Hydrol. Earth Syst. Sci. Discuss., 10, 10845–10872, 2013 www.hydrol-earth-syst-sci-discuss.net/10/10845/2013/ doi:10.5194/hessd-10-10845-2013 © Author(s) 2013. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Hydrology and Earth System Sciences (HESS). Please refer to the corresponding final paper in HESS if available.

# Influence of soil, land use and climatic factors on the hydraulic conductivity of soil

N. Jarvis, J. Koestel, I. Messing, J. Moeys, and A. Lindahl

Department of Soil & Environment, Swedish University of Agricultural Sciences, Box 7014, 750 07 Uppsala, Sweden

Received: 30 July 2013 - Accepted: 31 July 2013 - Published: 19 August 2013

Correspondence to: N. Jarvis (nicholas.jarvis@slu.se)

Published by Copernicus Publications on behalf of the European Geosciences Union.

Discussion Da	HESSD 10, 10845–10872, 2							
ner I Di	Influence of soil, land use and climatic factors							
collection Dane	N. Jarv	is et al.						
ממס	Title	Page						
	Abstract	Introduction						
	Conclusions	References						
collection Daner	Tables	Figures						
	I.€	►I						
anor	•	•						
-	Back	Close						
	Full Scre	een / Esc						
	Printer-frier	ndly Version						
Danc	Interactive	Discussion						
Pr -	$\odot$	•						

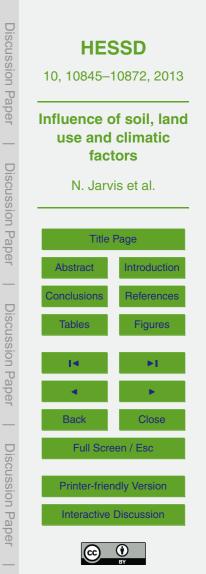
# Abstract

Due to inadequate data support, existing algorithms used to estimate soil hydraulic conductivity, K, in (eco)hydrological models ignore the effects of key site factors such as land use and climate and neglect the significant effects of soil structure on water flow at and near saturation. These limitations may introduce serious bias and error into 5 predictions of terrestrial water balances and soil moisture status, and thus plant growth and rates of biogeochemical processes. To resolve these issues, we collated a new global database of hydraulic conductivity measured by tension infiltrometer under field conditions. The results of our analyses on this dataset contrast markedly with those of existing algorithms used to estimate K. We show that the saturated hydraulic con-10 ductivity,  $K_{\rm s}$ , in topsoil (<0.3 m depth) is only very weakly related to texture. Instead,  $K_{\rm s}$  depends more strongly on bulk density, organic carbon content and land use and management factors. In this respect, the results show that arable sites have, on average, ca. 2 to 3 times smaller  $K_{\rm s}$  values than natural vegetation, forests and perennial agriculture. The data also clearly demonstrates that clay soils have smaller K in the soil 15 matrix and thus a larger contribution of soil macropores to K at and near saturation.

## 1 Introduction

Soil hydraulic properties determine water fluxes and storages and thus a range of key biogeochemical processes in the earth's critical zone (NRC, 2001; Lin, 2010). In par-

- ticular, the hydraulic conductivity of surface soil layers at and near saturation is an important parameter regulating the partitioning of precipitation between surface runoff and groundwater recharge, plant water uptake and plant growth, rates of biogeochemical cycling in soil and risks of pollutant impacts on surface waters and groundwater. Soil hydraulic conductivity is traditionally measured on small samples in the labora-tory (Klute and Dirksen, 1986) or with a variety of different infiltrometer techniques in
- the field (White et al., 1992; Angulo-Jaramillo et al., 2000). These methods are time-

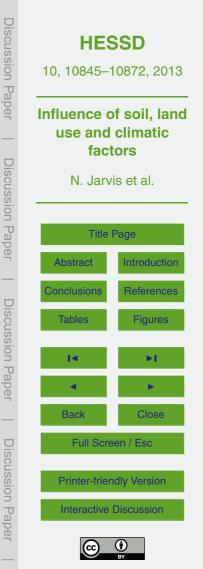


consuming, so they are not practical to apply in all cases, especially for larger areas. Thus, for many hydrological model applications, soil hydraulic properties are estimated from more easily available proxy variables such as soil texture, bulk density or organic carbon content. Such estimation approaches are widely referred to as pedotransfer
 functions (Bouma, 1989; Wösten et al., 2001). Some well-known examples are the HYPRES functions (Wösten et al., 1999) and ROSETTA (Schaap et al., 2001), which is derived from the global database UNSODA (Nemes et al., 2001). In contrast to soil

water retention, these existing approaches often perform poorly for predictions of hydraulic conductivity (Vereecken et al., 2010), especially when the soil is nearly or completely water saturated (e.g. Chirico et al., 2007). One important reason for this is that existing functions are based on measurements made on small cores in the laboratory, which are not representative for hydraulic conductivity in the field, for example, due to the disruption of soil macropores during sampling and sample preparation. Thus, existing pedotransfer functions tend to over-emphasize the importance of soil texture and underestimate the significant effects of structure (Vereecken et al., 2010). Some efforts have been made to develop improved pedotransfer functions for saturated hydraulic conductivity,  $K_s$ , that account for soil structure (e.g. McKenzie and Jacquier, 1997; Lin et al., 1999; Lilly et al., 2008), but these are rarely used, probably because the soil structure descriptors required by these approaches are subjectively assessed and not

20 widely available.

Existing global databases and pedotransfer functions for  $K_s$  have several other limitations. For example, they do not address the significant effects of land use and vegetation types on  $K_s$  that have been demonstrated in several local-scale studies (e.g. Gonzalez-Sosa et al., 2010; Thompson et al., 2010). Although very few studies have addressed the question, climatic factors might also be expected to affect  $K_s$  through the effects of soil moisture on soil biota and plant growth and thus the abundance of root and faunal biopores, and on the degree of aggregation and the frequency and distribution of dessication cracks. In a literature meta-analysis carried out at sites under natural vegetation, significant correlations between vegetation biomass and infiltration capacity



were found at xeric sites, but not at mesic or hydric sites (Thompson et al., 2010). In a modeling context, errors resulting from the use of parameter estimation routines that ignore important site controls on saturated hydraulic conductivity may result in significant errors in the partitioning between infiltration/runoff and evaporation/recharge in

- <sup>5</sup> hydrological models (e.g. Chirico et al., 2010), soil moisture contents and simulated rates of biogeochemical processes in soils (e.g. nutrient cycling and carbon turnover). Pedotransfer functions based on field measurements should give more reliable predictions of saturated and near-saturated hydraulic conductivity. In particular, unconfined infiltration measurements made using permeameters that supply water to the soil under
- <sup>10</sup> a slight tension (so-called tension infiltrometers) are preferable to laboratory measurement techniques since they preserve the fragile structural macropores that dominate flow at and close to saturation. The first tension infiltrometer was designed as early as the mid-1970's (Dixon, 1975), but the technique really only became popular following the development of simple methods to estimate hydraulic properties from measured un-
- <sup>15</sup> confined three-dimensional infiltration rates in the field (Ankeny et al., 1991; Reynolds and Elrick, 1991). There is now a large amount of historical experimental data on hydraulic conductivity measured by tension infiltrometer in the peer-reviewed literature. Surprisingly, no serious attempts have been made to synthesize or analyze this data to derive global pedotransfer functions for saturated and near-saturated hydraulic conduc-
- tivity. We are aware of only two previous studies of this type, both of which were only of limited scope, based on small datasets (Jarvis et al., 2002; Moosavi and Sepaskhah, 2012).

In this study, we present a global database of measurements made by tension infiltrometer collated from the published peer-reviewed literature. We also present the re-

<sup>25</sup> sults of some preliminary statistical analyses carried out on this comprehensive dataset to elucidate the influence of soil properties and land use and climatic factors on the near-saturated and saturated hydraulic conductivity of soil.

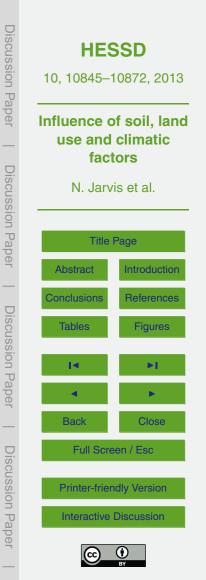


# 2 Methods

## 2.1 Data collection

Data on hydraulic conductivity, *K*, as a function of water tension,  $\psi$ , measured by tension infiltrometer was collated from the published literature through ISI Web of Science and Google scholar searches. Data presented in tables were taken directly, while figures were digitized to extract paired *K*,  $\psi$  values. Mean values were recorded in the database for a given plot, even if data for individual replicates was available. A plot was defined as a measurement location for which all entries in the database for potential predictor variables are identical. Data for a given plot was only entered into the

- <sup>10</sup> database if the measurements were made on undisturbed soil and for at least 3 paired  $K, \psi$  values. A few studies only reported steady-state infiltration rates. In these cases, we calculated hydraulic conductivity from steady-state infiltration using the method of Ankeny et al. (1991), knowing the diameter of the ring. Many studies report hydraulic conductivity at zero tension derived from unconfined infiltration measurements. In our
- experience, such measurements are liable to error due to leaks from the infiltrometer. This implies that the actual supply potential in these cases must have been slightly negative, since such leakages are quite obvious. For this reason, we assumed a nominal supply tension of 1mm whenever hydraulic conductivity data were reported at zero tension.
- In total, the database includes 753 individual data sets from 124 different published studies at 144 different locations worldwide (see Supplement). Comprehensive auxiliary meta-data and information on measurement and calculation methods, site characteristics and soil properties was also entered into the database (see Tables 1 and 2), which can also be obtained on request from the corresponding author. Among the studies included in the database, climate data for the measurement sites was only infre-
- quently reported. Thus, we estimated climate variables at each location using the FAO New LocClim model (http://www.fao.org/nr/climpag/pub/en3\_051002\_en.asp), which spatially interpolates measured long-term meteorological data records for a global net-



work of sites. In some of the studies included in the database, annual average precipitation was also reported (see Table 2), and this data was used to successfully validate the New LocClim estimates (see supplementary material).

# 2.2 Summarizing the hydraulic conductivity data

<sup>5</sup> The number and magnitude of supply tensions at which infiltration was measured varied widely between studies and sometimes also within studies. We therefore summarized each dataset by fitting a simple model of near-saturated hydraulic conductivity to the reported data (Jarvis, 2008):

$$\frac{\mathcal{K}(\psi)}{\mathcal{K}_{\rm s}} = \left(\frac{\psi_{\rm min}}{\psi}\right)^{n^*}; \qquad \psi \ge \psi_{\rm min}$$

 $K(\psi) = K_{\rm s}$  ;  $\psi < \psi_{\rm min}$ 

where  $K_s$  is the saturated hydraulic conductivity,  $\psi_{min}$  is the water/air-entry tension corresponding to the largest pore in the soil and  $n^*$ , which reflects macropore size distribution and tortuosity (Jarvis, 2008), is given by the slope of  $K(\psi)$  on a plot of

- <sup>15</sup> log *K* vs. log  $\psi$ . It should be noted that  $\psi_{\min}$  (and therefore  $K_s$ ) could not be defined for many datasets, since measurements were not made at supply tensions close enough to saturation (see Fig. 1 for an example). For this reason, fitted values of  $n^*$  and, where possible,  $\psi_{\min}$  were stored in the database together with *K* estimated at  $\psi = 10$  cm, the  $R^2$  value of the fit and the minimum and maximum supply tensions (see Table 2).
- From this data, *K* can be estimated at any tension for each dataset. Equation 1 gave  $R^2$  values larger than 0.9 for ca. 90% of the individual datasets (Fig. 2).

# 2.3 Multivariate regression

Multivariate ordinary least-squares regression (MLR) models were developed for hydraulic conductivity at saturation,  $K_s$ , and 10 cm tension,  $K_{10}$ , and for the contribution



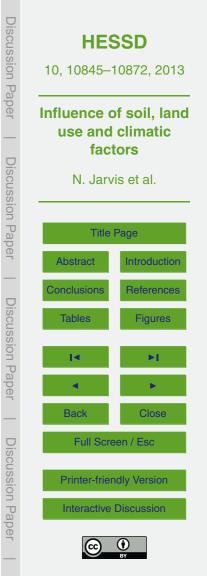
(1)

of macropores to  $K_s$  (=  $K_s - K_{10}$ , hereafter termed  $K_{s(ma)}$ ) using a "bootstrapping" procedure (re-sampling with replacement) in which 63 % (on average) of the data points are used to build equations, while 37 % are retained for validation. The bootstrapping procedure was repeated 250 times to ensure stable results. MLR cannot easily deal with

- <sup>5</sup> categorical variables, and especially with hierarchical dependencies among them (i.e. land use and tillage systems, see Table 1). However, land use was included as a potential predictor variable by defining binary variables (1 = yes 0 = no) for three broader land use classes that reflect traffic and cultivation intensity: arable or rotational agriculture (LUT2), perennial agriculture (LUT1), and forests or natural vegetation (LUT0). In
- order to minimize problems due to correlations among predictors, we included only six continuous variables (depth of measurement, clay content, bulk density, organic carbon content, annual precipitation, average annual temperature) in the analysis. Nevertheless, some of these predictors were still significantly correlated, so in addition to ordinary MLR, we also tested ridge-regression, which accounts for such correlations.
- However, the results of both methods were very similar, so we only present the results of ordinary MLR. Ordinary MLR models containing all possible combinations of these predictor variables were tested (i.e. a best subset regression for 255 possible models). For each dependent variable, the best model was selected as the one with the smallest value of the Akaike information criterion, an approach which penalizes
   over-fitting. Model performance was also assessed with validation root mean square
- errors of prediction (RMSEP) and validation  $R^2$  values calculated on the 250 bootstrap samples.

We excluded two organic soils from the MLR analysis, as well as measurements made in the subsoil (i.e. where the infiltrometer was placed at depths > 0.3 m). We also excluded records for which extrapolation too far beyond the range of measured data was needed to estimate hydraulic conductivity from the model fits (see Table 3). We also investigated whether better MLR models could be obtained by excluding datasets for which equation 1 fitted poorly. However, no clear improvements were obtained for a

range of cut-off  $R^2$  values tested, and so no such limitation was imposed.



In the absence of confining rings or cores, unconfined three-dimensional infiltration occurs from the base plate of the infiltrometer and the measured infiltration rates must therefore be converted to an estimate of (one-dimensional) hydraulic conductivity. Several methods have been proposed, but Table 1 shows that those based on steady-state s infiltration and a piece-wise log-linear approximation to  $K(\psi)$  (Reynolds and Elrick, 1991; Ankeny et al., 1991) are by far the most popular. Measurements of  $K(\psi)$  can also be made either in an ascending or descending sequence of water tensions. Table 1 shows that a descending sequence of tensions (i.e. from dry to wet) predominates. It is well known that the various methods used to estimate hydraulic conductivity from measured infiltration rates can give significantly different results (Jacques et al., 10 2002; Ventrella et al., 2005), as can the direction of the sequence of infiltration runs (e.g. Clothier and Smettem, 1990). We therefore performed an analysis of variance for  $\log K_{\rm s}$ ,  $\log K_{\rm s(ma)}$  and  $\log K_{10}$  for these two factors to check whether such effects were apparent in the database. No significant effects of measurement method or hysteresis were detected for log  $K_s$  or log  $K_{s(ma)}$ , but both factors were highly significant for log  $K_{10}$ 15 (see Tables 4 and 5). Among the K-estimation methods,  $K_{10}$  was largest for the leastsquares regression method proposed by Logsdon and Jaynes (1993), which assumes a log-linear near-saturated  $K(\psi)$  function of constant slope (see Table 4). As noted by Logsdon and Jaynes (1993), this method may perform poorly if it is applied across a wide range of tensions in strongly structured soils, since the slope of log K vs.  $\psi$  of-20 ten decreases significantly across the tension range close to saturation in such soils (Jarvis and Messing, 1995). This limitation has clearly not always been appreciated and understood by those applying the method, which may be one likely reason why  $K_{10}$  values were largest for this method. Significantly larger  $K_{10}$  values, on average, were also noted for drainage (i.e. wet-to-dry) sequences (Table 5), presumably be-25 cause wetting can still take place at the infiltration front, while drainage occurs close to the disc (Reynolds and Elrick, 1991). Thus, as a result of this analysis, a more restricted dataset was used to develop MLR models for log  $K_{10}$ , consisting of data obtained from steady-state unconfined (i.e. 3-D) infiltration tests measured for a dry-to-wet sequence



of supply tensions and assuming a piece-wise log-linear approximation to  $K(\psi)$  (Table 3).

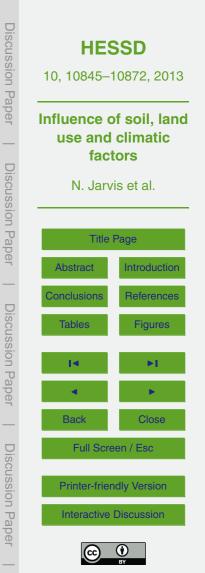
# 3 Results and discussion

Table 1 shows that, in contrast to UNSODA, medium- and fine-textured soils are very well represented in the database. As noted above, this is because tension infiltrometry has been widely applied to study the effects of soil structure on hydraulic conductivity. Table 1 also shows that ca. 72% of the data entries are from arable/rotational sites, 15% from managed permanent grassland or perennial agriculture (e.g. orchards), while the remaining data (ca. 13%) comes from forests or natural vegetation. Table 6
illustrates the relationship between soil texture and three broad land use types, which represent different degrees of cultivation and traffic intensity. Applying Pearson's Chi-squared test to the joint frequency distribution shown in Table 6 suggests that it is not homogeneous and that a significant interaction exists between the two variables. Most of this is due to the pre-dominance of natural vegetation and forest sites on coarsetextured soils: this combination of land use and soil texture class represents more than

half of the total chi-squared value (Table 6).

Figure 3 shows the relationships between two of the target variables, the saturated hydraulic conductivity,  $K_s$ , and the saturated hydraulic conductivity of the soil matrix (defined as K at a tension of 10 cm),  $K_{10}$ , and several potential predictor variables.

- <sup>20</sup> The plots for hydraulic conductivity in Fig. 3 show that  $K_s$  is typically 1 to 3 orders of magnitude larger than  $K_{10}$  due to the effects of soil macropores (e.g. shrinkage cracks, tillage voids, bio-pores) on *K* near saturation. In comparison, *K* decreases, on average, by a little less than 1 order of magnitude close to saturation for the soils in the UNSODA database (Schaap and Leij, 2000), which contains more coarse-textured
- <sup>25</sup> soils. As has previously been found for smaller regional-scale data sets (Børjesen et al., 2006), the largest macropore hydraulic conductivities (=  $K_s - K_{10}$ ) are generally found for finer-textured soils of smaller matrix hydraulic conductivity. Furthermore, contrary to



the predictions of widely-used estimation algorithms, Fig. 3 suggests that there is no clear trend of  $K_s$  with soil texture. Thus, on average,  $K_s$  in clayey-textured soils is just as large as in non-structured sands, due to the contribution of macropores. One important caveat here is that more than 90% of the measurements in the database were made

<sup>5</sup> in the topsoil (< 0.3 m depth), due to the practical difficulties of applying this technique in subsoil. Although we cannot test the hypothesis here, it seems likely that textural controls on  $K_s$  should become more dominant in deeper subsoil, where the effects of structure-forming biological and physical processes are weaker.

Figure 3 also illustrates the extent of correlations between potential predictor variables of hydraulic conductivity. For example, organic carbon content tends to be somewhat smaller in coarse-textured soils and larger in soils under natural vegetation. Bulk density tends to be larger for coarse-textured soils and also increases with decreasing organic carbon, but shows no apparent trend with land use.

Table 7 shows selected bootstrapped multivariate ordinary least-squares regression (MLR) models for  $K_s$ ,  $K_{10}$  and  $K_{s(ma)}$  (=  $K_s - K_{10}$ ). Figure 4a-d illustrate the perfor-15 mance of the model for  $K_s$ . Corresponding plots for  $K_{10}$  and  $K_{s(ma)}$  are presented in the supplementary material. The use of the Akaike information criterion favoured the selection of relatively parsimonious models with only three predictor variables (Table 7). It can be noted that the validation root mean square error of prediction for these models was only 1 to 3% larger than for the best-fit models, which contained more predictors 20 (Table 7). The predictive power of the selected models is relatively modest, with validation  $R^2$  values of 0.19 to 0.32 and RMSEP values for log K ranging from 0.41 to 0.57 (Table 7). These performance statistics are slightly better for the calibration data (Table 7), and also compare favourably to the performance of existing estimation algorithms (Vereecken et al., 2010). Indeed, better accuracy can almost certainly not be 25 expected, because (i) the measurements in a global database like this may be influ-

enced by unknown differences in experimental conditions and procedures (Reynolds, 2006), (ii) it seems highly likely that there are many complex non-linear and/or hierarchical dependencies between variables in the dataset that simple linear, additive,



models cannot capture, and (iii) K at and close to saturation depends on the geometry and topology of a few larger soil pores, which may not be strongly correlated with properties that are measurable on disturbed or bulk soil (Ghafoor et al., 2013). This is also the reason for the large and apparently random short-range spatial variation in  $K_s$  frequently found in field, hillslope and catchment-scale studies (Mallants et al., 1996;

 frequently found in field, hillslope and catchment-scale studies (Mallants et al., 1996; Buttle and House, 1997; Shouse and Mohanty, 1998).

Bulk density, land use and soil organic carbon content were identified as the three most important predictors for  $K_s$  (see Table 7 and Fig. 4b). Organic carbon is usually considered to improve soil structure, which would imply a positive correlation with

- <sup>10</sup>  $K_s$ . However, Table 7 suggests the opposite, with  $K_s$  apparently decreasing as organic carbon content increases. This trend, which may be due to sub-critical soil water repellency, is also apparent in some existing global databases and pedotransfer functions for  $K_s$  (Nemes et al., 2005). Soil organic carbon has also been shown to reduce  $K_s$  in local-scale studies (e.g. Wang et al., 2009). Table 7 shows that intensive cultivation of
- arable land apparently reduces topsoil  $K_s$  by, on average, a factor of ca. 2 to 3 compared with perennial agriculture, natural vegetation and forests, presumably due to the effects of tillage and traffic compaction. The results of this global analysis are supported by several local-scale studies, which show reduced near-saturated and saturated hydraulic conductivity in cultivated soil compared with soil under natural vegetation (e.g.
- <sup>20</sup> Bridge and Bell, 1994; Whitbread et al., 2000; Fuentes et al., 2004; Zhou et al., 2008). A significant effect of bulk density on  $K_s$  was also detected (Table 7, Fig. 4b), which is probably related to the effects of temporal variations in porosity in cultivated arable topsoil due to cycles of tillage and subsequent consolidation.

Unsaturated hydraulic conductivity is difficult and time-consuming to measure and so is commonly estimated from measured or predicted  $K_s$  values using capillary bundle models of the soil pore system (van Genuchten, 1980). Using  $K_s$  as a "matching point" in approaches based on these uni-modal models of soil hydraulic functions can lead to serious over-estimation of unsaturated hydraulic conductivity, since they ignore the significant effects of soil macropores (Schaap and Leij, 2000; Jarvis et al., 2002). More



reliable estimates of unsaturated *K* can be obtained with the matching point hydraulic conductivity set at a tension where macropores no longer conduct water. Here, this tension is assumed to be 10 cm (Jarvis, 2007). Table 7 shows that in contrast to  $K_s$ , clay content exerts a significant control on the saturated matrix hydraulic conductivity,

- <sup>5</sup>  $K_{10}$ , with smaller values found in fine-textured soils.  $K_{10}$  is also negatively correlated with bulk density, with a regression coefficient only slightly smaller than for  $K_s$ , which suggests that compaction and loosening affects K similarly across the entire nearsaturated tension range. Interestingly, the annual average temperature at the site,  $T_{est}$ , was found to be positively correlated to  $K_{10}$  (Table 7). The reasons for this are not clear,
- <sup>10</sup> but one possible explanation is the greater risk of soil compaction in cold climates with short growing seasons, where farmers are often obliged to cultivate and traffic arable topsoil despite unfavourable soil conditions. Finally, the model for macropore hydraulic conductivity,  $K_{s(ma)}$ , suggests a positive correlation with clay content and negative correlations with bulk density and arable land use (Table 7).
- <sup>15</sup> The results of the bootstrapped MLR should be considered as illustrative of the main factors controlling saturated and near-saturated hydraulic conductivity in soil, but the relatively modest values obtained for the validation statistics suggests that caution should be exercised in their predictive use. In this respect, it seems likely that the application of advanced "machine learning" techniques (e.g. classification and regression
- trees, random forest, neural networks), which can account for the complex non-linear and hierarchical relationships that unquestionably exist among many of the key predictor variables will yield more powerful predictive tools. Further research in this direction is in progress.

Nevertheless, our results demonstrate both clear effects of land use and climate on *K* and also contrast strikingly with existing estimation algorithms with respect to the effects of soil texture. This can be attributed to the different data support in our study, which consists of field infiltrometer measurements made primarily in topsoil. We intend to update the database as new data becomes available. In this respect, additional measurements made in subsoil and in non-arable land would be most valuable.



Supplementary material related to this article is available online at http://www.hydrol-earth-syst-sci-discuss.net/10/10845/2013/ hessd-10-10845-2013-supplement.pdf.

#### References

15

25

<sup>5</sup> Angulo-Jaramillo, R., Vandervaere, J.-P., Roulier, S., Thony, J.-L., Gaudet, J.-P., and Vauclin, M.: Field measurement of soil surface hydraulic properties. A review and recent developments, Soil Till. Res., 55, 1–29, 2000.

Ankeny, M. D., Ahmed, M., Kaspar, T. C., and Horton, R.: Simple field method for determining unsaturated hydraulic conductivity, Soil Sci. Soc. Am. J., 55, 467–470, 1991.

- <sup>10</sup> Børgesen, C. D., Jacobsen, O. H., Hansen, S., and Schaap, M. G.: Soil hydraulic properties near saturation, an improved conductivity model, J. Hydrol., 324, 40–50, 2006.
  - Bouma, J.: Using soil survey data for quantitative land evaluation, Adv. Soil Sci. 9, 177–213, 1989.

Bridge, B. J. and Bell, M. J.: Effect of cropping on the physical fertility of Krasnozems, Aust. J. Soil Res., 32, 1253–1273, 1994.

- Buttle, J. M. and House, D. A.: Spatial variability of saturated hydraulic conductivity in shallow macroporous soils in a forested basin, J. Hydrol., 203, 127–142, 1997.
- Chirico, G. B., Medina, H., and Romano, N.: Uncertainty in predicting soil hydraulic properties at the hillslope scale with indirect methods, J. Hydrol., 334, 405–422, 2007.
- <sup>20</sup> Chirico, G. B., Medina, H., and Romano, N.: Functional evaluation of PTF prediction uncertainty: an application at hillslope scale, Geoderma, 155, 193–202, 2010.
  - Clothier, B. E. and Smettem, K. R. J.: Combining laboratory and field methods to define the hydraulic properties of soil, Soil Sci. Soc. Am. J., 54, 299–304, 1990.

Comegna, A., Severino, G., and Sommella, A.: Surface measurements of hydraulic properties in an irrigated soil using a disc permeameter, WIT Trans. Ecol. Envir., 96, 341–353, 2006.

- Dixon, R. M.: Design and use of closed-top infiltrometers, Soil Sci. Soc. Am. Proc., 39, 755–763, 1975.
- Fuentes, J. P., Flury, M., and Bezdicek, D. F.: Hydraulic properties in a silt loam soil under natural prairie, conventional till, and no-till, Soil Sci. Soc. Am. J., 68, 1679–1688, 2004.



- Ghafoor, A., Koestel, J., Larsbo, M., Moeys, J., and Jarvis, N. J.: Soil properties and susceptibility to preferential solute transport in tilled topsoil at the catchment scale, J. Hydrol., 492, 190-199, 2013.
- Gonzalez-Sosa, E., Braud, I., Dehotin, J., Lassabatere, L., Angulo-Jaramillo, R., Lagouy, M.,
- Branger, F., Jacqueminet, C., Kermadi, S., and Michel, K.: Impact of land use on the hydraulic 5 properties of the topsoil in a small French catchment, Hydrol. Process., 24, 2382–2399, 2010.
  - Jacques, D., Mohanty, B. P., and Feyen, J.: Comparison of alternative methods for deriving hydraulic properties and scaling factors from singe-disc tension infiltrometers, Water Resour. Res., 38, 1120 doi:10.1029/2001WR000595, 2002,
- 10
  - Jarvis, N. J.: A review of non-equilibrium water flow and solute transport in soil macropores: principles, controlling factors and consequences for water quality, Eur. J. Soil Sci., 58, 523-546, 2007.

Jarvis, N. J.: Near-saturated hydraulic properties of macroporous soils. Vadose Zone J., 7.

15

30

- 1256-1264, 2008. Jarvis, N. J. and Messing, I.: Near-saturated hydraulic conductivity in soils of contrasting texture measured by tension infiltrometers, Soil Sci. Soc. Am. J., 59, 27–34, 1995.
  - Jarvis, N. J., Zavattaro, L., Rajkai, K., Reynolds, W. D., Olsen, P. A., McGechan, M., Mecke, M., Mohanty, B., Leeds-Harrison, P. B., and Jacques, D.: Indirect estimation of near-saturated
- hydraulic conductivity from readily available soil information, Geoderma, 108, 1–17, 2002. 20 Klute, A. and Dirksen, C.: Hydraulic conductivity and diffusivity: laboratory methods, in: Methods of soil analysis Part 1 - Physical and mineralogical methods, edited by: Klute, A., ASA/SSSAJ, Madison WI, USA, 687-734, 1986.
- Lilly, A., Nemes, A., Rawls, W. J., and Pachepsky, Y. A.: Probabilistic approach to the identification of input variables to estimate hydraulic conductivity, Soil Sci. Soc. Am. J., 72, 16-24, 25 2008.
  - Lin, H.: Earth's Critical Zone and hydropedology: concepts, characteristics, and advances, Hydrol. Earth Syst. Sci., 14, 25-45, doi:10.5194/hess-14-25-2010, 2010.
  - Lin, H. S., McInnes, K. J., Wilding, L. P., and Hallmark, C. T.: Effects of soil morphology on hydraulic properties. II. Hydraulic pedotransfer functions, Soil Sci. Soc. Am. J., 63, 955–961,
  - 1999.
  - Logsdon, S. D. and Jaynes, D. B.: Methodology for determining hydraulic conductivity with tension infiltrometers, Soil Sci. Soc. Am. J., 57, 1426–1431, 1993.

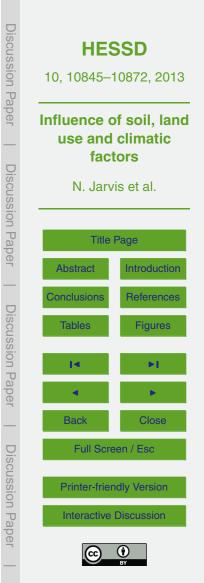


- Mallants, D., Mohanty, B. P., Jacques, D., and Feyen, J.: Spatial variability of hydraulic properties in a multi-layered soil profile, Soil Sci., 161, 167–181, 1996.
- McKenzie, N. J. and Jacquier, D. W.: Improving the field estimation of saturated hydraulic conductivity in soil survey, Austr. J. Soil Res., 35, 803–825, 1997.
- Moosavi, A. A. and Sepaskhah, A.: Artificial neural networks for predicting unsaturated soil hydraulic characteristics at different applied tensions, Arch. Agron. Soil Sci., 58, 125–153, 2012.
  - National Research Council (NRC): Basic research opportunities in earth science. National Academy Press, Washington D.C., USA, 2001.
- Nemes, A., Schaap, M. G., Leij, F. J., and Wösten, J. H. M.: Description of the unsaturated soil hydraulic database UNSODA version 2.0, J. Hydrol., 251, 151–162, 2001.
  - Nemes, A., Rawls, W. J., and Pachepsky, Y. A.: Influence of organic matter on the estimation of saturated hydraulic conductivity, Soil Sci. Soc. Am. J., 69, 1330–1337, 2005.

Reynolds, W. D.: Tension infiltrometer measurements: implications of pressure head offset due to contact sand, Vadose Zone J., 5, 1287–1292, 2006.

15

- Reynolds, W. D. and Elrick, D. E.: Determination of hydraulic conductivity using a tension infiltrometer, Soil Sci. Soc. Am. J., 55, 633–639, 1991.
  - Schaap, M. G. and Leij, F. J.: Improved prediction of unsaturated hydraulic conductivity with the Mualem-van Genuchten model, Soil Sci. Soc. Am. J., 64, 843–851, 2000.
- Schaap, M. G., Leij, F. J., and van Genuchten, M. T.: ROSETTA: a computer program for estimating soil hydraulic parameters with hierarchical pedotransfer functions, J. Hydrol., 251, 163–176, 2001.
  - Shouse, P. J. and Mohanty, B. P.: Scaling of near-saturated hydraulic conductivity measured using disc infiltrometers, Water Resour. Res., 34, 1195–1205, 1998.
- <sup>25</sup> Smettem, K. R. J. and Clothier, B. E.: Measuring unsaturated sorptivity and hydraulic conductivity using multiple disc permeameters, J. Soil Sci., 40, 563–568, 1989.
  - Špongrová, K., Kechavarzi, C., Dresser, M., Matula, S., and Godwin, R.J.: Development of an automated tension infiltrometer for field use, Vadose Zone J., 8, 810–817, 2009.
- Thompson, S. Harman, C. J., Heine, P., and Katul, G. G.: Vegetation-infiltration relationships across climatic and soil-type gradients, J. Geophys. Res.-Biogeo., 115, G02023 doi:10.1029/2009JG001134. 2010.



- Vandervaere, J.-P. Vauclin, M., and Elrick, D. E.: Transient flow from tension infiltrometers. II. Four methods to determine sorptivity and conductivity, Soil Sci. Soc. Am. J., 64, 1272–1284, 2000.
- Van Genuchten, M. T.: A closed form equation for predicting the hydraulic conductivity of unsaturated soils, Soil Sci. Soc. Am. J., 44, 892–898, 1980.

5

10

- Ventrella, D., Losavio, N., Vonella, A. V., and Leij, F. J.: Estimating hydraulic conductivity of a fine-textured soil using tension infiltrometry, Geoderma, 124, 267–277, 2005.
- Vereecken, H., Weynants, M., Javaux, M., Pachepsky, Y., Schaap, M. G., and van Genuchten,
   M. T.: Using pedotransfer functions to estimate the van Genuchten-Mualem soil hydraulic properties: a review, Vadose Zone J., 9, 795–820, 2010.
- Wang, T., Wedin, D., and Zlotnik, V. A.: Field evidence of a negative correlation between saturated hydraulic conductivity and soil carbon in a sandy soil, Water Resour. Res., 45, W07503, doi:10.1029/2008WR006865, 2009.

Whitbread, A. M., Blair, G. J., and Lefroy, R. D. B.: Managing legume leys, residues and fer-

- tilisers to enhance the sustainability of wheat cropping systems in Australia 2. Soil physical fertility and carbon, Soil Till. Res., 54, 77–89, 2000.
  - White, I., Sully, M. J., and Perroux, K. M.: Measurement of surface-soil hydraulic properties: disc permeameter, tension infiltrometers and other techniques, in: SSSA Special Publ. 30, edited by: Topp, G. C., Reynolds, W. D., and Green, R. E., Madison, WI, USA, 1992.
- <sup>20</sup> Wösten, J. H. M., Pachepsky, Y. A., and Rawls, W. J.: Development and use of a database of hydraulic properties of European soils, Geoderma, 90, 169–185, 1999.
  - Wösten, J. H. M., Lilly, A., Nemes, A., and le Bas, C.: Pedotransfer functions: bridging the gap between available basic soil data and missing soil hydraulic characteristics, J. Hydrol., 251, 123–150, 2001.
- <sup>25</sup> Zhou, X., Lin, H. S., and White, E. A.: Surface soil hydraulic properties in four soil series under different land uses and their temporal changes, Catena, 73, 180–188, 2008.



#### Table 1. Class variables recorded in the database.

Group	Variable		Number in each class
Land use			
	Arable		471
	Rotational (e.g. including ley/ fallow)		62
	Perennial agriculture (e.g. managed grassland, orchards)		91
	Forests		33
	Natural grassland/bush/tundra/savannna		66
	Other	Tetel	20
		Total	743
Tillage			
	If land use = arable or rotational		
	Conventional Reduced/minimum/conservation		250
	Reduced/minimum/conservation		70 82
	NO-UII	Total	402
		IUtai	402
Texture class <sup>a</sup>	0		
	Coarse		41
	Medium Medium-fine		279 209
	Fine		209
	Organic		208
	organio	Total	739
Methods			
mounouo	Estimation of conductivity from infiltration		
	1-D confined (columns, rings) <sup>b</sup>		102
	Steady-state, multiple tensions, single log-linear <sup>c</sup>		77
	Steady-state, multiple tensions, piece-wise log-linear <sup>d</sup>		506
	Steady-state, multiple disc radii <sup>e</sup>		9
	Transient		46
	Other methods		13
		Total	753
	Sequence of supply tensions		
	Dry to wet		386
	Wet to dry		105
	-	Total	491
	Month of measurement (first, last)		
		Total	616

<sup>a</sup> Based on the USDA system: coarse = sand or loamy sand, medium = sandy loam, loam, sandy clay loam or sandy clay, medium-fine = silt loam or silt, fine = clay, silty clay, silty clay loam or clay loam. <sup>b</sup> Confined infiltration: steady-state flow rate is assumed equal to hydraulic conductivity.
 <sup>c</sup> Following Logsdon and Jaynes (1993). <sup>d</sup> Following for example, Reynolds and Elrick (1991) or Ankeny et al. (1991). <sup>e</sup> Following Smettem and Clothier (1989). <sup>f</sup> Methods requiring early time transient infiltration measurements e.g. Vandervaere et al. (2000).

**Discussion** Paper **HESSD** 10, 10845-10872, 2013 Influence of soil, land use and climatic factors **Discussion Paper** N. Jarvis et al. **Title Page** Introduction Abstract Conclusions References **Discussion** Paper Tables **Figures** Back Full Screen / Esc **Discussion** Paper **Printer-friendly Version** Interactive Discussion

Granie	Maniphia and units	Number	<sup>a</sup> Danasiation atatistica
Group	Variable and units	entries	<sup>a</sup> Descriptive statistics
Soil properties			
	Clay content, f <sub>clay</sub> (kg kg <sup>-1</sup> )	616	(0.003, 0.19, 0.80), 0.14
	Silt content <sup>b</sup> (kg kg <sup>-1</sup> )	530	(0.008, 0.40, 0.78), 0.20
	Sand content <sup>b</sup> (kg kg <sup>-1</sup> )	528	(0.01, 0.29, 0.97), 0.23
	Bulk density, $\gamma$ (g cm <sup>-3</sup> )	376	(0.60, 1.32, 1.90), 0.22
	Organic carbon content, $f_{\rm oc}$ (kg kg <sup>-1</sup> )	469	(0.0007, 0.014, 0.167), 0.021
Site location and climate			
	Latitude, longitude (degrees)	144	
	Annual precipitation (reported by authors; mm)	55	
	Estimated annual precipitation <sup>c</sup> , P <sub>est</sub> (mm)	144	(130, 638, 3692), 376
	Estimated mean annual temperature <sup>c</sup> , T <sub>est</sub> (°C)	144	(0.6, 11.3, 29.0), 4.8
	Estimated annual potential evapotranspiration <sup>c</sup> (mm)	144	
	Potential net primary productivity <sup>c</sup> (g DM m <sup>-2</sup> yr <sup>-1</sup> )	144	
Methods			
	Depth of measurement (m)	753	(0, 0, 4.0), 0.19
	Minimum and maximum supply tensions (mm)	753	(0, 0, 50), 10.4 (20, 105, 240), 44.3
Target variables and model fit			
	Hydraulic conductivity at 10 cm tension <sup>d</sup> , $K_{10}$ (mm h <sup>-1</sup> )	753	(-2.0, 0.415, 2.26), 0.61 <sup>e</sup>
	Hydraulic conductivity at saturation, $K_{\rm s}$ (mm h <sup>-1</sup> )	753	(0.51, 1.87, 4.78), 0.59 <sup>f</sup>
	Slope of the $K(\psi)$ function <sup>d,g</sup> (–)	753	
	Tension equivalent to largest pore in soil <sup>d,h</sup>	528	
	$R^2$ value of model fit	753	

Table 2. Continuous variables recorded in the database, with some descriptive statistics.

<sup>a</sup> Minimum, median and maximum values in parentheses, standard deviation outside parentheses.

<sup>b</sup> Standardized, where necessary, to the USDA system, using log-linear interpolation. <sup>c</sup> Estimated using FAO New LocClim. <sup>d</sup> Estimated by fitting to Jarvis (2008). <sup>e</sup>  $\log_{10}(K_{10})$  for data entries with maximum supply tension  $\geq$  80 mm (n = 537). <sup>f</sup>  $\log_{10}(K_s)$  for data entries with minimum supply tension  $\leq 5 \text{ mm}$  (n = 470). <sup>g</sup> =  $n^*$  in Eq. (1). <sup>h</sup> =  $\psi_{\min}$  in Eq. (1).



**Table 3.** Criteria for data inclusion in the multivariate regression analysis.

Target variable	Criteria
Ks	Mineral soil (Texture class $\neq$ Organic) Topsoil (Measurement depth $\leq$ 0.3 m) Minimum supply tension $\leq$ 0.5 cm
K <sub>10</sub>	Mineral soil (Texture class $\neq$ Organic) Topsoil (Measurement depth $\leq$ 0.3 m) Maximum supply tension $\geq$ 8 cm Method of <i>K</i> estimation: 3-D unconfined (from steady-state infiltration, assuming K( $\psi$ ) is piecewise log-linear), dry-to-wet sequence of supply tensions
K <sub>s(ma)</sub>	Mineral soil (Texture class $\neq$ Organic) Topsoil (Measurement depth $\leq$ 0.3 m) Minimum supply tension $\leq$ 0.5 cm Maximum supply tension $\geq$ 8 cm



**Discussion** Paper **HESSD** 10, 10845-10872, 2013 Influence of soil, land use and climatic factors **Discussion Paper** N. Jarvis et al. **Title Page** Abstract Introduction Conclusions References **Discussion Paper** Tables Figures 14 Back Close Full Screen / Esc **Discussion** Paper **Printer-friendly Version** Interactive Discussion

**Table 4.** Results of analysis of variance for  $\log K_{10}$ : pair-wise comparison of means for K estimation methods.

Method	*Mean log $K_{10}$ (mm h <sup>-1</sup> )
Steady-state, multiple tensions, single log-linear	0.831 <sup>a</sup>
Other methods 1-D confined (columns, rings)	0.827 <sup>a</sup> 0.686 <sup>a</sup>
Steady-state, multiple disc radii	0.660 <sup>a,b</sup>
Steady-state, multiple tensions, piece-wise log-linear	0.317 <sup>b,c</sup>
Transient	0.273 <sup>c</sup>

\* Means with same letter are not significantly different at p = 0.05.



**Table 5.** Results of analysis of variance for  $\log K_{10}$ : pair-wise comparison of means for supply tension sequence.

Method	<sup>*</sup> Mean log $K_{10}$ (mm h <sup>-1</sup> )
Wet-to-dry Unknown/unspecified Dry-to-wet	0.736 <sup>a</sup> 0.698 <sup>a</sup> 0.471 <sup>b</sup>

\* Means with same letter are not significantly different at p = 0.05.

		<sup>b</sup> Texture class				
<sup>a</sup> Land use		Coarse	Medium	Medium-fine	Fine	Total
LUT0	Observed	22	32	22	20	96
	Expected	5.2	36.4	29.2	25.2	
	Cell Chi-squared	54.59	0.54	1.78	1.07	
LUT1	Observed	0	41	12	16	69

3.7

14

3.72

27.1

6.33

36

26.2

8.40

180

190.4

0.57

253

21.0

3.86

169

152.8

1.72

203

18.1

0.24

139

131.7

0.40

175

502

667

Expected

Observed

Expected

LUT2

Total

Cell Chi-squared

Cell Chi-squared

Table 6. Contingency table for soil texture and land use classes (mineral topsoils only). The
overall Chi-squared statistic is 83.2, with $p < 0.0001$ ).

<sup>a</sup> LUT0 = natural vegetation or forest, LUT1 = perennial agriculture, LUT2 = arable or rotational agriculture.
<sup>b</sup> See Table 1 for explanations.

<b>Discussion</b> Paper	<b>HESSD</b> 10, 10845–10872, 2013
—	Influence of soil, land use and climatic factors N. Jarvis et al.
Discussion Paper   Dis	Title PageAbstractIntroductionConclusionsReferences
Discussion Paper	Tables   Figures     I<   ►I     I   ►     Back   Close
Discussion Paper	Full Screen / Esc Printer-friendly Version Interactive Discussion
oer	CC O



**Table 7.** Multivariate ordinary linear regression models (see Tables 2 and 6 for explanation of symbols).

Target variable	Ν	Intercept	Predictors	Coefficients	<sup>a</sup> RMSEP	Validation R <sup>2</sup>	<sup>b</sup> RMSEC	Calibration $R^2$
log K <sub>s</sub>	220	3.796	$f_{\rm oc}, \gamma, LUT2$	-5.083, -1.152, -0.454	0.559 (0.544)	0.19	0.539	0.25
$\log K_{10}$	119	0.481	$f_{clay}, \gamma, T_{est}$	-1.883, -0.823, 0.105	0.411 (0.398)	0.32	0.396	0.41
$\log K_{\rm s(ma)}$	220	3.206	$f_{\text{clay}}, \gamma, \text{LUT2}$	1.736, -1.100, -0.372	0.568 (0.567)	0.21	0.565	0.27

<sup>a</sup> RMSEP = validation root mean square error of prediction. Figures in parentheses are the minimum RMSEP's of all 255 models that were tested. <sup>b</sup> RMSEC = root mean square error (calibration data).

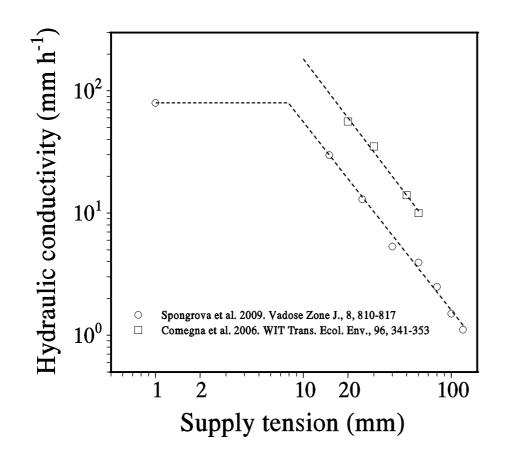
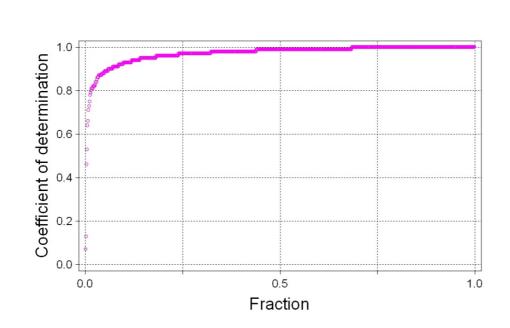


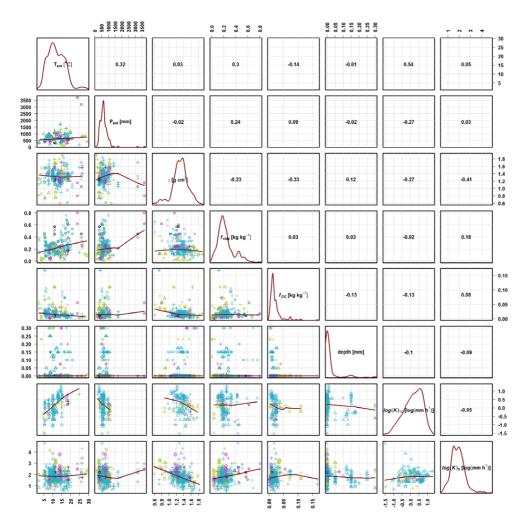


Fig. 1. Example fits of Eq. (1) to the data.



**Fig. 2.** The distribution of  $R^2$  values for fits of Eq. (1) to the datasets in the database.





**HESSD** 10, 10845-10872, 2013 Influence of soil, land use and climatic factors N. Jarvis et al. **Title Page** Abstract Introduction Conclusions References Tables Figures 14 ►I Back Close Full Screen / Esc **Printer-friendly Version** Interactive Discussion (cc)

**Discussion** Paper

**Discussion Paper** 

**Discussion** Paper

**Discussion** Paper

**Fig. 3.** Scatter-plot matrix with Spearman correlation coefficients showing relationships between variables in the database. The symbols represent texture classes (angled cross = coarse, cross = medium, triangle = medium-fine, open circle = fine, unknown = square; see also Table 1), while the colours represent land use classes (olive green = LUT0, natural vegetation or forests; purple = LUT1, perennial agriculture; sky blue = LUT2, arable or rotational agriculture; unknown land use = black; see also Table 1). The thin red lines represent non-linear regression (LOESS) fits to the measured data.



