

# 1 Comparison of drought indicators derived from multiple 2 datasets over Africa

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8

## 9 **Abstract**

10 Drought monitoring is a key component to mitigate impacts of droughts. Lack of reliable and  
11 up-to-date datasets is a common challenge across the Globe. This study investigates different  
12 datasets and drought indicators on their capability to improve drought monitoring in Africa.  
13 The study was performed for four river basins located in different climatic regions (the Oum  
14 er-Rbia in Morocco, the Blue Nile in Eastern Africa, the Upper Niger in Western Africa, and  
15 the Limpopo in South-Eastern Africa) as well as the Greater Horn of Africa.

16 The five precipitation datasets compared are the *ECMWF ERA – Interim reanalysis*, the  
17 *Tropical Rainfall Measuring Mission* satellite monthly rainfall product 3B43, the *Global*  
18 *Precipitation Climatology Centre* gridded precipitation dataset, the *Global Precipitation*  
19 *Climatology Project* Global Monthly Merged Precipitation Analyses, and the *Climate*  
20 *Prediction Center Merged Analysis of Precipitation*. The set of drought indicators used  
21 includes the Standardized Precipitation Index, the Standardized Precipitation-Evaporation  
22 Index, Soil Moisture Anomalies and Potential Evapotranspiration.

23 A comparison of the annual cycle and monthly precipitation time series shows a good  
24 agreement in the timing of the rainy seasons. The main differences between the datasets are in  
25 the ability to represent the magnitude of the wet seasons and extremes. Moreover, for the  
26 areas affected by drought, all the drought indicators agree on the time of drought onset and  
27 recovery although there is disagreement on the extent of the affected area. In regions with  
28 limited rain gauge data the estimation of the different drought indicators is characterised by a  
29 higher uncertainty. Further comparison suggests that the main source of differences in the

1 computation of the drought indicators is the uncertainty in the precipitation datasets rather  
2 than the estimation of the distribution parameters of the drought indicators.

3

## 4 **1 Introduction**

5 Assessment of drought impacts requires understanding of regional historical droughts as well  
6 as the bearings on human activities during the occurrences. Traditional methods for drought  
7 assessment are mainly based on water supply indices derived from precipitation time-series  
8 alone. A sparse distribution of rain gauges and short or incomplete historical rainfall records  
9 may, however, lead to significant errors in the estimation of water supply indices derived  
10 from precipitation time-series.

11 As a consequence of drought, many countries in Africa have seen recurrent famines that  
12 affected millions of people (Rojas et al., 2011). Since precipitation is fundamental for rain-fed  
13 crops in these drought-prone regions, improvements in drought monitoring and early warning  
14 will improve our capacity to detect, anticipate, and mitigate famine (Wilhite et al, 2000,  
15 Rowland et al., 2005). However, the lack of reliable and up-to-date climatological data in  
16 many regions of Africa hinders the development of effective real-time drought monitoring  
17 and early warning systems.

18 Recently, several rain gauge and remote sensing based estimations of precipitation became  
19 available, which exhibit discrepancies and limitations in representing rainfall at local and  
20 regional scale. This has been highlighted for daily and monthly precipitation datasets by  
21 Dinku et al (2007; 2008) and Hirpa et al (2010). The authors studied a relatively dense station  
22 network over the Ethiopian highlands and found that at a monthly time scale and a spatial  
23 resolution of 2.5° CMAP and TRMM 3B43 performed very well with a bias of less than 10%  
24 and a root mean square error of about 25%. Thiemig et al. (2012; 2013) found that the  
25 Rainfall Estimation Algorithm and TRMM 3B42 showed a high potential in reproducing the  
26 interannual variability, the spatial and quantitative distribution and the timing of rainfall  
27 events.

28 Liebmann et al., 2012, studied the spatial variations in the annual cycle comparing GPCP with  
29 TRMM and gauge-based Famine Early Warning System datasets. They found that GPCP  
30 estimates are generally higher than TRMM in the wettest parts of Africa, but the timing of the  
31 annual cycle and onset dates are consistent. Dutra et al., 2013a, found significant differences

1 (mainly in the equatorial area) in the quality of the precipitation between the ERA-Interim,  
2 GPCP and the Climate Anomaly Monitoring System – Outgoing Longwave Radiation  
3 Precipitation Index (CAMS-OPI) datasets for different river basins in Africa. From these  
4 studies it is evident that the question on which dataset best represents African precipitation is  
5 still not sufficiently answered.

6 The difficulty in establishing a “ground truth” of precipitation in Africa also affects the  
7 uncertainty in the calculation of derivatives of precipitation, like drought indicators, since the  
8 relationship between the quality of a precipitation product and any drought indicator is  
9 nonlinear. This means that errors in the precipitation can be amplified or dampened when a  
10 drought index is computed. Previous works have reviewed and compared several drought  
11 indicators (Heim 2002; Anderson et al 2011, Shukla et al 2011; Vicente-Serrano et al 2012).  
12 However, an agreement between different indicators is not necessarily observed as the  
13 capability to detect droughts changes between indicator, system and region.

14 The main goal of this study was to identify the main sources of uncertainty in the computation  
15 of the drought indicators. Furthermore, an assessment was done on the ability of the different  
16 datasets and drought indicators (SPI, SPEI, PET and SMA) to represent the spatio-temporal  
17 features of droughts in different climate regimes across Africa.

18

## 19 **2 Data and Methods**

### 20 **2.1 Study area**

21 The analysis was performed at continental level over Africa with particular focus on the areas  
22 falling in four river basins (Oum er-Rbia, Limpopo, Niger, and Eastern Nile) as well as the  
23 Greater Horn of Africa (GHA). The regions were defined as the land areas inside each  
24 bounding box (see Figure 1). The area and geographical extent of the study areas are provided  
25 in Table 1. The regional study areas selected cover a range of climates and socio-economic  
26 systems in Africa.

27

## 1 2.2 Precipitation Data

2 The five precipitation datasets used were the “ECMWF ERA-INTERIM (ERA-I) Reanalysis”  
3 (approximately  $0.7^\circ \times 0.7^\circ$ , bilinear interpolation to  $0.5^\circ \times 0.5^\circ$ ), “Tropical Rainfall Measuring  
4 Mission” (TRMM) satellite monthly rainfall product 3B43 ( $0.25^\circ \times 0.25^\circ$ ), the “Global  
5 Precipitation Climatology Centre” (GPCC) gridded precipitation dataset V.5 ( $0.5^\circ \times 0.5^\circ$ ), the  
6 Global Precipitation Climatology Project (GPCP) Global Monthly Merged Precipitation  
7 Analyses ( $2.5^\circ \times 2.5^\circ$ ) and the CPC Merged Analysis of Precipitation (CMAP,  $2.5^\circ \times 2.5^\circ$ )  
8 (Table 2).

9 This work uses the TRMM Multisatellite Precipitation Analysis estimation computed at  
10 monthly intervals as TRMM 3B-43 dataset for the period 1998-2010 (Huffman et al., 2007).  
11 This product combines the estimates generated by the TRMM and other satellite products  
12 (3B-42) with the Climate Anomaly Monitoring System gridded rain gauge data and/or the  
13 GPCC global rain gauge data at  $0.25^\circ \times 0.25^\circ$  resolution. The GPCC full reanalysis version 5  
14 (Rudolf et al., 1994) was used for 1979 to 2010. This dataset is based on quality-controlled  
15 precipitation observations from a large number of stations (up to 43,000 globally) with  
16 irregular coverage in time.

17 The ECMWF ERA-I reanalysis, the latest global atmospheric reanalysis produced by  
18 ECMWF extends from 1 January 1979 to the present date. See Dee et al. (2011) for detailed  
19 descriptions of the atmospheric model used in ERA-I, the data assimilation system, the  
20 observations used, and various performance aspects. The ERA-I configuration has a spectral  
21 T255 horizontal resolution (about  $0.7^\circ \times 0.7^\circ$  in the grid-point space) with 60 model vertical  
22 levels. For the present application, the monthly precipitation means were spatially  
23 interpolated (bilinear) to a regular  $0.5^\circ \times 0.5^\circ$  grid. Three-hourly ERAI precipitation estimates  
24 are produced 12 h model integrations starting at 00UTC and 12UTC daily from initial  
25 conditions provided by the data assimilation system. These short-range forecasts are therefore  
26 mainly constrained by the analysis of upper-air observations of temperature and humidity,  
27 from satellites and in situ instruments.

28 The Global Precipitation Climatology Project (GPCP, Huffman et al., 2009) combines the  
29 precipitation information available from several sources such as the Special Sensor  
30 Microwave/Imager (SSM/I) data from the United States Defence Meteorological Satellite  
31 Program satellites, infrared precipitation estimates computed primarily from geostationary  
32 satellites, low-Earth orbit estimates including the Atmospheric Infrared Sounder Television

1 Infrared Observation Satellite Program (TIROS) Operational Vertical Sounder (TOVS), and  
2 Outgoing Longwave Radiation Precipitation Index data from the NOAA series satellites. The  
3 gauge data included are assembled and analyzed by the Global Precipitation Climatology  
4 Centre (GPCC). The latest version of GPCP v2.2 that was used is available since January  
5 1979 to December 2010 in a regular 2.5°x2.5° grid.

6 The CPC Merged Analysis of Precipitation ("CMAP") is a technique which produces pentad  
7 and monthly analyses of global precipitation in which observations from rain gauges are  
8 merged with precipitation estimates from several satellite-based algorithms (infrared and  
9 microwave). The analysis are on a 2.5 x 2.5 degree latitude/longitude grid and extend back to  
10 1979. For further information refer to Xie and Arkin, (1997).

## 11 2.3 Drought indicators

12 The set of hydro-meteorological indicators analysed included the Standardized  
13 Precipitation Index (SPI), Standardized Precipitation-Evaporation Index (SPEI), Potential  
14 Evapotranspiration (PET) and Soil Moisture Anomalies (SMA). The SPI was computed with  
15 all the datasets (ERA-I, TRMM, and GPCP) since it only uses precipitation data. The SPEI  
16 was computed with precipitation and potential evapotranspiration from ERA-I, as well as  
17 with precipitation from GPCP and potential evapotranspiration from ERA-I. SMA and PET  
18 were directly obtained from the ERA-I reanalysis. The individual drought episodes from the  
19 time series of all indicators were determined by considering different thresholds of the  
20 standardized indicators. The duration of each dry event was determined as the number of  
21 consecutive months with negative values (positive for PET) over the period 1998-2010. The  
22 monthly drought fractional area was computed for different thresholds but is only shown for  
23 the values below the -1.0 threshold.

### 24 2.3.1 Standardized Precipitation Index (SPI)

25 The Standardized Precipitation Index (SPI) was developed by McKee et al. (1993, 1995) to  
26 provide a spatially and temporally invariant measure of the precipitation deficit (or surplus)  
27 for any accumulation timescale (e.g. 3, 6, 12 months). It is computed by fitting a parametric  
28 Cumulative Distribution Function (CDF) to a homogenized precipitation time-series and  
29 applying an equi-probability transformation to the standard normal variable. This gives the  
30 SPI in units of number of standard deviations from the median.

1 Typically, the gamma distribution is the parametric CDF chosen to represent the precipitation  
2 time-series (e.g. McKee et al., 1993, 1995; Lloyd-Hughes and Saunders 2002; Husak et al.,  
3 2007) since it has the advantage of being bounded on the left at zero and positively skewed  
4 (Thom 1958; Wilks 2002). Moreover, Husak et al. (2007) and Naumann et al. (2012) have  
5 shown that the gamma distribution adequately models precipitation time-series in most of the  
6 locations over Africa. In this study we use the Maximum-Likelihood Estimation (MLE)  
7 method to estimate the parameters of the gamma distribution.

8 A persistent negative anomaly of precipitation is the primary driver of drought, resulting in a  
9 successive shortage of water for different natural and human needs. Since SPI values are  
10 given in units of standard deviation from the standardised mean, negative values correspond  
11 to drier periods than normal and positive values correspond to wetter periods than normal.  
12 The magnitude of the departure from the median is a probabilistic measure of the severity of a  
13 wet or dry event.

### 14 **2.3.2 Standardized Precipitation-Evaporation Index (SPEI) and** 15 **Potential Evapotranspiration (PET)**

16 The Standardized Precipitation Evapotranspiration Index (SPEI, Vicente-Serrano et al., 2010)  
17 is based on precipitation and temperature data, and it has the advantage of combining  
18 different time dimensions (like the SPI) with the capacity to include the effects of temperature  
19 variability on drought. The calculation combines a climatic water balance, the accumulation  
20 of a water deficit/surplus at different time scales, and an adjustment to a log-logistic  
21 probability distribution. SPEI is similar to SPI, but it includes the temperature impact via the  
22 potential evapotranspiration (PET) that is calculated following Thornthwaite (1948). In the  
23 current work, we used ERA-I 2-meter temperature to derive PET, and the multiscalar index is  
24 calculated as P-PET over the different time-scales and normalized (like the SPI) using the log-  
25 logistic probability distribution.

### 26 **2.3.3 Soil Moisture Anomalies (SMA)**

27 Soil moisture anomalies were derived from ERA-I simulations by removing the mean annual  
28 cycle. Further standardization could be achieved by fitting the soil moisture distribution to a  
29 probability distribution (similar to SPI or, SPEI) such as the Beta distribution (Sheffield et al.,  
30 2004) or just a simple z-score (Dutra et al., 2008). In the current work we compare the SMA  
31 z-score following the considerations depicted in Dutra et al., 2008. By normalizing the soil

1 moisture with the z-score, a classification scheme is obtained that is similar and comparable to  
2 that of McKee et al. (1993) and Vicente Serrano et al. (2012).

### 3 **2.4 Evaluation metrics**

4 The precipitation datasets and drought indicators were assessed using different scores  
5 available in the hydroGOF R-Package (Zambrano-Bigarini, 2013): Spearman's correlation  
6 coefficient ( $r$ ), Mean Absolute Error (MAE), Percent Bias (PBIAS) and the Index of  
7 Agreement ( $d$ ). Details of the Evaluation scores are listed in the appendix.

8 A direct quantitative assessment at continental level is difficult due to the lack of an actual  
9 validation dataset that represents the ground truth with adequately high spatial or temporal  
10 resolution. The performance metrics (mean absolute error, relative bias and index of  
11 agreement) were used to diagnose the relative reliability of each indicator over different  
12 drought properties. This analysis does not assume that a single dataset or indicator is better  
13 than the other but highlights their temporal and spatial coherency.

14

## 15 **3 Results and discussion**

### 16 **3.1 Comparison of global precipitation datasets**

17 The datasets analysed are based on in-situ data (GPCC), remote sensing estimations (TRMM,  
18 GPCP) and a global circulation model (ERA-I). The datasets are not completely independent.  
19 For example, TRMM and GPCP are mainly based on remote sensing data and GPCP uses  
20 GPCC over land). Figure 2 shows the mean annual precipitation for the ERA-I, GPCC,  
21 GPCP, CMAP and TRMM datasets over Africa. There is an overall agreement between the  
22 datasets with respect to the mean as well as the general spatial patterns of annual  
23 precipitation. These datasets agree on the north-south gradient from the Sahara desert areas in  
24 the North to the tropical savannahs in the Sahel (an area centered at approximately 10°N  
25 spanning from the Atlantic Ocean in the west to the Red Sea in the east). The datasets also  
26 agree in the precipitation maximum over the African rainforests related to the location of the  
27 Inter-tropical Convergence Zone (ITCZ), as well as in the drier climate of the south-western  
28 part of Africa. The main differences are observed in the tropical area and over un-gauged  
29 areas. In transition regions from the Sahel to the Sahara TRMM estimations can exceed  
30 GPCC more than twofold while TRMM is substantially lower than the other estimations

1 along the southwestern coast of West Africa (Liebmann et al., 2012). There is also a tendency  
2 of higher precipitation in the tropical rainforest in GPCP (Liebmann et al., 2012) and ERA-I  
3 (Dutra et al., 2013a, b) compared with the other datasets. ERA-I overestimates the rainfall in  
4 the central African region which is likely to be associated with a substantial warm bias in the  
5 model due to an underestimation of aerosol optical depth in the region (Dee et al., 2011).

6 For all the datasets and regions analysed the mean annual cycle of precipitation shows good  
7 agreement with respect to the onset and end of the rainy season. This is true even for the GHA  
8 region which is characterized by two rainy seasons (Figure 3). However, with respect to  
9 intensity the results are more heterogeneous. Although in the Limpopo and Oum er-Rbia  
10 basins there is a good agreement between the datasets, for the basins located in the tropical  
11 band the discrepancies are higher with an overestimation of ERA-I in the Eastern Nile Basin  
12 and GHA and an underestimation in the Niger basin.

13 Apparently the density of rain gauges plays a role in determining the agreement between  
14 datasets. The best gauged regions (Oum er-Rbia and Limpopo; Table 1) are those with the  
15 lowest dispersion in terms of annual cycle. These two regions (Oum er-Rbia and Limpopo)  
16 are located outside the tropical region, and their precipitation variability is mainly controlled  
17 by large-scale synoptic weather systems, while in the tropical region small-scale convective  
18 events play an important role. In these regions, model uncertainties (for example land-  
19 atmosphere coupling), uncertainties in satellite retrievals as well as poor gauge cover  
20 contribute to the large spread in the mean annual cycles.

21 The monthly datasets show a reasonable agreement over all regions in terms of the correlation  
22 coefficients which are usually greater than 0.8 (Table 3). The CMAP dataset deviates with  
23 values below 0.7 in some regions. Oum er-Rbia and Limpopo areas show the best agreement  
24 between datasets with MAE values below 10 mm/month. The bias in those two regions is  
25 below 20 % in all the cases except when TRMM and CMAP are compared (30%).

26 The biggest differences were observed for ERA-I in the Blue Nile and GHA regions. In these  
27 regions the overestimation of monthly precipitation reached 40 mm/month and the bias can  
28 reach 90% in the Blue Nile and around 50% in the GHA.

### 29 **3.2 Comparison of drought indicators**

30 The monthly patterns of drought over Africa for January 2000, 2003, 2006 and 2009 show  
31 that dry areas (indicators with negative values) are generally depicted in more than one



1 indicator, but their consistency varies with the drought characteristics, as well as the spatial  
2 and temporal coverage (Figure 4). Although there is in general a good spatial correspondence  
3 between all the indicators over the study period, there are also areas where there is no  
4 agreement between some indicators, such as in Central Africa between SPI and SPEI.

5 Figure 5 shows the index of agreement ( $d$ ) between all the drought indicators computed with  
6 ERA-I. Overall, the index of agreement shows that there is a good correspondence between  
7 indicators in all region with mean  $d$  values greater than 0.5 for almost all the comparisons.  
8 PET seems to be uncoupled with the other indicators with low values of  $d$ . However the effect  
9 on the computations of the SPEI is not major, since the agreement of this indicator with the  
10 others is still high. Only the inner Niger Delta is characterized by a weaker agreement, where  
11  $d$  is often below 0.5.

12 Figure 6 shows the evolution of drought areas in 2000, 2003, 2006 and 2009 characterized by  
13 the number of indicators below a certain threshold. In almost all areas there is a good  
14 agreement, with usually more than 3 indicators reporting drought conditions per grid cell.  
15 However, there are some areas with only one indicator below the defined threshold, mostly  
16 over Central Africa. There is scope to take advantage of these discrepancies and agreements  
17 and propose the construction of a composite indicator (Svoboda et al., 2002; Sepulcre-Canto  
18 et al., 2012; Hao and AghaKouchak, 2013). The development of a single composite drought  
19 indicator could improve the detection of the onset of a drought and help to monitor its  
20 evolution more efficiently, at the same time providing information on the uncertainty in the  
21 data. This will allow decision makers and stakeholders to better handle uncertainties in early  
22 warning systems.

23 The individual drought episodes were computed from the time series of all indicators  
24 considering as dry periods all values of standardized indicators below zero. The duration of  
25 each dry event was determined as the number of consecutive months with negative values  
26 (positive for PET) for the period 1998-2010. The average duration of dry episodes lasted  
27 between 2 to 6 months for all indicators, with the largest differences in duration for different  
28 indicators being found in the Niger basin and in the GHA (Figure 7). Overall, dry periods  
29 measured with SPEI tend to be 1 or 2 months more persistent if compared with the other  
30 estimations, while PET is the indicator with less memory.

31 Figure 8 shows the monthly fractional area under standardised values below -1.0. For the  
32 areas that are under drought, all the datasets agree with the time of onset and recovery but

1 there are sometimes disagreements on the area affected and this disagreement tends to be  
2 dependent on the threshold selected. In general there is a better agreement if the areas covered  
3 by any standardised indicator below -1.0 are considered. In this analysis the Niger basin and  
4 Greater Horn of Africa present more discrepancies reaching a difference of more than 50%  
5 between SPI and SPEI estimations during the 2009/2010 and 2005/2006 periods respectively.  
6 The soil moisture anomalies tend to define less generalised droughts as it is hard to reach half  
7 the region under dry conditions. However, even if the magnitude of the area is smaller with  
8 respect to the other indicators, the soil moisture shows a good correspondence except for the  
9 period 2000/2002 in the inner Niger delta.

10 In order to define how the selected threshold could affect the agreement between datasets a  
11 correlation analysis was performed between different thresholds of SPI and the areas affected  
12 by droughts in each region. Here the results of the different SPI estimations are presented,  
13 however similar results were found for the other indicators (not shown). For almost all  
14 regions (except for Oum er-Rbia where this relationship is almost constant) the correlation  
15 between the different SPI's is higher for thresholds closer to zero (Figure 9). To consider a  
16 higher threshold (i.e. less negative) to define areas affected by drought (e.g. -0.8 or -1),  
17 therefore, will reduce the disagreement between indicators. However it puts a limit to the  
18 detection of the significance and severity of a drought. These results highlight that the main  
19 differences between the indicators appear in the extreme events.

20 Also, the bias between estimations indicates an acceptable departure between estimations  
21 from normal conditions until values near -0.5 (Figure 10). Below this threshold the bias  
22 increases exponentially surpassing quickly a bias of 100% around SPI values of -1. For Niger  
23 and GHA regions there is only a reasonable agreement between ERA-I and GPCP  
24 estimations.

25 Generally in the Oum er-Rbia and Limpopo basins, both extra-tropical regions, the agreement  
26 is high, possibly due to the greater number of in-situ observations and the importance of  
27 large- scale synoptic weather systems in these areas.

28 For the basins located between the tropics a greater disagreement is observed due to different  
29 factors. The main common factor is the remarkable absence of observations to calibrate and  
30 test the datasets. These deficiencies are also more evident in complex mountainous areas such  
31 as the Eastern Nile basin. Furthermore, droughts in equatorial regions are mainly driven by  
32 the absence of convective events during the rainy season. These mesoscale dimension events

1 are hard to be reproduced by models and even difficult to monitor in areas with scarce in-situ  
2 rain gauges.

3 For drier regions, such as the inner Niger delta and the GHA, the estimation of the distribution  
4 parameters needed for the computation of the standardized indicators can be biased (or lower  
5 bounded) by the large amount of zero or near null precipitation observations. As depicted in  
6 Wu et al. (2007), the estimation of the gamma probability density function and the limited  
7 sample size in dry areas reduce the confidence of the SPI values. In these cases, the SPI may  
8 never attain very negative values, failing to detect some drought occurrences (e.g. SPI always  
9 above -1 in Niger and GHA). The discrepancies between indicators for lower thresholds over  
10 regions with limited rain gauge data is characterised by the uncertainties of extreme values.  
11 This suggests that the main sources of error are the uncertainties in the precipitation datasets  
12 that are propagated in the estimation of the distribution parameters of the drought indicators.

13 The above discussion underlines the fact that drought monitoring and assessment is a difficult  
14 task, not only due to the nature of the phenomenon, but also due to the limitations inherent in  
15 the availability of long-term and high quality datasets for extended regions. The  
16 meteorological datasets as well as the indicators and models used must be selected carefully  
17 and their limitations need to be taken into account. As a consequence no definite conclusion  
18 can be drawn for the use of a single dataset or indicator. Depending on the region to be  
19 studied, different combinations may have to be chosen.

20 Our results further underline the value of maintaining an operational monitoring network at  
21 country, continental or even global level since indirect observations have their intrinsic  
22 uncertainties linked to the availability and reliability of 'ground truth' for their calibration.

23 Without constant calibration, model-inherent errors can propagate up to the same magnitude  
24 of the phenomena (or indicator) to be analysed. In fact, the resulting uncertainties can be so  
25 big that for certain events such as droughts with a severity corresponding to an SPI of -2 it is  
26 difficult to get an additional value with respect to standard climatologies.

27 The development of a combined indicator based on a probabilistic approach (e.g., Dutra et al.,  
28 2013c) could be useful as a monitoring product at continental level in this case. However, at  
29 local scale the kind of indicator and the source of data must be chosen carefully taking into  
30 account their limitations.

31

## 1 4 Conclusions

2 This study evaluated the capabilities of different drought indicators (including SPI, SPEI, PET  
3 and SMA) in detecting the timing and extension of drought across Africa, using five different  
4 precipitation datasets (TRMM, ERA-Interim, GPCC, GPCP and CMAP). The analysis was  
5 performed on a Pan-African scale and on a regional scale focused on four river basins and on  
6 the Greater Horn of Africa.

7 A comparison of the annual cycle and monthly precipitation time series shows a good  
8 agreement in the timing of the peaks, including the Greater Horn of Africa where there are  
9 two rainy seasons. The main differences are observed in the ability to represent the  
10 magnitude of the wet seasons and the extremes.

11 The monthly mean precipitation datasets agree over all regions with the only exception of the  
12 CMAP dataset that shows a lower agreement. In the Oum er-Rbia and Limpopo basins there  
13 is a good agreement between the datasets with mean absolute errors below 10 mm/month. The  
14 bias in those two regions is below 20 %. The worst performance of ERA-I was observed in  
15 the Blue Nile basin, overestimating the monthly precipitation up to 40 mm/month with a bias  
16 of up to 92%. Also in the GHA region the bias is around 50% with an overestimation of up to  
17 17 mm/month.

18 The comparative analysis between TRMM, ERA-I, GPCP and GPCC datasets suggests that it  
19 is feasible to use TRMM time series with high spatial resolution for reliable drought  
20 monitoring over parts of Africa. It is possible to take advantage of this dataset mainly at  
21 regional level due to its high spatial resolution. However, higher discrepancies in SPI  
22 estimations are shown in mountainous areas and areas with a sparse in situ station density.  
23 On the other hand, drought monitoring at continental level with ERA-I performs better  
24 outside the areas influenced by the ITCZ.

25 The comparison between drought indicators suggests that the main discrepancies are due to  
26 the uncertainties in the datasets (driven by a lack of ground information, uncertainties in the  
27 estimation algorithms or the parameterization of the convection) rather than to the estimation  
28 of the distribution parameters. This is why the SPI estimations for the Oum er-Rbia and  
29 Limpopo regions exhibit a better agreement between estimations. While for the other regions  
30 the discrepancies between datasets are in many cases acceptable, greater discrepancies are  
31 observed for the inner Niger Delta when comparing ERA-I estimations with the other  
32 datasets.

1 Regarding the areas that are under drought, all the indicators agree with the time of onset and  
2 recovery but there are sometimes disagreements with respect to the area affected, and the  
3 level of disagreement tends to be dependent on the threshold selected.

4 It is proposed to integrate different indicators and accumulation periods in the form of a  
5 multivariate combined indicator in order to take advantage of their different drought  
6 properties. The probabilistic nature of such an approach would be very helpful for decision  
7 makers and for the combined analysis of multiple risks.

8

## 9 **Acknowledgements**

10 This work was funded by the European Commission Seventh Framework Programme (EU  
11 FP7) in the framework of the Improved Drought Early Warning and Forecasting to Strengthen  
12 Preparedness and Adaptation to Droughts in Africa (DEWFORA) project under Grant  
13 Agreement 265454. Gustavo Naumann thanks Mauricio Zambrano-Bigarini for the important  
14 discussions about different metrics to compare indicators and to make available the  
15 hydroGOF R-package.

16

## 1 Appendix A

2 The Spearman correlation represents the Pearson correlation coefficient computed using the  
3 ranks of the data. Conceptually, the Pearson correlation coefficient is applied to the ranks of  
4 the data rather than to the data values themselves. The Spearman coefficient is a more robust  
5 and resistant alternative to the Pearson product-moment correlation coefficient (Wilks, 2002).  
6 Computation of the Spearman rank correlation can be described as:

$$7 \quad r = 1 - \frac{6 \sum R_i^2}{n(n^2 - 1)} \quad (1)$$

8 where  $R_i$  is the difference in ranks between the  $i$ th pair of data values. In cases of ties, where a  
9 particular data value appears more than once, all of these equal values are assigned their  
10 average rank before computing the  $R_i$ 's.

11 The Mean Absolute Error (MAE) measures the average magnitude of the errors in a set of  
12 different estimations of a certain indicator. It measures accuracy for continuous variables  
13 without considering the direction of the error. Also, this quantity is usually used to measure  
14 how close simulated forecasts or predictions (sim) are to the eventual observations (obs) as  
15 shown in equation 2

$$16 \quad MAE = \frac{1}{n} \sum_{i=1}^n |(sim_i - obs_i)| \quad (2)$$

17 where  $n$  represents the number of pairs of the simulated (sim) and observed (obs) indicators.

18 The percent bias (PBIAS) measures the average tendency of the simulated values to be larger  
19 or smaller than the observed ones.

$$20 \quad Bias(\%) = 100 \frac{\sum(sim - obs)}{\sum(obs)} \quad (3)$$

21 The optimal value of PBIAS is 0, with low-magnitude values indicating accurate  
22 representation of drought indicators. Positive values indicate an overestimation bias, whereas  
23 negative values indicate an underestimation bias. It must be taken into account that this metric  
24 depends on which dataset is considered to represent the observations.

25 The Index of Agreement (d) developed by Willmott (1981) as a standardized measure of the  
26 degree of model prediction error varies between 0 and 1. A value of 1 indicates a perfect

1 match, and 0 indicates no agreement at all (Willmott, 1981). The index of agreement can  
2 detect additive and proportional differences in the observed and simulated means and  
3 variances; however, it is overly sensitive to extreme values due to the squared differences  
4 (Legates and McCabe, 1999).

$$d = 1 - \frac{\sum (obs - sim)^2}{\sum (|sim - obs| + |obs - obs|)^2} \quad (4)$$

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25 Table 1. Geographical extent of the African regions and number of grid cells analysed for  
26 each dataset. For GPCC, the percentage of stations per grid and the percentage of pixels  
27 without stations are respectively shown between brackets.

<b>Region</b>	<b>Area (10<sup>6</sup>xKm<sup>2</sup>)</b>	<b>Longitude-Latitude</b>	<b>GPCC Grid cells</b>
A - Oum er-Rbia	0.49	[10°W-0°E]X[31°N-35°N]	36 (52, 65)

B - Niger	1.48	[10°W-0°E]X[6°N-18°N]	120 (23, 70)
C - Eastern Nile	1.23	[30°E-40°E]X[7°N-17°N]	100 (23, 75)
D - Limpopo	0.94	[25°E-34°E]X[26°S-20°S]	54 (56, 44)
E -GHA	2.22	[40°E-52°E]X[2°S-12°N]	180 (15, 85)

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1 Table 2. Description of global datasets available in near-real time that could be used for  
 2 monitoring precipitation conditions at continental level.

<i>Datasets</i>	<i>resolution</i>	<i>period</i>	<i>Source</i>	<i>Update</i>
<b>ERA INTERIM</b>	0.5°x0.5°	1979-present	ECMWF Reanalysis	½ month
<b>TRMM 3B-43 v.6</b>	0.25°x0.25	1998-present	Remote Sensing Estimate (combination 3B-42, CAMS and/or GPCC)	1 or 2 months
<b>GPCC v.5 (Combined)</b>	0.5°x0.5° (1°x1°)	1901-2010 (-present)	In-situ data	1 month
<b>GPCP v.2.2</b>	2.5°x2.5°	1979-2010	Remote Sensing Estimate(merged from microwave, infrared and sounder data and precipitation gauge analyses (GPCC).	irregular
<b>CMAP</b>	2.5°x2.5°	1979-2009	Remote Sensing Estimate (GPI, OPI,S SM/I scattering, SSM/I emission and MSU + NCEP/NCAR Reanalysis )	irregular

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1 Table 3. Correlation coefficient (r), Mean absolute error (MAE) and percent bias (%) between  
 2 the different precipitation datasets averaged over each region for the common period 1998-  
 3 2010. All correlations are significant at 99%.

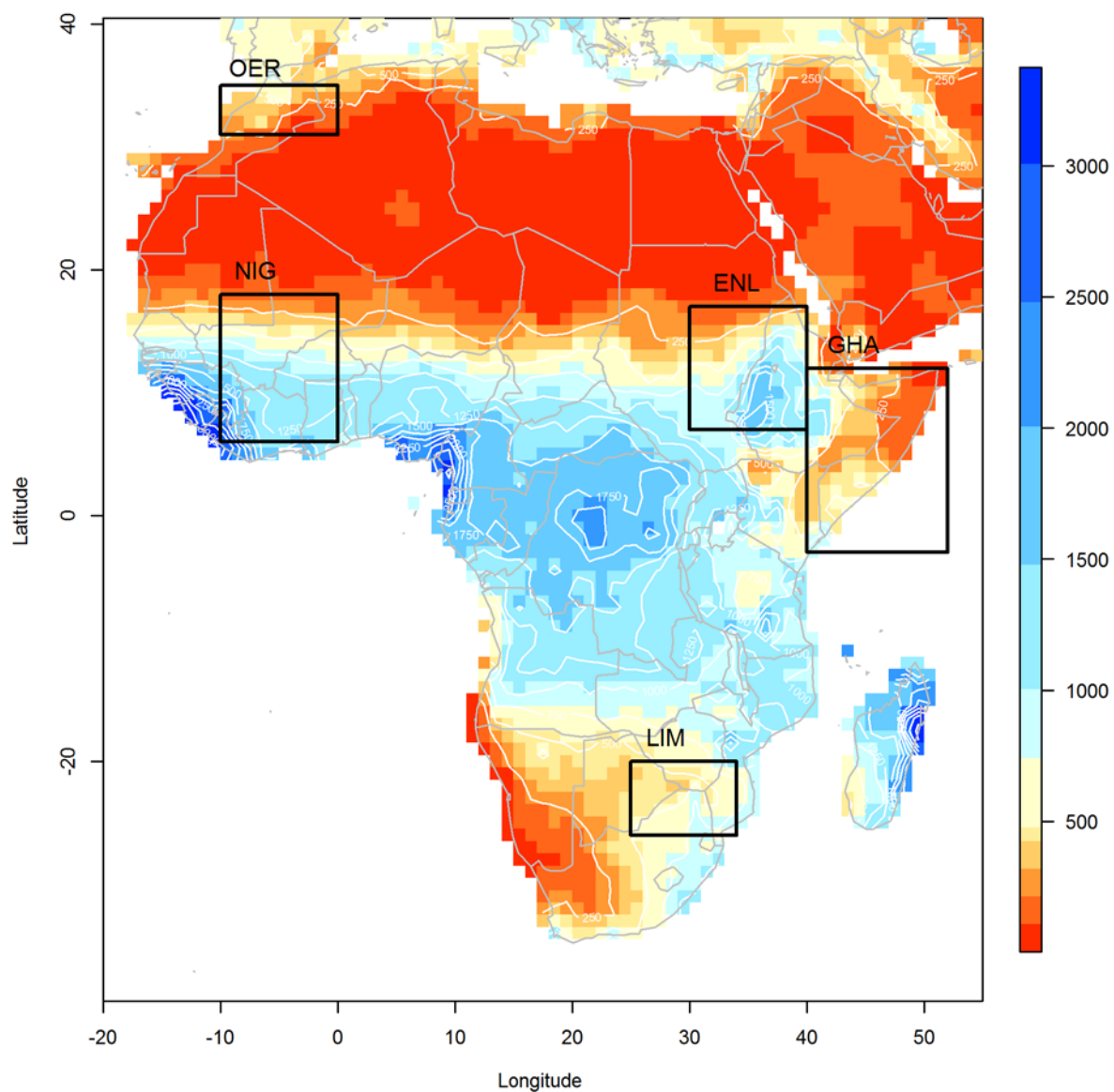
		<i>TRMM</i>			<i>GPCC</i>			<i>GPCP</i>			<i>CMAP</i>			<i>ERA-I</i>		
		r	MAE	BIAS	r	MAE	BIAS	r	MAE	BIAS	r	MAE	BIAS	r	MAE	BIAS
<b>OER</b>	<b>TRMM</b>	-	-	-	0.99	2.5	2.7	0.99	2.9	6.7	0.74	7.8	42.8	0.95	7.3	26.3
	<b>GPCC</b>	0.99	2.5	-2.6	-	-	-	0.99	2.5	4.2	0.94	4.7	23.1	0.95	6.7	24.4
	<b>GPCP</b>	0.99	2.9	-6.2	0.99	2.5	-4	-	-	-	0.73	6.5	33.9	0.95	5.7	18.4
	<b>CMAP</b>	0.74	7.8	-30	0.94	4.6	-18.7	0.73	6.5	-25.3	-	-	-	0.68	7.0	-11.6
	<b>ERA-I</b>	0.95	7.3	-20.8	0.95	6.6	-19.6	0.95	5.7	-15.5	0.68	7.0	13.1	-	-	-
<b>NIG</b>	<b>TRMM</b>	-	-	-	0.99	5.8	-1.9	0.98	13.6	-14.5	0.8	13.9	7.2	0.94	23.2	8
	<b>GPCC</b>	0.99	5.8	1.9	-	-	-	0.99	11.6	-14.1	0.97	6.9	-1	0.95	22.2	8.3
	<b>GPCP</b>	0.98	13.6	17	0.99	11.5	16.4	-	-	-	0.82	16.7	25.4	0.95	25.8	26.4
	<b>CMAP</b>	0.8	13.8	-6.7	0.97	6.9	1	0.82	16.8	-20.3	-	-	-	0.78	25.8	0.7
	<b>ERA-I</b>	0.94	23.1	-7.4	0.95	22.2	-7.7	0.95	25.8	-20.9	0.78	25.8	-0.7	-	-	-
<b>ENL</b>	<b>TRMM</b>	-	-	-	0.94	17.6	-23.7	0.93	17.4	-22.4	0.82	15.3	-0.6	0.93	43.9	-48.1
	<b>GPCC</b>	0.94	17.6	31	-	-	-	1	2.7	1.9	0.97	12.1	22.5	0.97	29.9	-32.3
	<b>GPCP</b>	0.93	17.4	28.9	1	2.66	-1.9	-	-	-	0.85	14.3	28.2	0.97	30.1	-33.1
	<b>CMAP</b>	0.82	15.3	0.6	0.97	12.1	-18.4	0.85	14.3	-22	-	-	-	0.86	43.4	-47.8
	<b>ERA-I</b>	0.93	43.9	92.8	0.97	29.9	47.6	0.97	30.1	49.5	0.86	43.4	91.7	-	-	-
<b>LIM</b>	<b>TRMM</b>	-	-	-	0.98	7.03	8.9	0.97	8.4	6.7	0.76	12.6	20.6	0.96	10.4	9
	<b>GPCC</b>	0.98	7.0	-8.2	-	-	-	0.99	5.1	-3.3	0.91	8.3	1.8	0.98	8.1	-1.5
	<b>GPCP</b>	0.97	8.4	-6.3	0.99	5.1	3.4	-	-	-	0.79	9.9	13	0.97	8.8	2.1
	<b>CMAP</b>	0.76	12.6	-17	0.91	8.3	-1.8	0.79	9.9	-11.5	-	-	-	0.79	12.8	-9.6
	<b>ERA-I</b>	0.96	10.4	-8.2	0.98	8.1	1.5	0.97	8.8	-2.1	0.79	12.8	10.6	-	-	-
<b>GHA</b>	<b>TRMM</b>	-	-	-	0.82	9.8	-4.2	0.88	6.6	1.7	0.72	9.2	11.2	0.84	17.8	-34
	<b>GPCC</b>	0.82	9.8	4.4	-	-	-	0.9	8.2	7.1	0.84	9.4	8.4	0.83	17.1	-30.9
	<b>GPCP</b>	0.88	6.6	-1.7	0.9	8.2	-6.6	-	-	-	0.7	9.6	9.3	0.92	16.4	-35.1
	<b>CMAP</b>	0.72	9.2	-10.1	0.84	9.4	-7.8	0.7	9.6	-8.5	-	-	-	0.61	22.7	-40.6
	<b>ERA-I</b>	0.84	17.8	51.5	0.83	17.1	44.7	0.92	16.4	54.1	0.61	22.7	68.4	-	-	-

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1 Table 4. Spearman correlation coefficient (r), mean absolute error (MAE) between the  
 2 different SPI-3 estimations averaged over each region for the common period 1998-2010

		<i>TRMM</i>		<i>GPCC</i>		<i>GPCP</i>		<i>ERA-I</i>	
		<i>r</i>	<i>MAE</i>	<i>r</i>	<i>MAE</i>	<i>r</i>	<i>MAE</i>	<i>r</i>	<i>MAE</i>
<b>Oum er-Rbia</b>	<b>TRMM</b>	-	-	0.89	0.28	0.81	0.38	0.84	0.37
	<b>GPCC</b>	0.89	0.28	-	-	0.81	0.35	0.81	0.34
	<b>GPCP</b>	0.81	0.38	0.81	0.35	-	-	0.74	0.5
	<b>ERA-I</b>	0.84	0.37	0.81	0.34	0.74	0.5	-	-
<b>Niger</b>	<b>TRMM</b>	-	-	0.85	0.26	0.79	0.38	0.71	0.5
	<b>GPCC</b>	0.85	0.26	-	-	0.91	0.29	0.72	0.46
	<b>GPCP</b>	0.79	0.38	0.91	0.29	-	-	0.67	0.65
	<b>ERA-I</b>	0.71	0.5	0.72	0.46	0.67	0.65	-	-
<b>Blue Nile</b>	<b>TRMM</b>	-	-	0.54	0.54	0.53	0.55	0.6	0.5
	<b>GPCC</b>	0.54	0.54	-	-	0.92	0.27	0.57	0.41
	<b>GPCP</b>	0.53	0.55	0.92	0.27	-	-	0.67	0.46
	<b>ERA-I</b>	0.6	0.5	0.57	0.41	0.67	0.46	-	-
<b>Limpopo</b>	<b>TRMM</b>	-	-	0.91	0.28	0.84	0.39	0.8	0.46
	<b>GPCC</b>	0.91	0.28	-	-	0.92	0.27	0.91	0.33
	<b>GPCP</b>	0.84	0.39	0.92	0.27	-	-	0.88	0.35
	<b>ERA-I</b>	0.8	0.46	0.91	0.33	0.88	0.35	-	-
<b>GHA</b>	<b>TRMM</b>	-	-	0.58	0.4	0.65	0.44	0.61	0.44
	<b>GPCC</b>	0.58	0.4	-	-	0.86	0.29	0.58	0.42
	<b>GPCP</b>	0.65	0.44	0.86	0.29	-	-	0.68	0.45
	<b>ERA-I</b>	0.61	0.44	0.58	0.42	0.68	0.45	-	-

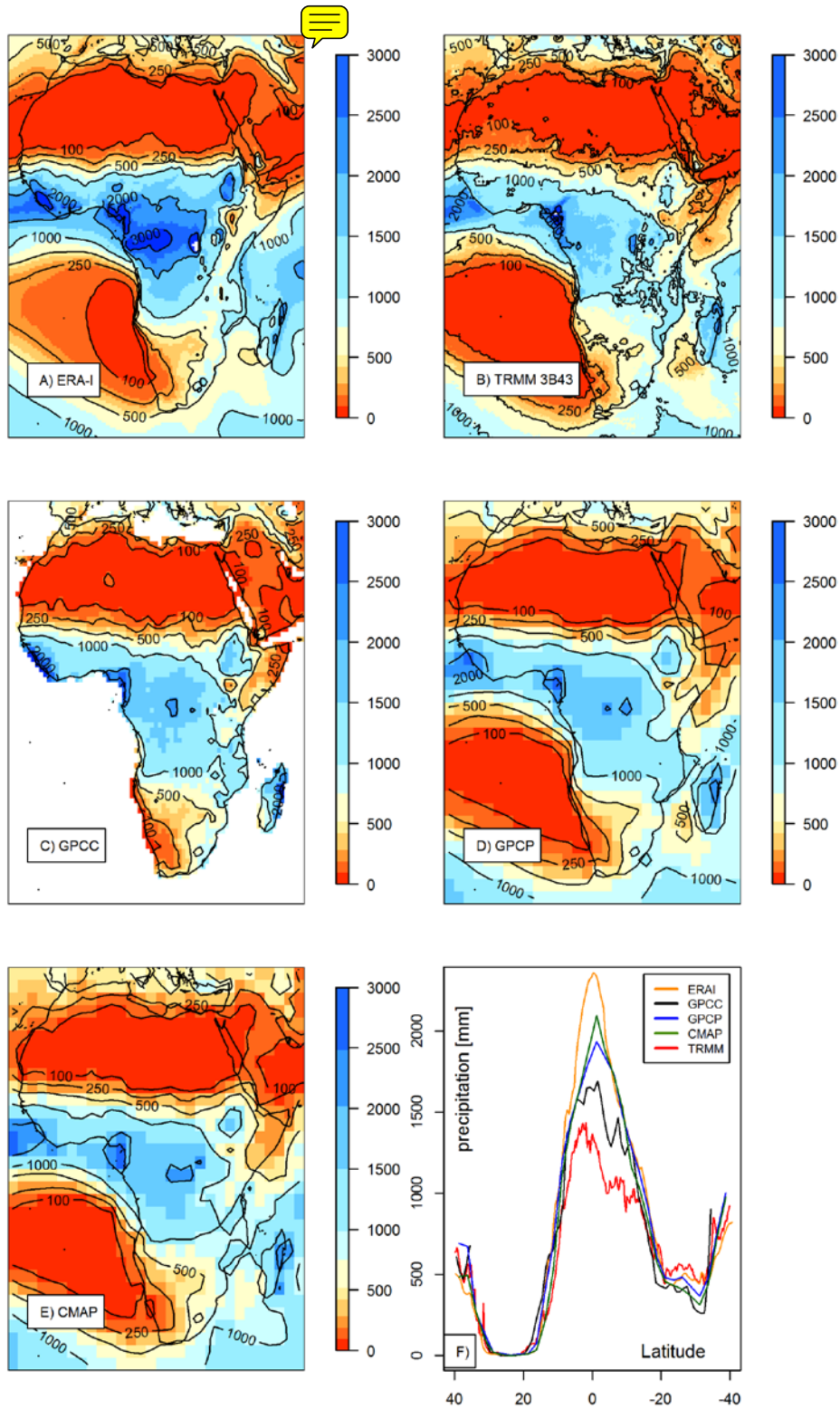
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 2 Figure 1. Annual mean precipitation from the GPCP dataset and African regions used in this  
 3 analysis as defined in Table 1. (OER: Oum er-Rbia; NIG: Inner Niger Delta; ENL: Eastern  
 4 Nile, LIM: Limpopo basin and GHA: Greater Horn of Africa.

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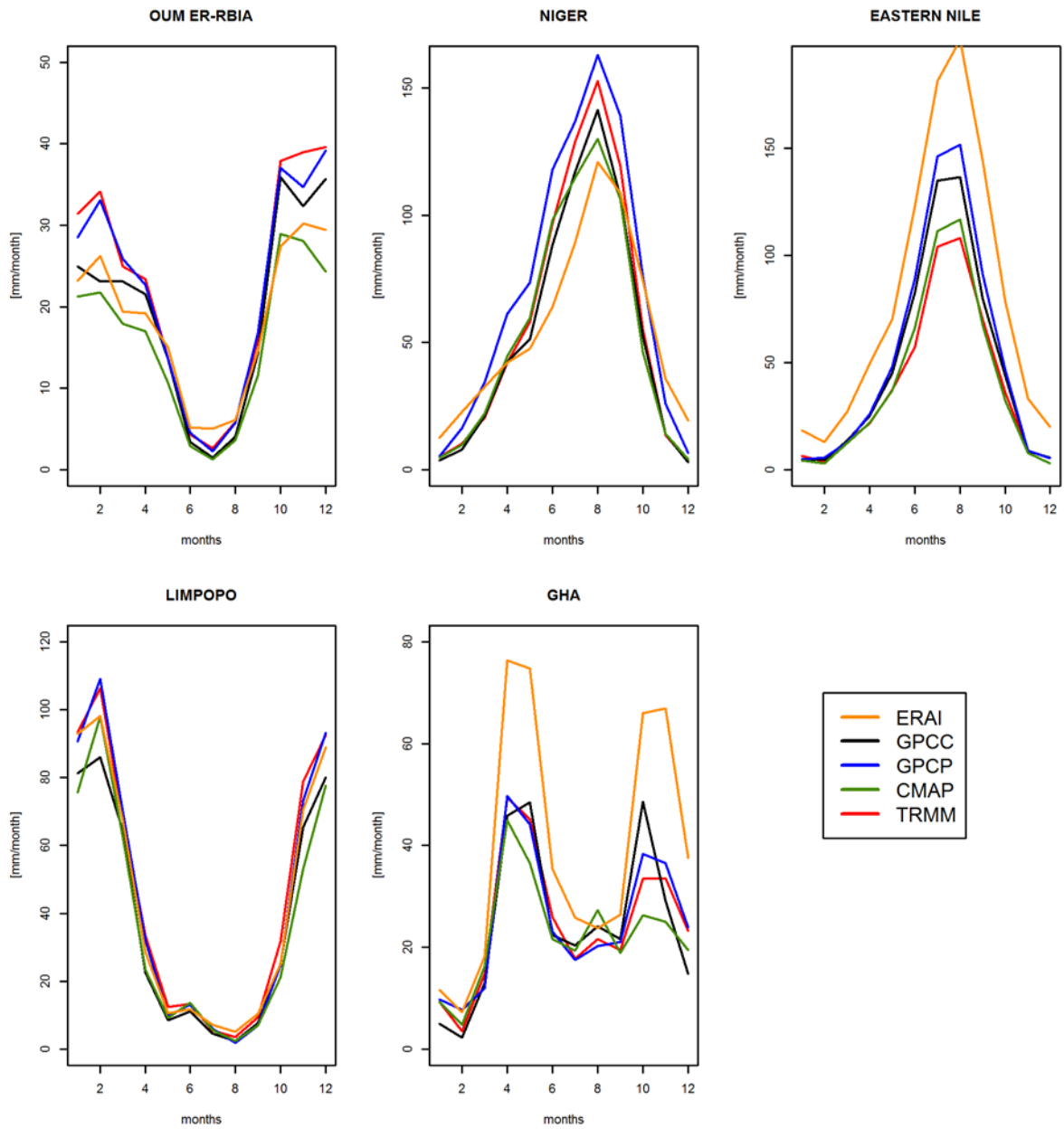




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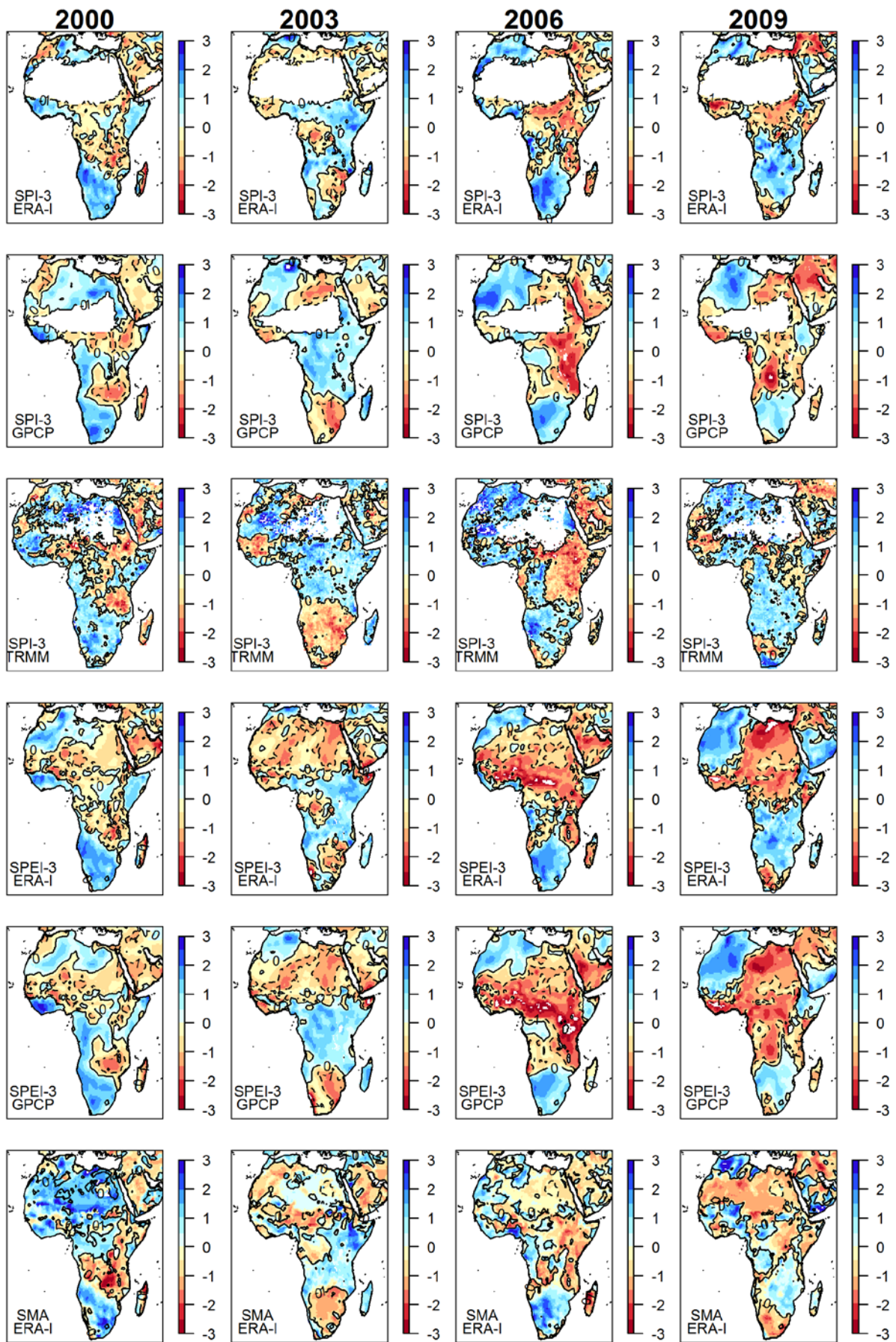
2 Figure 2. A-E) Mean annual precipitation (mm/year) from different datasets for the common  
 3 period 1998-2010, F) longitudinal cross section at 25°E of mean annual precipitation.

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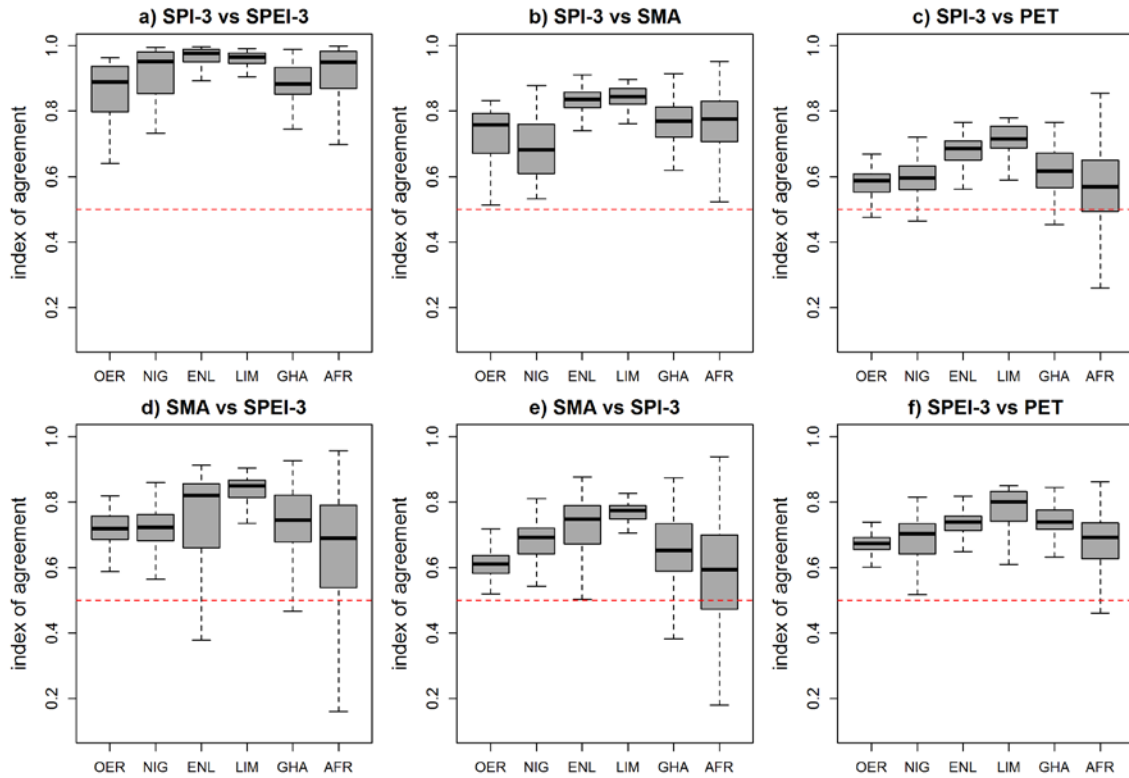


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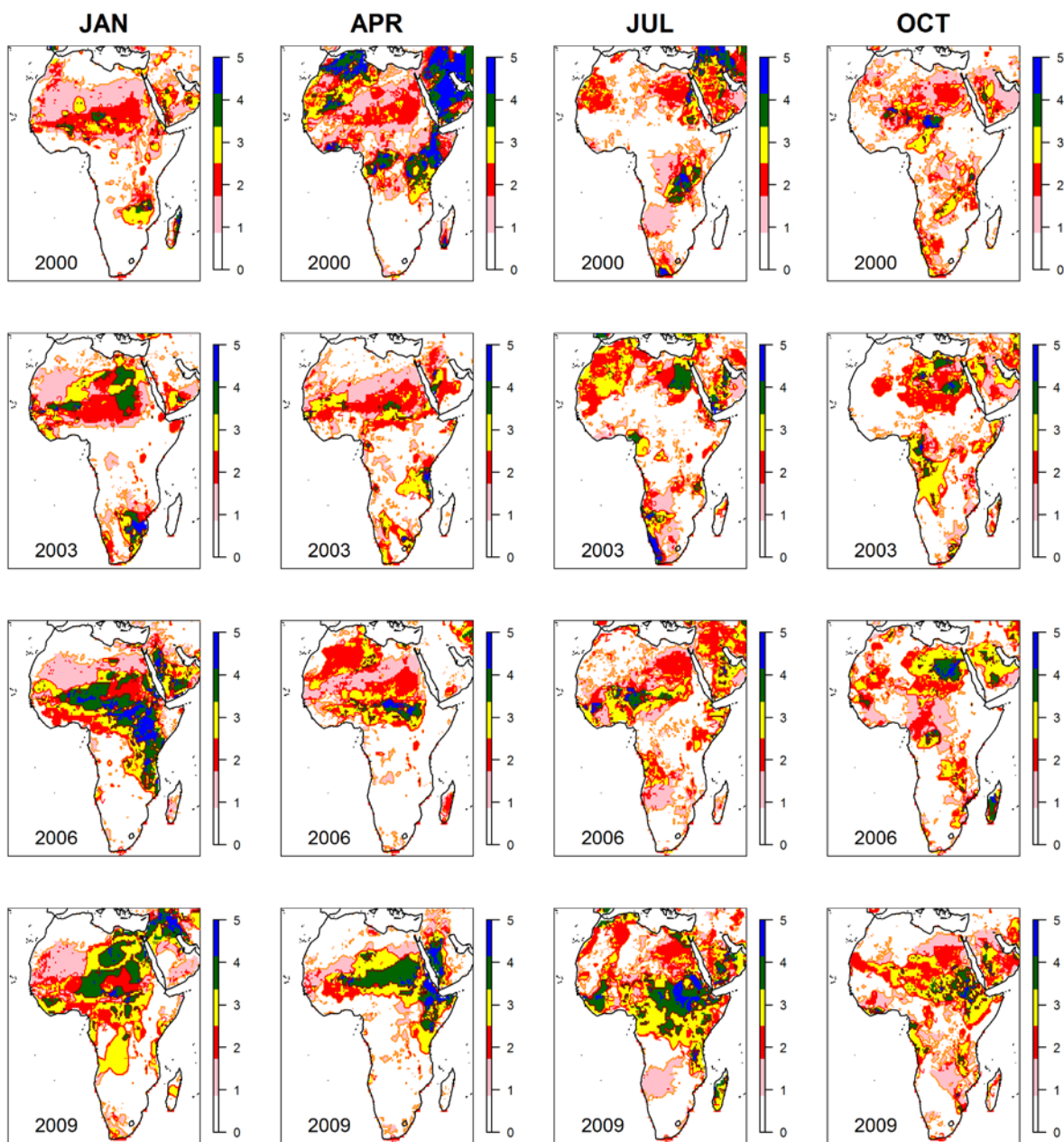
Figure 3. Mean annual cycle of precipitation from the different datasets averaged over the five regions defined in Figure 1 (OER: Oum er-Rbia, NIG: Inner Niger Delta, NIL: Eastern Nile, LIM: Limpopo basin and GHA: Greater Horn of Africa) for the common period 1998-2010.



1 Figure 4. Monthly standardized anomalies in SPI-3 (ERA-I, GPCP, TRMM), SPEI (ERA-I and  
 2 GPCP) and Soil Moisture (SMA) for January 2000, 2003, 2006 and 2009. Solid lines  
 3 indicates the zero contour. White areas represent regions where it was not possible to compute  
 4 the gamma parameters for SPI due the large amount of zeros.  
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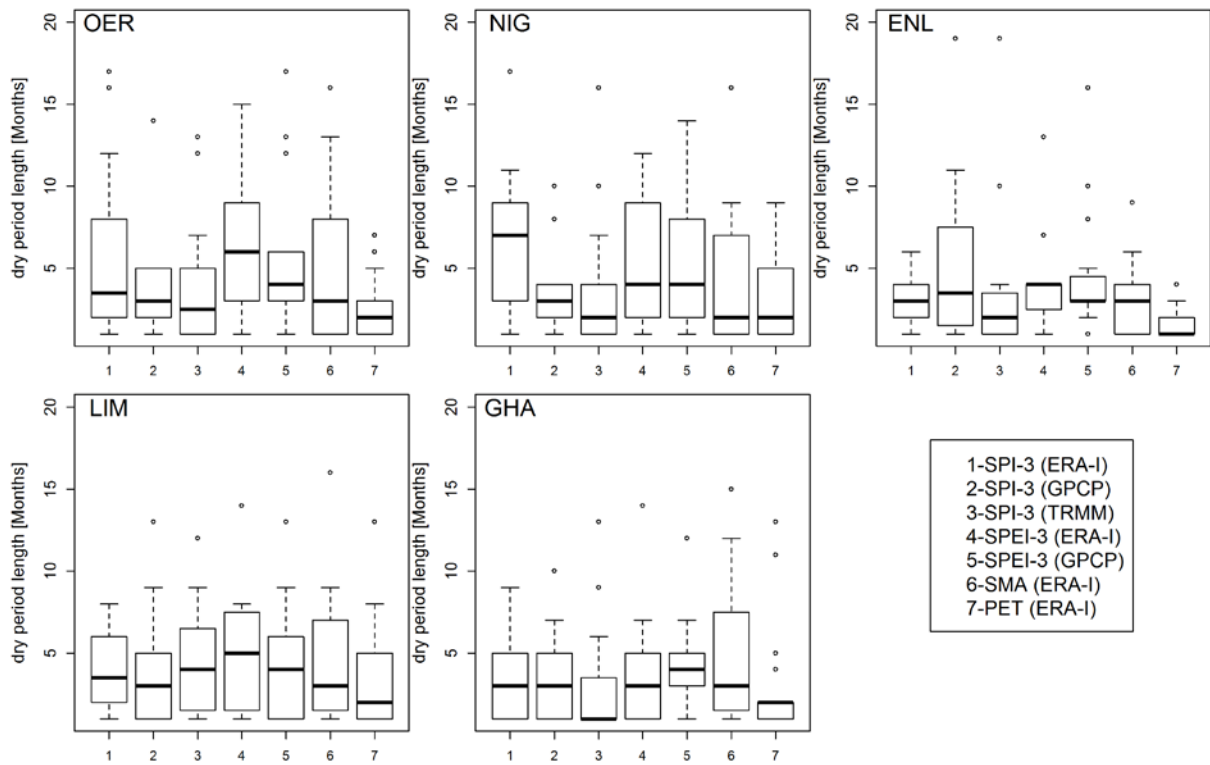


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 7 Figure 5. Index of agreement (d) between SPI, SPEI, SMA and PET computed using ERA-I  
 8 for the five case studies and the whole continent. (OER: Oum er-Rbia, NIG: Inner Niger  
 9 Delta, NIL: Eastern Nile, LIM: Limpopo basin and GHA: Greater Horn of Africa). Dashed  
 10 lines extend from 5th to 95th percentile of estimations, boxes extend from 25th to 75th  
 11 percentile and middle horizontal lines within each box indicate the mean for each region.  
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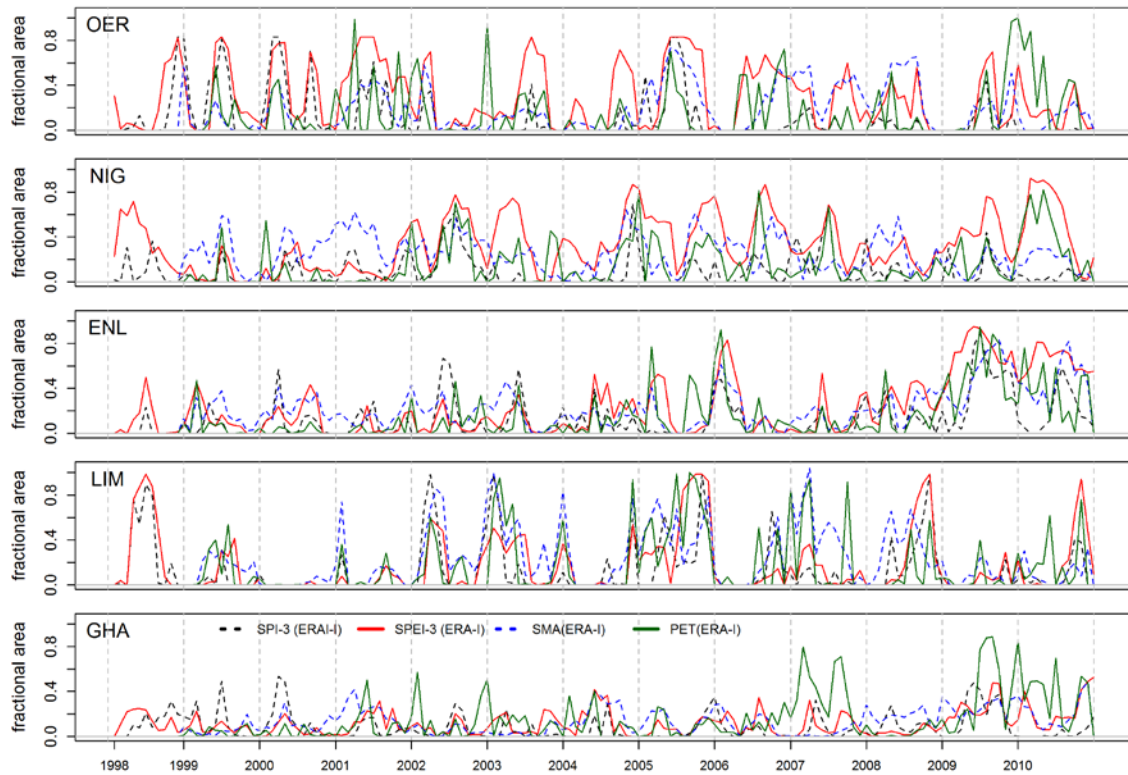
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 2 Figure 6. Month by month evolution of droughts in 2000, 2003, 2006 and 2009 according to  
 3 grid cells with SPI-3/SPEI-3 computed using ERA-I GPCP, and TRMM below -1.0. Values  
 4 are ranged between 0 (no dataset with SPI-3/SPEI-3 below the threshold) and 5 (all datasets  
 5 below threshold).

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 2 Figure 7. Duration of dry periods for the standardized indicators below zero in the common  
 3 period 1998-2010. (OER: Oum er-Rbia, NIG: Inner Niger Delta, NIL: Eastern Nile, LIM:  
 4 Limpopo basin and GHA: Great Horn of Africa). Dashed lines extend from 5th to 95th  
 5 percentile of estimations, boxes extend from 25th to 75th percentile and middle horizontal  
 6 lines within each box indicate the mean for each region.

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2 Figure 8. Fractional area of each region under SPI, SPEI and SM and PET z-scores below -1.0  
 3 for the period 1998-2010. (OER: Oum er-Rbia, NIG: Inner Niger Delta, NIL: Eastern Nile,  
 4 LIM: Limpopo basin and GHA: Greater Horn of Africa).

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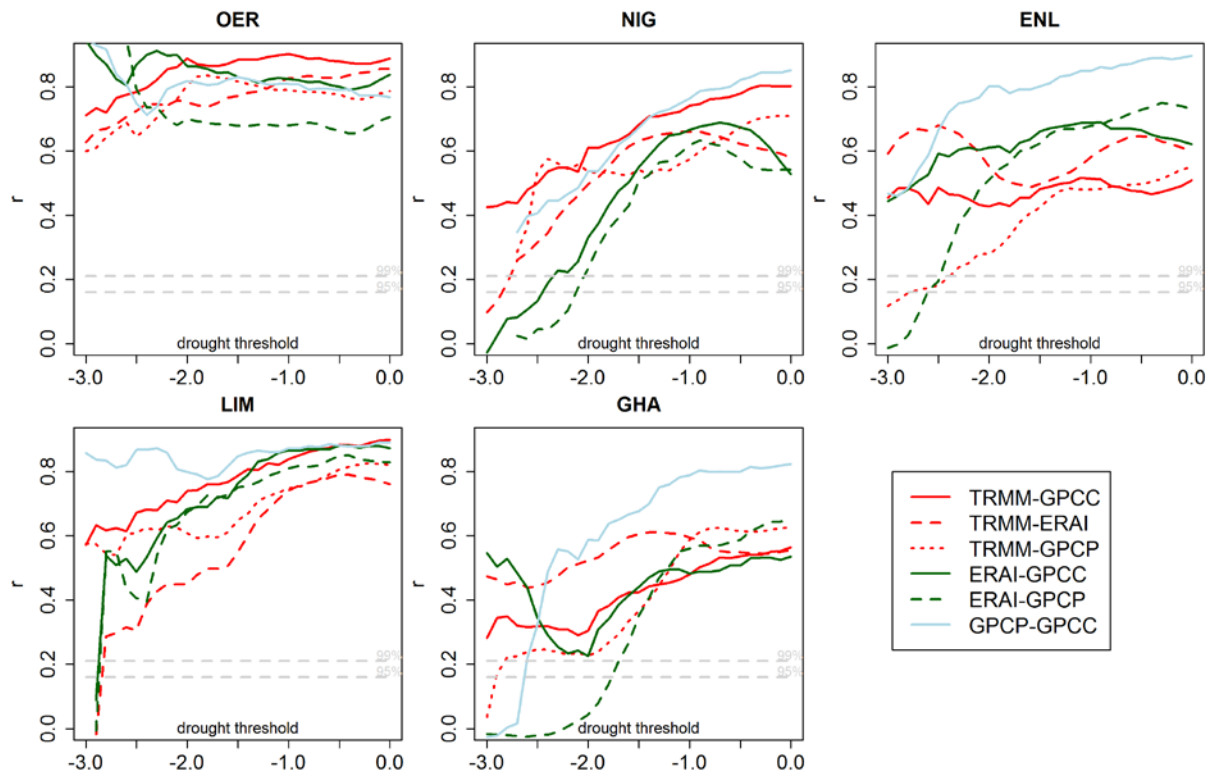
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2 Figure 9. Correlation coefficient of fractional areas under drought between different datasets  
 3 and thresholds. The horizontal axis represents the SPI threshold below which areas are  
 4 considered to be under drought.

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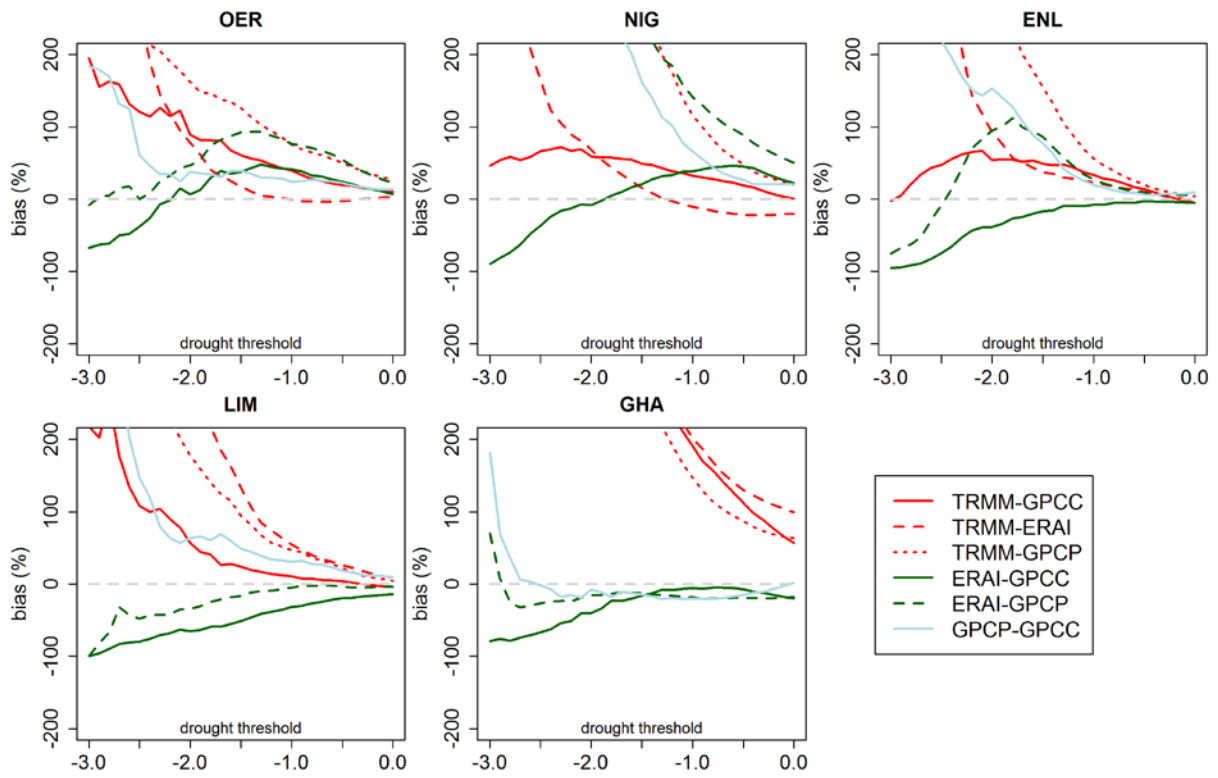
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2 Figure 10. Relative bias between the estimation of fractional areas under drought for different  
 3 datasets and thresholds. The horizontal axis represents the SPI threshold below which areas  
 4 are considered to be under drought.