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# To what extent do flood-inducing storm events change future flood hazards?

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Abstract. Flooding is predicted to become more frequent in the coming decades because of global climate change. Recent literature has highlighted the importance of river morphodynamics in controlling flood hazards at the local scale. Abrupt and short-term geomorphic changes can occur after major flood-inducing storms. However, there is still a widespread lack of ability to foresee where and when substantial geomorphic changes will occur, as well as their ramifications for future flood hazards. This study sought to gain an understanding of the implications of major storm events for future flood hazards. For this purpose, we developed selforganizing maps (SOMs) to predict post-storm changes in stage-discharge relationships, based on storm characteristics and watershed properties at 3101 stream gages across the contiguous United States (CONUS). We tested and verified a machine learning (ML) model and its feasibility to (1) highlight the variability of geomorphic responses to flood-inducing storms across various climatic and geomorphologic regions across CONUS and (2) understand the impact of these storms on the stage-discharge relationships at gaged sites as a proxy for changes in flood hazard. The established model allows us to select rivers with stagedischarge relationships that are more prone to change after flood-inducing storms, for which flood recurrence intervals should be revised regularly so that hazard assessment can be up to date with the changing conditions. Results from the model show that, even though post-storm changes in channel conveyance are widespread, the impacts on flood hazard vary across CONUS. The influence of channel conveyance variability on flood risk depends on various hydrologic, geomorphologic, and atmospheric parameters characterizing a particular landscape or storm. The proposed framework can

serve as a basis for incorporating channel conveyance adjustments into flood hazard assessment.

## 1 Introduction

Several factors contribute to nonstationarity in flow regimes, including variations in human activities, changes in land cover and land use, climate changes, and low-frequency internal climate variability (i.e., multidecadal oscillations) (Cunderlik and Burn, 2003; Mostofi Zadeh et al., 2020). Consequently, flood trends over the past decades have changed worldwide (Chang et al., 2007; FEMA, 2023; Karagiannis et al., 2017; McEvoy et al., 2012; Ziervogel et al., 2014), resulting in adverse impacts on society and the environment (Blöschl et al., 2019; Dottori et al., 2018, 2022; Hattermann et al., 2014; Milly et al., 2002; Mostofi Zadeh et al., 2020; Slater et al., 2015). Traditional "cause-effect" studies have focused on the time dependency or nonstationarity of individual hydrologic flood drivers (Alfieri et al., 2015; Khanam et al., 2021; Lisenby and Fryirs, 2016; Mallakpour and Villarini, 2015; Mostofi Zadeh et al., 2020; Munoz et al., 2018). However, these studies might be underestimating or overestimating the actual damage, especially in regions where the landscape is changing rapidly because of the magnitude and prevalence of the hydroclimatic variability that is now underway. Nonetheless, the flood risk estimation has traditionally been based on flood frequency, derived from variability in streamflow and assuming constant channel capacity (Merz et al., 2012; Slater et al., 2015). The relationship between magnitude and frequency is also generally built upon the peak flow distribution, whereas peaks are discretized as either annual maxima or peaks over the threshold but mostly assuming that river capacity remains constant over the investigation records. For decades, fluvial geomorphology research has focused on changes in river characteristics (Baker, 1994; Benito and Hudson, 2010; Stott, 2013). Various recent works (Ahrendt et al., 2022; Naylor et al., 2016; Slater et al., 2015, 2019; Sofia and Nikolopoulos, 2020; Sofia et al., 2020; Stephens and Bledsoe, 2020, 2023) have suggested that the time has come to move beyond flood hazard assessment based on this "fixed river" idea. River channels and their adjacent floodplains continuously evolve because of the interactions of hydrology, landscape, and climate drivers and the interdependencies of processes at different spatial and temporal scales (Lane et al., 2007; Pinter et al., 2006b; Slater et al., 2015; Stover and Montgomery, 2001; Blench, 1969). Humans and water resources are intertwined, and they are now more than ever active players in these intricate geomorphic dynamics of rivers and floods (Ceola et al., 2019; Grill et al., 2019; Wohl, 2019). Rivers naturally modify their geometry (i.e., their breadth, depth, and slope) to reflect changes in discharge and sediment in the upstream catchment in addition to the obvious alterations brought on by human involvement (Lisenby et al., 2018). Any changes in these characteristics will also possibly alter the magnitude, frequency, and risk of future flooding.

The ability of rivers to store and move floodwaters downstream affects the probability that floods will destroy riverbanks or flood barriers, even when the total volume of water that flows through river systems during floods remains constant. Therefore, these abrupt changes in channel capacity alter flood properties, even when the magnitude of the flood remains unchanged (Blench, 1969; Criss and Shock, 2001; Lane et al., 2007; Neuhold et al., 2009; Pinter et al., 2008; Slater et al., 2015; Stover and Montgomery, 2001). Some obvious evidence of the effects of channel changes on flood properties (e.g. extent, depth) has been presented by recurring flooding in different dynamic rivers (Brierley and Fryirs, 2016; Pinter et al., 2001; Zischg et al., 2018; Tate, 2019; Munoz et al., 2018). During these flood events, impacts are most evident at sites where the rivers' channel capacity has been drastically reduced (Munoz et al., 2018; Tate, 2019; Sofia et al., 2020). Neglecting the possibility of rapid changes in streamflow regime and channel conveyance capacity can conceal short-term shifts in flood threats. Li et al. (2020), for example, demonstrated that long-term trends comprise numerous short-term transients of much larger magnitude. These transient stages are often caused by abrupt scouring or deposition during flood-inducing storm events and are comparable in magnitude to long-term trends in peak streamflow. Additionally, short- and long-term climate variability can at the same time impact the streamflow patterns and channel conveyance changes, with the channel form adjusting to precipitation and sediment supply (Death et al., 2015; Rathburn et al., 2017; Ruiz-Villanueva et al., 2018; Scorpio et al., 2018; Surian et al., 2016; Wicherski et al., 2017). Figure 1, for example, shows changes in Boulder Creek in Colorado before and after a flash flood in 2013. Comparing the channel planform and width, it is evident that the channel got wider after the flood. Images from 2015 and 2019 show that the secondary channel on the right eventually disappeared, and the main channel acquired a more prominent bend than in the 2013 image. Such relatively quick alterations have the potential to further modify the geomorphic characteristics of rivers and to produce feedback that will affect the properties of future floods (depth, frequency, duration, and spatial extent).

Systematic shifts in a river's stage–discharge relationships identify the need for sharp upward revisions in hazard levels and stage-based flood frequency analysis. Adjustments to the river stage-discharge relationship account for, at least partly, climate variability and long-term change. Nonetheless, while some river changes might be persistent in time, others could be more sudden and persist for a shorter time frame, like in the case of flood-inducing storms. These short-term channel changes are difficult to predict, but they could substantially increase the post-flood hazard, especially in the case of subsequent storms. Understanding the scale and severity of channel changes after flood-inducing storm events is key to improving flood management and building the resilience of critical infrastructure. What is missing from our current knowledge is a comprehensive study that shows the impacts of storm-induced channel changes on future flood hazards. Buraas et al. (2014) cited a general lack of capability to predict where significant geomorphic changes will occur following flood-inducing events. Other authors have pointed to multidirectional approaches as promising contributions to the analysis of channel response to severe floods and the identification of controlling factors (Rinaldi et al., 2016; Scorpio et al., 2018; Surian et al., 2016; Wicherski et al., 2017). At regional scales, when it is often either impracticable or impossible to identify the precise events responsible for periods of channel shift, linking geomorphic cause and effect becomes increasingly difficult. However, this does not negate the requirement to comprehend and recognize short-term geomorphologic and hydrologic behavior that can exacerbate or mitigate flood threats. For this purpose, the availability of a large dataset representing a wide range of flood-inducing storm characteristics and channel morphology under different boundary conditions, such as underlying climatic, hydrologic, and geomorphologic settings, is crucial. This set of information forms a complex interacting system. The processes underlying these boundary conditions vary in spatial and temporal scale, and this calls for the use of improved analysis methods able to draw predictions interlocking data of a varying nature. In this context, machine learning (ML) is gaining popularity in the field of hydrology, geomorphology, and climate studies (Bergen et al., 2019; Schlef et al., 2019; Valentine and Kalnins, 2016), thanks to its ability to tackle coupled processes across space and time. Despite some limitations (Karpatne et al., 2019), and provided that the benchmark data used for the training are of high quality (Bergen et al., 2019), ML offers a valuable tool for gaining new data-



**Figure 1.** Change in channel width in Boulder Creek, Colorado, before (2012) and after (2013–2015–2019) a flash flood in 2013 (© Google Earth imagery). The discharge reported here is the daily discharge measured at USGS 06730200 Boulder Creek at North 75th St. near Boulder, Colorado. The red circles denote the section of the channel that has changed over the years, and the blue arrows show the missing channel from the years 2012 to 2019.

driven insights with high accuracy, transferability, and scalability (Houser et al., 2022; Sarker, 2021; Schlef et al., 2019; Sofia, 2020).

In the context of river morphology, specifically in the last few years, recent studies highlighted their capability to predict channel types (Guillon et al., 2020), providing a geomorphological characterization of channels (Rabanaque et al., 2022), quantifying below-water (Woodget et al., 2019) or spatiotemporal changes (Boothroyd et al., 2021) in rivers, and guiding discharge estimation building upon river morphology (Brinkerhoff et al., 2020). These works highlight how ML, when properly guided by field-based interpretation, can offer valuable potential to make geomorphology an increasingly predictive science (Fryirs and Brierley, 2022; Brierley et al., 2021). Tackling the opportunities offered by ML potential, in this study, we sought to understand and predict the effects of flood-inducing storms on channel conveyance and, consequently, flood hazards. To achieve this, we have utilized a stage-discharge "residual" as a proxy of the channel capacity change, and we introduced an ML framework (Sect. 2.3) that characterizes the interdependence of flood drivers, including atmospheric drivers (precipitation), hydrologic drivers (flow, stage), and geomorphologic drivers (channel width, depth, drainage area, geophysical characteristics). Overall, the analysis aims to (1) highlight the variability of geomorphic responses to flood-inducing storms across various climatic and geomorphologic regions in the contiguous US (CONUS) and (2) understand the impact of these storms on the stage-discharge relationships at gaged sites as a proxy for changes in flood hazards. The study provided an independent test of discharge-based results and produced a tool for generating timely short-term updates of flood hazard estimates for dynamic rivers.

## 2 Materials and methods

#### 2.1 Quantifying the impact on flood hazard

For this study, we used data from 3101 U.S. Geological Survey (USGS) gaging stations distributed across the contiguous United States (Fig. 2). The dataset allows us to cover a wide range of physiographic and climatic (see Fig. 2) regions. We selected stations for which both historical field-measured data on channel properties and flood stages assigned by the National Weather Service (NWS) were available. The data for channel properties were retrieved following a procedure developed by Slater (2016) and Slater et al. (2015) and using the codes provided by the authors on GitHub (Slater, 2019).

To model the average state of the conveyance capacity for each stream gage site, we used theoretical single stage– discharge relationships (rating curves) at the height associated with the flood stage, as described by Slater et al. (2015). The flood stage, from the US National Weather Service, indicates a gage height above which the water level begins to impact lives and human activities, and it generally corresponds to the first flood warning threshold. The procedure, therefore, can be adapted for other gage datasets, in different parts of the world, by assuming similar warning thresholds. Deviations from the theoretical stage–discharge relationship indicate that, at a moment in time, a discrete stage–discharge relationship existed, which highlights that there might have been temporal changes in channel conveyance. As described



**Figure 2.** USGS gage stations considered in this study overlain on physiographic and climatic regions. For abbreviation descriptions of physiographic regions and climate types, please refer to Tables A1 and A2 in Appendix A.

by Li et al. (2020), Slater et al. (2015, 2019), and Slater and Villarini (2016), using a constant flood level enables the quantification of "conveyance residuals (Res)" that represent temporal changes in the discharge needed to reach the specific flood level (e.g., due to shifts in the channel capacity). In a temporal analysis of residuals, a positive to negative shift indicates a sudden decrease in channel capacity and a potential increase in flood hazard (Slater et al., 2015), as a lower discharge is needed to meet the warning threshold. We followed this procedure to capture the sudden changes in channel conveyance following major storm events. We mainly focus on sudden shifts rather than on permanent shifts. The main reasons for this were that (1) short-term conveyance capacity changes are not considered in typical flood hazard assessments and could substantially overstate or understate flood threats at any particular time for subsequent floods and that (2) there is a plethora of complex and sometimes nonlinear processes and coupled feedback that we would need to "model" in the training set to provide a comprehensive benchmark for identifying permanent shifts. This could be potentially interesting research that may be tackled by further studies building upon our model.

To define the stage–discharge relationship, we only considered measured values of stage and discharge, as suggested in Slater (2016) and Slater et al. (2015). Aside from considering consistent gages present in the Shen et al. (2017) database and covered by stream measurements, we applied the same criteria as Slater et al. (2015), who only considered field measurements in which the discharge is within 1 % of the product of channel velocity and cross-sectional channel area, as reported by the USGS, and those made close to the gage station. Following the work of Slater (2016) and Slater et al. (2015), we detected and excluded sites featuring artificial controls at the gauging station that could impede the natural adjustment of the channel's shape. Additionally, we eliminated all field measurements conducted at a different location or a potentially different location, along with those taken under icy conditions, as these factors could impact the accuracy of channel geometry measurements. Our selection process only retained sites with comprehensive time series data and, as per Slater et al. (2015), only kept gages with 99.7 % completeness in streamflow records and 40 channel crosssectional measurements. The stage-discharge relationship was evaluated through a locally weighted scatterplot smoothing (LOESS) fitting (Cleveland, 1979) as suggested by Li et al. (2020), Slater et al. (2015, 2019), and Slater and Villarini (2016). The fitting requires the definition of a smooth parameter, which we set automatically based on the bias-corrected Akaike information criterion (AIC) (Hurvich et al., 1998). We performed the analysis using the R package fANCOVA (https://cran.r-project.org/package=fANCOVA, last access: 29 May 2024).

Before performing the abovementioned steps, we excluded from the analysis measurements taken before the most recent datum change, if any reported measurement datum change was provided. We have excluded the gages that do not have



**Figure 3.** Illustration of the conveyance analysis for the USGS stream gage Quinnipiac River at Wallingford (USGS station 01196500) before and after the storm of April 2007. The stage–discharge relationship fitted to the flood hazard level is shown in panel (**a**), and residuals fitted to the rating curve are shown in panel (**b**). In panel (**b**), some outlier residuals are evident, likely due to shifts in measurement locations. These points were filtered out before performing the ML training. Time series of channel widths as measured in the field (**c**) and channel capacity (**d**) are also shown to highlight that, possibly, the major change in residuals is due to a difference in channel depth, given a constant velocity.

continuous data for the time frame from 2002 to 2013. By taking into account field data when the discharge was within a range of half the flood stage depth on either side of the flood stage, we also accepted the standards employed by Slater et al. (2015). We evaluated the readings visually to look for clusters of outliers in the scatterplots of the channel measurements that could be signs of changes in the measurement location (or datum). We systematically eliminated these metrics. According to the information of the gage, the measurements did not shift in location. For the work itself, consistent with Slater et al. (2015) and the open codes provided in their work, we removed all field measurements taken at a location where there is known infrastructure like a bridge and all field measurements taken under icy conditions, as these might affect measurements of channel geometry. Figure 3 provides an example of changes in residuals after a floodinducing storm event for the Quinnipiac River in Connecticut. From 15 to 18 April 2007, a spring northeaster hit the East Coast of the United States. The streamflow gaging station recorded stages during this occurrence that were more than 0.2 m higher than the FEMA-projected 100-year levels (Ahearn, 2009). For this gage, the flood stage was at 3.05 m,

the peak discharge of the 2007 event was 3.51 m, and the Quinnipiac River itself (at the gage right upstream of the one in the figure) measured the maximum discharges for the period of record of the station during the 2007 flood. In Fig. 3a, the stage and discharge data retrieved from field measurements taken after the flood appear to shift toward higher values of the stage for comparable discharges from before 2007. The curve fitted at the flood stage (black line in Fig. 3a) ultimately aligns between the two sets of data. Looking at the residuals concerning the fitted curve (Fig. 3b), the shift from positive residuals, before 2007, to negative is noticeable (outlier residual points were filtered out before performing the ML training). This suggests a loss of conveyance capacity due to deposition, assuming no changes in velocity. The time series of widths (Fig. 3c) and capacity (Fig. 3d) confirm this loss of conveyance; for this site, slightly changed channel widths (Fig. 3c) and an abrupt change in capacity (Fig. 3d) can be seen. Such a change may result in a potential increase in flood hazard for a given flood volume.

# 2.2 Considered predictors

To obtain information on the watershed's hydrologic and geomorphologic properties, we collected data for each gage from the GAGES II dataset (Falcone, 2011). This dataset provides geomorphologic variables for each gage associated with the watersheds' typical characteristics (e.g., drainage area, elevation). These properties can be considered likely to change at a speed much slower than river discharge and localized channel measurements. Hence, we may consider these variables to be "static" in time. However, even if they are static in time, these characteristics are highly variable in space as they are spread across CONUS, providing us with a large sample of values for the ML training.

We also investigated several flood-inducing events that occurred from 2002 to 2013 in the same watershed and that were included by Shen et al. (2017) in the flood event database. We ended up with 291 201 events in total for the 3101 gages. The minimum and maximum numbers of events per gage varied from 1 to 520. For each available field measurement of channel properties, we consider all the storms that happened in the previous 15, 30, 90, 180, and 365 d (accounting for the lag times between each storm and the response of the river system) and calculate the median values of the storm characteristics (as defined in Shen et al., 2017; Table 1) in that time frame, excluding situations where we only had one storm. We only kept the gages in the analysis when we had more than 10 events. Therefore, for every single field measurement (i.e., the dots in Fig. 3), we had five different median storm characteristics - one median storm characteristic for the five different lag times considered. These medians represent a "typical flood-inducing storm" for that lag time, reducing the effects of low variability. The information included by Shen et al. (2017) reported the percentile of the peak flows in the entire time series of the watershed, and all the reported events show a value greater than 80 for all the storms. The reader should consider that, while the median characteristic per se is not a "severe" value, given the sample of data in Shen et al. (2017), it is a value representative of the typical event for storms which in general encompass events with peak flows greater than the 80th percentile of the entire flow series.

From these integrated data sources, we identified three groups of drivers: atmospheric, hydrologic, and geomorphologic (Table 1). The integrated dataset provided direct and statistically derived information regarding flows and the associated precipitation characteristics of each storm event.

# 2.3 Modeling the impact of flood-inducing storms

The ML-based methodology developed in this study for predicting the median residual is based on clusters of the gages. Using a self-organizing map (SOM) with event-specific characteristics, explained in Table 1, we developed a framework for understanding and predicting channel changes due to flood-inducing storm events. The SOM developed by Kohonen (1982) is one of the most popular clustering or classification methods used in many research areas such as medical science, hydrology, and signal processing (e.g., (Zanchetta and Coulibaly, 2022; Rahmati et al., 2019). The SOM method has become a very useful prediction tool in hydrological and environmental studies because it can predict a target variable without learning any physical relationship among a collection of variables. The main advantage of the SOMs is that they allow us to reduce the data dimensionality by organizing the data into a two-dimensional array (Kohonen, 1982) using topology-preserving transformations (Rahmati et al., 2019). SOMs, being a form of artificial neural network, can be thought of as a regression technique with a higher level of nonlinearity between the dependent and independent variables (Geem et al., 2007). The proposed SOM framework (Fig. 4) consisted of four phases: unsupervised clustering, supervised mapping, trained regression, 10-fold validation, and prediction. The whole procedure is described in the subsections below.

The SOM algorithm is technically conceived for numerical datasets. This means that SOMs cannot be used to analyze variables with non-numerical data types, such as categorical values. To present the categorical variables to the machine learning model selected for this study, we therefore converted all the categorical values into binary digits. Each binary digit was then transformed into one feature column.

Most storm variables (except for Perc – the percentage of the peak flow; percentile-percentile corresponds to the peak flow) were normalized considering the range of values available for each station. This normalization was performed to account for the influence of the watershed sizes on the various storm properties. Continuous geomorphologic and hydrologic variables not coded in the range 0-1 (or 0-100) (aside from RRMEAN-Mean - the relief ratio -, RRME-DIAN - the median relief ratio -, SLOPE PCT - the mean watershed slope -, and aspect) were normalized considering the overall range across CONUS. The stage-discharge residuals were kept as is because they are already "relative" in value to the stage-discharge relationship fitted at the flood stage for each gage. To reduce the dataset dimensionality and avoid collinearity, we performed a variable importance analysis using the misclassification rate (Sect. 2.3.1).

# 2.3.1 Unsupervised clustering

The first module used an SOM algorithm to cluster together gages based on similar characteristics. The main objective of this step is to group gages with similar underlying patterns of variables. The SOMs are organized in two-dimensional space where the neighboring neurons learn similar patterns, and neurons mapped far away have dissimilar patterns (Stefanovič and Kurasova, 2011). This unsupervised mapping was performed automatically using the Kohonen package in R (Wehrens and Kruisselbrink, 2018; Wehrens and Buydens, **Table 1.** Readers should refer to Shen et al. (2017) and Falcone (2011) for a complete description of the variables. Variables in bold letters are those used for ML analysis after the variable importance analysis.

Variable	Description	Unit	Data source
Hydrologic variables			
TOPWET	Topographic wetness index	ln(m)	Falcone (2011)
HLR100M_SITE	Hydrologic landscape region (HLR) at the stream gage location	Unitless	Falcone (2011)
Peak	Peak flow associated with the storm event		Shen et al. (2017)
Res	Residual	Unitless	Estimated
IBF	Base flow index	${\rm m}^{3}{\rm m}^{-3}$	Shen et al. (2017)
Perc	Percentage of peak flow: the corresponding percentile of the peak flow in the entire flow series of the gage	%	Shen et al. (2017)
Q2	Second-order moment of the flow	Unitless	Shen et al. (2017)
Els	Mean water travel distance to the drainage outlet	m	Shen et al. (2017)
EQ	Centroid of the flow hydrograph	h	Shen et al. (2017)
V <sub>t</sub>	Normalized flow volume $\sim$ average flow volume per unit drainage area	mm	Shen et al. (2017)
HYDRO_DISTURB_INDX	STURB_INDX Anthropogenic modification		Falcone (2011)
RunoffCoef	Runoff coefficient	Unitless	
CLASS	Reference/non-reference class. REF: reference (least-disturbed hydrologic condition); NON-REF: not a reference	n/a	Falcone (2011)
BFI_AVE	Base flow index (BFI): base flow to total streamflow ratio, given as a percentage ranging from 0 to 100. The persistent, slowly fluctuating component of streamflow that is commonly attributed to groundwater discharge to a stream is known as base flow.	%	Falcone (2011)
RFACT	Rainfall and runoff factor	100s ft-tonf in/h/ac/yr	Falcone (2011)
	Geomorphologic variables		
GEOL_REEDBUSH_DOM	Dominant (highest percent of the area) geology, derived from a simplified version of Reed and Bush (2001) – the Generalized Geologic Map of the Conterminous United States	n/a	Falcone (2011)
STREAMS_KM_SQ_KM	Stream density, kilometer of streams per watershed square kilo- meter, from NHD 100k streams	km km <sup>−2</sup>	Falcone (2011)
STRAHLER_MAX	NHDPlus's maximum Strahler stream order in the watershed	Unitless	Falcone (2011)
MAINSTEM_SINUOUSITY	Sinuosity of the mainstem streamline	Unitless	Falcone (2011)
ELEV_MEAN_M_BASIN	Mean watershed elevation (m) from the 100 m National Eleva- tion Dataset	m	Falcone (2011)
ELEV_MAX_M_BASIN	Maximum watershed elevation (m) from the 100 m National El- evation Dataset	m	Falcone (2011)
ELEV_MIN_M_BASIN	Minimum watershed elevation (m) from the 100 m National El- evation Dataset	m	Falcone (2011)

Table 1. Continued.

Variable	Description	Unit	Data source
ELEV_MEDIAN_M_BASIN	Median watershed elevation (m) from the 100 m National Ele- vation Dataset		Falcone (2011)
ELEV_STD_M_BASIN	Standard deviation of elevation (m) across the watershed from the 100 m National Elevation Dataset	m	Falcone (2011)
ELEV_SITE_M	Elevation at the gage location (m) from the 100 m National El- evation Dataset		Falcone (2011)
RRMEAN	Dimensionless elevation – relief ratio, calculated as (ELEV_ MEAN – ELEV_MIN)/(ELEV_MAX – ELEV_MIN)		Falcone (2011)
RRMEDIAN	Dimensionless elevation – relief ratio, calculated as (ELEV_ MEDIAN – ELEV_MIN)/(ELEV_MAX – ELEV_MIN)		Falcone (2011)
SLOPE_PCT	Mean watershed slope	%	Falcone (2011)
ASPECT_DEGREES	Mean watershed aspect	Degrees (0–360)	Falcone (2011)
ASPECT_NORTHNESS	Aspect "northness". This ranges from $-1$ to 1. A value of 1 means the watershed is facing or draining due north, and a value of $-1$ means the watershed is facing or draining due south.		Falcone (2011)
ASPECT_EASTNESS	Aspect "eastness". This ranges from $-1$ to 1. A value of 1 means the watershed is facing or draining due east, and a value of $-1$ means the watershed is facing or draining due west.	Unitless	Falcone (2011)
Physio	Physiographic divisions of CONUS	n/a	Fenneman and Johnson (1946)
DRAIN_SQKM	Drainage area	km <sup>2</sup>	Falcone (2011)
	Atmospheric variables		
CovTrLs	Covariance of precipitation and water travel distance	mh	Shen et al. (2017)
Etr	Centroid of precipitation	h <sup>2</sup>	Shen et al. (2017)
VarTr	Spread of precipitation	h <sup>2</sup>	Shen et al. (2017)
VarLs	Variance of the water travel distance	m <sup>2</sup>	Shen et al. (2017)
Vb	Base flow volume	mm	Shen et al. (2017)
Vp	Precipitation volume	mm	Shen et al. (2017)
P <sub>mean</sub>	Mean precipitation	$\mathrm{mm}\mathrm{h}^{-1}$	Shen et al. (2017)
	Climate types (not included in the ML model)	Unitless	Beck et al. (2018)

"n/a" stands for not applicable.

2007; Kohonen, 2001, 1982; Wehrens, 2019). The optimal number of nodes was set at 5 times the square root of the number of observational data, as per Kohonen's general rule of thumb for determining the sizes of two-dimensional grids (Fytilis and Rizzo, 2013).

Typically, SOM data clustering involves two steps: first, the dataset is clustered using SOMs, which offer the organization of the data into the various nodes, and then the nodes are clustered (Vesanto and Alhoniemi, 2000). Clustering speeds significantly increase when nodes are used in place of actual data. The result of the first step is that gages are grouped into neighboring nodes if the underlining patterns of variables are similar. After the SOM is trained, its U matrix gives insight into how all the data are organized, as it displays the nodes and the distance that the weight nodes create between each weight and all its neighbors. This matrix can be used for the second step of identifying and labeling the actual clusters, through image analysis tools (Pacheco



Figure 4. Schematic of the SOM framework proposed in this study.

et al., 2017; Wang et al., 2010; Wu and Li, 2022; Vincent et al., 1991). In this work, the first unsupervised clustering was accomplished by using all the data together, including the residuals in the process. Each gage was assigned a cluster number based on all the variables of that location. Gages grouped in the same cluster are expected to have similar patterns of the input variables, including the residuals. For each cluster, then, we retrain the model, retaining only the gages for that cluster, to provide the most typical residual given by the combination of hydrologic, geomorphologic, and atmospheric variables.

The most common approach is to segment the U matrix using the watershed technique of gray-scale image processing (Costa and Netto, 1999; Vincent et al., 1991). Using a watershed analogy, the U matrix (Fig. 5) can be used to locate the clusters. Great "heights" and ridges imply significant distances in the feature space, while little "valleys" represent data subsets that are similar (Ultsch and Lötsch, 2017). The segmentation is performed by flooding the valleys (similar nodes with very close distances from one to the other) until a ridge (high dissimilarity) is reached. Where the water converges, watersheds will form, having close boundaries. One cluster is represented by all the items in a segmented area or watershed. According to this approach, a minimum height threshold can be selected to define the clusters (valleys). We followed automatic thresholding and set the threshold to a statistical value equal to half the standard deviation of the values. To perform this step, we applied watershed transformation and watershed-based object detection using the function "watersheds" in the R Bioconductor package (Torres-Matallana, 2016).



Figure 5. Example of the (a) U matrix and (b) derived clusters. Red colors in the U matrix stand for significant distances in the feature space, whereas blue colors are "valleys" that group subsets of related data. The watersheds shown in panel (b) are collections of related data.

We assessed the relevance of each feature according to its misclassification rate relative to a baseline cluster assignment produced by a random permutation of feature values to find the most crucial features and prevent data duplication (Molnar, 2022; Breiman, 2001; Fisher et al., 2019). We preferred this approach, considering that permutation feature importance does not call for retraining of the model before the analysis. This approach states that a variable (feature) is "important" if changing its values results in a cluster reassignment because, in this scenario, the model primarily relies on that feature to forecast the predictors. In contrast, a feature is considered "unimportant" if changing its values does not affect the anticipated cluster. The variable being identified as important with the shuffling does not necessarily mean it has high variability among the watersheds. It rather means that this variable is highly correlated with the target variable (the cluster association), because shuffling its values essentially destroys any relationship between that feature and the target variable, as indicated by the decrease in the training performance. After randomly permuting the values of a feature, the model is not refitted to the training data. This technique has been recognized in the literature (e.g., Breiman, 2016; Wei et al., 2015; Fisher et al., 2018), and it is widely implemented in many statistics packages as well (e.g., Biecek et al., 2019, 2023; Molnar and Schratz, 2008). Please also refer to Wei et al. (2015) for a review. We ran the clustering algorithm 10 times with different seeds. At each run, we trained the clustering using 90 % of the data and predicted the remaining 10% and, for each run, each feature of the dataset was permuted 10 times. The permutation misclassification rate of a feature was calculated as the number of observations for which the cluster assignment differed from the original cluster assignment divided by the number of observations given a permutation of the feature. The overall average misclassification rate iterations were interpreted as having variable importance. We decided to keep only the variables producing a misclassification rate higher than the mean values. Figure 6 shows the most important variables for the interval N = 365 d. This variable selection indirectly checks for collinearity by keeping only the variables that have the largest effect on the changes.

# 2.3.2 Supervised mapping and trained regression

SOMs are extensively applied for clustering and visualization purposes. Nonetheless, they can be used for regression learning (e.g., Riese and Keller, 2018, 2019). In the first step, the data (geomorphological, atmospheric, and hydrologic variables and measured residuals) are clustered together, based on patterns of variables. The resulting SOMs are composed of nodes, each of which is connected to a "weight" vector that represents the node's location in the input space. The map can be used to categorize further observations after training by locating the node whose weight vector is closest to the input space vector (best-matching unit, or BMU).

The regression algorithm of the SOM proceeds similarly to the clustering SOM algorithm. However, the regression differs for these main points. (1) Within the finalized input SOM that was created in the first stage, the BMU search is carried out. (2) For the regression instance, the weights of the supervised SOM are based on one single parameter (a continuous number, which in our case is the residuals). Combining the unsupervised and supervised SOM allows for the selection of the BMU for each data point while also connecting the chosen best-matching unit to a particular residual estimation. In other words, each gage is mapped to a certain cluster, based on the median characteristics of the storms. For the regression part, the data extracted from the SOM are restricted to the best-matching cluster, and given the input storm and watershed properties, we can predict the most likely residual.

For the supervised mapping and trained regression step, the gages were tagged to their corresponding SOM clusters. Once a cluster was defined, we aimed to determine which features were the most significantly correlated. For this, we considered the distance correlation index (dCorr) (Székely et al., 2007) to quantitatively identify the correlation of the important variables with the residuals within each cluster. The range of dCorr values, from 0 to 1, represents the dependence of two independent variables. The stronger the dependence, the closer the value is to 1, and the statistical independence of the two variables is implied by a value of 0 (Sofia and Nikolopoulos, 2020). We used inverse distance correlation (1-dCorr) to measure the dissimilarity of the variables within the cluster and create organized dendrograms. The attribute distances between every pair of drivers that have been successively clustered are depicted in a dendrogram.

Having tagged the gages, we performed supervised training with them to predict the residuals based on the atmospheric, hydrologic, and geomorphologic variables. The main outcome of this part is to have an ML system able to predict the most probable residual after a storm with certain properties, for a location with specific watershed characteristics. To this point, we retrained the SOMs independently for each cluster, using only the data retrieved from the stations within that cluster. For this part, we applied an extension of Kohonen's self-organizing map algorithm, the growing selforganizing map (GSOM) (Alahakoon et al., 2000; GrowingSOM package | R Documentation, 2020, https://rdrr.io/ cran/GrowingSOM/, last access: 29 May 2024). We chose a GSOM to refine the analysis and improve the prediction within each cluster. The GSOM hierarchical clustering technique enables the data analyst to locate important and unique clusters at a higher level and to focus on a more precise grouping of the interesting clusters only (Alahakoon et al., 2000). The GSOM is computationally expensive, so we decided to apply it to the already clustered data. A spread factor parameterizes the GSOM. This measure can generate maps of different sizes without previous knowledge of the dataset, samples, or attributes. We set the spread factor to 0.8, as suggested by Alahakoon et al. (2000).

Finally, we trained the model by selecting 90% of the data randomly and validated its performance using the remaining 10% for each cluster. The traditional method of identifying the quality of the SOM, proposed by Kohonen (1982), is to compute the quantization error by summing the distances between the nodes and the data points, with smaller values indicating a better fit. This method has been used successfully by many researchers, requiring minimal computation time, to compare changes across time series images (e.g., Bação et al., 2005; Dresp et al., 2018; Wandeto and Dresp-Langley, 2019). For quality assessment, we also followed the approach used by Swenson and Grotjahn (2019). We performed crossvalidation for a particular SOM, fitting the SOM to the data



Figure 6. Selected variables based on the misclassification rate (%).

first to ensure a unique cluster assignment. Then we conducted 100 trials, excluding the data used in initialization, as suggested by Swenson and Grotjahn (2019). We utilized a typical subdivision of 90–10, which meant that 90% of the data were used as training data to fit a new SOM, and the SOM was then utilized to forecast the cluster assignments of the remaining 10% of the validation data. The percentage of gages whose cross-validation cluster assignment changed from the original assignment in at least 10% of the 100 trials was calculated. We further tested the quality of the ML by evaluating the RMSE and the correlation distance between the actual residuals and the predicted ones for the validation dataset.

#### 2.3.3 Predicting major storm effects on flood hazard

Using the trained model (Sect. 2.3.2), we predicted the residuals for each gaging station, based on all the variables (Table 1) selected from Shen et al. (2017), Falcone (2011), and Fenneman and Johnson (1946). We compared the predicted residual for a given storm at a given gage, with the average residual measured in the most recent years focusing on prediction and showing a sudden deviation from positive (before the storm) to negative (after the storm). This sudden deviation, as illustrated in Fig. 3, can indicate a quick shift in channel conveyance in response to sediment deposition, which can trigger increased flood hazard even when the flood event's return period remains unchanged (Blench, 1969; Lane et al., 2007; Pinter et al., 2006a, b; Stover and Montgomery, 2001).

To highlight the criticality of this sudden shift, we considered highly at risk those watersheds for which the predicted residual, shifting from positive to negative, was outside the lower bound of the 95 % confidence interval of the current stage-discharge relationship. As LOESS smoothers fit a unique linear regression for every data point by including nearby data points to estimate the slope and intercept, the correlation in nearby data points helps ensure that a smooth curve fit is obtained. Therefore, the  $\mu + 1.96\sigma$ of the nearby data points considered for each fitted value can be considered a measure of the 95% confidence interval. This information is calculated directly from the R package fANCOVA (https://CRAN.R-project.org/package= fANCOVA, last access: 29 May 2024) used for the fitting. Overall, a watershed with positive residuals for the most recent measurements, for which we predict a sudden shift to negative outside the confidence bound of the stage-discharge curve, represents a critical condition that should be monitored, as the current flood stage might underestimate the flood risk.

#### 3 Results analysis

## 3.1 Variable importance

Figure 6 demonstrates the outcome of the variable importance. Based on the results shown in Fig. 6, we found that the same variables were always important for all the interval analyses. Table 1 shows all the selected variables in bold for N = 365. In this case, out of a total of 40 variables, we have selected 30 based on the misclassification rate (%). Of the selected variables, 15 were geomorphologic variables, followed by 10 atmospheric variables and 5 hydrologic variables. The most important variables were the aspect (AS-PECT\_NORTHNESS, ASPECT\_EASTNESS) and stream density (STREAMS\_KM\_SQ\_KM). The most important hydrologic variable was HYDRO\_DISTURB\_INDX, which

**Table 2.** Accuracy assessment parameters of the ML analysis. This table reports the average correlation and RMSE between the predicted and observed residuals for the different intervals.

Interval (d)	Avg correlation (10-fold)	Avg RMSE (m) (10-fold)
15	0.81	0.13
30	0.84	0.14
90	0.80	0.13
180	0.80	0.09
365	0.86	0.09

explains the condition of the watershed, whether anthropogenically modified or natural.

#### 3.2 Evaluation of SOM accuracy

The quantization error (Table 2) provided a measure of the accuracy of SOMs. The quantization error reported a higher accuracy as the number of training samples increased (increasing the number of days and resulting in more channel measurement and flood properties for each training sample). Homogeneous areas in the U matrix became more evident (Fig. 7) as the quantization error diminished (Table 2). As Table 2 indicates, the 365 d interval had the best quality, as represented by the lowest quantization error. For this reason, the following sections will present an investigation of the maps produced with this interval. Table 2 also shows the SOM quality in terms of the distance to the closest units of the SOMs trained for each cluster. The results suggest that the retraining of the individual clusters using the GSOM improved the prediction quality of the SOM significantly.

Table 2 also shows the correlation distance and RMSE between the measured and predicted residuals for each cluster of the validation datasets. The average correlation was close to 1 for all the *N* values, suggesting that the performance of the SOM model was satisfactory. The average RMSE was in the range of 0.09–0.14 m, which indicates a low random error relative to the dynamic range (-3 to 3) of the predicted variable. Both the unsupervised correlation distances and the average correlation showed the best results for N = 365 d. The RMSE diminished with the increase in the interval.

Figure 8 presents the results of the unsupervised clustering for N = 365 for the variables used. In the figure, the contrast between high (red) and low (blue) value areas emphasizes the spatial patterns of the various parameters we investigated. Based on this clustering, a combined U matrix is produced (discussed in Fig. 7) and a cluster label is assigned to each gage. Gages with similar characteristics presented by the variables are tagged with the same cluster number. There are 12 clusters of gages for the 365 d interval, and we have plotted the clusters individually on a map showing how they spread across different physiographic regions and climate zones in Fig. A1 in Appendix A. Clustering does not have



**Figure 7.** U matrix for different intervals (*N* days). The red colors represent large distances in the feature space, while the blue colors represent valleys grouping subsets of similar data.

a geographical meaning. Rather, gages behave more consistently between adjacent clusters than non-adjacent clusters, but this does not necessarily follow the spatial proximity of the gages. This is reflected in the spatial pattern of the different clusters of gages in Fig. A1.

Visually, the SOMs in Fig. 8 highlight the co-oscillation of hydrologic and geomorphologic variables as a standard component of watershed behavior. Drainage area (DA) and discharge or peak flow (Peak), for example, are positively correlated, with a cluster of high values in the bottom part of the SOMs. We can see that other hydrologic variables like Els (mean water travel distance to the drainage outlet), EQ (centroid of the flow hydrograph), Q2 (second-order moment of the flow),  $V_p$  (precipitation volume),  $V_t$  (average flow volume per unit drainage area), and VaTr (spread of precipitation) have similar patterns. EQ and the hydrograph (ETr) appear to be highly correlated. Some specific co-oscillations of variables are evident in multiple regions. The percentage (Perc) and percentile (Percentile) of the peak flow show the highest values spread across the SOM nodes. If we focus on the SOM of Res, we can see that the nodes on the right-hand side of the SOM seem to be associated with high values of the residuals (Fig. 8). Nevertheless, a small cluster of high residuals is seen in the upper left-hand corner. At the global level, this highlights a lack of regional synchrony in stage-discharge shifts at the yearly scale. Pfeiffer et al. (2019) reported similar findings on the decadal scale.



Figure 8. Individual SOMs of all the flood drivers for N = 365. Similar to the U matrix, the red colors represent large distances in the feature space, while the blue colors represent valleys grouping subsets of similar data.

## 3.3 Variables associated with shifts in the residuals

Focusing on the changes in the stage–discharge relationship Res, we next investigated the correlation between predicted and measured residuals on the one hand and other variables on the other (Fig. 9, Table 3). For the proposed ML framework, the training was unsupervised. In general, the predicted and measured residuals were highly correlated, validating the SOM performance. Table 3 summarizes the correlations among the considered predictors in Fig. 9 for N = 365 d. It presents an analysis of the group of variables based on the dendrogram branches for different likelihoods of change levels (e.g., 0%-10%, 10%-30%, or 30%-50%). This section discusses the correlations for the 30%-50% category as an example; the other two categories showed similar outcomes. We do not have more than 50% here in the table because the highest percentage of gages that showed sudden change was 30%-50%. In Table 3, Level 1 shows the group of variables highly correlated with each other and with residuals. Level 2 shows variables that are highly correlated with each other but related to a lesser degree to the variables in Level 1.

For Level 1, the physiography of the basins is represented by ELEV\_\* (elevation) highly correlated with EQ,  $Q_{2}$ , and ETr, which are correlated with all the other variables in Group 2. For Level 2, Res is shown to be correlated with different variables. A noticeable pattern is in Group 1 containing mostly hydrologic variables, while Group 2 contains atmospheric variables. In Group 1, Res belongs to the tree containing the variables RFACT (rainfall and runoff factor), HYDRO DISTURB INDX (anthropogenic modification), STREAMS KM SQ KM (stream density), BFI AVE (base flow index), ASPECT NORTHNESS, ASPECT EASTNESS, STRAHLER MAX (maximum Strahler stream order in the watershed), MAIN-STEM SINUOUSITY (sinuosity), DRAIN SQKM (drainage area), peak (peak flow), and CovtrLs (covariance of precipitation and water travel distance) (Level 2 in Table 3). RFACT, the rainfall runoff factor, directly affects rainfall runoff influencing the channel changes. HYDRO\_DISTURB\_INDX (see Sect. 3.1) represents the channel condition, whether the channel is altered by artificial construction or not. A group of highly connected elements comprises a series of drainage properties (STREAMS\_KM\_SQ\_KM, STRAHLER\_MAX, MAIN-STEM\_SINUOUSITY, DRAIN\_SQKM) that modulate the way precipitation is routed through the basin and that directly affect flood properties.

In Level 2, Group 2, the tree contains  $P_{\text{mean}}$  (mean precipitation), Els, EQ, Q2,  $V_p$ ,  $V_t$ , VaTr, VarLs (variance of the water travel distance),  $V_b$  (base flow volume), and Runoff-Coef (runoff coefficient). These are mostly related to rainfall properties. While they are important fingerprints for the attribution of regional flood changes, these variables are related to changes in flood hazard to a lesser degree than physiography and flow properties.

Overall, the results of our analysis highlight how the impacts of a flood-inducing storm event on channel properties and flood hazards are highly correlated with flow characteristics and a region's geophysical signature.

#### 4 Discussions

#### 4.1 Channel changes and watershed characteristics

Our model highlighted in Fig. 6 that the most important hydrologic variable was the condition of the watershed, whether anthropogenically modified or natural. This confirms that human modifications are an important element to be considered when analyzing flood hazard changes (Bormann et al., 2011; Pinter et al., 2006a, b). Ahrendt et al. (2022) demonstrated that channel regulation is important to conveyance changes, which resonates with the variable importance analysis results from Fig. 6. Similarly, the construction of dikes, bridges, dams, meander cutoffs, channel constriction by wing dikes, groins, and other engineering projects can alter channel conveyance within rivers and the characteristics of their floodplains (Bormann et al., 2011; Pinter et al., 2006a, b). The importance of this variable in the model highlighted the potential interaction of flood-inducing events that generate high sediment deposition with the effects of channel modification. Also, numerous works in the literature (Feng et al., 2021; Mazzoleni et al., 2022) highlighted how urbanization processes and landscape changes induced by human activities have large impacts on flood hazards worldwide.

The model gave high importance to drainage density, which is an essential characteristic of Earth's surface that regulates erosion and the movement of water and sediments (Clubb et al., 2016). Drainage density is also correlated with subsurface permeability (Luo et al., 2016). The control these factors exert on sediment production and delivery and soil permeability may explain the importance of these variables to post-storm changes in river conveyance. Drainage density is also correlated with other hydrologic and climatic variables such as precipitation and climate types (Moglen et al., 1998).

Based on the visual interpretation of the unsupervised SOMs (Fig. 8), taking the atmospheric, hydrologic, and current geomorphologic conditions as single independent drivers is not sufficient to predict the magnitude of the shift in stage and discharge at the flood stage. This suggests that the co-occurring fluctuations in the various parameters, rather than variation in a single peak parameter, are the primary drivers of change in flood hazard at the continental scale. The patterns visible in the SOM depend on existing relationships among the processes. For example, along with the drainage area, the duration and spatial pattern of rainfall are responsible for the variability in lag time and basin response (Granato, 2012; Woods and Sivapalan, 1999). The correlation between DA, Peak, and mean water travel distance to Els is evident for various clusters, as is the correlation between  $V_t$  and  $V_b$ .

This is not surprising, considering that the basin size is generally the most important basin characteristic in determining the amount and timing of surface runoff at the outlet (Gupta and Dawdy, 1995). The relationship between flood flow quantiles and drainage area is expressed by power-law equations (Villarini and Smith, 2010). It also confirms how catchments with larger drainage areas display higher values of specific discharge and how morphodynamic properties (including frequent flows such as the bankfull discharge) tend to cluster with drainage network characteristics and scaling properties (Saghafian, 2005; Reis, 2006; Sofia and Nikolopoulos, 2020). Further cross-cluster variability occurs with some atmospheric and hydrologic variables, i.e., the centroid of precipitation (ETr), EQ, and spread of precipita-



Figure 9. Example of intercorrelation among the flood drivers for N = 365 d for the likelihood of change between 30 % and 50 %. The white color signifies that there is no correlation between those variables. The color bar from blue to yellow shows high to low correlations.

tion (VarTr). All the previously mentioned variables present their co-occurring peaks in Cluster 6 (the Upper Mississippi and Missouri region), which is in line with the fact that, for this area (and cluster), snowmelt, rain on snow, or rainfall can cause major flooding.

The physiography of the basin deeply controls the complex land-atmosphere interactions and storm types, resulting in rainfall runoff. Thus, it is no surprise that physiography alone is highly correlated (Fig. 9, Table 3) with all other (hydrologic, geomorphologic, and atmospheric) variables used in this study. This highlights the importance of basin attributes in prompting stage-discharge variability at gage locations. Investigations of the influence of the flow stage on channel conveyance often focus on the impacts of peak or minimum bankfull discharge. From Fig. 9 and Table 3, we can see that recession rates matter in sediment delivery, as highlighted in the literature (e.g., Hassan et al., 2006), and these two properties are highly correlated with the impact of large storms on flood hazards. The findings of this study provide needed insight, and managers could use the results to determine the flow hydrograph shapes that potentially alter short-term flood hazards. Such knowledge is necessary for the design of river infrastructure. Many papers in the literature (e.g., Borga et al., 2008; Woods and Sivapalan, 1999; Smith et al., 2004, 2005, 2002; Zhang et al., 2001) have highlighted the relationship between the centroid of precipitation and runoff production. Most of these works have shown that,

for example, the position of the storm centroid relative to the watershed outlet is an important driver of runoff: storms with a precipitation centroid positioned in the central portion of the watershed tend to produce a higher runoff than storms with a centroid near the outlet or head of the watershed. This is in line with the fact that rainfall runoff spatial variability influences flash flood severity relative to basin physiography and climatology. Flash flood severity, or flashiness, as defined by Saharia et al. (2017), assesses a basin's capacity to produce severe floods by considering both the volume and timing of a flood. It is, therefore, not unexpected that the centroid of precipitation appears to be highly correlated with the shifts in residuals.

Also, as shown in Fig. 9, the significance of "Aspect" attributes can be understood in terms of the various runoff and soil loss yields that can result from changes in slope properties. For example, soils on south-facing slopes always seem to be much more eroded or degraded than those on more humid north-facing slopes, due to differences in aspect, steepness, lithology, and flora type. ASPECT\_NORTHNESS and ASPECT\_EASTNESS influence the daily cycle of solar radiation affecting the temperature, humidity, and soil moisture (Desta et al., 2004) that control the vegetation and, hence, the sediment movement of the floodplain. The variability of these factors can, therefore, affect sediment production and movement, with consequences for flood hazard changes.

**Table 3.** Highly correlated variable groups for different percentages (%) of the "likelihood of change" from the interpretation of the dendrogram in Fig. 11. Levels in the table represent the main branches of the dendrograms, and groups represent the sub-branches under the main levels.

	0 %-10 %	10 %-30 %	30 %-50 %
Variable groups	Level 1	Level 1	Level 1
	Group 1	Group 1	Group 1
	ELEV_MEAN_ M_BASIN, ELEV_MAX_M_BASIN, ELEV_MIN_M_BASIN, ELEV_ MEDIAN_M_BASIN, ELEV_STD_M_BASIN, ELEV_SITE_M, RFACT Group 2: all the other variables	ELEV_MEAN_ M_BASIN, ELEV_MAX_M_BASIN, ELEV_MIN_M_BASIN, ELEV_ MEDIAN_M_BASIN, ELEV_STD_M_BASIN, ELEV_SITE_M, EQ, Q2 Group 2: all the other variables	ELEV_MEAN_ M_BASIN, ELEV_MAX_M_BASIN, ELEV_MIN_M_BASIN, ELEV_ MEDIAN_M_BASIN, ELEV_STD_M_BASIN, ELEV_SITE_M, EQ, <i>Q</i> 2, ETR Group 2: all the other variables
	Level 2	Level 2	Level 2
	Group 1	Group 1	Group 1
	HYDRO_ DISTURB_INDX, STREAMS_KM_SQ_KM, Res, ASPECT_ NORTHNESS, ASPECT_EASTNESS, $V_p$ , $P_{mean}$ , CovtrLs, $V_b$ , $V_t$ , Els, IBF, VarLs Group 2: EQ, ETR, $Q2$ , VarTr, RunoffCoef, Peak, STRAHLER_MAX, MAINSTEM_SINUOUSITY, DRAIN_SQKM	RFACT, HYDRO_DISTURB_INDX, STREAMS_KM_SQ_KM, BFI_AVE, Res, ASPECT_NORTHNESS, ASPECT_EASTNESS, $P_{mean}$ , Els, IBF, VarLs Group 2: $V_p$ , CovtrLs, IBF, $V_b$ , $V_t$ , ETR, VarTr, RunoffCoef, Peak, STRAHLER_MAX, MAINSTEM_SINUOUSITY, DRAIN_SQKM	RFACT, HYDRO_DISTURB_INDX, STREAMS_KM_SQ_KM, BFI_AVE, Res, ASPECT_NORTHNESS, ASPECT_EASTNESS, STRAHLER_MAX, MAINSTEM_SINUOUSITY, DRAIN_SQKM, IBF, Peak, CovtrLs Group 2: P <sub>mean</sub> , Els, VarLs, V <sub>p</sub> , V <sub>b</sub> , V <sub>t</sub> , VarTr, RunoffCoef

In Fig. 9 and Table 3, our model suggests that drainage properties related to the routing of the precipitation and flood water are highly correlated with residual changes and indirectly linked to post-storm modifications of flood hazards. Greater network sinuosity lowers peak flows and flooding (Seo and Schmidt, 2012; Seo et al., 2015; Saco and Kumar, 2002). Higher peak flow, a faster time to the peak, and a shorter duration are produced by lower variability of flow path lengths (Saco and Kumar, 2002). Also, flood frequency or event increases with the decrease in the fractal dimension of the river network (Zhang et al., 2015). Lastly, the base flow index and peak discharge are intricately connected to runoff and, consequently, alterations in channel conveyance. This connection is evident as they characterize the volume of water within the channel. When the volume surpasses the channel's conveyance capacity, flooding is anticipated, and substantial sediment movement implies potential channel adjustments. The significance of these properties is a reaffirmation of the established notion that regular flows, such as base flow below bankfull levels, are sufficient to determine channel shape, as they prevent the substantial accumulation of fine sediments and organic matter (Phillips, 2002). On the other hand, rare extreme floods are essential for transporting coarser bed material and eroding channel banks (Phillips, 2002).

#### 4.2 Changes in flood risk after major floods

Figure 10a shows the groups of gages representing different percentages of "likelihood of change". If the reported value is <10%, for example, the predicted residuals for those gages show a sudden change from negative to positive in less than 10% of the storms. The higher the percentages are, the more likely we expect a drastic abrupt reduction in channel capacity to be after a large storm. Comparing with the literature (Slater et al., 2015), we can see that, in our study, the locations with the highest likelihood of change coincided with those with significant channel capacity and net changes in flood hazard frequency. While the post-storm change was not as widespread as the effects highlighted by Slater et al. (2015), this was expected, as we were analyzing post-storm effects and not considering the persistence in time of these changes at this stage. Also, a higher rate of change (high percentage) might be representative of very dynamic rivers, whose changes are likely to smooth out in time. On the other hand, rivers changing less frequently might be witnessing changes with a magnitude sufficient to last longer. This fact should be addressed carefully. Another thing to consider is that, because USGS gages are purposely placed at stable locations, our analysis, as well as other works (e.g., Li et al., 2020; Slater et al., 2015), probably underestimates the consequences of conveyance changes.

Nonetheless, our results highlighted how substantial changes had occurred even for these locations. When we focused on the amount of change relative to the current confidence bound of the stage-discharge relationship (Fig. 10b), we could see that the magnitude of change was higher for gages that changed less frequently. The northwestern part of CONUS was where Slater et al. (2015) highlighted clustering of increases in hazards due to consistent channel capacity changes with clusters of gages for which we predicted negative residuals outside the confidence bound of the stagedischarge relationship. For the northeast, on the other hand, our model predicted high-magnitude changes for areas identified by Slater et al. (2015) as areas significantly impacted by flow frequency effects. It is known that existing stagedischarge relationships present uncertainty in estimating the discharge because of the variation in the individual measurements from which the estimation is derived. Our model highlighted that the post-storm increased change lay outside the range of acceptable uncertainty at many gages. As Fig. 10b shows, this change was as widespread as the effects highlighted by Slater et al. (2015) for the total positive changes in flow hazard frequency (FHF). For gages, the total FHF increased logarithmically in Slater et al. (2015), and our model predicted changes further in the negative domain, outside the lower confidence bound.

From the predicted results of the channel changes at the gage level, we next analyzed which locations were more prone to changes based on the number of gages with predicted changes within each physiographic region and climate type (Fig. 11). Overall, one must keep in mind the limits and the variability of the gage coverage across CONUS, as described in the chapter related to the model limitation. Nonetheless, observing how variability changes across regions allows us to grasp how variable the post-storm effects are. Overall, rivers across the US are highly dynamic per se, and their variability depends on a combination of factors mostly driven by how sediment moves across the landscape (Montgomery and Buffington, 1998; Flores et al., 2006). This, in turn, depends on a variety of landscape properties, climate conditions, and human modifications (Wu et al., 2023).

Among the physiographic regions (Fig. 11a), the Laurentian uplands and intermontane plateaus had the most changes (75% of all gages in this region). The Rocky Mountain and Pacific Mountain systems followed the trend with the second-most changes (50%-75%). The changes in <10% of the gages were in the Interior Highlands, Atlantic Plains, and Appalachian Highlands. The Appalachian Highlands regions are mountainous. In contrast, the interior plains are mostly flat agricultural lands whose river system consists of the upper Mississippi River, the Ohio River, parts of the Great Lakes, and small wetlands. This region has a very dynamic hydrology, with very cold winters and hot summers. Snowmelt in the spring and heavy precipitation in the summer and winter result in big floods. Naturally, this can potentially lead to changes in the river reaches. While the Atlantic Plain is also relatively flat, it covers the Mississippi Delta, the Gulf of Mexico, and the Atlantic seaboard in the east (see Fig. 2). Moving toward the coastline, frequent tropical storms and cyclones are recorded, which could increase sediment activity overall (Tweel and Turner, 2014). Also, lots of human activities can alter river morphology, especially in the deltas, due to sediment movements (Nienhuis et al., 2020). The literature (Bracken and Croke, 2007; Kalantari et al., 2019; Croke et al., 2013; Sofia and Nikolopoulos, 2020; Wohl et al., 2019) has highlighted sediment connectivity as a potentially critical factor in flood hazards, being linked to both changes in channel characteristics and increasing decadal trends in flood hazards, independent of scale. In addition, for these regions, and in the eastern United States more generally, peak flows are highly variable (Villarini and Smith, 2010), and tropical cyclones affect the distribution of sediments as well (Tweel and Turner, 2014). All these characteristics contribute to the presence of very dynamic rivers, which, as confirmed by our model, quickly react to floodinducing events, adjusting their geometry and altering flood hazards in the case of subsequent floods.

We made the same comparison for the climate types (Fig. 11b). We detected high predicted variability, mainly in hot and humid climate regions, while cold and dry regions showed minimal changes. Humid continental climate (Dsb, Dfa, Dfb) led with the highest variability (>75% of the gages in these climate regions). The gages with 50 %-75 % channel changes were in the tundra climate (ET) and warm-summer Mediterranean climate (Csb). Gages with the least changes (<10%) were located in humid continental hot summers with dry winters (Dwa), continental subarctic-cold dry summers (Dsc), cold desert climate (Wk), and hot semiarid climate (BSh). These climate zones are mostly dry, either year-round or seasonally. The impact of major storms on rivers depends on both underlying long-term climate signatures (Chen et al., 2019; Stark et al., 2010) and short-term (year-to-year) climate variability (Slater et al., 2019). For many river systems, coarse sediment mobilization and transportation rates are controlled by regional climate (Anderson and Konrad, 2019). Climate variability is projected to trigger a chain reaction of geomorphic responses, including changes in downstream channel properties (East and Sankey, 2020; Wendland, 1996; Harrison et al., 2019; Knight and Harrison, 2012). Other studies focusing on long-term changes rather than flood-inducing events have shown how decadalscale changes in river morphology may be accounted for as a downstream-propagating channel reaction to regional climate variability, which is frequently accompanied by cyclical



**Figure 10.** Predicted changes as compared to the results of Slater et al. (2015) showing channel capacity (CC) and flow frequency (FF) effects on flood hazard frequency (FHF). In panel (**a**), "likelihood of change", the percentage represents the number of times the model predicts a residual change from positive to negative after a major flood (for N = 365). Panel (**b**) shows the ratio between the average prediction and the lower 95% confidence bound of the current stage–discharge relationship for the stations showing a drastic change from positive to negative. In panels (**a**) and (**b**), gages with small variations from this study have been reduced for clarity. Panels (**c**), (**d**), and (**e**) show the results of Slater et al. (2015).

changes in channel geometry and conveyance (Scorpio et al., 2015; Slater et al., 2019). The joint contribution of physiographic regions (as a proxy for sediment characteristics) and climate properties has also highlighted the nonlinearity of system response and the potentially harmful and sequential effects that result from the coupled direct impacts of climate conditions and sediment connectivity (Lane et al., 2007).

## 5 Advantages and limitations of the framework

This work is based on gage measurements, and across CONUS there is a known bias of stream size representation and spatial density in the gaging network, whereas some river sizes and landscape areas are vastly underrepresented and overrepresented (Kiang et al., 2013). Regarding the coverage of stream gages, the intrinsic limits of the dataset, in general, have been addressed in the literature and are very well summarized in the publication by Kiang et al. (2013). Broadly speaking, the eastern United States has better coverage compared to its western counterpart. In particular, the arid southwestern United States shows notably lacking spatial coverage. Discrepancies in hydrology contribute to variations in the statistical uncertainty calculated across different parts of the country (Kiang et al., 2013). The central and southwestern United States, characterized by arid and semiarid conditions, generally display higher interannual variability in flow, resulting in increased uncertainty in flow statistics. Despite



Figure 11. Percentages of gages presenting changes in channel capacity in different (a) physiographic regions and (b) climate types.

these distinctions, it is essential to recognize that any research relying on gaging sites faces similar limits and is overall affected by potential overrepresentation or underrepresentation of flows. We believe that, as USGS stream gage information could potentially be transferred from nearby stream gages if there is sufficient similarity between the gaged watersheds and the ungaged watersheds of interest, our model could also be applied to ungaged sites. However, one must always keep in mind that the successful "translation" to ungaged environments depends on the correlation of the stream gages in the surrounding areas. For example, there are areas of CONUS (mostly mountainous) that show highly correlated stream gages (Kiang et al., 2013), whereas the central United States and coastal areas of the southeastern United States show highly uncorrelated gages. Therefore, the goodness of the information transfer might not work as well. Also, transferability would be most likely to be successful when basin attributes show high similarity and storm properties are within the range of variability of the training set used for this work. We do not recommend the use of this model for engineered rivers, where channel changes are expected to be limited by infrastructures such as concrete levees, as the model was trained excluding specifically sites featuring artificial controls at the gauging station that could impede the natural adjustment of the channel's shape.

The ML model was trained considering both storm properties and watershed properties. The system is not capable of highlighting which element triggers the change. Nonetheless, we provided an assessment of feature importance to stress that the shifts in how the model works are mostly explained by a combination of storm and watershed properties. We would not suggest using the model, as it is trained currently, to predict changes without having information on the storm properties. Regarding storm properties, this study uses a published dataset (Shen et al., 2017) of storm events ranging from 2002 to 2013. The framework displays the intercorrelation of the different event properties that can affect channel changes, and this framework could be used to identify variable gages outside the time range covered by the storm event database. Nonetheless, researchers can use the trained model with additional years of data if they have available the same storm properties proposed by Shen et al. (2017) for more recent events.

A further thing to consider refers to the watershed properties considered in the model. The gage dataset includes several hundred watershed characteristics compiled from national data sources. Actual stream density, like other properties, for example, could be different from those derived from national data sources due to time and landscape changes happening in the watersheds. The advantage of the considered dataset, however, is that it is available consistently for all the gages. Researchers could also consider using different methods to define the watershed properties and consider improved geomorphological parameters from high-resolution terrain data derived from, e.g., lidar sources (Passalacqua et al., 2015). In this case, it would be recommended to retrain the model and verify once again the importance of this parameter in the retrained model, as the literature strongly highlights the higher variability of geomorphological and hydrological parameters derived from variable-resolution terrain (Sofia, 2020).

One must note that the permutation feature importance changes with the shuffling of the feature; this process introduces randomness to the process (Molnar, 2022), which might not be representative of a physical process. When repeating the permutation, the results may vary considerably (Molnar, 2022). To increase robustness and stabilize the measure, we repeated the permutation and averaged the importance measures over the various reiterations. A further aspect to consider is that, if the features are correlated, the permutation feature importance may be biased, with unrealistic data examples. The randomness added by the permutation might result in an unlikely combination of the parameters. This issue is more evident if real-world variables are directly or inversely correlated; by shuffling one of the features, we may be creating new unlikely or physically impossible instances. Therefore, as Molnar (2022) suggested, we may be potentially looking at a decrease in the model performance only due to values that we would never observe in the real world.

We should point out that channel conveyance change is known to vary spatially across a region and strongly correlates with climate variations and landscape properties. The feature permutation randomness for our study case was, however, counteracted by the two main features of SOMs: (1) the topological preservation of the neighborhood, which results in spatial clusters of comparable patterns in the output space; and (2) the adaptation property in which the winner neuron and its neighbors are changed to make the weight vectors more similar to the input. The SOM method can recognize new patterns during the training process. Besides that, using multiple attributes, such as combined atmospheric, hydrologic, and geomorphologic variables, can improve the pattern generated by the SOM. In our approach, the variable importance did not change, considering the various N intervals used to group storm properties. The high correlation between estimated residuals and measured ones during the 10-fold validation confirmed the accuracy of the model.

Careful interpretations that explain how and why channel conveyance changes happen as they do are essential for guiding reliable predictions of river conveyance behavior and evolution. Another aspect to consider, as for any ML approach, is that SOMs are stochastic, as there are no physical constraints in their prediction. The use of randomness as a feature in the SOM analysis exerts confidence in the results, mainly when the results are in agreement with the theoretical aspect of the variables. We suggest referring to Brierley et al. (2021) for a recent review of ML limitations in geomorphology in general.

# 6 Conclusions

The variability of geomorphologic processes and future flood patterns can only be understood by evaluating all the critical flood drivers responsible. In this era of flood-inducing events and rapidly changing landscapes, accurate flood hazard assessment is paramount. Atmospheric, hydrologic, and geomorphologic parameters constitute both the main driving force behind and the detector of changes resulting from a flood-inducing event. This study focused on the impact of flood-inducing events on flood hazards by exploring the channel changes following them. We utilized the interdependencies of the atmospheric, hydrologic, and geomorphologic flood drivers to gain an understanding of the impact of floodinducing events on channel capacity and identified important drivers for predicting residuals from the average stage– discharge curve.

Our results confirm existing knowledge of watershed hydrology and further strengthen the compound importance of climate and geomorphology as drivers of changes in flood hazards. The sequential processes during and after a big flood event can only be understood by considering the contribution of all the flood drivers together. The results show how the variables of different flood drivers are interrelated and can create effects that are more adverse together. Channel conveyance change is often regarded as stationary in flood hazard modeling and is acknowledged as one of the most important sources of uncertainty. The bankfull discharge and flood occurrences are directly related to channel conveyance capacity. Our research reveals that the assumption of channel stationarity may result in either overprediction or underprediction of the river discharge for a certain flood stage, as the existing stage-discharge relationship might be temporarily (or permanently if the shift pertains) underperforming. This would in turn eventually overestimate or underestimate flood hazard (recurrence interval, duration, depth, and inundation extent of flooding), especially in the case of subsequent floods. These models incorrectly feed flood control planning procedures, which raises the level of uncertainty in evacuation and rescue operations. Additionally, flood insurance plans created using these models' results are likewise incorrect. Furthermore, if engineering designs are based on data collected before periods when major flood events have lowered channel conveyance, there is a risk that surveyed channel dimensions and flood conveyance will be overestimated in the long run.

The proposed ML model allows us to identify dynamic rivers more prone to changes in the stage-discharge relationship after major flood events. The proposed model does not account for the persistence of changes. That being said, the results highlight the risk of an abrupt reduction in channel capacity after a large storm. For rivers more prone to changes, periodic revision of flood frequency statistics is advisable for hazard assessments to keep pace with altered conditions. Understanding the temporal duration of these changes would offer valuable insights into the practicality of implementing these updates or exploring alternative approaches to assessing flood risk, especially if the process exhibits significant variability over time. The model predicts a shift in the discharge at the flood stage (residuals) as a proxy for flood hazard changes, implying that a certain discharge expected to produce floodings will be reached for lower stages than expected (residual shifting from positive to negative at a specific gage). The approach starts from the concept that, typically, discharge time series are derived from water level measurements through an existing stage-discharge relationship. This is the general case for most gaging sites in the US as well as other realities in other countries. As rating changes often happen during episodic storms, the proposed model can be adapted for other gage datasets in different parts of the world by assuming the operational existence of a similar approach.

The gages used in the study, although distributed across CONUS, have intrinsic limitations in terms of the stream size representations and spatial coverage of the river network. Therefore, careful considerations should be applied while considering the model for predicting the impact of floodinducing storms on abrupt loss of channel capacity outside the basins used in our study. This study considered a limited set of drivers, excluding, for example, human activities in the watersheds and vegetation properties. Channel changes can be due to other geographically significant events (e.g., landslides, debris flow). However, such occurrences could also be triggered by the storm events that caused the flood hazards. At this stage, we have a complete database of storm properties, but we did not include an analysis of additional event parameters such as mass movements and the volume (if known) of sediment or debris delivered during such events. Future research could improve the method by adding predictors and investigating the sensitivity of median storm characteristics to different intervals (lag times). In response to increased flow, we do not expect channel conveyance to rise consistently everywhere. The intricate interaction of dynamic anthropogenic and climatic factors and their consequential processes within each basin are expected to be evident in the fluvial changes. Hence, sediment connectivity, land use, and land cover change anthropogenic factors could also be included to retrain the model to produce changes in the stagedischarge relationship at the flood stage and potentially create scope for the prediction of channel changes due to floodinducing events.

# Appendix A



Figure A1. Gages with clustering identification assigned by SOM unsupervised clustering (a–l). For the abbreviation descriptions of the physiographic regions and climate types, please refer to Tables A1 and A2.

# M. Khanam et al.: To what extent do flood-inducing storm events change future flood hazards?

Climate types	Description
Af	Tropical rainforest
Am	Tropical monsoon
Aw	Tropical savanna (wet and dry climate)
BWh	Hot desert climate
BWk	Cold desert climate
BSh	Hot semiarid climate
BSk	Cold semiarid climate
Csa	Hot-summer Mediterranean climate
Csb	Warm-summer Mediterranean climate
Csc	Temperate dry summers and cold summers
Cwa	Warm oceanic climate/humid subtropical climate
Cwb	Subtropical highland climate or temperate oceanic climate with dry winters
Cwc	Cold subtropical highland/subpolar oceanic
Cfa	Humid subtropical climate
Cfb	Temperate oceanic climate
Cfc	Subpolar oceanic climate
Dsa	Humid continental climate – dry warm summers
Dsb	Humid continental climate – dry cool summers
Dsc	Continental subarctic – cold dry summers
Dsd	Continental subarctic - dry summers and very cold winters
Dwa	Humid continental hot summers and dry winters
Dwb	Humid continental mild summers and dry winters
Dwc	Subarctic with cool summers and dry winters
Dfa	Humid continental hot summers and year-round precipitation
Dfb	Humid continental mild summers, wet all year
Dfc	Subarctic with cool summers and year-round rainfall
Dfd	Subarctic with cold winters and year-round rainfall
ET	Tundra climate
EF	Ice cap climate

Table A1. Description of the climate types from the Köppen–Geiger climate classification (Beck et al., 2018) used in Figs. 2 and A1.

Table A2. Description of the physiographic regions (Fenneman and Johnson, 1946) presented in Figs. 2 and A1.

Physiographic regions	Description
ApHigh	Appalachian Highlands
AtlPlain	Atlantic Plain
IntHigh	Interior Highlands
IntPlain	Interior Plains
IntermPlat	Intermontane plateaus
LaurUpl	Laurentian uplands
PacMounSys	Pacific Mountain System
RockMounSys	Rocky Mountain System

*Data availability.* Flood stage values are provided by the US National Weather Service (https://water.noaa.gov/, National Oceanic and Atmospheric Administration, 2021).

Historical mean daily streamflow records are stored by the U.S. Geological Survey (USGS) and made publicly available online (https://waterdata.usgs.gov/nwis/sw, U.S. Geological Survey, 2021a).

The flood event database used in the study was generated by Shen et al. (2017, https://doi.org/10.1175/BAMS-D-16-0125.1).

Historical field measurements of channel properties are made publicly available online by the USGS (https://waterdata.usgs.gov/ nwis/uv/?referred\_module=sw, U.S. Geological Survey, 2021b).

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*Competing interests.* Emmanouil Anagnostou declares that he holds stock in FloodInov LLC.

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