

### Long-term reconstruction of satellite-based precipitation, soil moisture, and snow water equivalent in China

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Abstract. A long-term high-resolution national dataset of precipitation (P), soil moisture (SM), and snow water equivalent (SWE) is necessary for predicting floods and droughts and assessing the impacts of climate change on streamflow in China. Current long-term daily or sub-daily datasets of P, SM, and SWE are limited by a coarse spatial resolution or the lack of local correction. Although SM and SWE data derived from hydrological simulations at a national scale have fine spatial resolutions and take advantage of local forcing data, hydrological models are not directly calibrated with SM and SWE data. In this study, we produced a daily  $0.1^{\circ}$ dataset of P, SM, and SWE in 1981-2017 across China, using global background data and local on-site data as forcing input and satellite-based data as reconstruction benchmarks. Global 0.1° and local 0.25° P data in 1981–2017 are merged to reconstruct the historical P of the 0.1° China Merged Precipitation Analysis (CMPA) available in 2008-2017 using a stacking machine learning model. The reconstructed P data are used to drive the HBV hydrological model to simulate SM and SWE data in 1981-2017. The SM simulation is calibrated by Soil Moisture Active Passive Level 4 (SMAP-L4) data. The SWE simulation is calibrated by the national satellite-based snow depth dataset in China (Che and Dai, 2015) and the Moderate Resolution Imaging Spectroradiometer (MODIS) snow cover data. Cross-validated by the spatial and temporal splitting of the CMPA data, the median Kling–Gupta efficiency (KGE) of the reconstructed P is 0.68 for all grids at a daily scale. The median KGE of SM in calibration is 0.61 for all grids at a daily scale. For grids in two snow-rich regions, the median KGEs of SWE in calibration are 0.55 and -2.41 in the Songhua and Liaohe basins and the northwest continental basin respectively at a daily scale. Generally, the reconstruction dataset performs better in southern and eastern China than in northern and western China for *P* and SM and performs better in northeast China than in other regions for SWE. As the first long-term  $0.1^{\circ}$ daily dataset of *P*, SM, and SWE that combines information from local observations and satellite-based data benchmarks, this reconstruction product is valuable for future national investigations of hydrological processes.

### 1 Introduction

A long-term national terrestrial hydrological dataset with high spatiotemporal resolutions can be used in many hydrological applications such as exploring the controls of rainfall-runoff events (Tarasova et al., 2020; Yang et al., 2020b; Stein et al., 2021), predicting floods and droughts (Van Steenbergen and Willems, 2013; Reager et al., 2014; Abelen et al., 2015), and assessing the impacts of climate change on streamflow and floods (Sharma et al., 2018; Blöschl et al., 2019; Li et al, 2019). As key variables in the hydrological cycle, precipitation (P), soil moisture (SM), and snow water equivalent (SWE) generate riverine runoff and determine the wetness states of the basins. Although long-term (at least 30 years) daily P, SM, and SWE can be obtained from many data products in China, these products suffer from a coarse spatial resolution and a lack of local information.

# 1.1 Limitations of long-term daily or sub-daily precipitation data in China

There are many long-term global precipitation data with a temporal resolution within 1 d and a spatial resolution within 0.1°. For example, two popular datasets are the Multi-Source Weighted-Ensemble Precipitation (MSWEP; Beck et al., 2019) and the hourly 0.1° dataset ERA5-Land (Muñoz-Sabater et al., 2021). MSWEP is a 3-hourly 0.1° dataset that began in 1979 and merges multiple sources including gauge stations, remote sensing observations, and reanalysis data. ERA5-Land is an hourly 0.1° reanalysis dataset that began in 1981. These global datasets have insufficient information on data from rain gauge stations in China, which leads to limited performance. Two local precipitation datasets are widely used in China. The first one is the China Gauge-based Daily Precipitation Analysis (CGDPA; Shen and Xiong, 2016), which is interpolated from the daily data back to 1960 in approximately 2400 ground stations. The key limitation of the CGDPA is its coarse spatial resolution of 0.25°. The second one is the China Meteorological Forcing Dataset (CMFD; He et al., 2020), which is a 3-hourly 0.1° dataset in 1979-2018 using approximately 700 ground stations to correct the Global Land Data Assimilation System (GLDAS; Rodell et al., 2004) and Tropical Rainfall Measuring Mission (TRMM; Huffman et al., 2007) precipitation background data. The CMFD does not take full advantage of available precipitation information, since better background data (e.g., MSWEP and ERA5-Land) and more ground station data are available.

# 1.2 Limitations of long-term daily or sub-daily soil moisture data in China

Remote sensing and reanalysis data are two common types of global soil moisture data. The European Space Agency's Climate Change Initiative (ESA CCI; Dorigo et al., 2017) for soil moisture is a global satellite-monitored dataset that began in 1979. However, ESA CCI only measures surface soil moisture up to 5 cm deep in a coarse spatial resolution of 25 km. Global reanalysis data, e.g., ERA5-Land, can provide soil moisture data in deeper soil layers in a high spatial resolution. However, global reanalysis data miss observational information on soil moisture, and they simulate soil moisture using global forcing data which lack local corrections. Many hydrologic fluxes and state datasets provide simulated soil moisture data at a national scale, for example the 3-hourly 0.25° dataset based on the variable infiltration capacity (VIC) model created by Zhang et al. (2014), the daily 0.25° dataset based on the VIC model created by Miao and Wang (2020), and the daily 0.0625° dataset based on the VIC model created by Zhu et al. (2021). However, all these national-scale soil moisture data are simulated by a hydrological model calibrated by only observed streamflow data. Therefore, the lack of direct calibration in soil moisture causes uncertain accuracy.

# **1.3** Limitations of long-term daily or sub-daily snow water equivalent data in China

Similar to soil moisture, remote sensing data and reanalysis data are two common types of global data products for snow water equivalent. GlobSnow (Luojus et al., 2021) is a global daily snow water equivalent dataset that assimilates satellite radiometer data and ground snow depth observations. To promote local applications, Che and Dai (2015) developed a national satellite-based snow depth dataset in China (abbreviated as SD–CN hereafter). Both GlobSnow and SD–CN began in 1979 with a coarse spatial resolution of 25 km. Global reanalysis data such as ERA5-Land, as we stated before, use global forcing input with limited local information. The snow water equivalent data from the national hydrologic fluxes and state datasets (Zhang et al., 2014; Miao and Wang, 2020) have the same problem as soil moisture data, i.e., they are not directly calibrated using any snow data.

### 1.4 Objectives

We aim to use both global and local forcing data as input and satellite-based data as model training or calibration targets to reconstruct historical hydrological variables. This kind of reconstruction is promising in producing longterm high-resolution datasets with the following advantages. First, many satellite-based data have high spatial resolutions, for example, the 0.1° China Merged Precipitation Analysis (CMPA; Shen et al., 2014, 2018) from 2008 for precipitation, the 9 km Soil Moisture Active Passive Level 4 data (SMAP-L4; Reichle et al., 2019) from 2015 for root zone soil moisture, and the 500 m Moderate Resolution Imaging Spectroradiometer (MODIS; Hall et al., 2002) from 2000 for snow cover. Second, combining global and local forcing data as input not only increases local reconstruction accuracy but also produces a physically consistent dataset of the combination of P, SM, and SWE, since they are the hydrological fluxes and states from the same modeling system during the reconstructions.

In this study, we produced a daily  $0.1^{\circ}$  dataset of *P*, SM, and SWE in 1981–2017 in China. We merged the CGDPA and MSWEP to reconstruct the *P* benchmarked by the CMPA using machine learning techniques. We used the reconstructed *P* to drive a hydrological model to reconstruct SM calibrated by SMAP-L4. We also used the reconstructed *P* to drive a hydrological model calibrated by SD–CN and MODIS snow cover data to reconstruct multiple snow-related variables, e.g., snowfall, snowmelt, and SWE. This is the first long-term (at least 30 years)  $0.1^{\circ}$  daily dataset of *P*, SM, and SWE that combines local information and satellite-based data.

#### 2 Data

This study used two categories of data. The first category includes the forcing and auxiliary data, which are the input of the reconstruction methods. The second category includes the validation data of P, SM, and SWE, which are reconstruction targets and evaluation benchmarks of the reconstruction methods.

#### 2.1 Forcing and auxiliary data

Information about the forcing data and auxiliary data is listed in Table 1. These data are the input of the reconstruction methods, i.e., machine learning modeling and hydrological modeling. Precipitation data include the China Gauge-based Daily Precipitation Analysis (CGDPA; Shen and Xiong, 2016) and the Multi-Source Weighted-Ensemble Precipitation (MSWEP version 2.2; Beck et al., 2019). The daily 0.25° CGDPA data are produced using a spatial interpolation of observations from approximately 2400 ground rain gauge stations. The 3-hourly 0.1° MSWEP data are produced by optimally merging a range of gauge station, satellite, and reanalysis datasets. In addition to precipitation data, hydrological modeling requires air temperature (T) and net radiation data  $(R_n)$  as forcing data. Air temperature data include the observations from approximately 2400 ground stations provided by the Chinese Meteorological Administration and ERA5-Land 2 m temperature (Muñoz-Sabater et al., 2021). Note that the number of available ground stations for T was around 800 before 1988. Net radiation data are from ERA5-Land (Muñoz-Sabater et al., 2021). Elevation (Elev) data are from MERIT Hydro (Yamazaki et al., 2019). Leaf area index (LAI) data are from the Global Land Surface Satellite (GLASS; Liang et al., 2021) dataset.

### 2.2 Validation data

Information about the validation data is listed in Table 2. The validation data are the reconstruction targets of the study, and therefore they are also used for model training (for precipitation) and calibration (for soil moisture and snow water equivalent). Details about validation methods are introduced in Sect. 3. All following satellite-based data provide direct or indirect measurements of the variables to be reconstructed over a large spatial extent. P data include the China Merged Precipitation Analysis (CMPA; Shen et al., 2014) and its successor, the CMPA\_1km (Shen et al., 2018). The CMPA has merged more than 30000 automatic weather stations with the Climate Precipitation Center Morphing (CMORPH; Joyce et al., 2004) product to produce an hourly 0.1° dataset from 2008. Starting from 2015, the CMPA 1km upgrades the CMPA by using more than 40 000 automatic weather stations and adding radar P estimations in the merging procedure, which increases the spatial resolution to 1 km. In this study, the CMPA\_1km was spatially aggregated into 0.1° to extend the time span of the CMPA data. The CMPA refers to the combination of the CMPA and CMPA\_1km in the following part of the paper. Note that precipitation data during the cold season (from October to April) in northern and western China are mainly derived from remote sensing data, since automatic weather stations do not operate under low temperatures (Shen et al., 2014, 2018). SM data are obtained from the Soil Moisture Active Passive Mission Level 4 (SMAP-L4; Reichle et al., 2019) data. SMAP-L4 assimilates SMAP radiometer brightness temperature into the NASA catchment land surface model to produce a 3-hourly 9km volumetric SM dataset in the root zone (0-100 cm). Since there is no direct measurement of SWE, we use snow cover areas and snow depths as surrogates. Snow cover area (SCA) data are obtained from MOD10C1 (Hall and Higgs, 2021b) and MYD10C1 (Hall and Higgs, 2021a), which collect snow extent information from the Moderate-Resolution Imaging Spectroradiometer (MODIS) sensors from Terra and Aqua platforms respectively. Compiled at daily and 0.05° scales, MOD10C1 and MYD10C1 provide snow cover percentage and cloud cover percentage at each grid. Snow depth data are obtained from the long-term series of daily snow depth datasets in China (SD-CN; Che and Dai, 2015). The 25 km daily snow depth of SD-CN is derived from the passive microwave brightness temperature from SMMR, SSM/I, and SSMI/S sensors. Although SD-CN is long enough for many hydrological studies, it is limited by a coarse spatial resolution.

### 3 Methods

The workflow of the study is presented in Fig. 1. Firstly, we reconstruct the precipitation data, and then we use the reconstructed precipitation as forcing input to reconstruct soil moisture and snow water equivalent.

#### 3.1 Reconstruction of precipitation

We applied machine learning to predict the CMPA precipitation grid by grid using grid coordinates, P from the CGDPA and MSWEP and Elev and LAI as input. All data were preprocessed to be daily and 0.1° under the WGS 84 latitudelongitude coordinate system (EPSG:4326). The MSWEP P and CMPA P were aggregated to be daily. GLASS LAI was set to be the same within the 8d of an 8d composite. The MERIT Hydro Elev data were spatially aggregated into 0.1°. The CGDPA *P* data were resampled into  $0.1^{\circ}$  using bilinear interpolation. The difference between the ground-truth  $0.1^{\circ}P$ and the resampled  $0.1^{\circ}P$  from the CGDPA is spatially correlated with the sub-grid distribution of Elev and LAI in a 0.25° grid. Therefore, we created two new input features, Elevdiff and LAIdiff, to account for the differences between the true 0.1° value and the value resampled from a 0.25° resolution for Elev and LAI. Specifically, Elev and LAI were aggregated

Variable	Dataset	Spatial resolution	Temporal resolution	Temporal coverage	Reference
Precipitation	CGDPA	0.25°	daily	1960-2020	Shen and Xiong (2016)
Precipitation	MSWEP	0.1°	3-hourly	1979-2017	Beck et al. (2019)
Air temperature	Stations	_	daily	1960-2019	Chinese Meteorological Administration
Air temperature	ERA5-Land	0.1°	hourly	1981–now	Muñoz-Sabater et al. (2021)
Net radiation	ERA5-Land	0.1°	hourly	1981–now	Muñoz-Sabater et al. (2021)
Elevation	MERIT Hydro	90 m	_	_	Yamazaki et al. (2019)
Leaf area index	GLASS	$0.05^{\circ}$	8 d	1981-2017	Liang et al. (2021)

Table 1. Sources of forcing and auxiliary data.

Table 2. Sources of validation data.

Variable	Dataset	Spatial resolution	Temporal resolution	Temporal coverage	Reference
Precipitation	CMPA	0.1°	hourly	2008-2014	Shen et al. (2014)
Precipitation	CMPA_1km	1 km	hourly	2015-2017	Shen et al. (2018)
Soil moisture	SMAP-L4	9 km	3-hourly	2015-now	Reichle et al. (2019)
Snow cover area	MOD10C1	$0.05^{\circ}$	daily	2000-now	Hall and Higgs (2021b)
Snow cover area	MYD10C1	$0.05^{\circ}$	daily	2000-now	Hall and Higgs (2021a)
Snow depth	SD-CN	25 km	daily	1979–2019	Che and Dai (2015)

into  $0.25^{\circ}$ , resampled into  $0.1^{\circ}$  using bilinear interpolation, and then subtracted by the original  $0.1^{\circ}$  layer.

The training strategy is presented in Fig. 2. We divided the model training into two parts: a binary classification problem that predicted whether the grid was rainy (P > 0 mm)and a regression problem which predicted the value of P in rainy grids. We proposed a tile-by-tile training strategy that fits a machine learning model with all samples of one hundred 0.1° grids in a 1° tile. To be specific, although the target  $P_{\rm CMPA}$  in a 0.1° grid was predicted by grid coordinates, as well as PCGDPA, PMSWEP, Elev, Elevdiff, LAI, and LAIdiff in the same grid, all grids in the same 1° tile shared a common prediction model. This tile-by-tile training strategy increased the size of samples and made use of the spatial information of Elev and LAI. Since the CMPA data were not reliable during the cold season in northern and western China because of lacking ground-based observations, we discarded training samples from October to April in two regions (Shen et al., 2018): (1) latitude > 40° N and (2) 40° N > latitude > 27° N and longitude  $< 100^{\circ}$  E.

The machine learning model for the rain/no rain classification is the random forest (Breiman, 2001). The model for the P regression is a neural network (Foresee and Hagan, 1997) that stacks a linear regression model and a random forest model, as shown in Fig. 3. Stacking (Wolpert, 1992) is a model ensemble method that optimally combines multiple base machine learning models for predictions. In the stacking process, all base models are first trained to get out-of-bag predictions in the cross-validation, and then a stacking model is trained using the out-of-bag predictions of the base models as input. The random forest model can deal with non-linear relationships and complex interactions among input features. The linear model can extrapolate the predictions that are out of the range of training samples. A stacking model leveraged the advantages of these two base models. We chose 5-fold cross-validation for hyper-parameter tuning and performance evaluation in both the classification and regression problems. All folds were created by the spatial and temporal mixed splitting of the data samples. Specifically, we put the data of one hundred 0.1° grids in a 1° tile on all days together to form a training dataset and then randomly split it into 5 folds. Each sample corresponded to the data of one 0.1° grid on 1 d. After the model training, we used the data of  $P_{CGDPA}$  and P<sub>MSWEP</sub> from 1981–2017 combined with grid coordinates, Elev, Elev<sub>diff</sub>, LAI, and LAI<sub>diff</sub>, to predict P<sub>CMPA</sub> in the same period, which produced a consistent long-term reconstructed dataset,  $P_{\text{Rec}}$ , as shown in Fig. 1. Note that we only predicted P values on rainy days according to the classification model during the reconstruction.

Validation metrics include two classification metrics, i.e., probability of detection (POD) and false alarm rate (FAR), as well as two regression metrics, i.e., Kling–Gupta efficiency (KGE) and normalized root mean square error (NRMSE).



**Figure 1.** Workflow of the study. *P*: precipitation, Elev: elevation, LAI: leaf area index, *T*: air temperature,  $R_n$ : net radiation, PET: potential evaporation, SWE: snow water equivalent, SCA: snow cover area, SM: soil moisture, Rain: liquid rainfall, Snow: snowfall, Melt: snowmelt, RF: random forest, LR: linear regression.



Figure 2. Model training strategies of precipitation reconstruction. P: precipitation, Elev: elevation, LAI: leaf area index.



**Figure 3.** Illustration of a stacking machine learning model for precipitation reconstruction.

Equations of the metrics are listed in Eqs. (1) to (4):

$$POD = \frac{n_{11}}{n_{11} + n_{01}},\tag{1}$$

$$FAR = \frac{n_{10}}{n_{11} + n_{10}},$$
(2)

KGE = 
$$1 - \sqrt{(r-1)^2 + \left(\frac{\mu_s}{\mu_o} - 1\right)^2 + \left(\frac{\sigma_s/\mu_s}{\sigma_o/\mu_o} - 1\right)^2},$$
 (3)

$$NRMSE = \frac{\sqrt{\frac{1}{n}\sum_{i=1}^{n}(S_i - O_i)^2}}{\sigma_O},$$
(4)

where  $n_{11}$  is the number of actual rainy days that are predicted to be rainy,  $n_{01}$  is the number of actual rainy days that are predicted to have no rain,  $n_{10}$  is the opposite of  $n_{01}$ , ris the correlation between predicted and target P,  $\mu_0$  and  $\mu_S$  are the mean values of target and predicted P respectively,  $\sigma_0$  and  $\sigma_S$  are the standard deviations of target and predicted P respectively,  $S_i$  is the predicted value of P on



**Figure 4.** Illustration of the HBV model used for the reconstruction of soil moisture and snow water equivalent. The dashed box illustrates the snow module.

the *i*th rainy day, and  $O_i$  is the target value of P on the *i*th rainy day. The perfect values are 1 for POD and KGE and 0 for FAR and NRMSE. Note that the predictions are validated in 5-fold cross-validation of the model. For grids in northern (latitude > 40° N) and western (40° N > latitude > 27° N and longitude < 100° E) China, only predictions in May–September are validated.

## **3.2** Reconstruction of soil moisture and snow water equivalent

We used the modified HBV hydrological model (Bergström, 1992; Parajka et al., 2007) calibrated by satellite-based data grid by grid to reconstruct SWE and SM. The HBV model has low computational complexity and general applicability in various climate conditions (Beck et al., 2020; Seibert and Bergström, 2022) and shows a high capability of simulating soil moisture in various regions of the world (Beck et al., 2021). Note that we added a canopy interception module (Mao and Liu, 2019) to the traditional HBV model, as presented in Fig. 4. The HBV model is calibrated at a 0.1° resolution under the WGS 84 latitude-longitude coordinate system (EPSG:4326). The forcing input of the model includes P, T, and potential evaporation (PET). The reconstructed P was regarded as the precipitation input. The  $0.1^{\circ}T$  was created by the interpolation of observations from ground stations using co-kriging (Myers, 1982) with Elev and the dailyaggregated ERA5-Land T as covariates. PET was calculated using the Priestley-Taylor equation (Priestley and Taylor, 1972) with interpolated T and daily-aggregated ERA5-Land  $R_{\rm n}$ .

The calibration targets SCA, SWE, and SM were preprocessed from the raw data in Table 2. The 0.05° SCA data from MOD10C1 or MYD10C1 were first aggregated to be 0.1°. Then, for each grid, we extracted all days in November– April when the cloud cover percentages were smaller than 40% and calculated the percentage of snow extent in the no cloud fraction of the grid to get the final SCA. The calibration of SCA was converted to a binary classification problem where the SCA from MOD10C1 or MYD10C1 was set to 1 if SCA > 10% otherwise 0. In the HBV model, SCA was calculated by the binarization of SWE, which meant SCA = 1 if SWE exceeded a snowpack threshold  $T_{cover}$  otherwise SCA = 0. For SWE, we first resampled the 25 km snow depth from SD-CN into 0.1° using bilinear interpolation. Then, the SWE was calculated by multiplying snow depth and snow density. We used a fixed value of snow density at a national scale,  $0.18 \,\mathrm{g}\,\mathrm{cm}^{-3}$ , which was reasonable for most snow-affected areas in China (Yang et al., 2019; Gao et al., 2020; Yang et al., 2020a). For SM, the 1 m root zone SM from SMAP-L4 was resampled from 9 km to 0.1° using bilinear interpolation and aggregated from 3 h to 1 d.

For each grid, we first calibrated the parameters of the snow module and then calibrated the soil water module with the optimal snow module parameters. This two-step calibration strategy alleviated parameter equifinality, since two modules were calibrated separately. The snow module was only calibrated in grids where at least 5% of days had T < 0 °C. All parameters to be calibrated are presented in Table 3. After calibration, we used the historical  $P_{\text{Rec}}$ , PET, T, and LAI to drive the HBV model to simulate long-term rainfall (Rain<sub>Rec</sub>), snowfall (Snow<sub>Rec</sub>), snow water equivalent (SWE<sub>Rec</sub>), snowmelt (Melt<sub>Rec</sub>), and soil moisture (SM<sub>Rec</sub>), as shown in Fig. 1.

The validation metric for SCA is balanced accuracy (BACC):

BACC = 
$$\left(\frac{n_{11}}{n_{11} + n_{01}} + \frac{n_{00}}{n_{00} + n_{10}}\right)/2,$$
 (5)

where  $n_{11}$  is the number of snow cover days that are predicted to have snow cover,  $n_{00}$  is the number of no snow cover days that are predicted to have no snow cover,  $n_{01}$  is the number of snow cover days that are predicted to have no snow cover, and  $n_{10}$  is the opposite of  $n_{01}$ .

The validation metric for SWE and SM is KGE in Eq. (3), where *r* is the correlation between predicted and target SWE or SM,  $\sigma_O$  and  $\sigma_S$  are the standard deviations of target and predicted SWE or SM respectively, and  $\mu_O$  and  $\mu_S$  are the mean values of target and predicted SWE or SM respectively. During the calibration, the optimization target of the snow module was  $1-1/4 \times BACC - 3/4 \times KGE$ , which optimized the simulation performances of SCA and SWE at the same time. With small numbers of model parameters, the parsimonious snow and soil water modules were unlikely to overfit the target data. Therefore, we validated the performance of the reconstruction using the performance of the calibration directly.

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Module	Parameters	Description	Range
Snow TP		Critical temperature for rainfall and snowfall (°C)	[-3, 3]
	ТМ	Critical temperature for snowmelt and refreezing (°C)	[-3, 3]
	SCF	Correction factor for snowfall	[0.9, 1.5]
	CFX	Degree-day factor (mm $^{\circ}C^{-1} d^{-1}$ )	[0.5, 10]
	CWH	Fraction of snowpack that can hold melt water	[0, 0.2]
	$T_{\rm cover}$	Snowpack threshold for 10% snow cover areas (mm)	[2, 10]
Soil	m <sub>c</sub>	Coefficient for interception storage capacity per unit LAI (mm)	[0.1, 0.5]
	FC	Soil storage capacity (mm)	[50, 800]
	beta	Shape coefficient in runoff generation curve	[0.1, 6]
	LP	Soil moisture above which soil evaporation reaches potential evaporation	[0.2, 1]

Table 3. Parameters of the HBV model and their ranges for model calibration.

#### 4 Results and discussion

#### 4.1 Validation of precipitation

Table 4 summarizes the performance of different P datasets in all grids benchmarked by PCMPA. For rain/no rain classification, the reconstructed  $P(P_{Rec})$  achieves a balance of the POD and FAR. The median POD of  $P_{\text{Rec}}$  is 0.87, which is slightly worse than  $P_{\text{CGDPA}}$  (POD = 0.92) and far better than  $P_{\text{MSWEP}}$  (POD = 0.74). The median FAR of  $P_{\text{Rec}}$  is 0.19, which is better than  $P_{CGDPA}$  (FAR = 0.23) but slightly worse than  $P_{\text{MSWEP}}$  (FAR = 0.18). Since the 0.1°  $P_{\text{CGDPA}}$  is resampled from the 0.25° dataset, it certainly overestimates the probability of rain and has a high POD and FAR at the same time naturally. On the opposite side, with the finer spatial information from satellite data, P<sub>MSWEP</sub> is skilled in detecting no-rain days, and thus it tends to have better FAR performance. In summary,  $P_{\text{Rec}}$  improves the FAR of  $P_{\text{CGDPA}}$ without scarifying too many POD. For P regression,  $P_{\text{Rec}}$ outperforms  $P_{CGDPA}$  and  $P_{MSWEP}$  significantly. The median KGEs of  $P_{\text{Rec}}$ ,  $P_{\text{CGDPA}}$ , and  $P_{\text{MSWEP}}$  are 0.68, 0.51, and 0.53 respectively, and the median NRMSEs of  $P_{\text{Rec}}$ ,  $P_{\text{CGDPA}}$ , and  $P_{\text{MSWEP}}$  are 0.63, 0.91, and 0.82 respectively.

Table 5 presents the median values of all metrics for  $P_{\text{Rec}}$ in nine major basins of China. Figure 5 presents the spatial distribution of validation metrics for  $P_{\text{Rec}}$  and the metric differences between  $P_{\text{Rec}}$  and  $P_{\text{CGDPA}}$ , as well as  $P_{\text{Rec}}$  and P<sub>MSWEP</sub>. For rain/no rain classification, P<sub>Rec</sub> performs well since the median POD > 0.83 and FAR < 0.22 for all major basins except for the southwest basin, according to Table 5. According to Fig. 5a and d, the best performance occurs in the driest part of the northwest continental basin where rain is rare, and the worst performance occurs in the plateau such as the upper stream areas of the Yangtze River basin and the southwest basin. The balance of POD and FAR can be seen in Fig. 5b, c, e, and f, where  $P_{\text{Rec}}$  trades POD for FAR compared with PCGDPA and trades FAR for POD compared with  $P_{\text{MSWEP}}$ . For P regression, in addition to the northwest continental basin and the upper stream of the southwest basin where the coverage of ground stations is low (Shen et al., 2014, 2018), all other major basins have median KGEs over 0.76 and NRMSEs under 0.55, which indicates good performance, according to Table 5. According to Fig. 5g and j, with KGE > 0.8 and NRMSE < 0.5 in a majority of grids,  $P_{\text{Rec}}$  is very accurate in a large part of the eastern region, probably because of the dense distribution of ground stations for the CGDPA (Shen and Xiong, 2016). According to Fig. 5h, i, k, and l,  $P_{\text{Rec}}$  improves  $P_{\text{CGDPA}}$  and  $P_{\text{MSWEP}}$  the most in the northwest continental basin and the upper stream of the southwest basin where the distribution of ground stations is sparse, even though the performance of  $P_{\text{Rec}}$  is still limited in this area.

Figure 6 presents the spatial distribution of annual average P and the time series of monthly P for  $P_{\text{CMPA}}$  and  $P_{\text{Rec}}$ .  $P_{\text{Rec}}$  matches  $P_{\text{CMPA}}$  well, both spatially and temporally, for nine major basins at a large temporal scale. According to Fig. 6a,  $P_{\text{Rec}}$  does not smooth the spatial distribution of P, which indicates that the tile-by-tile training strategy learns the local variations of P within the tile. Except for the northwest continental basin and the Songhua and Liaohe River basins where the cold season precipitation data are not reliable for the CMPA, all other basins have KGE values larger than 0.91 for monthly time series, according to Fig. 6b.

# 4.2 Validation of soil moisture and snow water equivalent

Table 6 summarizes the performance of reconstructed SWE<sub>Rec</sub>, SCA<sub>Rec</sub>, and SM<sub>Rec</sub> in all grids. While the snow module of the HBV model performs poorly with the median KGE = -0.31 for SWE<sub>Rec</sub> at a national scale, it has certain skills in simulating snow cover with the median BACC = 0.65 for SCA<sub>Rec</sub>. The soil water module of the HBV model performs well with the median KGE = 0.61 for SM<sub>Rec</sub>.

Table 7 presents the median values of all metrics for  $SWE_{Rec}$ ,  $SCA_{Rec}$ , and  $SM_{Rec}$  in nine major basins of China.

Metric	Percentile	CGDPA	MSWEP	Reconstruction
POD	Min	0.18	0.03	0
	First quartile	0.85	0.62	0.81
	Median	0.92	0.74	0.87
	Third quartile	0.95	0.82	0.91
	Max	1	0.96	1
FAR	Min	0.01	0.01	0.01
	First quartile	0.17	0.12	0.14
	Median	0.23	0.18	0.19
	Third quartile	0.3	0.24	0.25
	Max	0.94	0.95	1
KGE	Min	$\leq -10$	< - 10	< - 10
	First quartile	0.11	0.17	0.44
	Median	0.51	0.53	0.68
	Third quartile	0.74	0.71	0.83
	Max	0.96	0.93	0.97
NRMSE	Min	0.22	0.32	0.16
	First quartile	0.6	0.67	0.48
	Median	0.91	0.82	0.63
	Third quartile	1.22	1.02	0.8
	Max	>10	> 10	> 10

**Table 4.** Validation of the reconstructed precipitation in all grids at a national scale. The benchmark dataset is the CMPA. POD: probability of detection, FAR: false alarm rate, KGE: Kling–Gupta efficiency, NRMSE: normalized root mean squared error. In northern (latitude > 40° N) and western (40° N > latitude > 27° N and longitude < 100° E) China, only data in May–September are used for validation.

**Table 5.** Validation of the reconstructed precipitation in nine major basins of China. The benchmark dataset is the CMPA. POD: probability of detection, FAR: false alarm rate, KGE: Kling–Gupta efficiency, NRMSE: normalized root mean squared error. In northern (latitude >  $40^{\circ}$  N) and western ( $40^{\circ}$  N > latitude >  $27^{\circ}$  N and longitude <  $100^{\circ}$  E) China, only data in May–September are used for validation.

No.	Basin	Median POD	Median FAR	Median KGE	Median NRMSE
1	Northwest continental basin	0.9	0.17	0.43	0.8
2	Yangtze River basin	0.83	0.21	0.82	0.48
3	Songhua and Liaohe River basins	0.84	0.22	0.76	0.55
4	Southwest basin	0.75	0.27	0.43	0.8
5	Yellow River basin	0.89	0.17	0.81	0.5
6	Huaihe River basin	0.9	0.14	0.88	0.39
7	Haihe River basin	0.92	0.13	0.86	0.42
8	Southeast basin	0.86	0.17	0.88	0.39
9	Pearl River basin	0.85	0.17	0.82	0.49

Figure 7 presents the spatial distribution of validation metrics for SWE<sub>Rec</sub>, SCA<sub>Rec</sub>, and SM<sub>Rec</sub>. The performance of snow reconstruction varies spatially. There are three major snow cover areas in China: northeastern China, northern Xinjiang, and the Tibetan Plateau. According to Fig. 7a and b, SWE<sub>Rec</sub> and SCA<sub>Rec</sub> perform well in both northeast China and northern Xinjiang with KGE<sub>SWE</sub> > 0.7 and BACC<sub>SCA</sub> > 0.8 in many grids, while SWE<sub>Rec</sub> and SCA<sub>Rec</sub> perform poorly with KGE<sub>SWE</sub> < 0 and BACC<sub>SCA</sub> < 0.5 in a large part of the Tibetan Plateau, where snow-driven hydrological processes are complex (Gao et al., 2020). According to Table 7, SWE<sub>Rec</sub> and SCA<sub>Rec</sub> perform the best in the Songhua and Liaohe River basins (i.e., northeast China) with KGE<sub>SWE</sub> = 0.55 and BACC<sub>SCA</sub> = 0.87, where snowmelt contributes a considerable amount of water to runoff and floods (Qi et al., 2021). The performance of soil moisture reconstruction also varies spatially. According to Fig. 7c, SM<sub>Rec</sub> performs well in a large part of southern China. However, in the northwest continental basin where the climate is dryer and the topography is more complex, SM<sub>Rec</sub> performs relatively poorly with median KGE<sub>SM</sub> = 0.3. Generally, SM<sub>Rec</sub> performs better in southern basins, e.g., the Yangtze River basin, the Huaihe



**Figure 5.** Spatial validation of the reconstructed precipitation. The benchmark dataset is the CMPA. POD: probability of detection, FAR: false alarm rate, KGE: Kling–Gupta efficiency, NRMSE: normalized root mean squared error. The second and third columns are the differences of validated metrics between the reconstructed dataset and the CGDPA and MSWEP datasets. The boundary lines delineate nine major river basins of China: (1) the northwest continental basin, (2) the Yangtze River basin, (3) the Songhua and Liaohe River basins, (4) the southwest basin, (5) the Yellow River basin, (6) the Huaihe River basin, (7) the Haihe River basin, (8) the southeast basin, and (9) the Pearl River basin. In northern (latitude > 40° N) and western (40° N > latitude > 27° N and longitude < 100° E) China above the dashed lines, only data in May–September are validated.

**Table 6.** Validation of the reconstructed snow water equivalent (SWE), snow cover area (SCA), and soil moisture (SM) in all grids at a national scale. The benchmark datasets are SD–CN for SWE, MOD10C1/MYD10C1 for SCA, and SMAP-L4 for SM. KGE: Kling–Gupta efficiency, BACC: balanced accuracy.

Percentile	KGE <sub>SWE</sub>	BACC <sub>SCA</sub>	KGE <sub>SM</sub>
Min	$\leq -10$	0.22	-1.83
First quartile	-4.76	0.54	0.33
Median	-0.31	0.65	0.61
Third quartile	0.36	0.82	0.80
Max	0.90	1.00	0.99

River basin, the southeast basin, and the Pearl River basin, where the values of median  $KGE_{SM}$  are at least 0.76, according to Table 7. Note that the accuracy of  $SWE_{Rec}$ ,  $SCA_{Rec}$ , and  $SM_{Rec}$  in different areas may depend on the quality of the benchmark datasets and the ability of the HBV model to represent local hydrological processes.

Figure 8 presents the spatial distribution of daily average SWE and the time series of daily average SWE for SWE<sub>SD-CN</sub> and SWE<sub>Rec</sub> in each month. Figure 8a shows that SWE<sub>Rec</sub> successfully detects areas with large SWE. Figure 8b shows that, although SWE<sub>Rec</sub> captures the temporal variations of SWE in all basins, it overestimates the magnitudes of SWE in the northwest continental basin, the Yangtze River basin, the southwest basin, and the Yellow River basin. In the Songhua and Liaohe River basins with KGE = 0.68



Figure 6. (a) Map of the annual average precipitation (P) of the CMPA and reconstruction in 2008–2017. (b) Time series of the monthly P of the CMPA and reconstruction for nine major river basins in 2008–2017. Note that the CMPA has missing values in 2015; we only choose the days when the CMPA has available data in the temporal aggregation for both the CMPA and reconstruction. Here the reconstructed P data are out-of-bag predictions in the cross-validation.

**Table 7.** Validation of the reconstructed snow water equivalent (SWE) and snow cover area (SCA) in nine major basins of China. The benchmark datasets are SD–CN for SWE, MOD10C1/MYD10C1 for SCA, and SMAP-L4 for SM. KGE: Kling–Gupta efficiency, BACC: balanced accuracy.

No.	Basin	Median KGE <sub>SWE</sub>	Median BACC <sub>SCA</sub>	Median KGE <sub>SM</sub>
1	Northwest continental basin	-2.41	0.64	0.3
2	Yangtze River basin	-0.57	0.53	0.79
3	Songhua and Liaohe River basins	0.55	0.87	0.7
4	Southwest basin	-0.85	0.6	0.59
5	Yellow River basin	0.16	0.57	0.65
6	Huaihe River basin	0.3	0.54	0.76
7	Haihe River basin	0.37	0.64	0.73
8	Southeast basin	_	_	0.8
9	Pearl River basin	-	_	0.88



**Figure 7.** Spatial validation of the reconstructed snow water equivalent (SWE), snow cover area (SCA), and soil moisture (SM). The benchmark datasets are SD–CN for SWE, MOD10C1/MYD10C1 for SCA, and SMAP-L4 for SM. KGE: Kling–Gupta efficiency, BACC: balanced accuracy. The boundary lines delineate nine major river basins of China: (1) the northwest continental basin, (2) the Yangtze River basin, (3) the Songhua and Liaohe River basins, (4) the southwest basin, (5) the Yellow River basin, (6) the Huaihe River basin, (7) the Haihe River basin, (8) the southeast basin, and (9) the Pearl River basin.

and the Haihe River basin with KGE = 0.46,  $SWE_{Rec}$  can accurately capture both the temporal variations and the magnitudes of SWE. The magnitudes of SWE are difficult to simulate for three reasons. First, SWE<sub>SD-CN</sub> is an estimation of SWE from the multiplication of snow depth and a fixed snow density; second, the original spatial resolution of SWE<sub>SD-CN</sub> is 25 km, which may be too coarse to represent snow distribution, especially in mountain regions; third, the new reconstructed precipitation dataset may not capture snowfall well. Figure 9 presents the spatial distribution of daily average SM and the time series of daily average SM for SM<sub>SMAP-L4</sub> and SM<sub>Rec</sub> in each month. SM<sub>Rec</sub> captures the spatial and temporal variations of SM<sub>SMAP-L4</sub> well except in the northwest continental basin. Although the monthly KGE values in all basins are larger than 0.59 except for the northwest continental basin,  $SM_{Rec}$  slightly underestimates  $SM_{SMAP-L4}$  at a monthly scale in all basins.

#### 4.3 Limitations of the reconstruction dataset

Uncertainties of the reconstruction dataset come from the quality of the input data and the limitations of the reconstruction models. For precipitation, although the benchmarked dataset CMPA includes satellite information to produce Pdata, it still has large errors in western China due to the small number of automatic weather stations for local corrections in this area. Another problem of the CMPA data is the possible inconsistency between the CMPA and its successor, the CMPA\_1km. Although the main observation sources and the deriving method are similar, the discrepancy between the CMPA and CMPA\_1km has not been investigated in previous studies. Combining the CMPA and CMPA\_1km trades consistency for more data samples in machine learning algorithms. In addition, the quality of MSWEP is not consistent over time, since the available data sources to be merged are changing in different periods. Furthermore, although the stacking machine learning model can extrapolate, it may have problems in reconstructing extreme P values, since the extrapolation relies on a linear regression model,

which may fail to capture the complex relationship between the target P and input variables. For snow water equivalent, a unified snow density  $(0.18 \text{ g cm}^{-3})$  may cause a large bias in estimating SWE in some regions. The coarse resolution of the benchmarked SWE is also a major concern: although the MODIS SCA data add sub-grid information to the 0.25° SD-CN data, we still do not have a benchmarked SWE dataset that is originally 0.1°. Another limitation is the unknown applicability of the HBV model at a national scale. HBV uses a temperature-based snow module without an energy balance component or a glacier module, which may fail in areas with more complex snow processes such as the Tibetan Plateau (Gao et al., 2020). For soil moisture, the benchmarked SMAP-L4 is an assimilated dataset without actual measurements of root zone SM. In addition, PET is calculated without local  $R_n$  data. The uncertainty of PET may propagate to SM. Moreover, it is unclear whether HBV is suitable for soil water simulation at a national scale in China.

Reconstruction data do not have better accuracy than the satellite-based benchmark data we used. Instead, reconstruction aims to extend the state-of-the-art satellite-based data of P, SM, and SWE to the 1980s in China. Therefore, the value of the reconstruction data is to support hydrological studies focusing on a longer time span (e.g., 30 years) rather than recent years.

#### 5 Conclusions

We created a long-term (1981–2017)  $0.1^{\circ}$  daily dataset of total precipitation (*P*), liquid rainfall (Rain), snowfall (Snow), snow water equivalent (SWE), snowmelt (Melt), and soil moisture (SM) in China by reconstructing high-resolution satellite-based data. *P* was reconstructed by predicting the CMPA data from the CGDPA and MSWEP data using a stacking machine learning model. Other variables were simulated by the HBV model with SWE calibrated by SD– CN, SCA calibrated by MOD10C1/MYD10C1, and SM cal-



Figure 8. (a) Map of daily average snow water equivalent (SWE) of SD–CN and reconstruction in 2000–2017. (b) Time series of the daily average SWE of SD–CN and reconstruction for nine major river basins in each month of 2000–2017.

ibrated by SMAP-L4. Evaluations of the reconstruction data are as follows.

Benchmarked by the CMPA at a national scale, the median POD and FAR of the reconstructed P are 0.87 and 0.19 respectively for rain/no rain classification, and the median KGE and NRMSE of the reconstructed P are 0.68 and 0.63 respectively for P regression on rainy days. The reconstructed P improves the CGDPA and MSWEP data, whose median KGEs are 0.51 and 0.53. The median KGEs are smaller than 0.43 in the northwest continental basin and the southwest basin and larger than 0.76 in other major basins. At a monthly scale, all basins have KGE values larger than 0.91 except for the northwest continental basin and the Songhua and Liaohe River basins, where the benchmarked precipitation data in cold seasons are not reliable.

Benchmarked by SD–CN and MOD10C1/MYD10C1 at a national scale, the median KGE of the reconstructed SWE and the median BACC of the reconstructed SCA are -0.31 and 0.65 respectively. The reconstructed SWE performs the best in the Songhua and Liaohe River basins with KGE = 0.55 but performs the worst in the northwest continental basin where the median KGE is -2.41. At a monthly scale, the reconstructed SWE captures the monthly variability of the SWE derived from SD–CN in all basins. However, the reconstructed SWE only reproduces SWE magnitudes accurately in the Songhua and Liaohe River basins (monthly KGE = 0.68) and the Haihe River basin (monthly KGE = 0.46) but overestimates the SWE magnitudes in other basins.

Benchmarked by SMAP-L4 at a national scale, the median KGE of the reconstructed SM is 0.61. SM<sub>Rec</sub> performs well in southern basins, e.g., the Yangtze River basin, the Huaihe River basin, the southeast basin, and the Pearl River basin, where the values of median KGE<sub>SM</sub> are at least 0.76. SM<sub>Rec</sub> performs the worst in the northwest continental basin where the median KGE<sub>SM</sub> = 0.3. At a monthly scale, the KGE values in all basins are larger than 0.59 except in the northwest continental basin.

This study is the first attempt to produce a long-term (at least 30 years)  $0.1^{\circ}$  daily dataset of *P*, SM, and SWE that combines high-accuracy local information and high-resolution satellite-based data via reconstruction. This dataset is especially suitable for exploring the relationship between riverine streamflow and hydrological drivers, since



**Figure 9.** (a) Map of daily average soil moisture (SM) of SMAP-L4 and reconstruction in 2015–2017. (b) Time series of the daily average SM of SMAP-L4 and reconstruction for nine major river basins in each month of 2015–2017.

the P, SM, and SWE are produced independently from streamflow data. Future improvements include extending the temporal length of the dataset and formulating a model strategy that handles the spatial variability of the hydrological processes at a national scale.

*Code availability.* The source codes for model training, model calibration, and data reconstruction are available at https://doi.org/10.5281/zenodo.7450278 (Yang, 2022).

*Data availability.* The reconstruction datasets of total precipitation, rainfall, snowfall, snow water equivalent, snowmelt, and soil moisture are freely available at https://doi.org/10.5281/zenodo.5811099 (Yang et al., 2021).

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