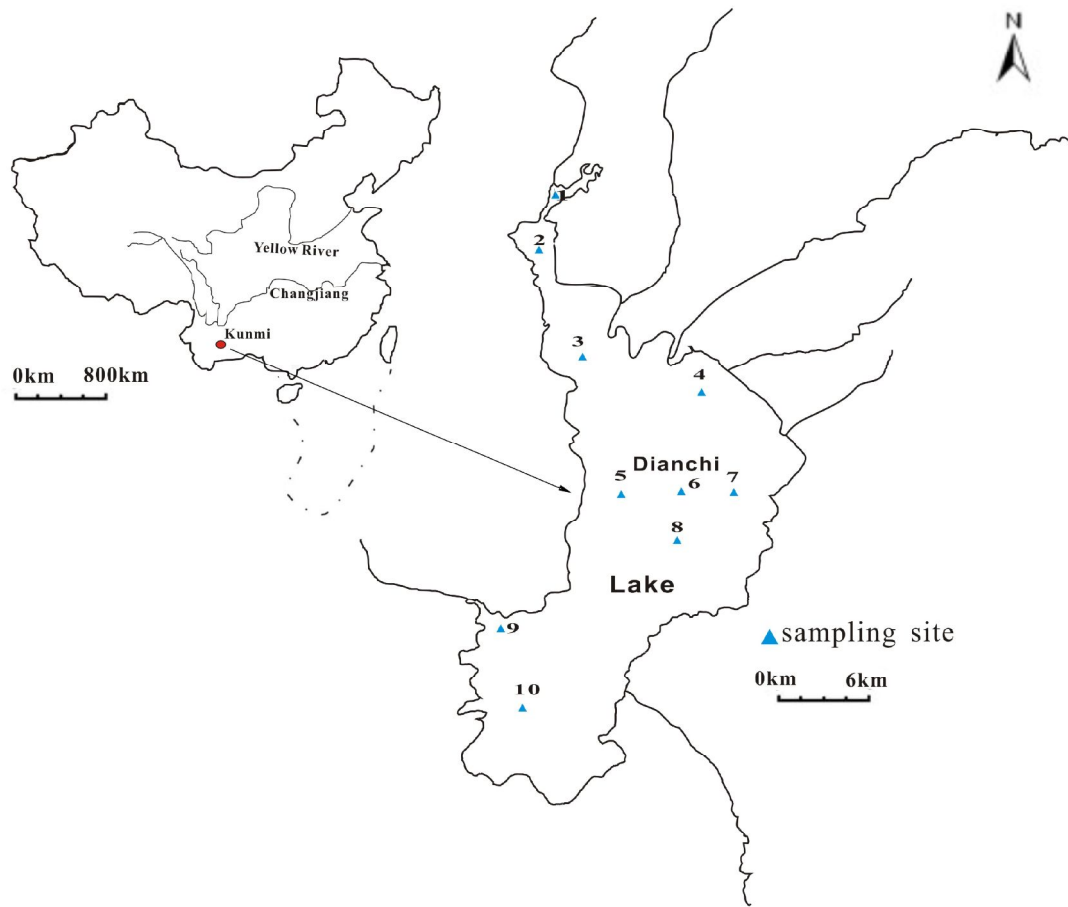
1 Table 1. Correlation coefficients between the environmental variables in Dianchi
 2 Lake (Pearson, 2-tailed)

Variables	Chl-a	Water temperature	PH	DO	COD _{Mn}	COD	BOD ₅	NH ₄ ⁺ -N	TP	TN
Chl-a	1									
Water temperature	0.093	1								
PH	-.250*	0.019	1							
DO	-.408**	0.074	.645**	1						
COD _{Mn}	.317**	-.244*	.249*	-0.108	1					
COD	-0.165	.270*	.451**	.410**	0.09	1				
BOD ₅	.563**	0.058	-.731**	-.753**	-0.075	-.624**	1			
NH ₄ ⁺ -N	.352**	0.123	-.695**	-.792**	-0.042	-.424**	.819**	1		
TP	.418**	0.169	-.658**	-.745**	-0.045	-.365**	.787**	.968**	1	
TN	.410**	0.061	-.762**	-.823**	-0.036	-.494**	.878**	.983**	.947**	1

*. Correlation is significant at the 0.05 level (2-tailed).

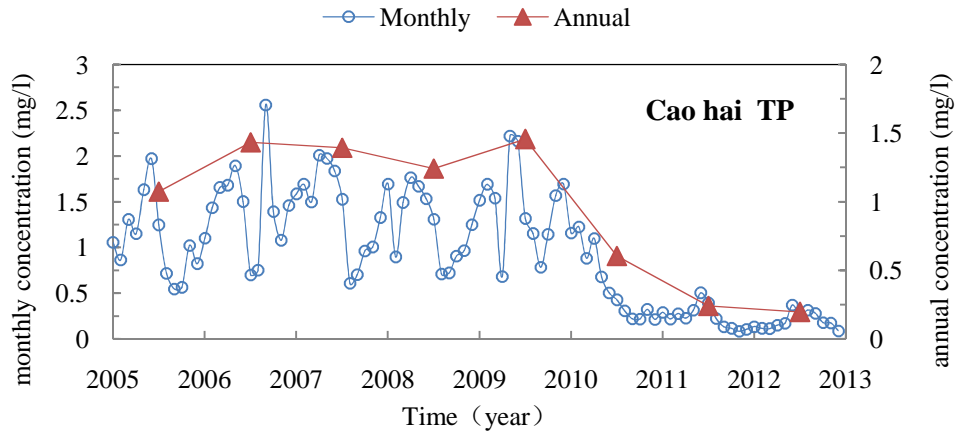
**. Correlation is significant at the 0.01 level (2-tailed).

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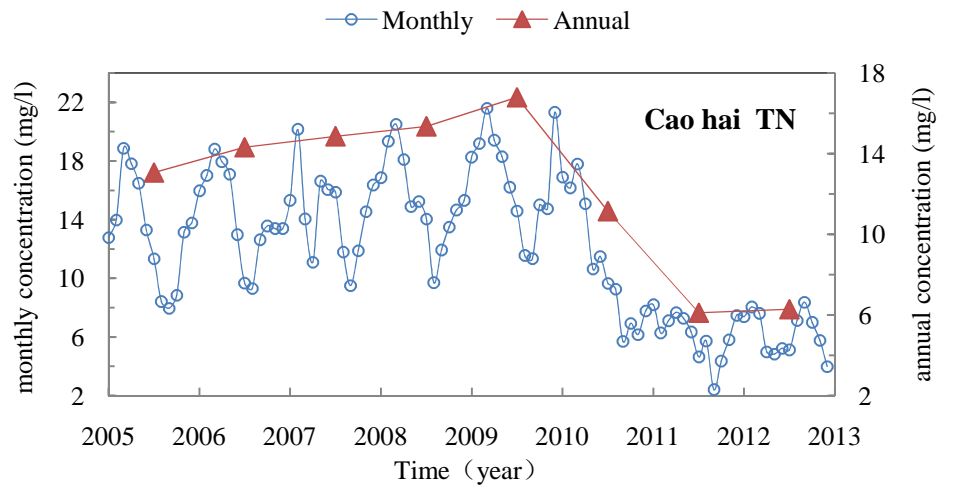


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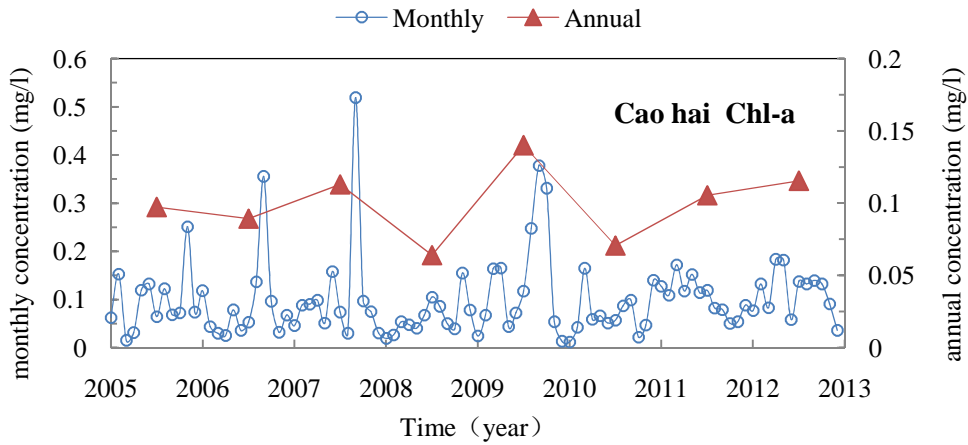
Figure 1. A map showing the location of the study area and the sampling sites



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Figure 2. Temporal changes of TP, TN, and Chl-*a* in Caohai Lake

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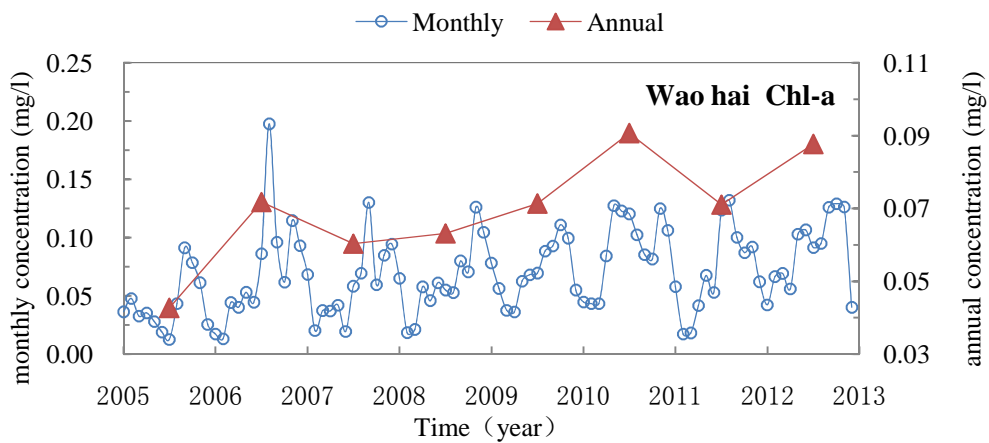
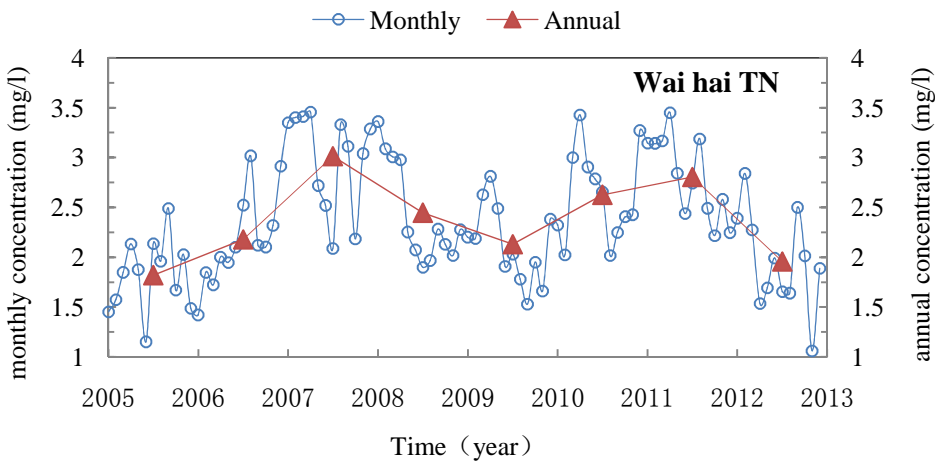
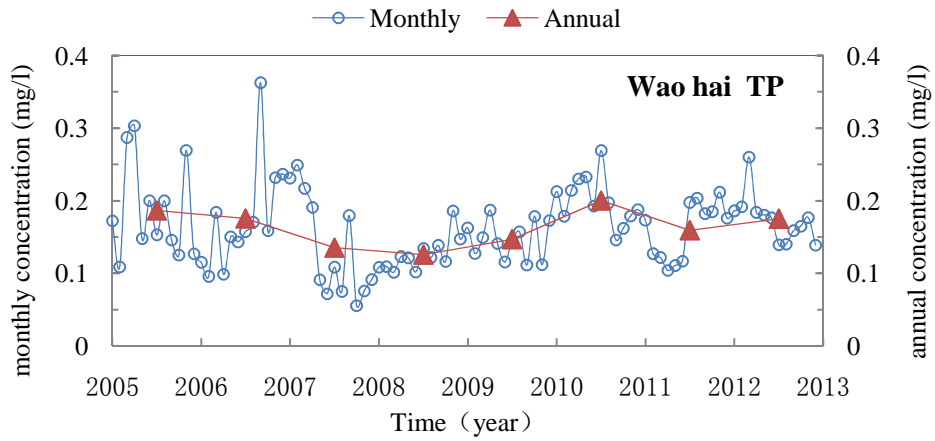
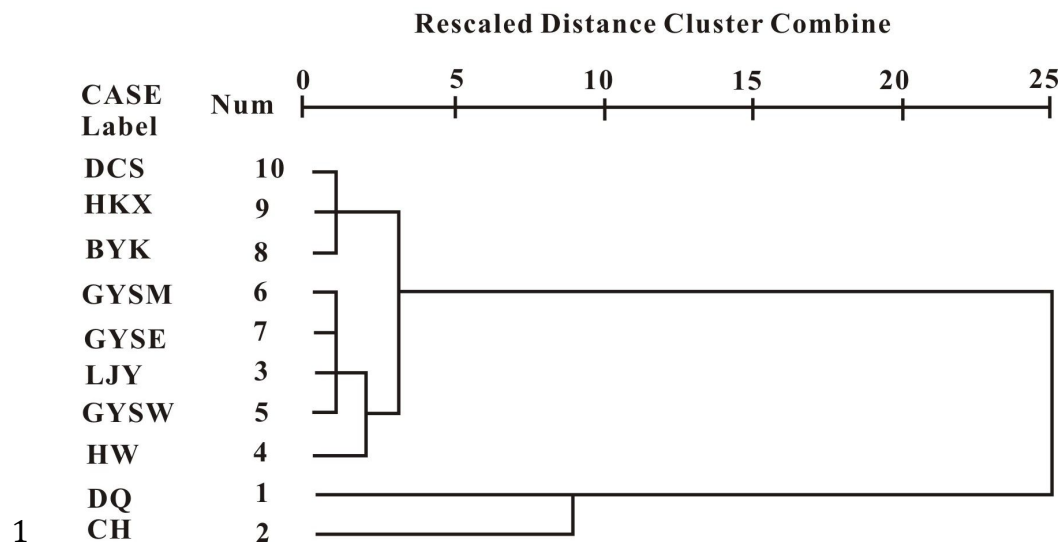


Figure 3. Temporal changes of TP, TN, and Chl-*a* in Waihai Lake



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Figure 4. Dendrogram showing sampling site clusters on Dianchi Lake