

# Inter- and intra-event rainfall partitioning dynamics of two typical xerophytic shrubs in the Loess Plateau of China

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Abstract. Rainfall is known as the main water replenishment in dryland ecosystems, and rainfall partitioning by vegetation reshapes the spatial and temporal distribution patterns of rainwater entry into the soil. The dynamics of rainfall partitioning have been extensively studied at the interevent scale, yet very few studies have explored its finer intraevent dynamics and the relating driving factors for shrubs. Here, we conducted a concurrent in-depth investigation of all rainfall partitioning components at inter- and intra-event scales for two typical xerophytic shrubs (Caragana korshinskii and Salix psammophila) in the Liudaogou catchment of the Loess Plateau, China. The event throughfall (TF), stemflow (SF), and interception loss (IC), and their temporal variations within the rainfall event, as well as the meteorological factors and vegetation characteristics, were systematically measured during the 2014-2015 rainy seasons. Our results showed that C. korshinskii had significantly higher SF percentage (9.2%) and lower IC percentage (21.4%) compared to S. psammophila (3.8 % and 29.5 %, respectively), but their TF percentages were not significantly different (69.4 % vs. 66.7%). At the intra-event scale, TF and SF of S. psammophila were initiated (0.1 vs. 0.3 h and 0.7 vs. 0.8 h) and peaked (1.8 vs. 2.0 h and 2.1 vs. 2.2 h) more quickly, and TF of S. psammophila lasted longer (5.2 vs. 4.8 h) and delivered more intensely (4.3 vs.  $3.8 \text{ mm h}^{-1}$ ), whereas SF of C. korshinskii lasted longer (4.6 vs. 4.1 h) and delivered more intensely (753.8 vs.  $471.2 \text{ mm h}^{-1}$ ). For both shrubs, rainfall amount was the most significant factor influencing interevent rainfall partitioning, and rainfall intensity and duration controlled the intra-event TF and SF variables. The *C. korshinskii* with larger branch angle, more small branches, and smaller canopy area, has an advantage over *S. psammophila* to produce SF more efficiently. The *S. psammophila* has lower canopy water storage capacity to generate and peak TF and SF earlier, and it has larger aboveground biomass and total canopy water storage of individual plants to produce higher IC compared to *C. korshinskii*. These findings contribute to the fine characterization of shrub-dominated ecohydrological processes, and improve the accuracy of water balance estimation in dryland ecosystems.

#### 1 Introduction

Rainfall is known as the main replenishment of water resources in arid and semiarid areas, and water resource is the key factor limiting the function of arid ecosystems (Chesson et al., 2004; Cayuela et al., 2018; Magliano et al., 2019a). Before entering into soil, rainfall is redistributed by plant canopies into throughfall (TF, diffuse water input), stemflow (SF, point water input), and interception loss (IC, evaporation). The sum of TF and SF is defined as "net rainfall". Differences in the distribution of net rainfall caused by plant canopy interception alter the spatial and temporal patterns of rainfall entry into the soil (Martinez-Meza and Whitford, 1996; Li et al., 2009; Van Stan et al., 2020), and further profoundly affect the water-use efficiency of vegetation and ecosystem sustainability (Xu and Li, 2006; Lacombe et al., 2018; Molina et al., 2019). In addition, net rainfall could regulate vegetation physiological–metabolic processes through nutrient enrichment (Levia and Frost, 2003; Zhang et al., 2016; Van Stan et al., 2017; Tonello et al., 2021), ultimately affecting the carbon balance of ecosystems (Chu et al., 2018; Jia et al., 2016). In light of the important role of rainfall partitioning in regulating soil moisture and vegetation patch patterns, investigations of the rainfall partitioning dynamics are imperative for a better understanding of the soil–water–vegetation relationships (Molina et al., 2019; Van Stan et al., 2020; H. Zhang et al., 2021).

Studies on rainfall partitioning have been broadly carried out in different climatic zones and various types of vegetation (Gordon et al., 2020; Rivera and Van Stan, 2020; Y. F. Zhang et al., 2021; Yue et al., 2021). Based on a comprehensive global synthesis, Yue et al. (2021) found that most TF and SF observations were measured in forests (n = 718 and n = 816, respectively), and observations in shrublands were scarce (n = 43 and n = 63, respectively), which was mainly because the shrubs have multiple branches, and the rainfall partitioning of shrubs is difficult to measure compared to forests. Shrubs are the dominant vegetation type in drylands, forming fertile islands by intercepting water and trapping sediments, thus providing important ecosystem goods and services (Levia and Frost, 2003; Llorens and Domingo, 2007; Soulsby et al., 2017). However, the lack of information on the detailed dynamics of rainfall partitioning processes induced by shrubs due to limited studies hinders us from a clear understanding of shrubs' ecohydrological role in shaping and sustaining drylands.

Most of the existing studies on the rainfall partitioning by shrubs are based on the inter-event scale (Garcia-Estringana et al., 2010; Magliano et al., 2019a). Magliano et al. (2019a) synthesized that for 27 shrub species in drylands, the mean event-based SF %, TF %, and IC % were 9.4 %, 63.0 % and 27.6%, respectively. It has been reported that rainfall partitioning by shrubs is determined by biotic and abiotic factors, such as rainfall characteristics (Levia and Frost, 2003; Magliano et al., 2019b) and canopy-structure characteristics (Martinez-Meza and Whitford, 1996; Garcia-Estringana et al., 2010; Yue et al., 2021). Take the latter for example, vegetation with smooth barks, more branches, and vertical branching had advantages in SF generation (Honda et al., 2015; Magliano et al., 2019a; Whitworth-Hulse et al., 2020b), and a simple vegetation structure and low canopy density are generally corresponding to a relatively high TF rate and low IC rate (Soulsby et al., 2017; Yue et al., 2021). The complexity of shrub structure poses challenges to understanding the causes of rainfall partitioning dynamics under different meteorological conditions, and it is necessary to substantially explore the differences of rainfall partitioning dynamics and the main influencing factors among different shrub species (Levia et al., 2010; Sadeghi et al., 2020).

In addition to the inter-event studies, a few intra-eventscale studies have been reported, which are essential for a better understanding of soil moisture distribution and the hydrological cycle in arid regions (Levia et al., 2010; Levia and Germer, 2015; Cayuela et al., 2018; H. Zhang et al., 2021). For instance, Zhang et al. (2018) investigated the TF spatiotemporal pattern of Caragana korshinskii in arid areas of northern China at high temporal resolution (10 min intervals), and they found that temporal heterogeneity of rainfall clearly affected the spatiotemporal dynamics of TF beneath shrub canopies, with wind directions being the main factor affecting TF in different radial directions. Yuan et al. (2019) described the branch SF variability of C. korshinskii and Salix psammophil, and they showed that intra-event branch SF variability of xerophytic shrubs temporally depended on rainfall characteristics, with longer lag times and a greater rainfall amount required to initiate branch SF for C. korshinskii compared to S. psammophila. It can be found that those studies on temporal dynamics of shrub rainfall partitioning only explored the single-element process (i.e., TF or SF), and ignored IC. Concurrent investigations of all rainfall partitioning components and the associated influencing factors at the intra-event scale have rarely been reported. Furthermore, to the best of author's knowledge, no previous studies have been reported so far that simultaneously analyzed TF, SF, and IC on an intra-event scale in any shrub species. Therefore, a detailed understanding of shrub rainfall partitioning dynamics at the intra-event scale with high-resolution data is sorely needed to improve mechanistic understanding of shrubs' ecohydrological role in shaping and sustaining drylands.

This study was designed at the event and process scales to investigate inter-event and intra-event rainfall partitioning variability, based on field measurements of two dominant xerophytic shrubs (*C. korshinskii* and *S. psanmophila*) during the rainy seasons of 2014–2015 in the Loess Plateau of China. This study integrated the inter-event and intra-event dynamics of rainfall partitioning by combining TF, SF, and IC at the individual plant scale. We mainly seek to (a) compare the dynamic processes of rainfall partitioning between the two shrubs at both inter-event and intra-event scales, and (b) elucidate the effects of rainfall characteristics and vegetation structure characteristics on rainfall partitioning at both scales. Such an improvement in our understanding of the fine-scale mechanism of rainfall partitioning would offer valuable insights regarding shrub–water interactions.

#### 2 Materials and methods

#### 2.1 Site description and experimental design

This study was carried out in the Liudaogou catchment (110°21'-110°23' E, 38°46'-38°5' N) in Shenmu County,

Shaanxi Province of China (Fig. 1a). The Liudaogou catchment (6.9 km<sup>2</sup>, altitude from 1094 to 1273 m) is located between the northern Loess Plateau and the southern fringe of Mu Us sandy land in North China. This region is characterized by a moderate temperate continental climate with well-defined rainy and dry seasons. The mean annual rainfall is 437 mm, ranging between 109-891 mm (1971-2013), and the potential evaporation is  $1337 \text{ mm yr}^{-1}$  (Jia et al., 2013). Approximately 70 %-80 % of the rainfall events are concentrated in the warm months between July and September, and most of them occur in the form of torrential rain (Yang et al., 2019). The Liudaogou catchment was characterized by the natural arid shrub-steppe before it was artificially vegetated in the past 20 or 30 years for soil and water conservation, windbreaks, and sand fixation. The main land-use types include artificial grassland, artificial shrub, and farmland, and the main vegetation species are Stipa bungeana, C. korshinskii and S. psammophila, which are widely distributed in the arid and semiarid areas of northwestern China (Yuan et al., 2019). The shrubs are distributed sparsely with distinct interspaces. The actual ground-cover fraction covered by shrub canopies was less than 20%, with the rest of the soil being directly exposed to rainfall.

Two representative xerophytic shrubs, C. korshinskii and S. psammophila (20 years old), were used for the study. Both species are multi-stemmed deciduous perennial shrubs with inverted cone crowns and without trunks. They have minimal nutrient requirements, extensive adaptability, and strong stress resistance, making them superior in adapting to resource-poor environments. According to the documentation of Flora of China (Chao and Gong, 1999; Liu et al., 2010) and field observations, S. psammophila has an odd number of strip-shaped leaves with 2-4 mm in width and 40-80 mm in length, and C. korshinskii has pinnate compound leaves arranged oppositely or sub-oppositely with 6-10 cm in length, and each pinna has 5 to 8 pairs of ovate leaflets (7–8 mm in length and 2–5 mm in width). We established two plots (one for C. korshinskii and the other for S. psammophila) at the southwestern catchment for field observation (Fig. 1a). The two plots share similar stand conditions, with the sizes of 3294 and  $4056 \text{ m}^2$ , elevations of 1179 and 1207 m, and slopes of 13 and 18°, respectively. The distance between the two plots do not exceed 1.5 km.

#### 2.2 Field measurements

# 2.2.1 Measurements of rainfall and meteorological factors

This study focused on the individual shrub rainfall partitioning of *C. korshinskii* and *S. psammophila* during the 2014– 2015 rainy seasons. Gross rainfall was measured using one tipping-bucket rain gauge (TBRG) with a 0.2 mm resolution (with 186.3 cm<sup>2</sup> collection area) (Onset<sup>®</sup> RG3-M, Onset Computer Corp., USA) in an open area (Fig. 1b). The rainfall characteristics, e.g., rainfall amount (RA, mm), rainfall duration (RD, h), rainfall interval (RI, h), average rainfall intensity (I, mm h<sup>-1</sup>), rainfall intensity at 10 min interval (i.e., 0–10 min, 10–20 min, ...) since the start of rainfall ( $I_{10}$ , mm  $h^{-1}$ ) were calculated accordingly. For  $I_{10}$ , the one after the onset of rainfall is defined as  $I_{10 b}$  (mm h<sup>-1</sup>), i.e., the rainfall intensity in the first 10 min. The maximum  $I_{10}$  during the rainfall process is defined as  $I_{10 \text{ max}} \text{ (mm h}^{-1}\text{)}$ . Since TBRG has a resolution of 0.2 mm, we define a single rainfall event as one that is greater than 0.2 mm and not raining for at least 4 h apart (Iida et al., 2012). A meteorological station was set up at the experimental plot to record wind speed (WS,  $m s^{-1}$ ) and wind direction (WD, °) (Model 03002, R. M. Young Company, Traverse City, Michigan, USA), air temperature  $(T, {}^{\circ}C)$  and relative humidity (H, %) (Model HMP 155, Vaisala, Helsinki, Finland). Data were measured every 30 s and averaged at 10 min intervals by the data logger (Model CR1000, Campbell Scientific, Inc., USA).

#### 2.2.2 Measurements of vegetation characteristics

Three representative shrub plants with similar crown heights and crown areas were selected in each shrub species (Table 1). Based on plot investigation, the vegetation traits at the scale of single plant and branch were measured. For each plant, we measured shrub height (SH, m) with a graduated telescopic stick, counted the number of branches (NB), and calculated the projected canopy area (CA, m<sup>2</sup>) by measuring canopy diameter following the south-north and eastwest direction. The total number of branches was 143 and 218 for selected C. korshinskii and S. psammophila plants, respectively. For each branch, we measured branch length (BL, cm) with a measuring tape, branch angle (BA, °) with a pocket geologic compass, and branch diameter (BD, mm) with a vernier caliper to calculate the total basal area of the shrub (TBA, m<sup>2</sup>). Thus, four BD categories (0-10, 10-15, 15-20 and > 20 mm) were defined to ensure the appropriate branch amounts within each category. The measured vegetation traits of C. korshinskii and S. psammophila plants are shown in Table 1.

Water storage capacity of the canopy is a key factor in determining the amount of IC (Levia and Herwitz, 2005; Garcia-Estringana et al., 2010) and SF yield (Van Stan et al., 2020). We selected 10 representative branches for each shrub species outside the stands, to determine the canopy water storage capacity (C, mL g<sup>-1</sup>) using water immersion method, which was widely used in previous studies (Garcia-Estringana et al., 2010; Wang et al., 2012). The C was calculated as the difference between saturated weight and fresh weight divided by the dry biomass of the selected branch. The C. *korshinskii* and S. *psammophila* had a C of 0.85 and 0.38 mL g<sup>-1</sup>, respectively. In addition, we estimated the total dry aboveground biomass of single plant (TB) for each species according to the allometric growth model developed by Yuan et al. (2016, 2017) in the same study area. The allo-

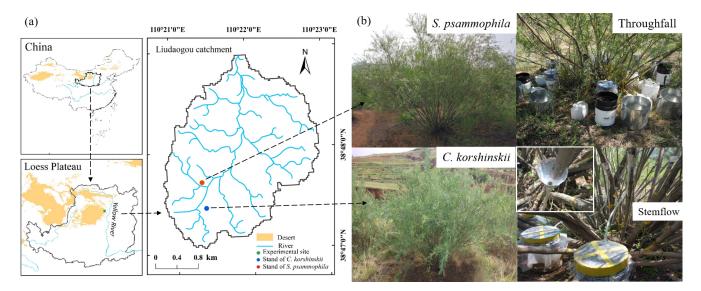


Figure 1. The location and experimental settings in the plots of C. korshinskii and S. psammophila.

**Table 1.** Descriptive statistics (mean  $\pm$  SE) of canopy morphology of *C. korshinskii* (CK1–CK3) and *S. psammophila* (SP1–SP3) plants. Values are mean  $\pm$  SD.

Plant ID	SH (m)	CA (m <sup>2</sup> )	NB	BL (cm)	BA (°)	BD (mm)	TBA (cm <sup>2</sup> )	TB (kg)	Cm (L)
CK1	2.2	5.3	47	$150.6\pm5.1$	$60.26 \pm 2.6$	$9.2\pm0.5$	34.9	4.0	3.4
CK2	2.3	5.2	47	$123.3\pm6.6$	$65.5\pm2.1$	$8.5\pm0.5$	31.3	3.6	3.1
CK3	2.4	5.3	49	$134.6\pm6.7$	$65.4 \pm 4.4$	$9.9\pm0.7$	45.8	6.2	5.2
Average	2.3a	5.27a	48a	136.2a	63.77a	9.2a	37.3a	4.6a	3.9a
SP1	3.5	23.9	85	$262.2\pm6.0$	$67.1 \pm 1.4$	$13.8\pm0.5$	139.7	14.3	5.4
SP2	3.3	26.1	55	$268.0\pm7.7$	$56.0\pm3.1$	$15.1\pm0.6$	124.3	15.9	6.0
SP3	3.6	21.4	78	$262.0\pm7.8$	$35.1\pm2.9$	$15.3\pm0.5$	155.9	17.0	6.5
Average	3.5b	23.8b	73b	264.1b	52.7a	14.8b	140.0b	15.7b	6.0b

Note: SH: shrub height; CA: canopy area; NB: number of branches; BL: branch length; BA: branch angle; BD: branch diameter; TBA: total basal area of the shrub; TB: total dry aboveground biomass;  $C_m$ : total canopy storage per plant. Different letters indicate statistically significant differences between two species (p < 0.05).

metric growth model had very high accuracy with  $R^2$  more than 0.92. The total canopy water storage of single plant ( $C_{\rm m} = \text{TB}$  times C) was calculated to represent the amount of rainfall absorbed by the shrub canopy during the rainfall event (Table 1).

#### 2.2.3 Measurements of inter-event rainfall partitioning

Manual rain gauges (314.12 cm<sup>2</sup> collection area) were used to measure event TF at eight radial directions (E, SE, S, SW, W, NW, N, NE) beneath each shrub canopy (Fig. 1b). For *C. korshinskii*, eight TF gauges were placed under each *C. korshinskii* plant with a 50 cm distance from the base of stems in the eight directions. For *S. psammophila*, 20 TF gauges were placed under each plant, with 12 of them placed in 50, 100, and 150 cm distances from the base of stems in 4 directions (E, S, W, and N), and 8 of them placed in 75 and 125 cm distances in the other 4 directions (SE, SW, NW, and NE). If the rainfall ended during the daytime, we completed the collection of TF samples within 2 hours after the end of rainfall. If the rainfall ended at night, we completed the collection of samples as early as possible the next day to minimize evaporation.

A total of 53 branches of *C. korshinskii* (17, 21, 7, and 8 for BD categories of 0–10, 10–15, 15–20, and > 20 mm, respectively) and 98 branches of *S. psammophila* (20, 30, 20, and 28 for BD categories of 0–10, 10–15, 15–20, and > 20 mm, respectively) were used to determine SF yield, which covered different types of branches. Funnels consisting of flexible aluminum foil plates were used to collect SF (Fig. 1b). The funnel was fixed to each branch near the base and sealed with neutral silicone caulk, and a 0.5 cm diameter PVC hose was attached vertically to transport SF from the funnel to a container with a lid (SF gauges) with minimum travel time.

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#### 2.2.4 Measurements of intra-event rainfall partitioning

Among the selected plants, one C. korshinskii and one S. psammophila plant were selected to record the volume and timing of TF and SF with TBRGs at intra-event scale. A TBRG was installed in each of the four radial directions (E, S, W, N) beneath the shrub canopy of each species, to measure the temporal variations of TF within the rainfall event (Fig. 1b). To characterize intra-event SF dynamics, six representative branches of different BD categories were selected for each species, using the following selection criteria: no crossover between the experimental branch and adjacent branches, no inflection point from the tip to the base of the branch, and accessibility for easy installation and measurement. These branches were distributed across the four BD categories (0-10, 10-15, 15-20, and > 20 mm, respectively). The SF TBRGs were covered with polyethylene films to prevent the accessing of TF and splash (Fig. 1b). Unfortunately, some TBRGs lost a substantial amount of SF data and were therefore discarded from the analysis. Four branches were finally identified for each species, located in each of the four BD categories to measure intra-event SF (6.7, 13.5, 18.6, and 22.1 mm for C. korshinskii and 7.2, 14.4, 18.2, and 31.3 mm for S. psammophila).

#### 2.3 Rainfall partitioning calculations

#### 2.3.1 Inter-event rainfall partitioning calculations

For each individual shrub, we measured the TF volume for each TF gauge, averaged them, and then converted the volume into TF depth (TF<sub>d</sub>, mm) at each rainfall event. The percentage of TF (TF %, %) was calculated by dividing TF<sub>d</sub> by RA, and the average TF intensity (TFI, mm h<sup>-1</sup>) was calculated by dividing TF<sub>d</sub> by the TF duration (TFD, h). The TFD was recorded by TF TBRGs.

The inter-event SF yield was defined as the total SF volume of a single plant in a rainfall event. The SF volumes measured on the selected branches were averaged to obtain the average volume of SF on the branch scale, which multiply the number of branches to obtain the total SF volume from the plant. The shrub-scale SF-equivalent water depth (SF<sub>d</sub>, mm) and the average SF intensity (SFI, mm h<sup>-1</sup>) were calculated. The percentage of SF (SF %, %) was converted by dividing SF<sub>d</sub> by RA. The SF<sub>d</sub> and SFI were calculated by the following equations (Hanchi and Rapp, 1997; Levia and Germer, 2015):

$$SF_{d} = (SF_{b} \times n) / (1000 \times CA), \tag{1}$$

$$SFI = (SF_b \times n) / (10 \times TBA \times SFD), \qquad (2)$$

where  $\overline{SF_b}$  (ml) is the average volume of SF on the branch scale, *n* is the number of branches of an individual plant, CA (m<sup>2</sup>) is the canopy area of an individual plant, TBA (cm<sup>2</sup>) is the total basal area of an individual plant, and SFD is SF duration (h) recorded by SF TBRGs. The parameters, 1000 and 10, are the unit-conversion factors.

The IC depth (IC<sub>d</sub>, mm) and percentage of IC (IC %, %) were estimated as

$$IC_d = RA - TF_d - SF_d, (3)$$

$$IC \% = 100 \% - TF \% - SF \%.$$
(4)

The above inter-event rainfall partitioning variables and their explanations are summarized in Table 2.

#### 2.3.2 Intra-event rainfall partitioning calculations

The TF and SF volume and timing within rainfall events were automatically recorded at dynamic intervals between neighboring TBRG tips (0.2 mm). To better reflect fluctuations in rainfall partitioning components, the intra-event TF and SF data were aggregated every 10 min to match the recording interval of gross rainfall. The four TF<sub>d</sub> recorded by TBRGs were averaged to obtain the average TF<sub>d</sub> at 10 min interval (TF<sub>d10</sub>, mm). The TFI at 10 min interval (TFI<sub>10</sub>, mm h<sup>-1</sup>) was calculated by dividing the TF<sub>d10</sub> by the 10 min. Meanwhile, SF<sub>d</sub> and SF intensity at 10 min interval (SF<sub>d10</sub>, mm, and SFI<sub>10</sub>, mm h<sup>-1</sup>, respectively) were calculated as

$$SF_{d10} = \sum_{j=1}^{4} \left( 186.3 \times SF_{RG,j} \times n_j \right) / (100 \times CA),$$
 (5)

$$SFI_{10} = \sum_{j=1}^{4} \left( 186.3 \times SF_{RG,j} \times n_j \right) / (TBA \times 1/6), \tag{6}$$

where  $SF_{RG,j}$  (mm) is  $SF_d$  of the selected *j*th branch category recorded by TBRG at 10 min interval (1/6 h),  $n_j$  is the number of branches in the *j*th category of a single plant, 4 is the number of the BD category (0–10, 10–15, 15–20, and > 20 mm), and 186.3 (cm<sup>2</sup>) is the collection area of TBRG. The product of  $SF_{RG,j}$  and 186.3 is the SF volume from the branch. The parameter 100 is the unit-conversion factor.

Based on the calculated TFI<sub>10</sub> and SFI<sub>10</sub>, the maximum TFI and SFI at 10 min interval (TFI<sub>10\_max</sub> and SFI<sub>10\_max</sub>, respectively, mm h<sup>-1</sup>) of each rainfall event can be determined. The descriptive variables for the intra-event rainfall partitioning also include the lag times of TF or SF corresponding to the rainfall event. Based on the temporal data recorded by TBRGs (between neighboring tips), the following variables were calculated: LG<sub>TF</sub> and LG<sub>SF</sub> (h), the time lag of TF and SF generation after the start of rainfall, respectively; LM<sub>TF</sub>, LM<sub>SF</sub> and LM<sub>R</sub> (h), the time lag of TFI<sub>10\_max</sub>, SFI<sub>10\_max</sub> and  $I_{10_max}$  relative to the onset of rainfall, respectively; and LE<sub>TF</sub> and LE<sub>SF</sub> (h), the time lag of TF and SF ending after the end of rainfall. The intra-event rainfall partitioning variables and their explanations are summarized in Table 2.

#### 2.4 Statistical analysis

Independent-samples *t* tests were used to analyze differences in rainfall partitioning parameters between *C. korshinskii* and

Scale Parameter (unit)		Explanation			
Inter-event	TF <sub>d</sub> (mm)	Throughfall depth per rainfall event			
	SF <sub>d</sub> (mm)	Stemflow depth per rainfall event			
	IC <sub>d</sub> (mm)	Interception loss depth per rainfall event			
	TF %	Percentage of TF per rainfall event			
	SF %	Percentage of SF per rainfall event			
	IC %	Percentage of IC per rainfall event			
	TFD (h)	Throughfall duration			
	SFD (h)	Stemflow duration			
	TFI (mm $h^{-1}$ )	Average throughfall intensity			
	SFI $(mm h^{-1})$	Average stemflow intensity			
Intra-event	$I_{10} (\mathrm{mm}\mathrm{h}^{-1})$	Rainfall intensity at 10 min interval			
	$I_{10 \max} (\text{mm}\text{h}^{-1})$	Maximum $I_{10}$ during the rainfall process			
	$TFI_{10} (mm h^{-1})$	Throughfall intensity at 10 min interval			
	$TFI_{10 max} (mm h^{-1})$	Maximum TFI <sub>10</sub> during the rainfall process			
	$SFI_{10} (mm h^{-1})$	Stemflow intensity at 10 min interval			
	$SFI_{10} \max (mmh^{-1})$	Maximum SFI <sub>10</sub> during the rainfall process			
	$LG_{TF}(h)$	Time lag of throughfall generation after the start of rainfall			
	LG <sub>SF</sub> (h)	Time lag of stemflow generation after the start of rainfall			
	$LM_R$ (h)	Time lag of $I_{10}$ max occurrence relative to the onset of rainfall			
	$LM_{TF}(h)$	Time lag of $TFI_{10}$ max occurrence relative to the onset of rainfal			
	$LM_{SF}(h)$	Time lag of $SFI_{10}$ max occurrence relative to the onset of rainfall			
	$LE_{TF}(h)$	Time lag of throughfall ending after the end of rainfall			
	$LE_{SF}(h)$	Time lag of stemflow ending after the end of rainfall			

 Table 2. Rainfall partitioning parameters at inter- and intra-event scales.

S. psammophila at both inter-event and intra-event scales. To detect the effects of meteorological factors on rainfall partitioning, Pearson correlation analysis was used to test the significance between rainfall partitioning parameters and meteorological factors at the two scales. The significant correlated factors were double-checked by partial correlation analysis to determine their individual effects on rainfall partitioning components. Stepwise regression of these indicators was performed by analytical tests at the 0.05 level of significance to select the most influential factors on rainfall partitioning variables at inter-event and intra-event scales, and the corresponding quantitative relationships were established based on a qualifying level of significance (p < 0.05) and the highest coefficient of determination  $(R^2)$ . Significance levels were set at 95 % confidence intervals. Data analysis was performed using SPSS 21.0, Origin 2018, and Microsoft Excel 2019.

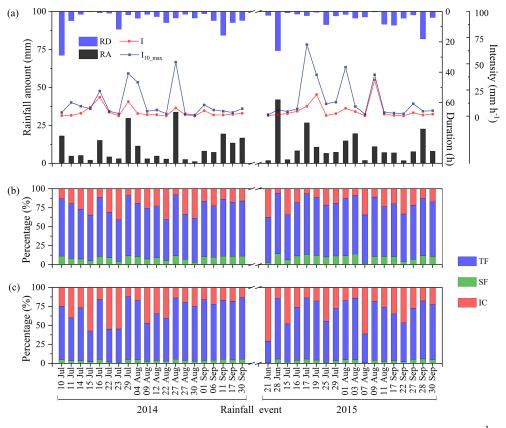
#### **3** Results

#### 3.1 Inter-event variations of rainfall partitioning

# 3.1.1 Characteristics of inter-event rainfall partitioning variables

A total of 38 rainfall events were recorded for rainfall partitioning measurements, including 20 events (215.4 mm) in 2014 and 18 events (205.6 mm) in 2015, which accounted for 75.2% and 75.0% of total RA during the experimental period in 2014 and 2015, respectively (Fig. 2a). The RA ranged from 1.2 to 41.9 mm with an average of  $11.1 \pm 8.8$  mm (mean  $\pm$  SD). In general, rainfall events were unevenly distributed in terms of RA. Approximately 34.2 % of rainfall events were smaller than 5 mm, 26.3 % within 5-10 mm, 26.3 % within 10-20 mm, and 13.2 % larger than 20 mm, representing 8.8%, 17.5%, 36.3%, and 37.4% of the total RA amount, respectively (Fig. 2a). The average I varied from 0.2 to  $35.1 \text{ mm h}^{-1}$  with an average of  $6.0 \pm 1.3 \text{ mm h}^{-1}$ , and approximately 76.3% of the events was  $< 5 \text{ mm h}^{-1}$ , 13.2% was 5–10 mm h<sup>-1</sup>, and 10.5\% was > 10 mm h<sup>-1</sup>. The  $I_{10 \text{ max}}$  ranged from 1.2 to 68.4 mm h<sup>-1</sup> with an average of  $13.7 \pm 2.7 \text{ mm } h^{-1}$ , and approximately 42.1 % of the events was  $< 5 \text{ mm } h^{-1}$ , 23.7 % was  $5-10 \text{ mm } h^{-1}$ , and 34.2% was > 10 mm h<sup>-1</sup>. The RD ranged from 0.2 to 28.9 h and averaged  $5.3 \pm 1.0$  h. The RD of most rainfall events was less than 5h (68.4%), and only 5 rainfall events had RD greater than 10 h.

The TF<sub>d</sub> for *C. korshinskii* ranged from 0.7 to 31.2 mm (coefficient of variation, CV = 87.5 %) with corresponding TF % ranging from 54.0 % to 80.3 % (CV = 10.6 %) across the 38 events (Fig. 2b). The TF<sub>d</sub> values for *S. psanmophila* were 0.4–33.4 mm (CV = 96 %) and 28.5 %–82.7 % (CV = 21.5 %), respectively (Fig. 2c). The SF<sub>d</sub> for *C. korshinskii* ranged from 0.04 to 6.1 mm (CV = 106.6 %),



**Figure 2.** (a) Individual rainfall amount (RA) (n = 38), rainfall duration (RD), average rainfall intensity (I, mm h<sup>-1</sup>), maximum rainfall intensity at 10 min interval ( $I_{10}$ \_max, mm h<sup>-1</sup>); and rainfall partitioning into TF %, SF %, and IC % of (b) *C. korshinskii* and (c) *S. psammophila*.

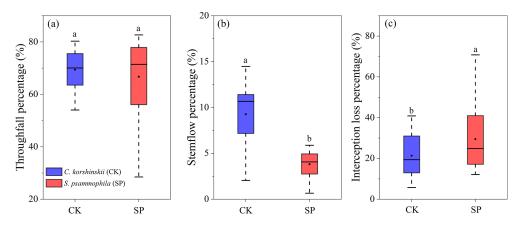
with corresponding SF % of 2.0 %–14.5 % (CV = 34.2 %) (Fig. 2b). The comparable SF<sub>d</sub> values for *S. psammophila* varied from 0.01 to 2.2 mm (CV = 98.6 %) and 0.7 %–5.9 % (CV = 38.9 %), respectively (Fig. 2c). The IC<sub>d</sub> values for *C. korshinskii* varied from 0.5 mm to 2.9 mm (CV = 43.9 %), with corresponding IC % of 5.7 %–40.8 % (CV = 47.3 %) (Fig. 2b), and the comparable values were 0.8–5.7 mm (CV = 44.8 %) and 12.1 %–70.8 % (CV = 53.3 %) for *S. psammophila*, respectively (Fig. 2c). For *C. korshinskii*, TF represented the largest component of all rainfall events, while for *S. psammophila*, SF represented the smallest component of all rainfall events (Figs. 2b and c).

The TF %, SF %, and IC % in rainfall partitioning between the two species are shown in Fig. 3. There was no significant difference (p > 0.05) in average TF % between *C. korshinskii* (69.4 ± 7.4 %) and *S. psammophila* (66.7 ± 14.6 %). The SF % was significantly higher (p < 0.05) for *C. korshinskii* (9.2 ± 3.2 %) than for *S. psammophila* (3.8 ± 1.5 %) (Fig. 3b). The IC % was significantly lower (p < 0.05) for *C. korshinskii* (21.4 ± 10.2 %) than for *S. psammophila* (29.5 ± 15.9 %) (Fig. 3c). The variations of TF % and IC % among the rainfall events were greater for *S. psammophila*, but that of SF % was smaller compared to *C. korshinskii* (Fig. 3).

# 3.1.2 Relationships between inter-event rainfall partitioning variables and meteorological factors

Correlation analysis indicated that meteorological factors had a similar effect on rainfall partitioning for the two species. Stepwise regression analysis identified that the SF parameters (SF<sub>d</sub> and SF%), TF parameters (TF<sub>d</sub> and TF%) and IC parameters (IC<sub>d</sub> and IC%) were all mainly controlled by RA. Following RA, the influences of rainfall intensity (*I*,  $I_{10\_max}$ ) were also significant (p < 0.05). However, the other meteorological factors (RD, RI, WS, WD, *T*, *H*) had no significant effect on rainfall partitioning (p > 0.05).

Significantly positive and linear relationships were found between TF<sub>d</sub> and RA for both *C. korshinskii* and *S. psammophila* (Fig. 4a). According to the regression equations, the threshold of RA for TF generation was 0.8 and 1.1 mm for *C. korshinskii* and *S. psammophila*, respectively. The TF % increased with increasing RA as an exponential function (Fig. 4b). When RA reached 20 mm, the increasing of TF % stabilized, and TF % of *C. korshinskii* and *S. psammophila* reached 79.2 % and 80.0 %, respectively. The SF<sub>d</sub> also had a significantly positive and linear relationship with RA for the two species (Fig. 4c). When RA was greater than 1.7 and 2.2 mm, *C. korshinskii* and *S. psammophila* began to produce



**Figure 3.** Boxplots of (a) TF %, (b) SF %, and (c) IC % for *C. korshinskii* (CK) and *S. psammophila* (SP). The horizontal thick black line indicates the median, boxes correspond to the 25th and 75th percentiles, and whiskers represent values that fall within 1.5 times the interquartile range. Mean values are represented with the black square. Different letters indicate significant differences between the two species (p < 0.05).

SF, respectively. The SF % increased exponentially with increasing RA, and SF % of *C. korshinskii* was always higher than that of *S. psammophila*. The SF % tended to be approximately constant at 12.2 % and 5.5 % as RA  $\geq$  20 mm for *C. korshinskii* and *S. psammophila*, respectively (Fig. 4d). The IC<sub>d</sub> was also positively correlated with RA (Fig. 4e). However, IC % decreased exponentially with incremental RA, and IC % of *S. psammophila* was always higher than that of *C. korshinskii* (Fig. 4f). When RA reached 20 mm, IC % tended to be approximately constant at 9.0 % and 14.5 % for *C. korshinskii* and *S. psammophila*, respectively.

#### 3.2 Intra-event variations of rainfall partitioning

# 3.2.1 Characteristics of intra-event rainfall partitioning variables

The intra-event TF and SF were well synchronized with the rainfall process, in terms of the shape, number, and location of the intensity peaks for both C. korshinskii and S. psammophila, which were vividly demonstrated at the four representative rainfall events in Fig. 5. The SF intensity  $(SFI_{10})$ was much higher than TF intensity (TFI<sub>10</sub>) and rainfall intensity  $(I_{10})$  for both C. korshinskii and S. psammophila, whereas  $TFI_{10}$  was less than or equal to  $I_{10}$ . As expected, IC was the main component at the initial stage of rainfall, and then TF was the major component (> 50%) for rainfall partitioning (Fig. 5). The TF and SF generation thresholds measured using the TBRGs were  $0.4 \pm 0.2$  and  $1.0 \pm 0.7$  mm for C. korshinskii, and  $0.3 \pm 0.1$  and  $0.7 \pm 0.3$  mm for S. psammophila, respectively. They were expected to both be smaller than the thresholds derived from the regression equation between TF<sub>d</sub> (or SF<sub>d</sub>) and RA mentioned previously, which assume that TF and SF start after the canopy is fully wet. This further demonstrates the importance of high-resolution data in rainfall partitioning studies.

Figure 6 describes the difference in average intraevent TF and SF variables between C. korshinskii and S. psammophila. Although there were no statistically significant differences between the two species in intensities, durations, or the lag time of TF and SF, some trends were observed. The TFI and TFI10 max of both species were similar to I ( $6.0 \pm 1.3 \text{ mm h}^{-1}$ ) and  $I_{10 \text{ max}}$  $(13.7 \pm 2.7 \text{ mm h}^{-1})$ , respectively. In contrast, SFI and SFI<sub>10 max</sub> were significantly greater than I and  $I_{10 max}$ , respectively. Specifically, TFI and TFI10 max of C. korshinskii were  $3.8 \pm 1.2$  and  $13.3 \pm 4.9 \text{ mm h}^{-1}$ , respectively, which were slightly lower than that of S. psammophila  $(4.3 \pm 1.5 \text{ and } 14.6 \pm 5.5 \text{ mm h}^{-1}, \text{ respectively})$ (Fig. 6a). The SFI and SFI10 max of C. korshinskii  $(753.8 \pm 208.0 \text{ and } 3627.2 \pm 1424.7 \text{ mm h}^{-1}, \text{ respectively})$ were higher than those of S. psammophila  $(471.2 \pm 170.2)$ and  $1424.8 \pm 538.3 \text{ mm h}^{-1}$ , respectively) (Fig. 6b).

Furthermore, a time lag was usually observed between the onset of rainfall and the generation of TF (LG<sub>TF</sub>) and SF  $(LG_{SF})$ . Similarly, there is a time lag between rainfall and TF or SF in terms of the time to reach maximum intensity (LM) and the time to end (LE). The S. psammophila had a shorter lag time than C. korshinskii in terms of TF  $(LG_{TF}: 0.1 \pm 0.04 \text{ vs. } 0.3 \pm 0.1 \text{ h})$  and SF production  $(LG_{SF}:$  $0.7 \pm 0.3$  vs.  $0.8 \pm 0.3$  h), and their time to reach maximum intensity (LM<sub>TF</sub>:  $1.8 \pm 0.8$  vs.  $2.0 \pm 0.6$  h; LM<sub>SF</sub>:  $2.1 \pm 0.7$ vs.  $2.2 \pm 0.5$  h) (Fig. 6c and d). However, the S. psammophila had longer TFD  $(5.2 \pm 1.4 \text{ vs. } 4.8 \pm 1.4 \text{ h})$  and LE<sub>TF</sub>  $(0.2 \pm 0.1 \text{ vs. } 0.1 \pm 0.1 \text{ h})$  than C. korshinskii (Fig. 6c). Conversely, the SFD and LE<sub>SF</sub> in C. korshinskii ( $4.6 \pm 1.4$  and  $0.4 \pm 0.1$  h, respectively) were longer than those in S. psammophila  $(4.1 \pm 1.3 \text{ and } 0.2 \pm 0.2 \text{ h}, \text{ respectively})$  (Fig. 6d). The above differences in TF and SF variables indicate that S. psammophila should be more conducive to generate TF

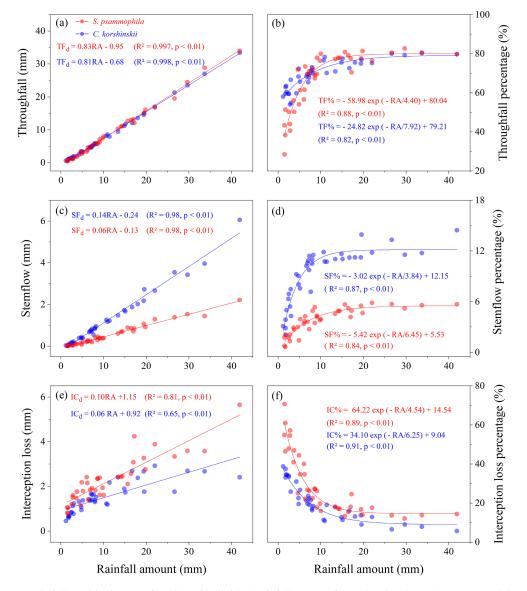


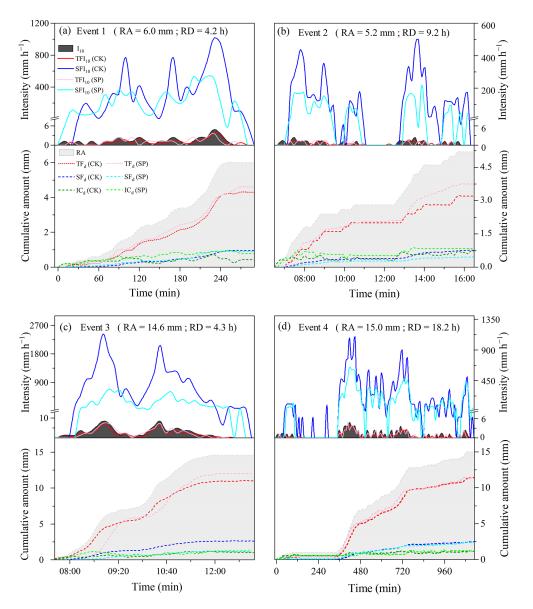
Figure 4. Inter-event rainfall partitioning as a function of individual rainfall amount for C. korshinskii and S. psammophila.

than *C. korshinskii*, while *C. korshinskii* should be more conducive to produce SF than *S. psammophila*.

### 3.2.2 Relationships between intra-event rainfall partitioning variables and meteorological factors

Similar relationships existed between intra-event rainfall partitioning variables and meteorological factors for two species. For both shrubs, rainfall intensity (I,  $I_{10_max}$ , and  $I_{10_b}$ ) and RD were the main influencing factors affecting intra-event TF variables (Fig. 7) and SF variables (Fig. 8). While the effects of other meteorological factors (RD, RI, WS, WD, T, H) on TF and SF variables within the event were not significant (p > 0.05). The TFI, TFI<sub>10\_max</sub>, LM<sub>TF</sub>, and TFD were linearly correlated with I,  $I_{10_max}$ , LM<sub>R</sub>, and RD, respectively, while LG<sub>TF</sub> was power correlated func-

tionally with  $I_{10\_b}$  (p < 0.05). The TF intensities (TFI and TFI<sub>10\_max</sub>) of *S. psammophila* increased faster with rainfall intensities (*I* and  $I_{10\_max}$ ) than that of *C. korshinskii*. The SFI, SFI<sub>10\_max</sub>, LM<sub>SF</sub>, and SFD were also linearly correlated with *I*,  $I_{10\_max}$ , LM<sub>R</sub>, and RD, respectively (p < 0.05). The LG<sub>SF</sub> was power correlated functionally with  $I_{10\_b}$  (p = 0.14 and p = 0.16 for *C. korshinskii* and *S. psammophila*, respectively), which was weaker than the correlation between LG<sub>TF</sub> with  $I_{10\_b}$ . The SF intensities (SFI and SFI<sub>10\\_max</sub>) of *C. korshinskii* increased with rainfall intensities (*I* and  $I_{10\_max}$ ) more rapidly than that of *S. psammophila*. However, for both species, there was no significant relationship between LE<sub>TF</sub> or LE<sub>SF</sub> and RD (Figs. 7 and 8). The above results indicate that the intra-event rainfall partitioning variables largely dependent on *I* and RD.



**Figure 5.** Time series (10 min interval) of rainfall partitioning within four rainfall events for *C. korshinskii* (CK) and *S. psammophila* (SP). Events 1–4 occurred on 3 August, 17 September, 28 September, and 30 September in 2015, respectively. The solid lines represent the intensities of rainfall ( $I_{10}$ ), TF (TFI<sub>10</sub>), and SF (SFI<sub>10</sub>) at 10 min interval. The dotted lines indicate the accumulated amount of RA, TF, SF, and IC.

#### 4 Discussion

# 4.1 Rainfall partitioning and influencing factors at inter-event scale

This study indicated that SF % of *C. korshinskii* (9.2 %) was significantly higher than that of *S. psammophila* (3.8 %) (Fig. 3), which was comparable to the value of 10.4 % and 6.3 % reported by Yang et al. (2019) for the same species in similar semiarid regions of China. Under the same rainfall regimes, the difference in vegetation characteristics is the main reason for the difference in SF (Yuan et al., 2017;

Whitworth-Hulse et al., 2020a; Yue et al., 2021). Comparing the structural properties of two shrubs with the same age (20 years), we found that CA, BD, BL, BA and NB values of *S. psammophila* were 4.51, 1.61, 1.94, 0.83 and 1.52 times the values of *C. korshinskii*, respectively (Table 1). On the branch scale, *C. korshinskii* had more small and short branches, but larger BA than that of *S. psammophila*, which contributed to SF generation. Yuan et al. (2016) concluded that a beneficial branch architecture for SF production should include more relatively small branches and larger BAs, and SF productivity decreased with the BD size of branches. Furthermore, *C. korshinskii* had a smaller CA, and a larger SF<sub>d</sub> than *S. psam* 

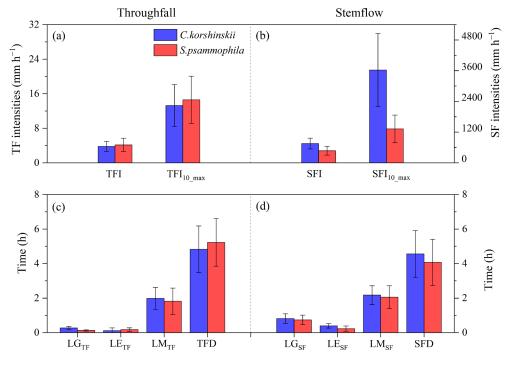


Figure 6. Intra-event TF (a, c) and SF (b, d) variables of C. korshinskii and S. psammophila. All the variables are explained in Table 2.

*mophila* under the same SF volume. Somewhat in line with Yuan et al. (2016) and Yue et al. (2021), our results suggest that a beneficial branch architecture for SF production of *C. korshinskii* should include relatively small CA, BD, BL and large BA (Table 1).

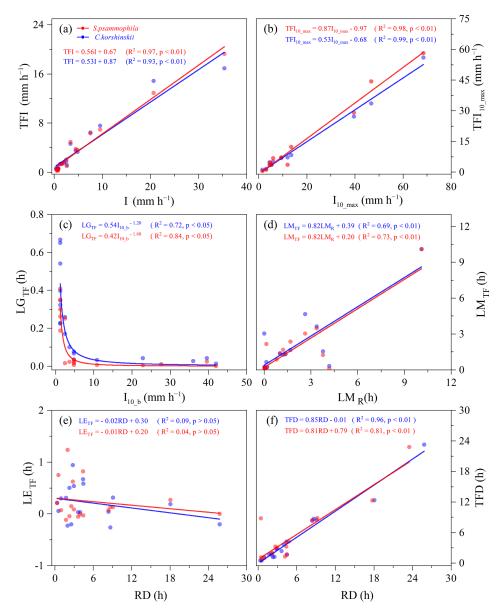
Leaf traits were reported to exert a significant influence on rainfall partitioning (Garcia-Estringana et al., 2010; Magliano et al., 2019a). According to the documentation in Flora of China (Liu et al., 2010), C. korshinskii has pinnate compound leaves and each pinna has 5 to 8 pairs of ovate leaflets, and the leaves are lanceolate and concave, and the surface is densely sericeous. In comparison, S. psammophila has striped or striped oblanceolate leaves, which are margin revolute, and the upper surface of mature leaf blade is almost glabrous (Chao and Gong, 1999). The branches of both shrubs are smooth, with a more developed cuticle layer on the surface of the S. psammophila branches, while the C. korshinskii branches contain oil and have waxy skin (Chao and Gong, 1999; Liu et al., 2010). The leaf morphology and epidermal characteristics of branches of C. korshinskii were more beneficial for SF generation than that of S. psammophila (Whitworth-Hulse et al., 2020b; Yuan et al. 2017). It was found that big biomass of leaves, concave leaf shape and leaf pubescence are beneficial to promote the generation of SF (Yuan et al., 2016). These factors together enable the leaves to function as a highly efficient natural water collecting system.

The mean IC % of C. korshinskii (21.4%) was significantly lower than that of S. psammophila (29.5%) in this

study. The intercepts in the fitted formulas between IC and RA in Fig. 4e indicated that C. korshinskii (0.92 mm) had a lower canopy water storage than S. psammophila (1.15 mm), hence the potential IC of C. korshinskii was lower. Zhang et al. (2017) reported that IC % were higher in the Hippophae rhamnoides stand (24.9%) than in the Syringa pubescens stand (19.2%), which was mainly attributed to the lower canopy water storage of S. pubescen. This study was done at the shrub scale, so we compared the total canopy water storage of individual plant  $(C_m)$ , and we found that  $C_m$  of S. psammophila (6.0 L) was significantly higher than that of C. korshinskii (3.9 L) (Table 1). This was mainly due to the significantly higher average total dry aboveground biomass of S. psammophila (15.7 kg per plant) than C. korshinskii (4.6 kg per plant). Consequently, individual S. psammophila absorbed more rain water to moisten the branches and leaves than that of individual C. korshinskii, which could explain higher IC % of S. psammophila than C. korshinskii. Thus, the best predictors for IC were biomass-related parameters (i.e., woody biomass and total biomass) (Li et al., 2016).

# 4.2 Rainfall partitioning and influencing factors at the intra-event scale

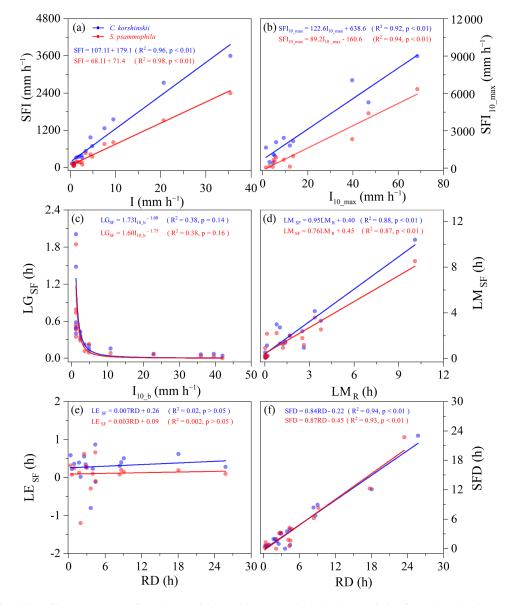
Temporal heterogeneity of rainfall clearly influences the amount and timing of TF and SF reaching the soil under the canopy, as explained by some previous intra-event rainfall partitioning studies from forested ecosystems (Owens et al., 2006; Levia et al., 2010; Molina et al., 2019). Our experiment investigated the intra-event dynamics of all rain-



**Figure 7.** Relationships of intra-event throughfall (TF) variables with meteorological characteristics for *C. korshinskii* and *S. psammophila*. All the variables are explained in Table 2.

fall partitioning components in xerophytic shrubs, which has scarcely been reported before. Our results showed that the temporal dynamics of TF and SF under the shrub canopy almost matched the dynamics of rainfall (Fig. 5). It agreed with the reports of Zhang et al. (2018) and Yuan et al. (2019) who demonstrated the temporal synchronization of TF and SF with rainfall, respectively. The SFI is generally greater than *I* for different species (Fig. 6), which can influence ecohydrological processes such as groundwater recharge, erosion, and overland flow (Spencer and van Meerveld, 2016). The SF converges substantial rainwater to the shrub bases and then delivers it into the soil as a point input to recharge soil moisture and nutrient enrichment (Germer et al., 2010; Wang et al., 2011; Cayuela et al., 2018; Jian et al., 2019). Moreover, as SF water is funneled belowground along roots of the shrubs (Martinez-Meza and Whitford, 1996; Li et al., 2009), we suggest that changes in SF inputs explain, at least in part, the temporal variation in subsurface moisture patterns.

The intensity variables and lag time of SF and TF relative to rainfall were the key to describe the intra-event rainfall partitioning (Fig. 6). The effects of meteorological factors on SF and TF variables at the intra-event scale were derived from multiple regression analysis in this study. The SF and TF variables (intensity and temporal dynamics) were strongly influenced by rainfall intensity (e.g., I,  $I_{10_{max}}$  and



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Figure 8. Relationships of intra-event stemflow (SF) variables with meteorological characteristics for *C. korshinskii* and *S. psammophila*. All the variables are explained in Table 2.

 $I_{10\_b}$ ) and duration (e.g., RD and LM<sub>R</sub>). This is consistent with the results reported by Yuan et al. (2019), who indicated that there was a significant effect of rainfall intensity on the SF process of *C. korshinskii*. The main factors affecting intra-event SF and TF variables were the same, but the effects were still slightly different between the two shrubs. Under the same rainfall intensity, the average TFI under the canopy of *S. psammophila* was higher than *C. korshinskii* (Fig. 7a and b). But the average SFI of *C. korshinskii* was greater than *S. psammophila* at shrub scale (Fig. 8a and b), which was also found for the branch SFI reported by Yuan et al. (2019). In addition to the inter-shrub differences, the effects of  $I_{10\_b}$  on LG<sub>TF</sub> and LG<sub>SF</sub> were slightly different. The correlation between LG<sub>SF</sub> and  $I_{10\_b}$  (Fig. 8c) was weaker than that between LG<sub>TF</sub> and  $I_{10\_b}$  (Fig. 7c). This may be due to the fact that TF has two components, i.e., free TF and released TF (Staelens et al., 2008; Levia et al., 2017; Van Stan et al., 2020), and that SF only starts to produce when a certain amount of rainfall is reached (Germer et al., 2010; Levia et al., 2010; Dunkerley, 2014; Yuan et al., 2019). Our results indicated that *S. psammophila* had dynamic characteristics (e.g., larger TFI, TFI<sub>10</sub> and LE<sub>TF</sub> as well as TFD, and shorter LG<sub>TF</sub> and LM<sub>TF</sub>) producing larger TF depth (TFd = TFI · TFD) (Fig. 6a and c), while *C. korshinskii* had dynamic characteristics (e.g., larger SFI, SFI<sub>10</sub> and LE<sub>SF</sub> as well as SFD) producing larger SF depth (SFd = SFI · SFD) (Fig. 6b and d).

The vegetation characteristics have an important effect on the dynamics and the lag time of TF and SF (Yuan et al., 2019; Zhang et al., 2018). Based on the temporal data recorded by TBRGs, we found that C. korshinskii produced TF and SF later than S. psammophila (Figs. 5 and 6), which was also reported by Yuan et al. (2019) for branch SF of the same species. We inferred that this was due to the higher canopy water storage capacity of C. korshinskii  $(0.85 \text{ mL g}^{-1})$  compared to *S. psammophila*  $(0.38 \text{ mL g}^{-1})$ . However, when the branches were moistened, SF production of C. korshinskii was greater than that of S. psammophila because of its branch and leaf characteristics, as discussed in Sect. 4.1 (Fig. 5). It was found that the great bark water storage capacity of forests could result in the further delay of TF and SF onset (Levia and Herwitz, 2005; Levia et al., 2010; Li et al., 2016; Pinos et al., 2021). In summary, the different intra-event TF and SF dynamics between species were attributed to a complex interaction of biotic and abiotic factors (Yuan et al., 2019; Zhang et al., 2018; Levia et al., 2010).

#### 4.3 Implications and further scopes

Most of the previous rainfall partitioning investigations for shrubs were limited at the inter-event scale or only focused on TF or SF at intra-event scale. The intra-event rainfall partitioning dynamics, which could help to provide a better understanding of soil water replenishment and its distribution in soil and the key ecohydrological cycle in drylands, have been rarely explored. This study presents the first investigation of all the rainfall partitioning components (i.e., TF, SF, and IC) for shrubs at both inter- and intra-event scales, providing a full view of the reciprocal dynamics among IC, TF, and SF at the shrub scale. This is the main novelty and a step forward compared to the previous related studies. We have also obtained the quantitative relationship between rainfall partitioning variables and rainfall characteristics and further elaborated the influence of vegetation structure characteristics (leaf, canopy structure, biomass, etc.) on rainfall partitioning. The newly obtained insights help to understand the fine characterization of shrub-dominated ecohydrological processes, and improve the accuracy of water balance estimation in dryland ecosystem.

There are several issues that need further investigation. Firstly, long-term observations of rainfall partitioning dynamics for more shrub plants and species are needed, and the rainfall partitioning models should be developed for shrubs. Every component of shrub canopy water balance, including canopy evaporation loss and transpiration should be considered. Secondly, the effects of rainfall partitioning on soil moisture dynamics, nutrient cycling, and plant transpiration should be substantially investigated. How the shrubs actually make use of small amounts of TF or SF should be examined to detect the interactions between water redistribution and vegetation physiological processes. Finally, the extension from the individual plant to stand and larger scales remains a challenging topic for rainfall partitioning, which can help to improve understanding the role of rainfall partitioning in the regional hydrologic cycle.

#### 5 Conclusions

In this study, we analyze the rainfall partitioning and the influences of bio-/abiotic factors of two typical shrubs at both inter- and intra-event scales in the Loess Plateau. To ensure a larger proportion of the rainfall is allocated under the canopy, two species can obtain more net rainfall through different mechanisms. At the event scale, there was no significant difference in TF % between the two shrubs, but C. korshinskii had significantly higher SF % and lower IC % compared to S. psammophila. At the intra-event scale, TF and SF of two shrubs were well synchronized with the rainfall, but C. korshinskii had the advantage of SF production, while S. psammophila had the advantage of TF generation. For both shrubs, the inter-event rainfall partitioning amount and percentage depended more on RA, and I and RD controlled the intraevent TF and SF variables. The C. korshinskii has larger BAs, more small branches and smaller CAs to produce SF more efficiently, and S. psammophila has larger biomass to intercept more RA. These findings could enhance our understanding of TF and SF dynamics and corresponding driving factors at inter- and intra-event scales, and help in modeling the critical ecohydrological processes in arid and semiarid regions.

*Data availability.* The data that support the findings of this study are available from the corresponding author upon request.

*Author contributions.* JA was responsible for the data investigation, analysis, and methodology and completed the original draft of the article. GG conceptualized this research, including conceptualization and methodology, and participated in the review and editing of the writing. CY contributed to the data investigation and the review and editing of the writing. JP and BF were involved in writing review and editing.

*Competing interests.* The contact author has declared that none of the authors has any competing interests.

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