

1 **Bayesian networks for environmental flow decision making**
2 **and an application in the Yellow River estuary, China**

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1 **Abstract:** We proposed an approach for environmental flow decision making based on Bayesian
2 networks considering seasonal water use conflicts between agriculture and ecosystems. Three steps
3 were included in the approach: water shortage assessment after environmental flow allocation using a
4 production-loss model considering temporal variations of river flows; trade-off analysis of water use
5 outcomes by Bayesian networks; and environmental flow decision making based on a risk assessment
6 under different management strategies. An agricultural water shortage model and a production-loss
7 model were integrated after satisfying environmental flows with temporal variability. The case study in
8 the Yellow River estuary indicated that the average difference of acceptable economic loss for winter
9 wheat irrigation stakeholders was 10% between water saving measures and water diversion projects.
10 The combination of water diversion projects and water-saving measures would allow 4.1% more river
11 inflow to be allocated to ecological needs in normal years without further economic losses in
12 agriculture.
13 *Keywords:* Environmental flow; Bayesian networks; trade-off analysis; Yellow River estuary

14 **1. Introduction**

15 One of the greatest challenges to realizing sustainable water resource management is
16 the assessment of the amount of water that can be withdrawn from an ecosystem
17 before its ability to meet social, ecological, and economic needs declines (Richter et
18 al., 1997; Acreman and Dunbar, 2004; McCartney et al., 2009). To define water
19 requirements for an ecosystem, various methods for environmental flow assessments
20 have been developed worldwide (Arthington et al., 2006; Poff, 2009; Vogel et al.,
21 2007; Yang, et al., 2009). Those methods can generally be divided into four groups
22 based on the types of ecological objectives: hydrological, hydraulic, habitat, and
23 holistic (Tharme, 2003; Alc ázar et al., 2008). However, difficulties in identifying
24 reasonable objectives and uncertainties in establishing nonlinear eco-hydrological
25 relationships have hampered the broad application of these approaches to
26 environmental flow assessments (Adams et al., 2002; Richter, 2010; Cai et al., 2011).
27 Up to now, it remains difficult to determine ideal water requirements for ecosystems

1 because it is still difficult for us to define the best objectives for ecosystem protection.
2 Furthermore, it is also difficult to identify whether a natural ecosystem is more
3 reasonable than a managed ecosystem. To overcome these difficulties, adaptive
4 management techniques and long-term field studies were suggested to support
5 environmental management (Richter et al., 2006; Poff et al., 2003; Schreiber et al.,
6 2004; Gregory et al., 2007; King et al., 2010), and more powerful mathematical
7 models were also emerged to offer convenient tools for optimal water resource
8 management (Cai et al., 2007; Cai et al., 2009).

9 Moreover, with limited water resources and seemingly limitless water requirements
10 for humans and ecosystems, it is difficult to balance the water requirements for
11 different stakeholders. Water requirements recommended for ecosystem protections
12 may not be easily accepted by water utilization stakeholders due to the possible
13 economic losses caused by environmental flow allocations. Achieving a
14 socio-economic and political consensus on different scenarios of human activities and
15 ecosystem requirements has been identified as having great importance for successful
16 implementation of environmental flow and decision making in water resources
17 management (William et al., 2008; O’Keeffe, 2009; Ren ö f ä t et al., 2009). Barbier et
18 al. (2008) highlighted the complexities involved and compromises necessary to obtain
19 results that are not only ecologically desirable, but also enable management practices
20 that are acceptable to a diverse set of stakeholders.

21 Water-use conflicts between human activities and ecosystems are influenced by
22 the uncertainties about variations in river discharge, water management strategies,
23 objectives of ecosystem protection, and agricultural development. In recent years,
24 many different methods have been employed to integrate environmental changes and
25 economic values. McCartney et al. (2009) stressed the necessity of integrating

1 ecological economics into a social–ecological systems associated with different social,
2 ecological, and management conditions. It is crucial to understand the effects of
3 various flow scenarios on environmental flow allocation and to understand the
4 operational rules necessary for implementing environmental flows (Shafroth et al.,
5 2010).

6 Instead of proposing a method to determine the optimized environmental flows for
7 ecosystems or human activities, we developed an approach for environmental flow
8 decision making considering trade-offs between socioeconomic and ecological water
9 demands based on Bayesian networks (BNs). By identifying the point of inflection in
10 probability for the acceptable outcomes of water use, we provided a way to quantify
11 environmental flow decision making under different water utilization scenarios. The
12 proposed approach is flexible and will allow the incorporation of additional
13 environmental, economic, and social factors into assessments, as well as
14 considerations on socioeconomic and ecological needs for sustainable development.

15 **2. Methods**

16 The approach for environmental flow decision making was comprised by three steps
17 (Fig.1): analyze the water use conflicts between agriculture and ecosystem, and also
18 the water volume maybe lost in agricultural sector due to the maintenance of
19 environmental flows; evaluate the trade-offs between different water use options using
20 the BNs, the outcomes of which were the probability of economic losses under
21 different water allocations scenarios; calculate the environmental flows based on risk
22 assessment using the inflection point analysis method.

23 **2.1 Water shortage assessment for environmental flow allocation**

24 In recent years, the natural flow regime for maintaining ecosystems has been

1 significantly altered worldwide. In most river basins, large amounts of water are
 2 diverted for agricultural irrigation and other human activities (Malano and Davidson,
 3 2009). According to Calzadilla et al. (2010), approximately 70% of freshwater,
 4 withdrawals from rivers and groundwater, is annually diverted from global river
 5 systems to supply agricultural irrigation. Consequently, we proposed a water shortage
 6 model for agriculture based on a higher priority for environmental flow allocation in
 7 water resources management. And water allocation outcomes can be evaluated based
 8 on crop yield variations affected by water utilization. Equation 1 shows the D-K
 9 model proposed by Doorenbos and Kassam (1979), which is typically used to evaluate
 10 crop yield losses with respect to the relative evapotranspiration deficit in different
 11 growth stages; that is,

$$12 \quad \frac{q_m - q_a}{q_m} = k_y \frac{ET_m - ET_a}{ET_m} \quad (1)$$

13 where q_m is the maximum potential crop yield (kg/ha), q_a is the actual crop yield
 14 (kg/ha), k_y is the crop yield response factor (dimensionless), and ET_a and ET_m
 15 are the actual and maximum potential evapotranspiration (mm), respectively.

16 We set q_s to represent the corresponding yield losses ($q_m - q_a$) and set the ratio of
 17 agricultural water shortage to planting area (W_s/A) to indicate the agricultural water
 18 deficiency ($ET_m - ET_a$) after satisfying environmental flows. Hence, the
 19 production-loss model can be written as follows (Pang et al., 2013):

$$20 \quad q_s^i = q_m k_y^i \frac{W_s^i}{ET_m^i A} \quad (2)$$

21 where A is the planting area, and W_s^i is the regional agriculture water shortage (m^3)
 22 during the growth period, in month i . Potential crop evapotranspiration ET_m^i is
 23 estimated by a reference crop evapotranspiration (ET_0) and a crop coefficient (k_c).

1 Based on a high priority of environmental flows allocation, agricultural water
 2 shortage W_s^i can be calculated as the difference in water volume between agricultural
 3 demands and actual supply after maintaining environmental flows for ecosystems:

$$4 \quad W_s^i = \begin{cases} (1-\mu)W_a^i - W_0^i & (1-\mu)W_a^i > W_0^i \\ 0 & (1-\mu)W_a^i \leq W_0^i \end{cases} \quad (3)$$

5 where W_s^i is the agricultural water shortage, W_a^i is the agricultural water demand in
 6 the irrigation district, and W_0^i is the agricultural water usage after deducting
 7 downstream commitments for environmental flows, all in month i , and μ is a
 8 dimensionless water-saving coefficient.

9 The agricultural water demand W_a^i can be determined according to water
 10 consumption in evapotranspiration in the irrigated area:

$$11 \quad W_a^i = k_c^i ET_0^i S, \quad (4)$$

12 where k_c^i is a dimensionless crop coefficient, ET_0^i is the evapotranspiration of the
 13 reference crop, and S is the planting area.

14 Agricultural water usage (W_0^i) can be calculated using the water balance principle.
 15 The water sources (river discharge, groundwater, precipitation, water transfer projects)
 16 and water utilization (domestic and industrial water use, agricultural water demand,
 17 and environmental flow requirements) include various factors required for the
 18 assessment model:

$$19 \quad W_0^i = W_u^i + W_p^i + W_g^i - W_d^i - W_f^i - W_e^i \pm W_t^i \quad (5)$$

20 where W_u^i is river discharge, W_p^i is precipitation, W_g^i is the water supply depleted
 21 from groundwater, W_d^i is the amount of domestic water used, W_f^i is the amount of
 22 water used for industrial purposes, W_e^i is the initial environmental flow that satisfies
 23 ecological objectives, all in month i , and W_t^i is the amount of water transferred into

1 or out of the watershed.

2 The initial environmental flow W_e^i can be determined based on different
3 ecological objectives for ecosystem protections. Sun et al. (2008) develop a
4 method for quantifying the environmental flows integrating multiple ecological
5 objectives in estuaries.

$$6 \quad W_e = \sum_{i=1}^n W_i + \text{MAX}(W_{j1}, W_{j2}, \dots, W_{jm}) \quad (6)$$

7 where W_e are environmental flows in the estuary (m^3), $\text{MAX}(a, b)$ denotes the
8 maximum of variables a, b, W_i is the consumptive water volumes (m^3), W_j is the
9 non-consumptive water volumes (m^3), n and m indicate the number of the objectives
10 of consumptive and non-consumptive water volumes, respectively. The rule of
11 summation is generally used for calculating consumptive water requirements, while
12 the rule of compatibility (i.e., maximum principle) is adopted for estimating
13 non-consumptive ones. In the environmental flows assessments of the Yellow River
14 Estuary, the water needed to ensure replacement of evaporative loss and maintenance
15 of appropriate surface area and depth for wetland habitat stability is considered
16 consumptive. Water needed to maintain the salinity balance and provided adequate
17 transport of sediment and nutrients is identified as non-consumptive, constituting
18 runoff to the ocean.

19 Prioritizing environmental flow may cause economic losses in agriculture due to
20 reduction in the use of water for irrigation. The economic losses resulting from
21 agricultural water shortage were estimated by the crop price and production losses
22 associated with the provision of the environmental flow.

$$23 \quad V^i = q_s^i P \quad (7)$$

24 where V^i represents the economic losses during the growth period, q_s^i is the
25 corresponding production loss calculated from equation (2), and P is the crop price

1 (USD/kg).

2 **2.2 Trade-off analysis Bayesian networks (TOBNs)**

3 We employed the BNs to obtain probability distributions under multiple choices
4 and different scenarios. In general, Bayesian networks were developed as an effective
5 analysis tool to estimate the probabilities of multiple states of response variables
6 (Barton et al., 2008; Chan et al., 2010; Shenton et al., 2011). Previous research has
7 already described the use of BNs for integrated water resources management, water
8 sustainability, and probabilistic hydrologic forecasting (Martín de Santa Olalla et al.,
9 2007; Castelletti and Soncini-Sessa, 2007; Zhang et al., 2011; Kragt et al., 2011). The
10 BN consisted of a series of nodes, representing variables that interact with each other.
11 Figure 2 shows a simple BN in which the node at the tail of the arrow, referred to as
12 the parent node, directly affects the node at the head of the arrow, referred to as the
13 child node. The cause-effect relationship between the parent node and the child node
14 is often represented by an arrow, which are referred to as links. The links are
15 expressed as probabilistic dependencies, which are quantified through a set of
16 conditional probability tables (CPTs). A CPT simply quantifies the probability of a
17 node being in any particular state, given the states of the nodes linked to it. The
18 information in CPTs may come from empirical data or an expert opinion, or it may be
19 predicted from related model outputs.

20 The BN was then used in a “what if” analysis. In addition, no data were included
21 for situations that could occur in the future but that had never occurred in the past
22 (Jakeman et al., 2006; Aguilera et al., 2011), or those that could not be systematically
23 verified or validated. Variables in the BNs are divided into five groups according to
24 their function in the network.

25 1) Parent nodes: not affected by changes in the states of other nodes.

1 2) Intervention actions: actions that follow from the strategies selected through the
2 parent nodes.

3 3) Intermediate variables: represent simulation of the intermediate processes that
4 take place between action and objective.

5 4) Partial objectives: intermediate objectives that contribute toward final objectives.

6 5) Final objectives: represent the variables that are of key importance to the system;
7 the states of these variables are of most concern to stakeholders.

8 The TOBNs, defined as the use of BNs to evaluate the trade-offs of water
9 utilization between agriculture and ecosystem, were established based on the water
10 shortage assessment for environmental flow allocation in Section 2.1. The Netica BNs
11 software (Norsys Software Corporation, 1998) was used to build the TOBNs. This
12 software utilizes Bayes' theorem for calculating the conditional probability of a
13 variable that is dependent on the previous variable by the propagation of the
14 probability.

15

16 **2.3 Recommended environmental flow under different water management**
17 **strategies**

18 Economic losses caused by the prioritization of environmental flow may be
19 unacceptable to irrigation stakeholders, but the recommended environmental flow
20 cannot only be determined by the principles of maximum acceptability of economic
21 losses. In this study, the environmental flow was recommended based on the
22 inflection point in the probability distribution of “acceptable” economic loss (Figure
23 3).

24 **3. Study area**

25 The Yellow River is the second longest river in China and the sixth longest river in

1 world. In recent years, with rapid economic development in China, the volume of
2 water diverted for human activities has increased significantly, particularly for
3 agricultural processes in the middle section of the Yellow River basin (Xu, 2007).
4 Approximately 90% of the total water resources have been used for agricultural
5 development in the Yellow River Basin, resulting in a steady decrease in freshwater
6 inflows to the Yellow River estuary over the past several decades (Li et al., 2004; Sun
7 and Feng, 2013). Figure 4 shows the position of the Shandong irrigation district in the
8 downstream section of the Yellow River, which is located between the Gaocun
9 hydrological station and the Yellow River estuary. The Shandong irrigation district is
10 an important zone for economic development and grain crop production in China. The
11 water utilized for agriculture in this district is mainly supplied by the Yellow River,
12 and since the 1960s, diversion of water for irrigation has increased significantly in the
13 district. By the 1990s, the gross irrigation area had stabilized at 1.7 million ha.

14 In this area, up to 90% of water demands for agriculture are supplied by the Yellow
15 River; the remaining 10% is supplied by groundwater (Yellow River Conservancy
16 Commission of MWR, 1998–2011). According to monitoring data provided by the
17 Shandong Hydrology and Water Resources Reconnaissance Office, the average
18 fluctuation in groundwater level was between -0.5 m and 0.5 m in 70% of the
19 Shandong irrigation district. At the watershed scale, little groundwater recharge or
20 return flow occurs due to the aboveground nature (the riverbed higher than the
21 surrounding land) of the downstream section of the Yellow River and frequent
22 drainage of water for irrigation (Zhi, 2006).

23 The main crops are winter wheat and summer corn, which are planted in a rotation
24 system (October–May and June–September, respectively) and account for almost 90%
25 of agricultural products in the district (Government Office of Shandong Province,

1 1956–2005). According to the Government Office of Shandong Province (1956–2005),
2 the maximum potential crop yields of winter wheat and summer corn are 5.08×10^3
3 and 5.79×10^3 kg/ha, respectively, and the crop yield response factors for winter
4 wheat and summer corn are 1.0 and 1.25, respectively (Doorenbos and Kassam, 1979).
5 Figure 5 shows temporal variations in reference crop evapotranspiration ET_0 and
6 crop coefficient k_c (Chen, 1995).

7 Increased water utilization has resulted in variations in the natural flow regime and
8 even no-flow events in the downstream portions of the Yellow River. In the early
9 1990s, the river dried out annually, and contained no water for an average of 100 days
10 per year in the lower reaches. Considerable effort has been made in determining
11 environmental flow requirements of the Yellow River estuary (Sun et al., 2008, 2013).
12 Sun et al. (2008) assessed the environmental flow in the Yellow River estuary
13 considering different functions served by the ecosystem. The minimum and maximum
14 levels of environmental flow were estimated to be 13.4×10^9 and 27.5×10^9 m³,
15 accounting for 42.6% and 87.2% of the average annual runoff, respectively. To
16 maintain a natural flow regime, temporal variation in natural river discharge was
17 chosen as an indicator of the temporal variation objectives of the environmental flow.
18 The minimum ratio is 2.5% in January and the maximum ratio is 15.9% in August.

19 **4. Results**

20 Figure 6 shows the structure of the TOBNs for environmental flow decision making in
21 the Yellow River estuary. The CPTs for the variables (nodes) were derived from the
22 outcomes of water allocation analysis presented in Section 3.1 and the literature cited
23 therein.

24 Nodes and output states in the TOBNs are listed in Table 1. The relationship

1 between initial environmental flow, water inflow (wet, normal, and dry years), and
2 agricultural water shortage was established based on the water shortage assessment
3 for environmental flow allocation. The wet, normal, and dry states represent 25%,
4 50%, and 75% water supply assurance, respectively. We used river flow rates recorded
5 at the Gaocun hydrological monitoring station and precipitation data collected at the
6 Jinan weather station (Figure 4) in Shandong Province from 1956 to 2005. Domestic
7 and industrial water use and crop prices were determined using statistics from
8 yearbooks produced by the Government Office of Shandong Province (1956–2005).
9 Groundwater was set at 10% of agricultural water demand (Yellow River
10 Conservancy Commission of MWR, 1998–2011). Economic outcomes of water
11 shortage in agriculture were determined by the crop price and production losses
12 associated with the environmental flow provision. In recent years, the planting areas
13 of winter wheat and summer corn were 3.52×10^6 ha and 2.75×10^6 ha, respectively,
14 (together accounting for about 90% of the total area of the irrigation district), and the
15 prices were around USD 0.15/kg and USD 0.13/kg, respectively (Government Office
16 of Shandong Province (1956–2005). Before 2006, total agricultural taxes accounted
17 for 15% of the yield for irrigation stakeholders, i.e., about USD 100/ha per year.
18 Therefore, we set the final objective under USD 100/ha, to represent the acceptable
19 economic loss for irrigation stakeholders.

20 To illustrate the influence of different levels of environmental flow allocations to
21 the irrigation process, different levels of water requirements between the high and low
22 initial estimated environmental flows were used in the calculation. Figure 7 shows the
23 calculated probability distribution of economic losses after maintaining environmental
24 flows with different water supply assurances. These were based on the acceptable
25 limit of the water utilization outcomes considering economic losses of USD 100/ha.

1 The balance between water utilization for ecosystems and agricultural processes
2 varied with river discharge and crop type.

3 Based on the inflection point in the probability distribution of acceptable economic
4 loss, appropriate environmental flow can be recommended considering the
5 requirements of both ecosystems and agriculture. The average probability of
6 acceptable economic loss was 50.9% for summer corn irrigation stakeholders, which
7 was only 1% greater than that of the winter wheat irrigation stakeholders. During the
8 summer corn growth stages (June–September), the probabilities of acceptable
9 economic losses were relative stable when environmental flows were allocated at less
10 than 66.8% of natural flows in wet and normal years, the probability of acceptable
11 economic losses decreased from 54.6% to 49.6% with an increase in environmental
12 flow allocation of 66.8% to 70.8%. This suggested that 66.8% could be defined as
13 environmental flows that may not cause more unacceptable economic loss for
14 agriculture under present water resource strategies in wet and normal years. In dry
15 years, the inflection point for the acceptable economic loss was 57.4%, the
16 corresponding environmental flow was 50.7% of the natural flow. Consequently, the
17 recommended environmental flows accounted for 66.8%, 66.8% and 50.7% of natural
18 flows during wet, normal, and dry years for summer corn stakeholders, respectively.
19 During the winter wheat growth stages (October–May in the next year), the
20 recommended environmental flows were 70.8%, 62.7% and 54.7% of natural flows in
21 wet, normal, and dry years, respectively. We combined the results in the two growth
22 stages to calculate the annual environmental flows. In dry years, for the periods of
23 June to September and October to May, the recommended environmental flows were
24 50.7% and 54.7% of natural flows, respectively, the annual recommended
25 environmental flows accounted for 52.6% of the natural river flows. Similarly, the

1 annual environmental flows were 64.8% and 68.7% of the natural river flow in normal
2 and wet years (Figure 8).

3 **5. Discussion**

4 In the TOBNs, water system engineering and water-saving measures were not only
5 parent nodes but also water management intervention nodes. The water management
6 strategy nodes referred to as “water system engineering” and “water-saving measures”
7 in the TOBNs can be set to “yes” or “no,” leading to four possible combinations of
8 management strategies.

9 (1) Water management strategy I reflected the present patterns of water utilization.
10 The average river discharge during 1998–2005 was $18.8 \times 10^9 \text{ m}^3$, and water
11 utilization for agricultural processes fluctuated between 19.8×10^9 and $20.2 \times 10^9 \text{ m}^3$.
12 Under strategy I, annual discharges of 70.8% and 62.7% were taken as the
13 recommended environmental flows that could meet the requirements of both the
14 initial environmental flow and the lower economic loss during the winter wheat
15 growth stage in wet and normal years, respectively; and 66.8% was recommended
16 during the summer corn growth stage in wet and normal years (Figure 7).

17 (2) Water management strategy II included expected water utilization after the
18 implementation of water diversion projects. To mitigate conflicts over water use in
19 northern China, an eastern route for the south-to-north water diversion project was
20 designed. The project aimed to transfer $0.72 \times 10^9 \text{ m}^3$ of water to Shandong Province,
21 with 90% of these resources being used for agricultural development in the Shandong
22 irrigation district. Water quantity of $0.65 \times 10^9 \text{ m}^3$ is supposed to be transferred from
23 outside of the watershed to Shandong irrigation district yearly.

24 (3) Under water management strategy III, water utilization patterns incorporated the
25 predicted impacts of water-saving measures. In the Shandong irrigation district,

1 furrow and drip irrigation were the main water-saving measures and were part of the
2 water-saving program. Moreover, new planting technologies, such as low-pressure
3 irrigation, furrow irrigation, plastic mulch, and drip irrigation under plastic and
4 terracing, could help to reduce agricultural water demands by 30%, based on
5 suggestions from the FAO (Food and Agriculture Organization of the United Nations,
6 2011). As a result, about 6.0×10^9 m³ of water could be saved from irrigation each
7 year in the Shandong irrigation district.

8 (4) Water management strategy IV represented the incorporation of the water
9 diversion project and the water-saving measures.

10 The probability distributions of acceptable economic loss were compared among
11 the different strategies under environmental flow allocations in normal years (Figure
12 9).

13 For the winter wheat irrigation stakeholders, the average difference in the
14 probability of acceptable economic loss between water management strategies II and
15 III is 10%. Further, when 82.9% of the natural flow was allocated to the
16 environmental flow, the implementation of water-saving measures had a particularly
17 higher chance (17.9%) of an acceptable outcome than the water diversion project. The
18 difference of an acceptable outcome when applying water-saving measures and water
19 diversion projects was not much obvious when the environmental flow allocation was
20 under the lowest state (42.6% of the natural flow), which were only 5.3% and 3.5%
21 for the winter wheat and summer corn stakeholders.

22 Under the strategy of a combination of water-saving measures and water diversion
23 projects, greater than 66.8% of natural flows could be allocated to environmental
24 flows before the probability of an acceptable outcome for the winter wheat irrigation
25 stakeholders decreased significantly. The inflection point in the probability

1 distribution of acceptable economic loss was 62.7% under the current patterns of
2 water utilization (strategy D).

3 Figure 10 shows the recommended environmental flow under the four water
4 management strategies, after integrating the water requirements of the different
5 irrigation stakeholders. Temporal variations of the recommended environmental flow
6 exhibited the same trends and patterns as the natural flow variations in the Yellow
7 River estuary, which used as an indicator of healthy environmental flows. The annual
8 recommended environmental flow under strategy IV accounted for 64.8%, 68.9%, and
9 87.3% of the natural river flow in the dry, normal, and wet years, respectively. This
10 suggested that 4.1% of river discharge could be allocated to ecosystems without
11 increasing agricultural economic loss when the combined strategy was employed in
12 normal years.

13 It should be pointed out that even if different water management strategies are
14 employed, it remains difficult to satisfy the water requirements for both agricultural
15 and ecological use, especially in dry years. In this situation, economic compensation
16 could be an effective way to alleviate water-use conflicts (Sisto, 2009; Pang et al.,
17 2013). A growing number of studies have suggested that the water trade may be an
18 effective tool as a means of buying water from agriculture to establish a supply that
19 meets environmental needs (Wheeler et al., 2010). In recent years, governments have
20 pressured the agricultural irrigation sector to improve local environmental conditions.
21 For example, the Australian government has been relying increasingly on water
22 markets to buy water from willing irrigators to supply environmental flow (Australian
23 Government, 2009; Wheeler et al., 2010). Based on the economic losses we calculated,
24 compensation for agricultural stakeholders could alleviate water-use conflicts. In
25 addition, stakeholder compensation for implementing water-saving measures could

1 encourage others to take these steps, further reducing water-use conflicts. One
2 suggestion has been to establish a special fund to provide compensation for irrigators.
3 This fund could then be used to upgrade irrigation systems and encourage the use of
4 advanced irrigation techniques to reduce water loss.

5 Instead of proposing a method to determine the optimized environmental flows for
6 ecosystems or human activities, we proposed a framework with more flexibility,
7 which allowed us to incorporate additional factors into the assessments based on a
8 consensus on socioeconomic and ecological needs for sustainable development. The
9 water inflow, initial environmental flow requirements, water-saving measures and
10 water diversion projects involved in this process were divided into different levels
11 (states). In this way, variability in the objectives of environmental flows and irrigation
12 processes, and diverse water resource management strategies could be utilized in the
13 assessment. Additional influences such as climate change and human activity could
14 also be included in the trade-off analysis. The probability distribution of economic
15 losses provided the basis for the determination of recommended environmental flow
16 for sustainable water use in ecosystem protection and irrigation processes. The
17 approach developed here also allowed for an improved understanding of how to
18 incorporate the traditional management framework by displaying the probabilities of
19 multiple choices to analyze economic acceptability under different water management
20 strategies. This is an important step in formulating an acceptable recommendation for
21 stakeholders that is both hydrologically and economically practical.

22 **6. Conclusions**

23 We developed an approach for environmental flow decision making considering the
24 allocation of water for both agricultural and ecosystem processes. The approach was
25 based on the conceptualization of water use conflicts and the utilization of BNs for

1 quantifying uncertainties. Uncertainty in water utilization in agriculture and
2 ecosystems was determined by BNs under different water management strategies. The
3 inflection point in the probability distribution of acceptable economic loss for
4 different stakeholders was identified as the threshold of recommended environmental
5 flows.

6 We applied the approach in the downstream region of the Yellow River.
7 Agricultural economic losses were calculated in the Shandong irrigation district after
8 maintaining different levels of environmental flow in the Yellow River estuary. In a
9 normal year, 68.9% of the natural flow could be allocated to environmental flow after
10 implementing the water-saving measures (strategy III) or the combined water
11 management strategy (strategy IV), contrast to 64.8% under strategy I, an additional
12 4.1% of the natural river inflow could be allocated to environmental flow without
13 increasing agricultural economic losses.

14 Environmental flows identified from an ecosystem protection standpoint should be
15 taken as preliminary results rather than conclusive flow requirements in a changing
16 world. At this point, it is possible for us to provide a practical recommendation that is
17 at least acceptable to a majority of stakeholders. Although we have only focused on a
18 specific case study in a limited area, the approach could be used to help settle
19 water-use conflicts on a larger, regional scale.

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2 **Table 1.** Nodes and outputs in the TOBNs.

Group	Name	Explanation	States
Parents	Water inflow	Water supply assurance	Wet, normal, dry
	Initial environmental flow requirement	% of the average annual runoff	42.6%, 46.6%, 50.7%, 54.7%, 58.7%, 62.7%, 66.8%, 70.8%, 74.8%, 78.8%, 82.9%, and 87.2%
	Water-saving measures ^a	30% of water was saved	
	Water system engineering ^a	0.65 × 10 ⁹ m ³ water was transferred	Yes; no
	Crop price for winter wheat ^a Crop price for summer corn ^a	USD/kg	Average
Intermediate variable	Agricultural water shortage for winter wheat	10 ⁹ m ³	0–1; 1–2
	Agricultural water shortage for summer corn		0–1; 1–2; 2–3
Partial objectives	Production losses for winter wheat	% reduction of the annual yield	Under 20%; over 20%
	Production losses for summer corn		
Final objectives	Economic losses for winter wheat	Under USD 100/ha; over USD 100/ha	Acceptable; unacceptable
	Economic losses for summer corn		

3 ^a Included in both parent and water management interventions nodes.

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1 **Figure captions**

2 **Fig. 1.** Steps for environmental flow (EF) decision making.

3 **Fig. 2.** A simple framework illustrating the structure and CPTs of the BNs.

4 **Fig. 3.** Illustration of the determination of recommended environmental flow.

5 **Fig. 4.** Location of the Yellow River estuary and the Shandong irrigation district in China.

6 **Fig. 5.** Reference crop evapotranspiration and crop coefficients, derived from Chen (1995).

7 **Fig. 6.** The structure of trade-off analysis Bayesian networks (TOBNs).

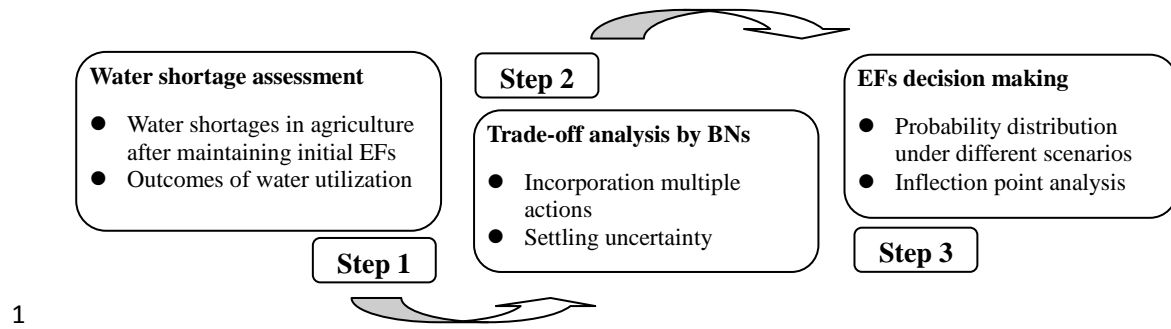
8 **Fig. 7.** Comparison of the outcomes in the wet, normal, and dry years, (A) for winter wheat irrigation
9 stakeholders, and (B) for summer corn irrigation stakeholders.

10 **Fig. 8.** The recommended environmental flow in dry, normal, and wet years.

11 **Fig. 9.** Comparisons of the probability distributions of acceptable economic loss among different
12 water management strategies for the irrigation stakeholders of (A) winter wheat, and (B) summer
13 corn.

14 **Fig. 10.** The recommended environmental flow under different water management strategies.

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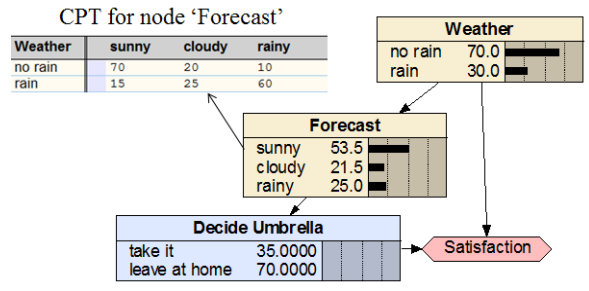


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Fig. 1. Steps for environmental flow (EF) decision making.

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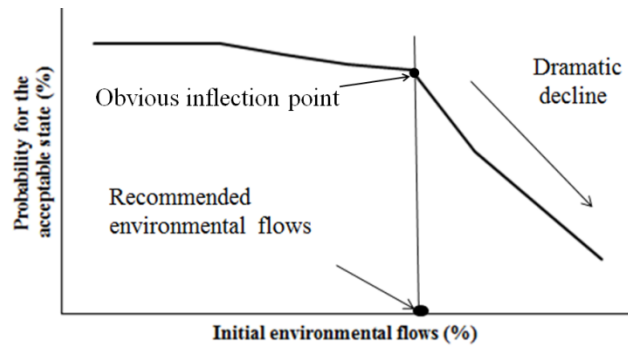


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Fig. 2. A simple framework illustrating the structure and CPTs of the BNs.

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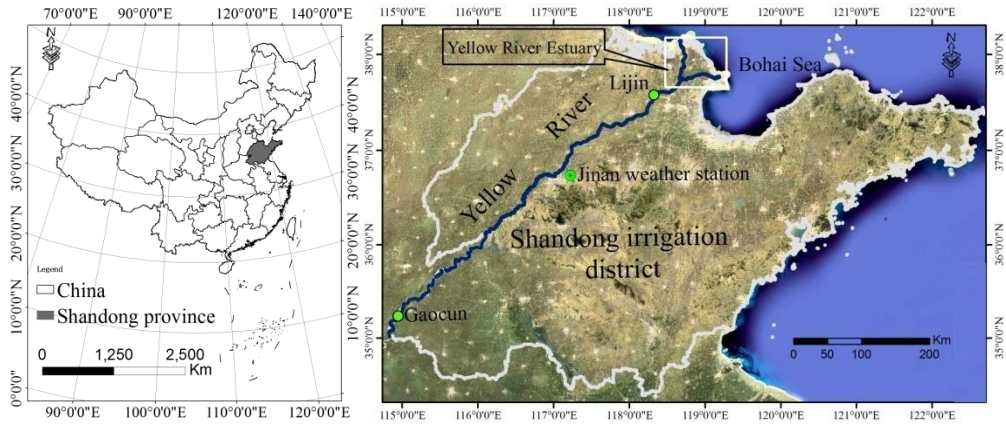
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Fig. 3. Illustration of the determination of recommended environmental flow.

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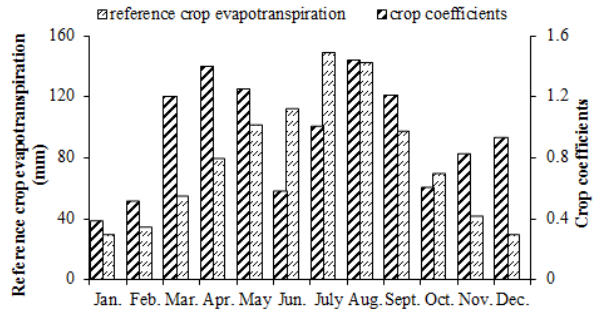
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Fig. 4. Location of the Yellow River estuary and the Shandong irrigation district in China.

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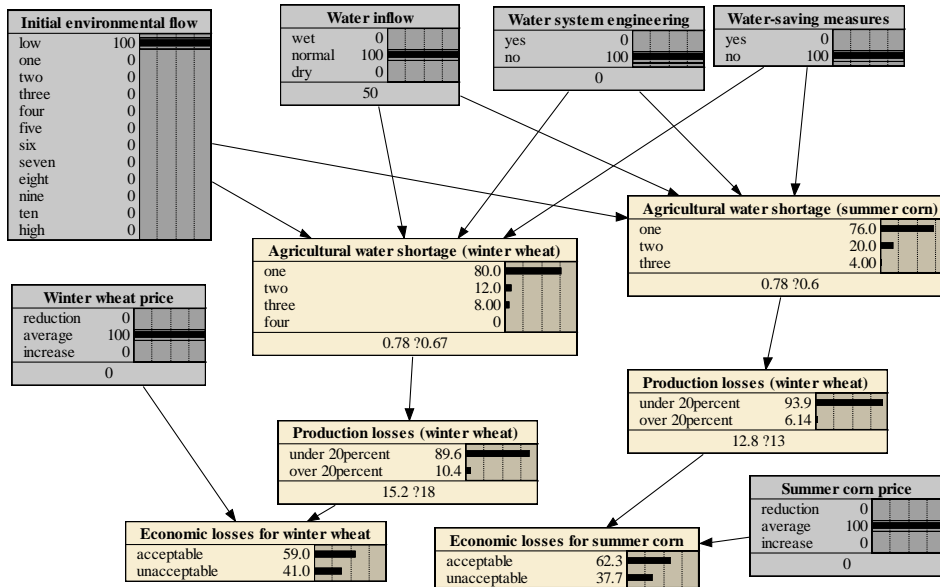
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Fig. 5. Reference crop evapotranspiration and crop coefficients, derived from Chen (1995).

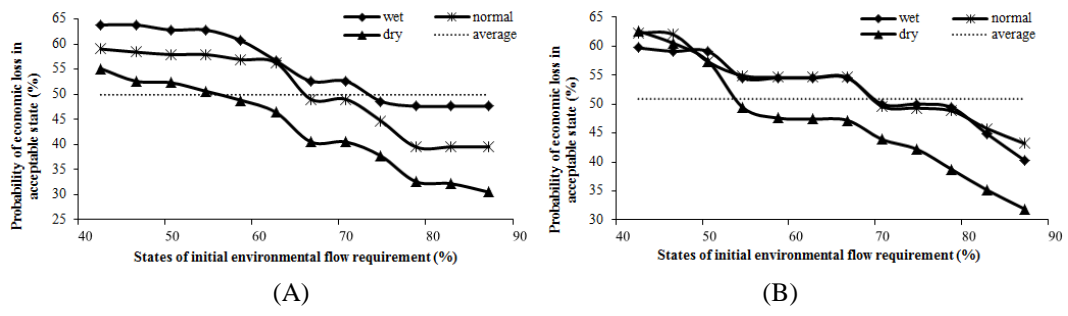
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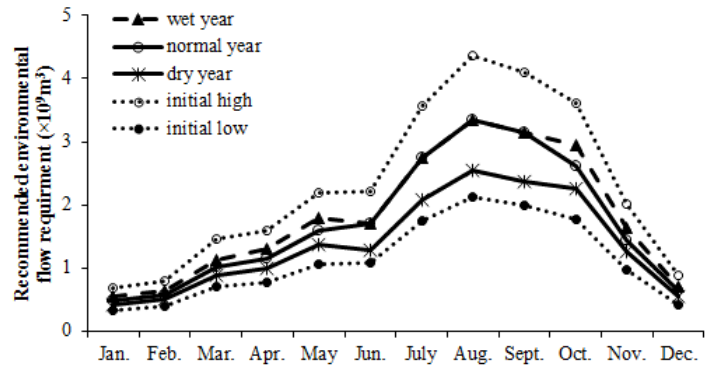
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Fig. 6. The structure of trade-off analysis Bayesian networks (TOBNs).



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Fig. 7. Comparison of the outcomes in the wet, normal, and dry years, (A) for winter wheat irrigation stakeholders, and (B) for summer corn irrigation stakeholders.

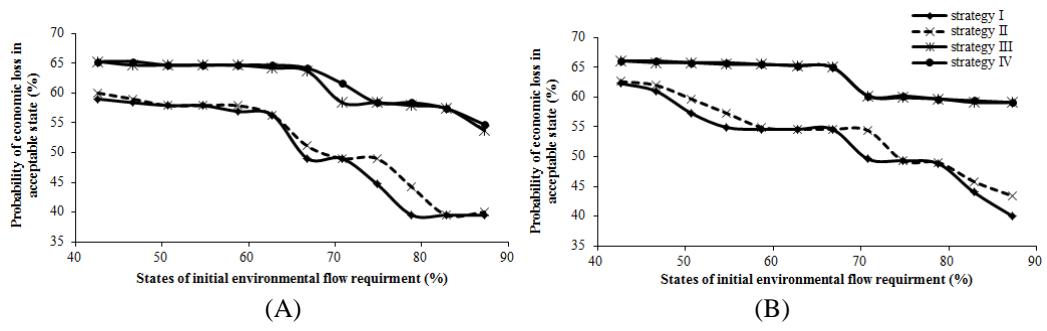


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Fig. 8. The recommended environmental flow in dry, normal, and wet years.

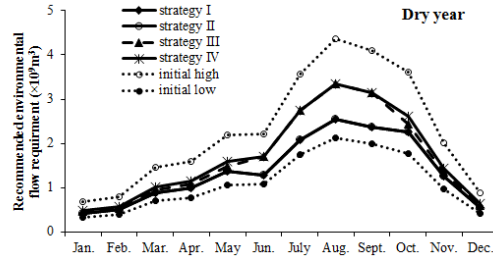
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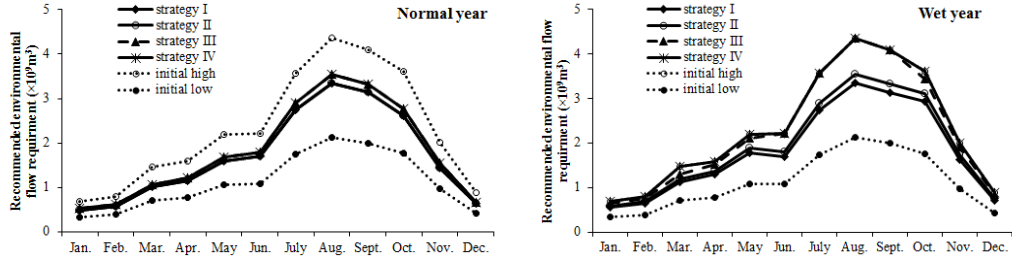
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Fig. 9. Comparisons of the probability distributions of acceptable economic loss among different water management strategies for the irrigation stakeholders of (A) winter wheat, and (B) summer corn.

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Fig. 10. The recommended environmental flow under different water management strategies.