

**Modeling glacier melt
and runoff in a
high-altitude
headwater catchment**

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This discussion paper is/has been under review for the journal Hydrology and Earth System Sciences (HESS). Please refer to the corresponding final paper in HESS if available.

Modeling glacier melt and runoff in a high-altitude headwater catchment in the Cordillera Real, Andes

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Received: 19 September 2013 – Accepted: 21 October 2013 – Published: 4 November 2013

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

Runoff from catchments with partial glacier cover is an integrated process of glacier melt, snowmelt, and surface and subsurface runoff of meltwater and rain from glacierized and non-glacierized areas. Additionally, inherent characteristics of the tropical Andes such as large meteorological variability, high elevation and steep slopes, hydrological effects of wetlands and lakes, and rapid glacier retreat make it difficult to model glacio-hydrological responses under changing climate. In this study, we developed a semi-distributed conceptual model applicable to partially glacierized catchments in the tropical Andes that considers all of these aspects, and we applied the model to the Huayna Potosi West headwater catchment in the Cordillera Real, Bolivia. Based on the latest 2 yr dataset of meteorological and hydrological monitoring, we showed the spatial and temporal variability of air temperature and precipitation in the region, and the dataset was used to calibrate model parameters and validate the performance of the daily runoff simulation. Variations in the simulated streamflow agreed well with the observed seasonal and temporal variations, and the result also showed that uncertainty pertaining to the spatial and temporal variations in air temperature and precipitation as well as the retarding effect of a wetland and lake strongly affected the runoff hydrograph. The simulated runoff components indicated that runoff from glacier melt occurs mainly in the initial period of the wet season, from October to early December, and in the late period of the wet season, March and April, although the runoff is relatively small in the latter period. Between these two periods in the wet season, major runoff components were estimated to be subsurface runoff in the non-glacierized area and surface runoff due to snowmelt. Given the future meteorological conditions based on the observational data and a predictive general circulation model output, the model quantified the long-term changes in runoff, glacierized area, and cumulative glacier and snow mass balance. The glacier retreat is estimated to continue to 2050, with the magnitude of area decrease and negative cumulative mass balance depending on the increasing temperature trend used. For higher temperature trends, in particular, greater

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seasonal variation in runoff and larger contributions from subsurface runoff and surface runoff by rainfall were simulated to occur in the wet season, but the change in annual total runoff between the present and 2050 was not significant. These results suggest that it is important to consider how to best adapt to greater seasonal runoff variations in terms of water availability in the downstream region.

1 Introduction

It has been estimated that more than 99 % of tropical glaciers are located in the Andes: 71 % in Peru, 20 % in Bolivia, and 8 % in Ecuador, Colombia, and Venezuela (Kaser, 1999). However, these massive glaciers in the tropical Andes are retreating rapidly, as indicated by the decreasing area and length of selected glaciers (Vuille et al., 2008; Rabatel et al., 2013). The Cordillera Real, Bolivia, which contains 11 % of all the world's tropical glaciers and 55 % of the glaciers in Bolivia, lost more than 40 % of its glacier volume during the period of 1963 to 2006 (Sorucu et al., 2009). A recent study revealed a continuous decrease in glacierized areas in the Cordillera Real, especially for relatively small glaciers (Liu et al., 2013). Future trends of individual glaciers are a serious public concern in the region because current water resources depend strongly on glacial meltwater (Vergara et al., 2007).

Tropical glaciers are thought to be an important indicator of global warming (Rabatel et al., 2003), and some glaciers in Bolivia have been monitored for more than two decades. Zongo Glacier has been the subject of numerous studies attempting to understand its mass balance, meteorological characteristics, and hydrological response (e.g. Sorucu et al., 2009a). On the other hand, Chacaltaya Glacier (e.g. Ramirez and Francou, 2001; Francou et al., 2003) has already disappeared, and more glaciers are expected to follow (Rabatel et al., 2013). Even if the glaciers do not disappear completely, it can be expected that seasonal variation in streamflow will be enhanced and that less water will flow during the dry season because of decreased glacial meltwater

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(Baraer et al., 2012). Furthermore, under growing population and the influence of climate change, it may become difficult to meet the water demands from various sectors.

In Bolivia, as in other countries in the Andes, water resources for drinking, agriculture, industry, and hydropower depend strongly on glacial meltwater. In urban centers in Bolivia such as La Paz and El Alto (population 2.3 million), glaciers of the Cordillera Real supply 30–40 % of potable water (Vergara et al., 2007). Tuni Lake, a reservoir located at the foot of the Cordillera Real, stores runoff from partially glacierized upstream catchments in which isolated glaciers such as Condoriri Glacier and Huayna Potosi West Glacier are located. Tuni Lake, along with Milluni Lake, supplies a major portion of the water used in the urban centers of La Paz and El Alto; it provides 86 % of the total supply to El Alto and 100 % of the supply to central La Paz. Milluni Lake also stores a portion of the meltwater from Zongo Glacier, which is located on the eastern side of the Huayna Potosi summit and has been studied extensively from meteorological and hydrological perspectives (e.g. Francou et al., 2003; Sicart et al., 2011).

Similar to the Zongo and Chacaltaya Glaciers, glaciers in the Tuni Lake catchment are also vulnerable to climate change. Therefore, assessing the impact of future climate change on glacier runoff and local water resources is an important and urgent issue for this region. We were able to locate advanced studies, such as trend and statistical analysis (Baraer et al., 2012), analysis based on global to regional climate model outputs (Vuille et al., 2008), and various applications of hydrological models for the Cordillera Blanca (e.g. Juen et al., 2007), but few studies regarding predictive hydrological models and their application to partially glacierized catchments in the Cordillera Real are available (Moya et al., 2013).

For partially glacierized catchments, runoff from non-glacierized areas is an essential component in addition to glacier melt and the resulting runoff from glacierized areas. The application of a predictive runoff model to partially glacierized catchments in the tropical Andes is limited to studies by Pouyaud et al. (2005), Juen et al. (2007), and Baraer et al. (2012) for the Cordillera Blanca and to Ribstein et al. (1995) and Ca-

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ballero et al. (2004) for the Cordillera Real. These models simulated annual (Pouyaud et al., 2005; Baraer et al., 2012), seasonal (Ribstein et al., 1995; Juen et al., 2007), or daily (Caballero et al., 2004) streamflows. In other regions, such as the Alps and North America, a similar hydrological modeling approach has been used (e.g. Moore, 1993; Schaper et al., 1999; Schaefli et al., 2005; Stahl et al., 2008) by focusing on the relevant processes in each study area. Snowmelt Runoff Models (SRM) (Martinec et al., 2008) are used widely to compute daily runoff considering snow and glacier hydrology (Nolin et al., 2010). The application of distributed hydrological models to glacierized catchments has the advantage of accounting for the spatial distribution of precipitation, air temperature, and other dominant factors, as well as glacier melt and snow accumulation. Such an approach has been applied to partially glacierized catchments in Himalaya (Konz et al., 2007), Alps (Huss et al., 2008) and Canadian Rocky (Naz et al., 2013). In general, distributed models tend to require large amounts of weather data from multiple stations to represent the spatial distribution of meteorological forcing and glacier thickness and area, but these data may be difficult to obtain in remote high-mountain catchments.

Striving for practical use in water resources management while maintaining scientific robustness, our study had the goal of developing a semi-distributed conceptual model that could simulate runoff in a partially glacierized high-mountain catchment in the tropical Andes. The model was designed to calculate runoff on a daily basis considering the inherent characteristics of catchments in the tropical Andes, i.e. high-altitude steep-slope topographic conditions, spatially and temporally variable meteorological conditions, hydrological effects of wetlands and lakes, and changes in glacierized areas related to glacier mass balance (for long-term prediction), by fully utilizing geographical information and meteorological and hydrological data obtained in the study area since 2011. We applied the model to a partially glacierized headwater catchment in the Cordillera Real and quantified current seasonal streamflow variations and the components contributed from different sources of runoff. We also investigated how local variability in meteorological conditions affects the runoff. Finally, long-term hydrolog-

ical impacts of climatic changes were quantified considering glacier retreat and the resulting changes in glacierized areas to the year 2050.

2 Study area

The Huayna Potosi West headwater catchment (Fig. 1; 7.99 km²) was selected to demonstrate the applicability of the semi-distributed conceptual model developed in this study. The elevation of the catchment ranges from about 4800 m to 6088 m a.s.l., and its upstream region includes the Huayna Potosi Glacier area (16°16' S, 68°9' W). The land surface of the catchment is covered mostly by unvegetated soil and gravel, and glaciers occupied 18.2% of the total catchment area in 2010. Figure 1 shows the distribution of glacierized areas obtained from Landsat TM images with the band ratio method verified by Liu et al. (2013). About 52% of the glacier surface is below 5200 m a.s.l.; fragmented smaller glaciers exist above this elevation. The east side of the Hyana Potosi Glacier has been studied by the French Research Institute for Development (IRD) since 1991 (Francou et al., 1995; Ribstein et al., 1995). In the study area, a shallow lake with a surface area of about 0.044 km² has developed in the middle of the stream. A wetland located downstream of the lake covers a larger area (0.248 km²) and is at times filled by outflow from the upstream region. Therefore, both the wetland and the lake likely play a role in retarding the runoff from the glacierized and non-glacierized areas. Streamflow from the headwater catchment, after merging with other streams, is partly diverted to Tuni Lake.

3 Characteristics of meteorological and hydrological conditions

Meteorological and hydrological variables are measured at automatic weather stations (AWSs) within and outside the catchment (Fig. 1). The water level of the stream is monitored by a pressure-transducer gage located at the downstream outlet (HH1), and these data are converted to time series of flow rate using a rating curve confirmed

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by field measurements. Meteorological variables such as air temperature, relative humidity, wind velocity, shortwave radiation, air pressure, and precipitation are monitored at three AWSs, which are located on the glacier surface at MHG (5150 m a.s.l.) and in non-glacierized areas at MH1 (4736 m a.s.l.) and MT1 (4472 m a.s.l.) at distances of 0.6 and 8.0 km from HH1, respectively. Incident and reflected longwave and shortwave radiation are measured at MHG. Additionally, two pluviometers located at PT1H (4736 m a.s.l.) and PT2H (4915 m a.s.l.) provide data of monthly precipitation with high catch efficiency for calibrating the daily precipitation measured at MH1 and MHG. Considering the period of available data and the flow patterns, we conventionally defined the hydrological year (HY) as starting in June and ending in May of the next year.

Figure 2 shows daily mean air temperature obtained at three AWSs and relative humidity, solar radiation, precipitation, and flow rate measured at MH1. The 2 yr dataset clearly indicates two distinct seasons, dry and wet, reflected in the relative humidity, precipitation, and flow rate. A good correlation for air temperature was found between MH1 and MHG ($R^2 = 0.77$) during the 2 yr, implying that similar variation may have occurred in the ablation zone. At both MH1 and MHG, relatively high air temperatures are observed in the early wet season from October to early December as well as at some times during the late wet season in March. From December to February, air temperatures are relatively low but still higher than those in the dry season, May to August. Similarly, solar radiation is highest in the early wet season, but this is followed by relatively low and more fluctuating solar radiation that depends on the cloudiness and precipitation. Streamflow is relatively large and variable in the wet season but much smaller in the dry season. The magnitude and variation of streamflow are affected by precipitation, snowmelt, glacier melt, and evaporation, which are related to air temperature, humidity, solar radiation, and other radiative fluxes. In the hydrological year of 2011 (beginning in June 2011; hereafter HY2011), three individual peaks corresponding to higher temperature, higher solar radiation, and lower humidity occurred in the period between October and December, and each peak was followed by an abrupt decrease within a short period of time. From the dataset, we found that air tempera-

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ture was more strongly correlated with flow rate during this period, with a phase lag of about 5 days, which suggests that a retarding effect exists in this catchment, probably because of the small lake and wetland in the catchment. In HY2012, fewer clear individual peaks occurred. In this case, the contribution of glacier melt to streamflow is unclear because streamflow peaks can form by snow and/or glacier melting or by direct runoff of rainfall.

The lapse rate of the air temperature is an important index for defining the air temperature spatial distribution, especially in the ablation zone. In hydrological modeling of catchments covered by snow and glacier, the lapse rate is typically given as a constant throughout a certain period, i.e. a year or month. However, our data indicated significant variations in the lapse rate between both MH1 – MHG and MT1 – MH1 (Fig. 3). When the air was relatively dry, which is typical for the dry season, the lapse rate between MH1 and MHG became close to the dry adiabatic lapse rate (= 1.0), but in the wet season, it tended to be closer to that between MT1 and MH1 (dotted line in Fig. 3). The vertical gradient of precipitation was observed from both the pluviometers and rain gages, which indicated strong height dependency in the wet season, with exceptions in some months (Fig. 4). Although these data were obtained from a limited number of stations, both air temperature and precipitation are highly variable in time and space over the study area. Therefore, it is important to understand the influences of the temporal and spatial variability of the meteorological conditions on the catchment-scale hydrological response through melting, accumulation, and runoff.

Compared with the Cordillera Blanca, Peru, where more studies related to glacier melt and hydrological response have been conducted (e.g. Mark and Seltzer, 2005; Juen et al., 2007), our study field exhibits different seasonal variations and magnitudes of meteorological and hydrological variables. In the Cordillera Real, including Zongo Glacier (Ribstein et al., 1995), a shorter wet season and lower annual precipitation occur. The seasonal variation in air temperature is not very different, but higher temperature is observed in the Cordillera Real compared with that measured in a similar elevation zone in the Cordillera Blanca (Mark and Seltzer, 2005). These conditions in

the Cordillera Real all tend to enhance glacier and snow melting during accumulation periods, which may result in a larger seasonal variation in runoff and a more sensitive response to climate variability in the future compared with the Cordillera Blanca.

4 Glacier melt and runoff modeling

To estimate streamflow in highland catchments of the tropical Andes under changing climate, we followed the approach, employed in many previous studies for glacierized catchments (e.g. Martinec et al., 2008), of dividing the catchment into multiple elevation zones and linearly combining the runoff from glacierized and non-glacierized areas in each zone to obtain the total discharge of the entire catchment. Our model considers spatial and temporal variability in meteorological conditions by taking advantage of monitoring data obtained at multiple locations in the study area during 2011 and 2013.

In the runoff modeling, we attempted to include a variety of processes related to the watershed-scale hydrological cycle. The structure of the runoff calculation in glacierized and in non-glacierized areas for each elevation zone is shown schematically in Fig. 5. The runoff components considered in the model are surface flow, subsurface flow, and deep groundwater flow, but we consider that deep groundwater does not contribute to streamflow in the headwater catchment. Either snow or rain is assumed to fall on glacier ice or on a snow surface over the glacierized area, whereas these fall onto ground or onto snow surface over the non-glacierized area. Sublimation from snow and glacier ice and evaporation from the ground surface were calculated. The subsurface and deep groundwater flows were modeled conceptually by relating them to a single storage reservoir in each elevation zone. The retarding effect of the wetland and lake was considered in the model, although this is not included in Fig. 5.

Runoff from non-glacierized areas in each elevation zone i , $q_{ng,i}$, is formulated by Eq. (1) through Eq. (7), which compute the total surface runoff from rain and snowmelt and the base flow from the storage reservoir. Snowmelt is estimated by Eq. (4), in which both air temperature and solar radiation are additive factors (Hock, 2003).

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$$q_{ng,i} = q_{ngs,i} + q_{ngr,i} + q_{ngb,i} \quad (1)$$

$$q_{ngs,i} = c_{ngs} M_{ngs,i} \quad (2)$$

$$q_{ngr,i} = P_{ngr,i} - \min((1 - c_{ngr})P_{ngr,i}, \text{Inf}) \quad (3)$$

$$M_{ngs,i} = a_{1s} T_{ng,i} + a_{2s} (1 - \alpha_{s,i}) SR_i \cdot S \downarrow \quad (4)$$

$$5 \quad \alpha_{s,i} = \alpha_{snow,i} + (\alpha_{gnd} - \alpha_{snow,i}) (1 + s_{ngw,i}/s^*)^{-3} \quad (5)$$

$$\alpha_{snow,i} = \alpha_{firn} + (\alpha_{fresh} - \alpha_{firn}) \exp(-n_i/n^*) \quad (6)$$

$$q_{ngb,i} = k_{ng} S_{ng,i} \quad (7)$$

In the equations above, $q_{ngs,i}$ and $q_{ngr,i}$ are the surface runoff from snowmelt and rainfall (mm d^{-1}), respectively, $q_{ngb,i}$ is the subsurface flow (mm d^{-1}) generated from storage in the ground $S_{ng,i}$ (mm), $P_{ngr,i}$ is the daily rainfall on the non-glacierized area (mm d^{-1}), $M_{ngs,i}$ is the daily snowmelt on the non-glacierized area (mm d^{-1}), a_{1s} and a_{2s} are melting factors, $T_{ng,i}$ is the air temperature ($^{\circ}\text{C}$), $S \downarrow$ is the daily mean downward shortwave radiation (Wm^{-2}), c_{ngr} and c_{ngs} are the runoff coefficients for rainfall and snowmelt over the non-glacierized area, respectively, SR_i is a topographic shading factor to account for the effect of reduced solar radiation caused by shading from surrounding slopes, and k_{ng} is a constant (day^{-1}). Surface albedo, $\alpha_{s,i}$, is calculated using Eqs. (5) and (6) (Sicart et al., 2011), where $\alpha_{snow,i}$, α_{gnd} , α_{firn} , and α_{fresh} are the albedo of snow surface (variable), ground surface, firn, and fresh snow, respectively, $s_{ngw,i}$ is the snow water equivalent in the non-glacierized area (mm), n is the number of days since the last snowfall, and s^* and n^* are constants. The albedo of fresh snow was set to depend on air temperature. Storage in the ground is calculated from the water balance equation (Eq. 8):

$$20 \quad \frac{dS_{ng,i}}{dt} = P_{ngr,i} - q_{ngr,i} + f_i - E_{ng,i} - q_{ngb,i} \quad (8)$$

$$f_i = \begin{cases} \min(M_{ng,i}, \text{Inf}) & \text{if } s_{ngw,i} > 0 \\ 0 & \text{if } s_{ngw,i} = 0 \end{cases} \quad (9)$$

$$M_{ng,i} = (1 - c_{ngs})M_{ngs,i} \quad (10)$$

$$\frac{ds_{ngw,i}}{dt} = P_{ngs,i} - M_{ngs,i} - E_{ngs,i}, \quad (11)$$

5 where f_i is infiltration into the ground due to snowmelt (mm d^{-1}), $E_{ng,i}$ is evaporation from the ground surface (mm d^{-1}), $M_{ng,i}$ is snowmelt that can contribute to infiltration (mm d^{-1}), Inf is the maximum capacity of infiltration (mm d^{-1}), $P_{ngs,i}$ is snowfall (mm d^{-1}), and $E_{ngs,i}$ is sublimation from the snow surface (mm d^{-1}).

10 Runoff from the glacierized area in each elevation zone i , $q_{g,i}$, is computed as the total of surface runoff from glacier melt and snowmelt as well as direct runoff from rainfall. Wagnon et al. (1998) reported that subglacial flow, depending on the season, plays a role in generating a slow runoff component, but we assumed in our model simulations that neither subsurface flow nor recharge into the ground occurs beneath the glacier, although the model can calculate this processes. Glacier melt and snowmelt were for-
 15 mulated by the multiplicative temperature-index model (Hock, 1999; Pellicciotti et al., 2005; Huss et al., 2008), in which the integrated melting factor varies depending on the incident solar radiation, the albedo of the glacier or snow surface, and the topographic shading (Eqs. 16 and 17). Glacier melt was assumed to occur when no snow accumulation exists on the glacier ice. If rainfall occurs, we assumed that a portion of the
 20 rainfall becomes surface runoff irrespective of the existence of snow over the glacier and that the rest accumulates as solid water on the glacier surface. These concepts are formulated by Eq. (12) through (18). Eq. (18) is used in conjunction with Eq. (6).

$$q_{g,i} = q_{gm,i} + q_{gs,i} + q_{gr,i} \quad (12)$$

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$$q_{gm,i} = c_{gm}M_{g,i} \quad \text{if } s_{gw,i} = 0 \quad (13)$$

$$q_{gs,i} = c_{gs}M_{gs,i} \quad \text{if } s_{gw,i} > 0 \quad (14)$$

$$q_{gr,i} = c_{gr}P_{gr,i} \quad (15)$$

$$M_{g,i} = (a_{1g} + a_{2g}(1 - \alpha_{gs,i})SR_i \cdot S \downarrow) \cdot T_{g,i} \quad (s_{gw,i} = 0) \quad (16)$$

$$M_{gs,i} = (a_{1gs} + a_{2gs}(1 - \alpha_{gs,i})SR_i \cdot S \downarrow) \cdot T_{g,i} \quad (s_{gw,i} > 0) \quad (17)$$

$$\alpha_{gs,i} = \alpha_{snow,i} + (\alpha_{ice} - \alpha_{snow,i}) (1 + s_{gw,i}/s^*)^{-3}. \quad (18)$$

In the equations above, $q_{gm,i}$ is the surface runoff due to glacier melt (mm d^{-1}), $q_{gs,i}$ and $q_{gr,i}$ are the surface runoffs due to snowmelt and rainfall on the glacier surface (mm d^{-1}), respectively, $M_{g,i}$ and $M_{gs,i}$ are melt of the glacier and melt of snow on the glacier (mm d^{-1}), respectively, $P_{gr,i}$ is the daily rainfall on the glacierized area (mm d^{-1}), $T_{g,i}$ is the air temperature on the glacier surface ($^{\circ}\text{C}$), $s_{gw,i}$ is the snow water equivalent (mm), c_{gm} , c_{gs} , and c_{gr} are the runoff coefficients of glacier melt, snowmelt, and rainfall, respectively, a_{1g} and a_{2g} are melting factors for glacier ice, a_{1gs} and a_{2gs} are melting factors for snow on the glacier ice, $\alpha_{gs,i}$ is the surface albedo in the glacierized area, and α_{ice} is the albedo of glacier ice. The snow water equivalent $s_{gw,i}$ on the glacier surface is calculated by the water balance as

$$\frac{ds_{gw,i}}{dt} = P_{gs,i} + (1 - c_{gr})P_{gr,i} - q_{gs,i} - E_{gs,i}, \quad (19)$$

where $P_{gs,i}$ is snowfall (mm d^{-1}), and $E_{gs,i}$ is sublimation of snow (mm d^{-1}).

The total runoff, Q ($\text{m}^3 \text{s}^{-1}$), is calculated as the sum of the individual runoff components in each elevation zone:

$$Q = \alpha \left(\sum_i A_{ng,i} q_{ng,i} + \sum_i A_{g,i} q_{g,i} \right), \quad (20)$$

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The air temperature over the non-glacierized and glacierized areas is given by Eqs. (27) and (28), respectively, using the daily or monthly lapse rate calculated from the air temperature monitored at three locations, i.e. MT1, MH1, and MHG. We assumed the lapse rate over the glacierized areas to be the average of those obtained from MT1 – MH1 and MH1 – MHG (Eq. 29). Over the non-glacierized area, the lapse rate obtained from MT1 – MH1 was used. Even though the ablation zone lies in lower elevations, between 5000 and 5200 m a.s.l., interpolation of the air temperature at the ablation zone from these stations may include uncertainty due to a local warming or cooling effect.

$$T_{ng,i} = T_{MH1} + \gamma_{L1}(z_i - z_{MH1}) \quad (27)$$

$$T_{g,i} = T_{MH1} + \gamma_L(z_i - z_{MH1}) \quad (28)$$

$$\gamma_L = \begin{cases} \gamma_{L1} & (z_i < z_{MH1}) \\ (\gamma_{L1} + \gamma_{L2})/2 & (z_{MHG} > z_i > z_{MH1}) \\ \gamma_{L2} & (z_i > z_{MHG}) \end{cases} \quad (29)$$

In the above, T_{MH1} is the observed air temperature at MH1 ($^{\circ}\text{C}$), z_i , z_{MH1} , and z_{MHG} are the elevation at elevation zones i , MH1, and MHG (m), respectively, and γ_{L1} and γ_{L2} are the observed lapse rates between MT1 and MH1 and between MH1 and MHG, respectively. The daily precipitation at each elevation zone for non-glacierized ($P_{ng,i}$) and glacierized ($P_{g,i}$) areas is calculated from

$$P_{ng,i} = P_{g,i} = P_{MH1} [1 + \gamma(z_i - z_{MH1})/100], \quad (30)$$

where P_{MH1} is the daily precipitation measured at MH1 (mm d^{-1}), γ is the vertical gradient of precipitation per 100 m of altitude difference given by $\gamma = 100\Delta P/(P\Delta z)$, where ΔP is the difference between the observed monthly precipitation at PT1H and PT2H, Δz is the altitude difference between PT1H and PT2H, and P is the monthly precipitation at MH1 (= PT1H). The precipitation phase is determined based on the temperature

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$$\Delta A_g = \frac{\Delta V}{C_s \beta A_g^{\beta-1}}, \quad (34)$$

where ΔV is the change in the total volume of glacier ice during each day (km^3), which is calculated from the daily amount of melting and sublimation summed over each elevation zone. Sublimation of glacier ice is estimated after Ohno et al. (1992). Once the change in total glacierized area is calculated, it is prorated to each elevation zone by the ratio of the volume change in each elevation zone, ΔV_i , to that of the total volume, ΔV , which is expressed by

$$\Delta A_{g,i} = A_{g,i}^{t+1} - A_{g,i}^t = \frac{\Delta V_i}{\Delta V} \Delta A_g, \quad (35)$$

where $\Delta A_{g,i}$ is the daily change of glacierized area in each elevation zone i between time steps t and $t + 1$.

5 Input data and parameter settings

The Huayna Potosi West headwater catchment was divided into 100 m elevation zones using ASTER 30 m Global DEM data. Glacierized areas were calculated for each elevation band from a glacier boundary map delineated from a LANDSAT TM image taken in 2010 by applying the method verified by Liu et al. (2013). The areas of the lake and wetland were manually obtained from the same satellite image.

Glacier melt and snowmelt were formulated to depend on the air temperature and solar radiation, as explained earlier. The air temperature for each elevation zone is given by Eqs. (31)–(33). For solar radiation, data measured at MH1 were used. For precipitation, rain gauge data at MH1 and MHG were used to provide daily precipitation after calibration with the monthly totals measured at PT1H and PT2H. The vertical gradient of precipitation is set according to the monthly precipitation at stations PT1H and PT2H

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and applied to all elevation zones. The differences in annual mean relative humidity and wind velocity between MH1 and MHG are 5.6% and 0.04 ms^{-1} , respectively, thus we used relative humidity and wind velocity measured at MH1 as representative conditions for the entire catchment.

In the equations of snowmelt and glacier melt, two melting factors need to be calibrated. For snowmelt, the melting factors are manually calibrated to have a closer match between the measured and calculated albedo on the glacier surface at MHG (5150 m a.s.l.), whereas for glacier melting these factors are manually calibrated to reproduce three individual peaks observed at HH1 in 2011. Then, the melting factors are determined to be constant throughout the year (Table 1). The root mean square error of simulated and observed flow rates are minimized through these calibration processes. The calibrated melting factors are further validated by comparing observed annual glacier mass balance in each elevation zone in Zongo Glacier, which is located in the same region, with those calculated by Eqs. (16) and (17). The results confirmed that these melting factors are suitable for simulating the glacier and snow melts in our study area. For scaling parameters C_s and β in Eq. (33), two settings are used to consider the uncertainty relating to the glacier volume–area relationship. In one setting (case A), C_s and β are set to 0.04088 and 1.375, respectively, based on a regression analysis of isolated glaciers located in the tropical Andes in Bolivia and Peru (Baraer et al., 2012). In another setting (case B), C_s and β are set to 0.03530 and 1.375, respectively, to consider two main separated glaciers in our study area by a single volume–area relationship (Baraer et al., 2012). The setting of case A is used to simulate current and future seasonal streamflow variations, while both settings (cases A and B) are applied for understanding uncertainty in long-term changes in glacierized area and cumulative mass balance.

The topographic shading factor is obtained for each elevation band based on the Aster 30 m global DEM using Solar Analyst (Fu and Rich, 2000). Parameters used for calculating albedo change are after Sicart et al. (2011) and were adjusted to provide better agreement during the entire periods (Table 2). The constant for subsurface out-

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flow from storage, k_{ng} , is determined from the tailing curve of the observed hydrograph. Maximum storage capacity (S_{max}) and infiltration rate (Inf) of the ground are set based on data from the sampled soil cores. In glacierized areas, runoff coefficients for rainfall (c_{gr}), snowmelt (c_{gs}) and glacier melt (c_{gm}) were determined to be unity. Runoff coefficients for the snowmelt (c_{ngs}) and rainfall (c_{ngr}) in the non-glacierized area were formulated to depend on the storage of the underlying soil layer in each elevation zone, i.e.

$$c_{ngs} = c_{ngr} = c_{ngs,min} + (c_{ngs,max} - c_{ngs,min}) \frac{S_{ng,i}}{S_{max}}, \quad (36)$$

where $c_{ngs,min}$ and $c_{ngs,max}$ are the lower and upper bound of the runoff coefficient, respectively, which values are manually calibrated to give a closer match of simulated flow rates with those observed in the wet season (Table 2).

6 Simulation conditions for future prediction

Meteorological forcing such as air temperature and precipitation needs to be provided for prediction of future runoff. In this study, we simply calculated future glacier melt and runoff based on current meteorological conditions and future trends found from observational data. A similar approach was used by Stahl et al. (2008) to predict the impact of future climate change on water resources from glacial meltwater, but few studies are available for catchments in the tropical Andes.

General circulation model (GCM) outputs are also used for prediction of future runoff in glacierized catchments (Stahl et al., 2008). For catchments with a size far less than that of the grid resolved by the GCM, physical or statistical downscaling methods are sometimes employed to evaluate spatial distribution within the grid size of the GCM. However, downscaling remains challenging, especially for high mountain regions with limited data such as the Cordillera Real. Therefore, we attempted to use one GCM output (MRI-AGCM), simulated by the Meteorological Research Institute, Japan, (MRI)

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The 2 yr mean annual water balance in the Huayna Potosi West headwater catchment is summarized in Table 4. Precipitation (either by snow or rainfall) and reduced glacier volume are transferred to surface runoff, subsurface runoff, deep groundwater recharge, sublimation from the glacier surface and snow surface, evaporation from the ground, and storage as accumulated snow pack. The change in storage in the ground of non-glacierized areas is negligible. Runoff from glacier melt accounts for about 24.1 % of the annual total runoff (558.9 mm), and 65.4 % of the total runoff comes from surface and subsurface flow from the non-glacierized areas (365.3 mm), with subsurface runoff (204.8 mm) providing a larger contribution. Although these values are not verified by the observational data, a larger contribution from subsurface water is qualitatively consistent with hydrochemical analyses for streams in partially glacierized catchments of the Cordillera Blanca (Mark et al., 2005; Baraer et al., 2009).

7.2 Glacier retreat and runoff in the future (HY2011–2050)

Runoff and glacier retreat prediction was performed by applying the observed current meteorological conditions continuously until May 2051 with several cases of increasing air temperature trend ranging from 0 to 0.4 °C decade⁻¹. For this, a constant increment depending on the year since 2011 was added to the air temperature recorded during HY2011 and HY2012, and the other variables were kept the same as the current conditions. Monthly averaged lapse rates obtained from our 2 yr dataset were applied throughout the simulation periods. Additionally, adjusted MRI-AGCM outputs were applied until 2039.

Figure 10 shows the change in total glacierized area calculated with each case of future trends and MRI-AGCM output. In each case of future trends, the uncertainty related to the volume–area relationship was indicated by the upper and lower bounds of simulated glacierized areas. The upper bound indicates the result using the volume–area relationship by Baraer et al. (2012) (case A), and the lower bound is the result calculated by considering two main separated glaciers as individual isolated glaciers while the initial total glacierized area is the same as case A (case B). The setting of

the glacier volume–area relationship affects the change in total glacierized area, but the difference between case A and case B is not so significant. Each result of the reduction in glacierized area is within a small range of difference by around HY2020, after which the difference between each case of future trends gradually becomes wider until HY2050.

In case A, if the current meteorological conditions persist until HY2050 with the air temperature kept as its current level ($0.0^{\circ}\text{C decade}^{-1}$), the glacier will be reduced by about 31.7 % in 2050 due to melting of glacier located below 5200 m a.s.l. If we assume the air temperature increase of $0.2^{\circ}\text{C decade}^{-1}$, which is within the range of possible increases in the tropical Andes, the reduction is more rapid and serious, resulting in a 64.4 % area decrease by HY2050 in case A compared with HY2011. The change in glacierized area obtained by applying MRI-AGCM output is close to the results of the temperature trends of $0.2^{\circ}\text{C decade}^{-1}$ and $0.3^{\circ}\text{C decade}^{-1}$ even though the air temperature trend of MRI-AGCM was higher ($0.4^{\circ}\text{C decade}^{-1}$). This is due to the effect of the increasing trend of annual precipitation predicted by MRI-AGCM (6.4 mm yr^{-1}), which tends to reduce the period during which the glacier is exposed.

The cumulative mass balance shown in Fig. 11 was calculated as the total of water volume change by snow accumulation in higher elevation zones and glacier ablation in lower elevation zones, with the glacierized area at each time step as a reference. The lower bound of each case indicates the result from the volume–area relationship by Baraer et al. (2012) (case A), and the upper bound is calculated by considering the effect of separated glaciers on the estimated total glacier volume (case B). The uncertainty range of cumulative mass balance increases as time passes, especially for cases with a higher temperature trend. When the trend is $0.1^{\circ}\text{C decade}^{-1}$, cumulative mass balance to the end of HY2050 reaches about 22.5 m.w.e. ($0.56\text{ m.w.e. yr}^{-1}$) in case A, which is similar to that reported for Zongo Glacier (Soruco et al., 2009b). When the trend is doubled ($0.2^{\circ}\text{C decade}^{-1}$), the cumulative mass balance is also almost doubled ($0.99\text{ m.w.e. yr}^{-1}$) from the case of $0.1^{\circ}\text{C decade}^{-1}$ in case A and is closer to the observed mass balance of tropical glaciers in the Andes with a maximum altitude

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lower than 5400 m.a.s.l., including Charquini Sur in Bolivia (Rabatel et al., 2013). MRI-AGCM outputs give a mass balance ($1.40 \text{ m.w.e. yr}^{-1}$) similar to that for $0.3 \text{ }^\circ\text{C decade}^{-1}$ in case A owing to the effect of reduced period in glacier exposure. If we assume no trend of air temperature, cumulative mass balance is close to zero, and the range of uncertainty is the minimum.

The different trends in the reduction depend on two processes related to air temperature. Naturally, the temperature increase will enhance snow and glacier melt, resulting in a decrease in glacier volume and area and an increase in non-glacierized areas. Additionally, when air temperature increases, the chance of snow decreases, and more rainfall may occur. Then, glacier melt will be enhanced as the time during which the glacier is exposed becomes longer, which accelerates glacier retreat. Consequently, runoff components and the resulting hydrographs are expected to shift from the current condition with large contributions from glacier and snow melt in glacierized areas (Fig. 9) to those more influenced by runoff from rainfall and subsurface flow from non-glacierized areas (Fig. 12). Comparing Fig. 12a and b, it is clear that the larger the increasing trend of air temperature is, the greater the surface runoff from rainfall in the non-glacierized area becomes. In the transition period, snowmelt from the glacierized area becomes greater due to the rising temperature trend, while total runoff is reduced from that at present (Fig. 9). As a result, seasonal variation becomes greater, which means that more streamflow occurs in the wetter season and less in the drier season.

Long-term changes in runoff components are shown in Fig. 13 for three cases of temperature trend, i.e. $0.0 \text{ }^\circ\text{C decade}^{-1}$, $0.1 \text{ }^\circ\text{C decade}^{-1}$, and $0.2 \text{ }^\circ\text{C decade}^{-1}$ with a volume–area relationship given by case A, and the case of MRI-AGCM output. The figure indicates that the contribution of runoff from glacier and snow melts in the glacierized areas tends to decrease toward 2050 (Fig. 13a–c) because the glacier is not in equilibrium, and the size of glacier decreases as a result of its retreat. Conversely, in cases (b) and (c), runoff from non-glacierized areas increases because of area enlargement, increased surface runoff from rainfalls, and more subsurface flow because of increased infiltration and storage in non-glacierized areas (Fig. 13b and c). On the other hand, if

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the air temperature does not increase into the future (Fig. 13a), total runoff decreases due to reduced runoff from glacier and snow melts, and the cumulative mass balance is very small compared with the larger negative mass balances of the cases shown in Fig. 13b–d (Fig. 11). Therefore, in this case, accumulated snow makes up a long-term water storage that, along with the glacier, will contribute to runoff when it is exposed under higher temperature conditions.

Figure 13 indicates that the annual total runoff for cases (b) and (c) increases once toward the early 2020s but that it then decreases, resulting in a slight overall change from 559 mm during HY2011 and HY2012 to 542 mm and 572 mm during HY2049 and HY2050, respectively. The nature of the slight change is mainly because of increased subsurface runoff and rainfall runoff. However, the change in seasonal variation is more critical when we need to adapt to the reduced glacier effect. Our model predicts that by HY2050, seasonal variation becomes large because glacial meltwater decreases and rain-fed runoff becomes large, leading to limited available water resources during the dry season, although a small amount of flow may be maintained in the dry season by subsurface runoff (Fig. 12). The combined impact of air temperature increase and precipitation increase shown in Fig. 13d indicates that although the annual runoff shows large variations, its trend is not clear, whereas seasonal variation is increased as in the other cases.

7.3 Influence of other factors

Future glacier and snow melts and their accompanying runoff depend not only on the trends of air temperature and precipitation but also on other variables, such as humidity, solar radiation, wind velocity, and the condition of surface cover, all of which interactively define the local meteorological conditions.

The trend of relative humidity derived from historical records is not significant (Vuille et al., 2008); thus, if this trend continues into the future, the effect on melting and runoff would be minimal. We confirmed this by model simulation using an increasing trend of $1\% \text{ decade}^{-1}$ for the annual mean relative humidity. Solar radiation is affected by

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cloud cover and precipitation, and these factors are highly variable in time and space. If precipitation increases in the future, solar radiation may be reduced, and processes related to solar radiation such as glacier and snow melt will also be affected. In this study, these interactive situations were considered to some extent by introducing GCM outputs, but a more robust approach by resolving the catchment with finer future climate conditions calibrated by observed meteorological variables is necessary. In this perspective, our monitoring data, together with data from other AWSs in the same region, will contribute to the application of hydrological models to other watersheds, especially the larger ones, which will provide more comprehensive information about how to adapt to the change in available water resources in an environment of less glacial meltwater.

In addition to the meteorological environment, watersheds may also experience changes in surface conditions, such as land cover, soils, and topography. In warmer environments, the land surface may change from bare soil to vegetated cover, which would result in water storage but also increased evapotranspiration. Furthermore, runoff control by water storage and the retarding effect of permanent wetlands and lakes would also be expected, although a detailed analysis of their hydrological effects has not been performed. Therefore, long-term monitoring to detect the hydrological impact of wetlands and vegetative cover, focusing especially on the dry season, will be particularly important for water resources assessment in the Andes in a changing climate.

8 Conclusions

We developed a semi-distributed conceptual model to simulate runoff in a partially glacierized catchment in the tropical Andes under changing climate. The model calculates daily runoff from subdivided elevation zones considering inherent topographic conditions, spatial and temporal variability in meteorological conditions, hydrological effects of wetlands and lakes, and change in long-term glacier cover.

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We applied the model to the Huayna Potosi West headwater catchment in the Cordillera Real, Bolivia, to evaluate its ability to reproduce current seasonal streamflow variations and quantify the glacio-hydrological response of the catchment during 2 yr from June 2011 until May 2013. The characteristic seasonal and temporal variations in daily streamflow were simulated well by the model, largely because of the inclusion of characteristic geographic, climatic, and hydrological conditions of the catchment. We indicated that the vertical gradient of air temperature strongly influenced the runoff magnitude due to enhanced glacier and snow melt over the glacierized area. We quantified components from different sources of runoff and suggested that the current runoff is sustained mainly by glacier melt in the early stage of the wet season, October and November, and by contributions from snowmelt and subsurface runoff from the non-glacierized areas during the subsequent months in the wet season.

Long-term changes in runoff, glacierized area, and cumulative glacier and snow mass balance were quantified using several cases of future trends of air temperature and precipitation that were based on observed and predicted results. We found that the annual runoff is not changed very much by different temperature trends in the three cases, but seasonal variation is modified significantly, as seen in reduced streamflow in the dry season and early wet season and increased streamflow in the wet season, especially in January and February. The runoff components calculated with the possible trend of air temperature increase ($0.2\text{ °C decade}^{-1}$) suggest that by 2050, subsurface flow becomes more dominant and that direct runoff from rainfall becomes comparable to that from snowmelt due to elevated air temperature and elevated altitude of the snow line. The model predicts that the change in glacier cover continues until 2050 even if there is no long-term temperature increase and that wider variations in cumulative mass balance are expected to occur depending on the trend of increasing air temperature.

To assess the future water resources available from Tuni Lake, we need to apply the model to other areas including the Condoriri Glacier catchment located in the same mother catchment. To apply it to larger catchments, we may need to improve

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Table 1. Melting factors for snow and glacier.

Type of melting	a_{1g}, a_{1gs}, a_{1s}	a_{2g}, a_{2gs}, a_{2s}
Glacier melt M_g	4.5 ($\text{mm d}^{-1} \text{ } ^\circ\text{C}^{-1}$)	0.07 ($\text{m}^2 \text{ mm W}^{-1} \text{ day}^{-1} \text{ } ^\circ\text{C}^{-1}$)
Snowmelt on the glacier M_{gs}	2.1 ($\text{mm d}^{-1} \text{ } ^\circ\text{C}^{-1}$)	0.03 ($\text{m}^2 \text{ mm W}^{-1} \text{ day}^{-1} \text{ } ^\circ\text{C}^{-1}$)
Snowmelt on the ground M_{ngs}	8.0 ($\text{mm d}^{-1} \text{ } ^\circ\text{C}^{-1}$)	0.26 ($\text{m}^2 \text{ mm W}^{-1} \text{ day}^{-1}$)

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Table 2. List of parameters used in the model.

Category	Parameter
Snow and glacier albedo	$s^* = 6$ (mm), $n^* = 3$ (days) $\alpha_{\text{firn}} = 0.5$, $\alpha_{\text{gnd}} = 0.20$, $\alpha_{\text{ice}} = 0.25$, $\alpha_{\text{fresh}} = 0.88$ ($T_a = < -4.6$) $= 0.88 - 0.015(T_a + 4.6)$ ($T_a > -4.6$)
Runoff coefficient	$c_{\text{ngs,min}} = 0.1$, $c_{\text{ngs,max}} = 0.5$, $c_{\text{gm}} = 1.0$, $c_{\text{gs}} = 1.0$, $c_{\text{gr}} = 1.0$, c_{ngs} , c_{ngr} : Eq. (36)
Subsurface flow coefficient	$k_{\text{ng}} = 0.03$
Ground conditions	$S_{\text{max}} = 200$ (mm), $\text{Inf} = 1000$ (mm d^{-1}), $\alpha_{\text{gnd}} = 0.2$
Topographic shading factor	$\text{SR}_i = 0.286 \sim 1.252$ (monthly values are given)

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Table 3. Comparison of observed meteorological conditions with MRI-AGCM outputs.

Annual mean or total	Observed at MH1 (Jun 2011–May 2013)	MRI-AGCM (Jun 2015–May 2020)	MRI-AGCM (Jun 2015–May 2039)
Air temperature (°C)	2.86	3.08	3.38
Relative humidity (%)	61.3	64.3	65.9
Wind velocity (m s^{-1})	2.15	2.15	2.13
Solar radiation (W m^{-2})	208.1	208.1	205.1
Precipitation (mm yr^{-1})	566.7	498.7	564.9

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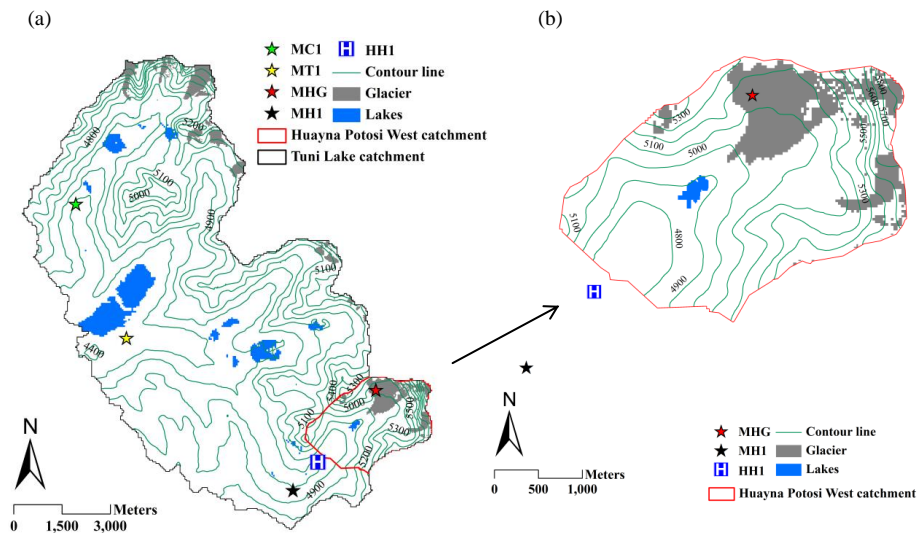


Fig. 1. Study area showing (a) Tuni Lake catchment and (b) Huayna Potosi West headwater catchment. HH1 is a hydrological station located at the outlet of Huayna Potosi West headwater catchment. MC1, MT1, MHG, and MH1 are automated weather stations.

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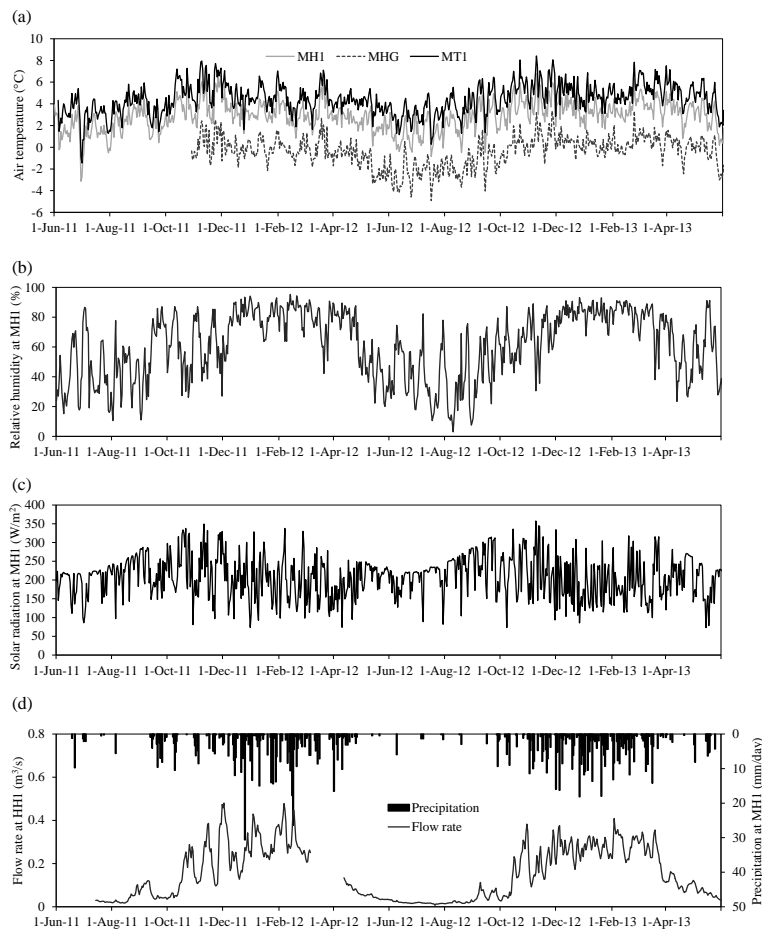
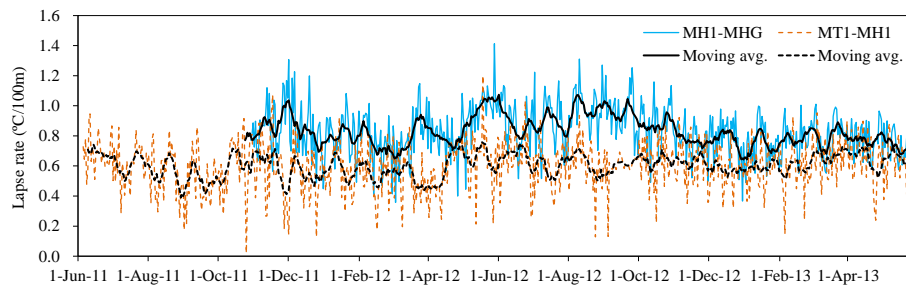


Fig. 2. Observed daily mean (a) air temperatures, (b) relative humidity, (c) solar radiation, and (d) daily total precipitation and daily mean flow rate.

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**Fig. 3.** Daily and moving-average (10 day) lapse rate of air temperature.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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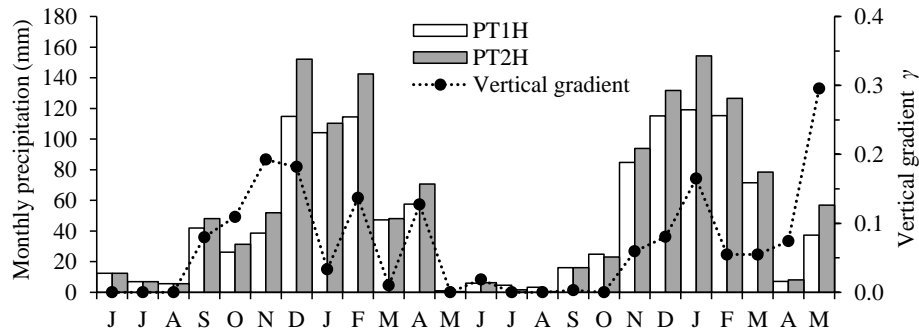


Fig. 4. Monthly precipitation and its vertical gradient during HY2011 and HY2012. Gradient γ is used in Eq. (30) and is defined as the vertical gradient of precipitation per 100 m elevation difference given by observed monthly precipitation at PT1H and PT2H.

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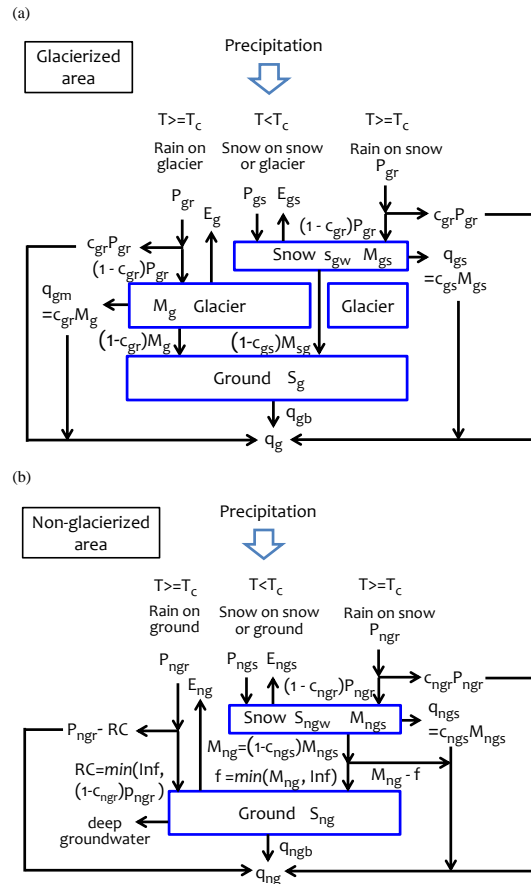


Fig. 5. Structure of runoff calculation in the model for **(a)** glacierized and **(b)** non-glacierized areas. In some symbols, the subscript i for elevation zone is omitted.

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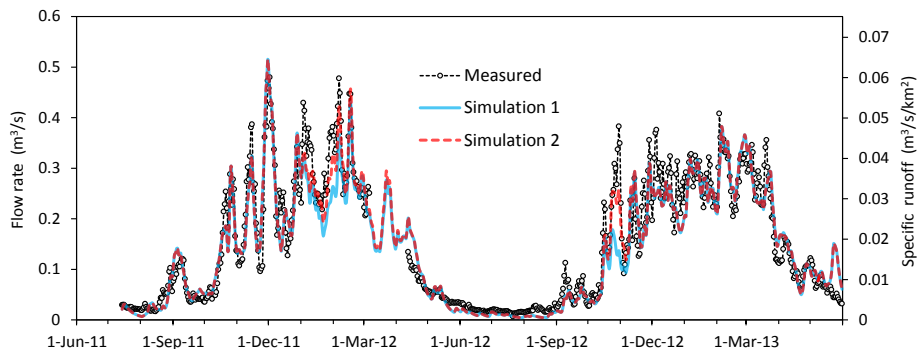


Fig. 6. Comparison between simulated flow rates and those measured at HH1. In simulation 1, the observed vertical gradient of monthly precipitation and the daily lapse rate of air temperature are used. In simulation 2, the gradient of precipitation was changed to the mean of December 2011 and February 2012, and the lapse rate was set to $0.6^{\circ}\text{C} (100\text{ m}^{-1})$ during October 2012. The vertical axis on the right-hand side indicates the specific runoff.

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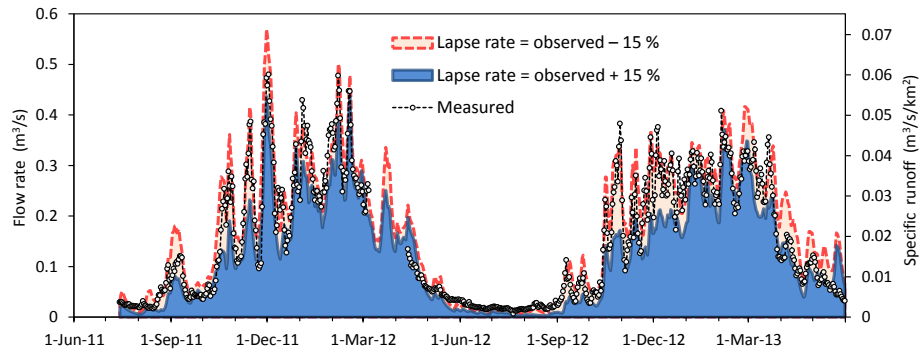


Fig. 8. Sensitivity of calculated flow rates to air temperature conditions. The vertical axis on the right-hand side indicates the specific runoff.

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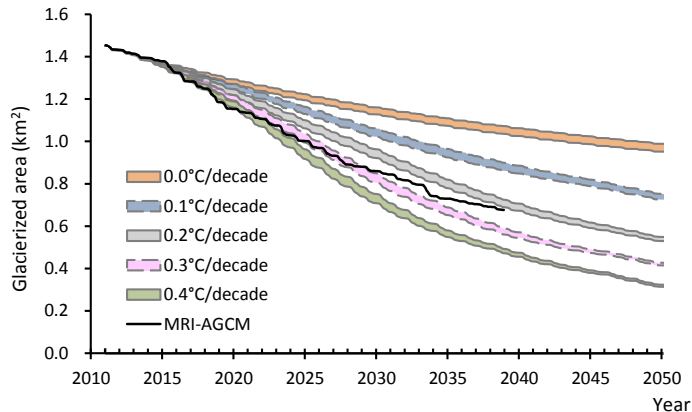


Fig. 10. Change in total glacierized area in the study catchment. The upper bound of each case indicates the result from the original volume–area relationship by Baraer et al. (2012) (case A). The lower bound is calculated by considering the effect of separated glaciers on the estimated total glacier volume (case B). The solid line of MRI-AGCM is the result for case A.

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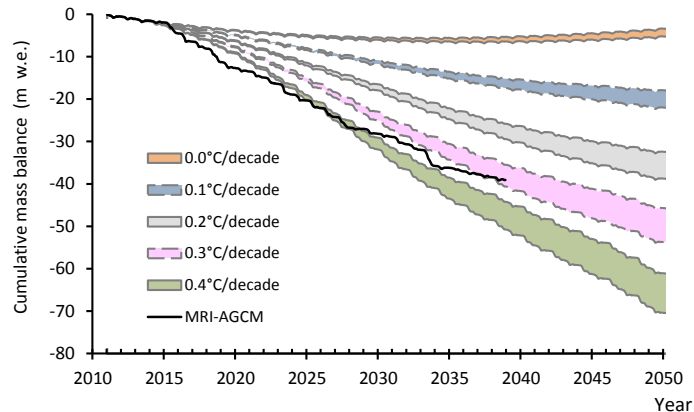


Fig. 11. Cumulative snow and glacier mass balance in the study catchment. The lower bound of each case indicates the result using the volume–area relationship by Baraer et al. (2012) (case A). The upper bound is calculated by considering the effect of separated glaciers on the estimated total glacier volume (case B). The solid line of MRI-AGCM is the result for case A.

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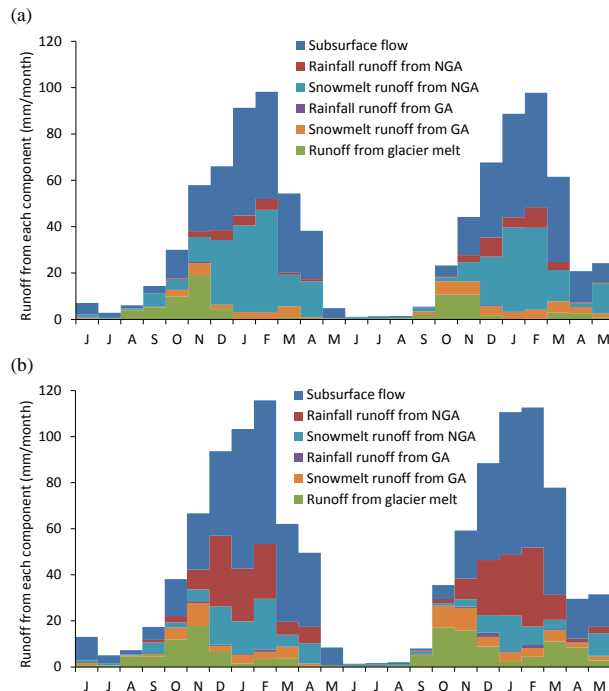


Fig. 12. Runoff components for the period HY2049 and HY2050 calculated with **(a)** no temperature trend and **(b)** temperature increase trend of 0.2 °C decade⁻¹. The volume–area relationship in case A is used.

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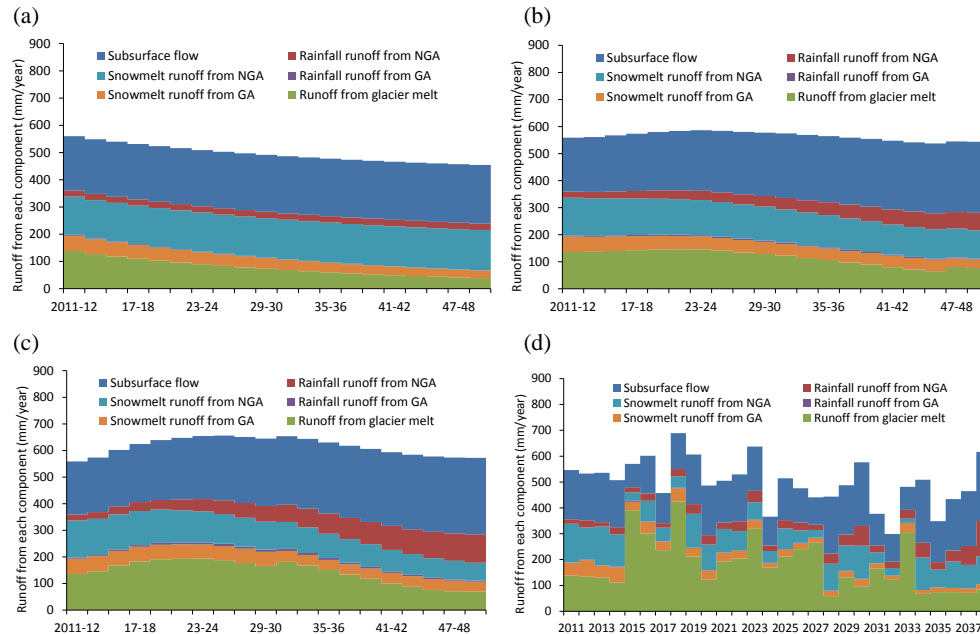


Fig. 13. Long-term change in runoff components calculated with (a) no temperature trend, (b) temperature increase trend of $0.1\text{ }^{\circ}\text{C decade}^{-1}$, (c) temperature increase trend of $0.2\text{ }^{\circ}\text{C decade}^{-1}$, and (d) MRI-AGCM outputs used as forcing data. The volume–area relationship in case A is used. Two-year average values are plotted from HY2011 to HY2050 in (a) to (c) and annual values are plotted from HY2011 to HY2038 in (d).