



# Assessing the large-scale plant–water relations in the humid, subtropical Pearl River basin of China

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Received: 21 May 2020 – Discussion started: 29 June 2020

Revised: 21 July 2021 – Accepted: 8 August 2021 – Published: 31 August 2021

**Abstract.** Vegetation interacts closely with water resources. Conventional field studies of plant–water relations are fundamental for understanding the mechanisms of how plants alter and adapt to environmental changes, while large-scale studies can be more practical for regional land use and water management towards mitigating climate change impacts. In this study, we investigated the changes in the total water storage (TWS), aridity index (AI) and vegetation greenness, productivity, and their interactions in the Pearl River basin since April 2002. Results show an overall increasing trend of vegetation greenness and productivity, especially in the middle reaches where TWS also increased. This region dominated by croplands was identified as the hot spot for changes and interactions between water and vegetation in the basin. Vegetation was more strongly affected by TWS than precipitation ( $P$ ) at both the annual and monthly scales. Further examination showed that the influence of TWS on vegetation in dry years was stronger than wet years, while the impact of  $P$  was stronger in wet years than dry years; moreover, vegetation productivity responded slower but stronger to atmospheric dryness in dry years than wet years. The lag effects resulted in nonlinearity between water and vegetation dynamics. This study implies that vegetation in the basin uses rainwater prior to water storage until the soil becomes dry, and their dynamics indicate that vegetation development is subject to water availability, and that vegetation is not dominant in reducing water availability.

## 1 Introduction

Vegetation covers 70 % of the land surface, playing a vital role in water, carbon and energy exchanges between land and atmosphere (Yang et al., 2016). As climate change has been more and more evident since the industrial age (Marvel et al., 2019; Sippel et al., 2020), resulting in numerous ecohydrological problems such as droughts, flooding, tree mortality, etc., managing land use especially through vegetation manipulations has been considerably practiced in many catchment planning projects (Adhami et al., 2019; Stewardson et al., 2017). The theoretical basis for vegetation-involved catchment management is the plant–water relations across multiple scales; for example, vegetation can intercept precipitation by the canopy which helps with the flood control (Soulsby et al., 2017; Wheeler and Evans, 2009), they uptake soil water or groundwater and transpire it through leaves to increase moisture in the air, and the plant roots create macropores for water flow paths in soils to aid rapid recharge to soil water stores (Ghestem et al., 2011). In addition, vegetation assimilates carbon dioxide ( $\text{CO}_2$ ) through photosynthesis to produce food and energy materials and reduce greenhouse gas concentration (Notaro et al., 2007; Yosef et al., 2018). In turn, atmospheric and hydrologic conditions can affect vegetation growth by altering the physiological characteristics such as the openness of stomatal aperture (Reyer et al., 2013; Sala et al., 2010). Therefore, investigation of plant–water relations is of great importance in maintaining terrestrial hydrological regimes and mediating the carbon cycle and energy balance in the Earth systems.

Conventional studies of plant–water relations are often carried out at the leaf and canopy level based on extensive field measurements. There is a rich pool of literature that examine the plant responses to stress from both atmospheric conditions and water supply (Martin-StPaul et al., 2017; Whitehead, 1998). It may be true that all ecosystems are, to some degree, controlled by water, but the mechanisms vary greatly (Asbjornsen et al., 2011); for instance, plant water use responded sensitively to rainfall pulses and amounts in dry semi-arid areas (Huang and Zhang, 2015; Plaut et al., 2013), whilst the light exposure (i.e., radiation) between frequent low-intensity rainfall events seemed more important for stimulating transpiration than rainfall amount in the humid low-energy boreal forest (Wang et al., 2017). It is well recognized that plant–water interactions will affect soil moisture dynamics, and the soil water especially the root zone moisture, in turn, plays a key role in regulating plant growth. The relationship is commonly characterized as the linear increase in plant water use with increasing moisture within a certain range, above which plant water use maintains its potential rate and will be limited mainly by energy (Novák et al., 2005).

The field studies are fundamental for deeper understanding of the mechanisms of how plants alter and adapt to environmental changes (Massmann et al., 2018; Petr et al., 2015; Sussmilch and McAdam, 2017). However, it is difficult to draw universal conclusions about plant–water relations extrapolative to a large landscape comprised of multiple vegetation types and with different structures from site-specific analysis (Aranda et al., 2012; Wang et al., 2008). This phenomenon is depicted as the long-standing “scale issue” in ecohydrology (Anderson et al., 2003; Jarvis and Mcnaughton, 1986), which weakens the applicability of observation-based research outcomes during the implementation of vegetation-related ecological projects at a large scale (Liang et al., 2015). Practically, assessing and mitigating climate change impacts require effective integrated efforts at a catchment or regional scale (Fowler et al., 2019; Ma et al., 2015); therefore, it is necessary to investigate the plant–water relations at a larger scale beyond the field sites. However, data availability is often one of the greatest obstacles for large-scale and long-term ecohydrological studies. Remote sensing (RS) products are thus very useful and favorable because the abundant land surface information is beneficial, especially in sparsely monitored basins in terms of overlooking the plant–water dynamics from a large area and over a long period. Over the past several decades, various RS data have been applied in many fields such as water budget assessment and hydrological components estimation (Pham-Duc et al., 2019; H. Wang et al., 2014), vegetation phenological variation and the climate change impacts (Güsewell et al., 2017; Hwang et al., 2018), ecosystem services and its linkages with climate and land use (Xiao et al., 2019), etc. Vegetation dynamics can be reflected by many available indicators, including reflectance-based vegetation index,

leaf area index, and gross primary production (GPP). Among them, NDVI (normalized difference vegetation index) and EVI (enhanced vegetation index) as well as GPP data have been extensively used in the literature to facilitate studies of vegetation in response to climate and hydrology. For example, A et al. (2017) discussed the relationship between total water storage (TWS), soil moisture, and GPP in response to drought in 2011 in Texas, USA, and found that vegetation dependency on TWS weakened in the shrub-dominated west and strengthened in the grassland and forest area; Wang et al. (2020) compared phenological matrix derived by NDVI, SIF (solar-induced chlorophyll fluorescence), and VOD (vegetation optical depth) and found consistent patterns of asynchrony. The advantage of RS analysis in terms of the spatial and temporal coverage is prominent in assisting the land and water management by pinpointing the areas where the vegetation and hydroclimate changes and interactions are more sensitive.

Among the studies of plant–water relations lies an interesting and meaningful argument. On the one hand, vegetation need water to survive and, thus, are directly influenced by water availability. For instance, the most severe ecosystem degradation being faced by many inland river basins is closely related to reduced water availability (Yu and Wang, 2012). On the other hand, vegetation is an effective conduit for returning water from soils to the atmosphere through transpiration and interception loss, and, thus, can cause big water shortage concerns (Xia and Shao, 2008). It is found that, in most cases, an increase in forest cover will reduce water yield and soil water storage (Brown et al., 2005; Schwärzel et al., 2020) because of an increase in evapotranspiration, though the magnitudes are subject to scale, species, and catchment size (Blaschke et al., 2008; Wang et al., 2008). Numerous studies prove that many dryland ecosystems are sourcing soil water recharged by precipitation or groundwater, therefore, plant growth depends largely on rainfall pulses or groundwater level (Eamus and Froend, 2006; Xu et al., 2016; Yang et al., 2014). While the majority of such studies were carried out in semi-arid regions because of the urgent need to find an equilibrium threshold between ecological restoration and available water resources in these water-limited areas, it is still largely unclear whether the restriction of water resources or available energy on vegetation growth prevails in the humid or semi-humid areas with both abundant rainfall and radiation. The mechanisms of hydroclimate controls on vegetation can be different between arid and humid environments (Asbjornsen et al., 2011; Sohoulane Djebou et al., 2015).

In this study, we investigated the plant–water relationships in the Pearl River basin (PRB), the largest river basin in subtropical, humid southern China, which supports a population of  $\sim 120$  million. Water is one of the most important strategic resources in the basin, especially in one of its sub-basins – the East River basin. The East River basin provides water for the densely populated and highly economically devel-

oped delta region, including Shenzhen and Hong Kong, and the water exploitation rate has nearly reached 38 %, which increases the difficulty in water allocation and management among different administrative regions and water use sectors. Vegetation, both natural and cultivated, covers vast areas of the Pearl River basin ( $> 92\%$ ). With around half of the total annual precipitation leaving the basin as evapotranspiration (Gao, 2010), consumption of water by plants is non-negligible and may pose threats to other water cycle components like streamflow, which is the major water resource in most of the basin. Despite previous studies examining the changes in vegetation greenness and investigating the roles of climate and droughts (represented primarily by temperature and precipitation) in the PRB and its sub-basins (Lin et al., 2017; Niu et al., 2018; Wu et al., 2019; Zhang et al., 2013), there are few studies quantifying how vegetation productivity alongside greenness interact with water resources from the short to the long term under contrasting atmospheric dryness conditions. Such an investigation can be informative for the basin-wide land and water use planning under a rapid changing environment. Thus, the objectives of this study include (1) characterizing the spatiotemporal patterns of hydroclimate and vegetation changes in the last decade or so, identifying the hot spots for these changes and the possible driving forces, and (2) quantifying the plant–water relations at different temporal scales and under contrasting dryness conditions to determine the interactive roles of water availability and plant growth in this humid basin.

## 2 Data and methods

### 2.1 Study area

The Pearl River (in the range of  $102\text{--}116^\circ\text{E}$ ,  $21\text{--}27^\circ\text{N}$ ) ranks as the second largest in China in terms of streamflow, with a drainage area of  $\sim 450\,000\text{ km}^2$  (Fig. 1). The climate of the Pearl River basin (PRB) is characterized as subtropical, mainly influenced by the East Asian monsoon and typhoons. The long-term mean annual temperature across the basin is  $14\text{--}22^\circ$ , and the mean annual precipitation is  $1200\text{--}2200\text{ mm}$  (Chen et al., 2010), decreasing from southeast to northwest, and primarily falls as rain and concentrates in April–September. The elevation is  $\sim 2900\text{ m}$  in the west upland, and it decreases dramatically to the delta in the southeast, creating a maximum gradient of  $\sim 3000\text{ m}$ .

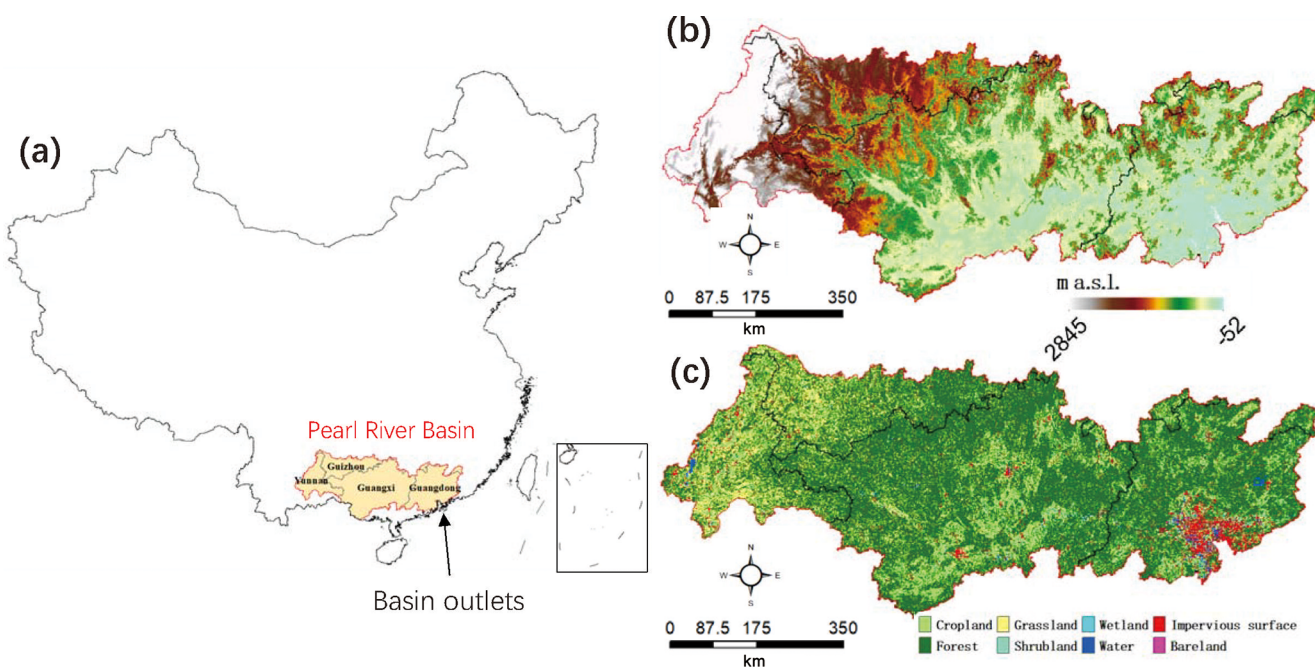
The dominant vegetation is evergreen forests ( $\sim 65.3\%$ ), followed by cropland ( $\sim 18.1\%$ ) distributed mainly in the middle of the basin along a northeast–southwest transect, which happens to be in the transitional areas of high-to-low elevations in Guangxi province. Grassland ( $\sim 9.3\%$ ) is the third largest land cover type mostly located in the western upland. Due to the downstream location, flat terrain, and rapid population growth and economic development, the Pearl River Delta tends to be more and more vulnerable un-

der natural hazards such as flood and storm surge in wet seasons and saltwater intrusion in dry seasons (Liu et al., 2019). In the last 2 decades, droughts were found to occur frequently in the basin and affected the water allocation to different municipal areas and industries (Deng et al., 2018; Xu et al., 2019).

### 2.2 Data sources and pre-processing

To assess the plant–water relations at a large spatial scale, we obtained hydroclimate and vegetation data from different sources (Table 1). Total water storage (TWS) change as one important water availability indicator is inferred by the mass change detected by GRACE (Gravity Recovery and Climate Experiment) satellites (Tapley et al., 2004), which can be accessed from three data processing centers. We obtained the monthly TWS anomaly (TWSA) data from Jet Propulsion Laboratory (JPL) and Center for Space Research (CSR) that are based on the mascons solution (release 6) at a resolution of  $0.5^\circ$ . These JPL and CSR RL06 products incorporate corrections to minimize errors, including the C20 coefficients corrections from satellite laser ranging (Loomis et al., 2019), the degree 1 coefficients (GeoCenter) corrections, the glacial isostatic adjustment (GIA) corrections (Peltier et al., 2018), etc. (Swenson and Wahr, 2006). Moreover, the CSR RL06 mascon solution uses mascon grids of  $1^\circ$ , and the hexagonal tiles that span across the coastline are split into two tiles along the coastline to minimize the leakage between land and ocean signals, while the JPL RL06 mascon solution uses mascon grids of  $3^\circ$ , and leakage errors across land/ocean boundary are incorporated with the procedure provided by Wiese et al. (2016). Save et al. (2016) reported that quantifying leakage errors does not impact CSR mascon solutions as much as it affects the JPL mascon estimate due to the native estimation resolution of  $1^\circ$  for CSR mascons versus  $3^\circ$  for JPL mascons. In addition, JPL RL06 products also provide gridded scaling factors generated by the CLM (Community Land Model) land surface model to be multiplied to the mascon fields that have the color rendering index (CRI) filter applied to calculate the final TWSA values (Landerer et al., 2020; Wiese et al., 2016). In this study, to reflect the spatial variability in the TWSA over time, we further calculated the standard deviation of the entire time series averaged over the basin, i.e., the standard deviation of TWSA in each year represents the spatial variability in TWSA in that year across the whole basin.

In addition to water storage, precipitation ( $P$ ) data were obtained from Global Land Data Assimilation System (GLDAS; Rodell et al., 2004) and the national standard meteorological stations distributed across the basin from the China Meteorological Administration (CMA). Potential evapotranspiration ( $ET_p$ ) was also obtained from GLDAS and Moderate Resolution Imaging Spectroradiometer (MODIS). The aridity index (AI) was calculated as the



**Figure 1.** (a) The Pearl River basin and the related provinces on a map of the China. (b) Digital elevation map (meters above sea level – m.a.s.l.; 1000 m resolution). (c) Land cover types (30 m resolution).

**Table 1.** Information of data used in this study.

Variable	Product	Resolution	Time span	Data link
$P$	GLDAS-Noah (v2.1)	$0.25^\circ \times 0.25^\circ$ , monthly	Apr 2002–Mar 2015	<a href="https://disc.gsfc.nasa.gov">https://disc.gsfc.nasa.gov</a> (last access: 6 August 2019)
	CMA	Station based, monthly	Apr 2002–Mar 2015	<a href="http://data.cma.cn/data">http://data.cma.cn/data</a> (last access: 6 August 2019)
$ET_p$	GLDAS-Noah (v2.1)	$0.25^\circ \times 0.25^\circ$ , monthly	Apr 2002–Mar 2015	<a href="https://disc.gsfc.nasa.gov">https://disc.gsfc.nasa.gov</a> (last access: 6 August 2019)
	MOD16A2	$0.05^\circ \times 0.05^\circ$ , monthly	Apr 2002–Dec 2014	<a href="http://files.ntsug.umd.edu/data/NTSG_Products/MOD16/">http://files.ntsug.umd.edu/data/NTSG_Products/MOD16/</a> (last access: 6 August 2019)
TWSA	GRACE <sub>JPL</sub> , GRACE <sub>CSR</sub> (RL06)	$0.5^\circ \times 0.5^\circ$ , monthly	Apr 2002–Mar 2015	<a href="http://grace.jpl.nasa.gov">http://grace.jpl.nasa.gov</a> ; (last access: 6 August 2019) <a href="http://www2.csr.utexas.edu/grace/RL06_mascons.html">http://www2.csr.utexas.edu/grace/RL06_mascons.html</a> (last access: 6 August 2019)
EVI	MOD13C2	$0.05^\circ \times 0.05^\circ$ , monthly	Apr 2002–Dec 2014	<a href="https://lpdaac.usgs.gov/products/mod13c2v006/">https://lpdaac.usgs.gov/products/mod13c2v006/</a> (last access: 20 March 2021)
GPP	MOD17A2	$0.05^\circ \times 0.05^\circ$ , monthly	Apr 2002–Dec 2014	<a href="http://www.ntsug.umd.edu/project/modis/mod17.php">http://www.ntsug.umd.edu/project/modis/mod17.php</a> (last access: 6 August 2019)
	VPM	$0.05^\circ$ , monthly	Apr 2002–Mar 2015	<a href="https://figshare.com/articles/Monthly_GPP_at_0_05_degree/5048113">https://figshare.com/articles/Monthly_GPP_at_0_05_degree/5048113</a> (last access: 6 August 2019)
	PML-v2	$0.05^\circ$ , 8 d	Jul 2002–Mar 2015	<a href="https://github.com/kongdd/PML">https://github.com/kongdd/PML</a> (last access: 6 August 2019)
SIF	GOSIF-v2	$0.05^\circ$ , monthly	Apr 2002–Mar 2015	<a href="http://data.globalecology.unh.edu/data/GOSIF_v2">http://data.globalecology.unh.edu/data/GOSIF_v2</a> (last access: 20 March 2021)



ratio of average  $ET_p$  to  $P$  to represent the atmospheric dryness condition.

Vegetation data include the EVI, SIF, and GPP, representing surface greenness and productivity, accordingly. EVI was obtained from the MODIS at a monthly and  $0.05^\circ$  resolution. SIF brings major advancements in measuring the terrestrial photosynthesis, has a strong correlation with vegetation production, and represents well the vegetation dynamics. We obtained  $0.05^\circ$  and monthly global Orbiting Carbon Observatory 2 SIF data (GOSIF; Li and Xiao, 2019). Monthly GPP was obtained from three sources, including MODIS (Running et al., 2004), VPM (Vegetation Photosynthesis Model) (Y. Zhang et al., 2017) and Penman–Monteith–Leuning version 2 (PML-v2; Zhang et al., 2019).

Information relating to the data sources for all variables is listed in Table 1. All data were resampled to  $0.5^\circ$  from their original resolutions. Moreover, to compare with GRACE data, anomalies of  $P$ , AI, EVI, SIF, and GPP data were calculated by subtracting the means over the same baseline period of GRACE data (i.e., January 2004–December 2009). Note that using a different baseline period such as the entire study period is also feasible, and a longer baseline period is preferable. Using a different baseline period will change the magnitude of the anomaly data series slightly but not the dynamics (i.e., fluctuation patterns and occurring time for the high and low values) and trends which are more relevant in this study. Moreover, we checked the mean annual precipitation over the basin and found the mean value was  $1444.0 \pm 138.0$  mm over the period of 2004–2009, comparable to  $1461.3 \pm 150.7$  mm over the entire study period. The means of the period of 2004–2009 is representative of the normal condition over the basin for this study period. All variables were obtained from April 2002 to March 2015, covering 13 hydrological years. Cubic spline interpolation was applied to fill the missing monthly data for the GRACE, MOD16/17, and PML.

### 2.3 Associated uncertainties in the data sets

In this study, we used remote sensing and assimilated data of water storage, vegetation status, and precipitation to assess their relationships. Precipitation is one of the commonly monitored meteorological variables, usually with relatively long time series and wide spatial coverage. We compared  $P$  from GLDAS and meteorological stations in Fig. S1 in the Supplement. It shows that the two data sets agree well both spatially and temporally. The spatial coefficients of determination ( $R^2$ ) range from 0.7 to 0.9 in pixels where stations are available, and the temporal  $R^2$  is 0.98, with a close-to-one regression slope. The comparison indicates that the gridded GLDAS precipitation data can be used to analyze the dynamics and relationships of hydroclimate and vegetation parameters.  $ET_p$  was also compared in Figs. S2 and S3, which shows that spatially the correlation coefficient between monthly and annual  $ET_p$  lies mostly in 0.6–1.0 and 0.4–1.0, showing rela-

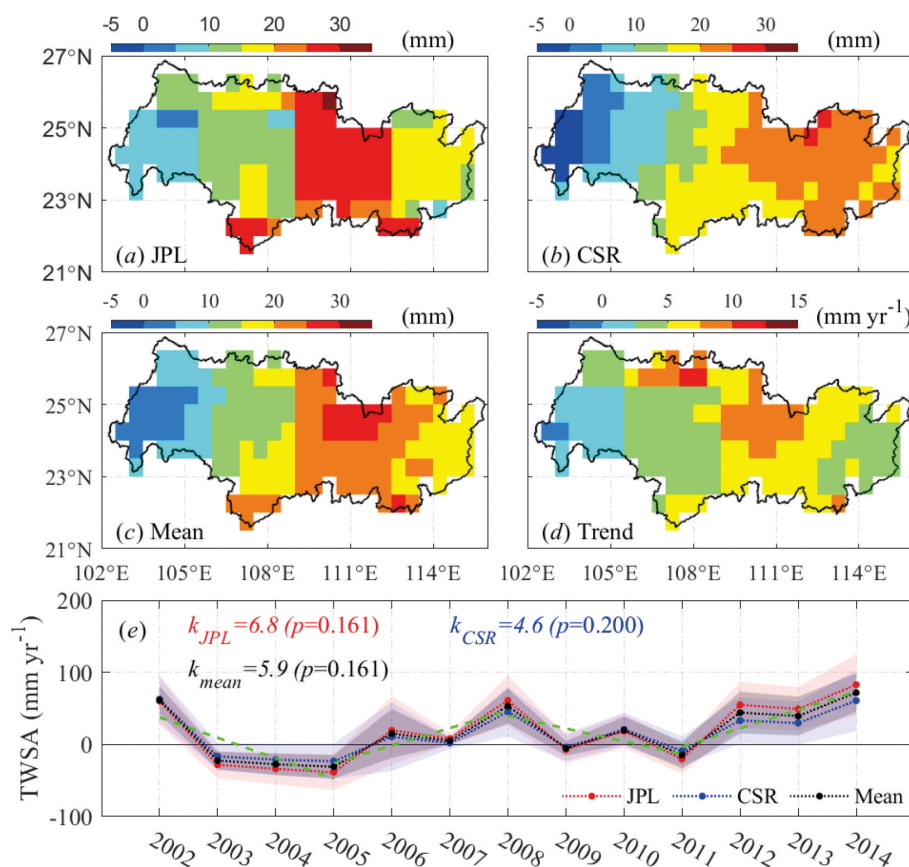
tively good agreement, and temporally  $ET_p$  are close to each other at the monthly scale, while the uncertainty enlarges at the annual scale.

Z. Liu et al. (2014) compared five GPP data sets against observations at six sites across China and concluded that MODIS GPP was more reliable over grassland, cropland, and mixed forestland than the other data sets. These land cover types happen to be the predominant ones in the PRB, which assures some degree of confidence in GPP analysis using MODIS product. Y. Zhang et al. (2017) and Yuan et al. (2015) also compared various GPP data sets globally and regionally, and inconsistencies existed in these comparisons that could stem from the way each algorithm parameterizing atmospheric and water stress and difference in the vegetation index data. From Figs. S4 and S5, for a comparison of three GPP data sets, we found that, spatially, the GPP values from MODIS and VPM are more comparable than PML, which provides higher values. The annual trends inferred by the three products vary across the basin, mostly within the range of  $-25$  to  $25 \text{ gC m}^{-2} \text{ yr}^{-1}$ . Correlation coefficients between each of the two GPP data sets are high at both the monthly and annual scales. It is worth mentioning that the algorithms for MODIS, VPM, and PML only account for atmospheric restrictions (including vapor pressure deficit, temperature, and radiation) but barely account for soil water availability (Pei et al., 2020), in which case the GPP could be overestimated. However, without extensive gridded ground observations in the basin to validate these data sets, it is hard to conclude which one is most accurate.

With the lack of ground truth data, and inspired by the studies of TWS change using GRACE satellite data with different processing algorithms (Long et al., 2017; Sakumura et al., 2014), it may be more informative to use the average values from as many available data sets for the targeted variables as possible, i.e., the ensemble means, than to use a single data set. We used this method to obtain the mean TWSA,  $ET_p$ , and GPP values for correlation analysis in this study. This may be worth further investigation, which could enhance the studies in many ungauged basins for critical hydrological assessments, given the increasing availability of remotely sensed and assimilated data sets.

### 2.4 Data analysis

To investigate the changes in hydroclimate and vegetation, we carried out trend analysis using the Mann–Kendall (MK) test method both in space and in time. The MK test does not require normality of time series and is less sensitive to outliers and missing values (Pal and Al-Tabbaa, 2009). This non-parametric test method has been used in many studies to detect changing hydrological regimes (Déry and Wood, 2005; Zhang et al., 2009). Interplay between hydroclimate and vegetation was quantified by linear regression; the Pearson correlation coefficient ( $r$ ) and coefficient of determination ( $R^2$ ) were taken as a measure for assessment of the link-



**Figure 2.** Spatial distribution of TWSA in the basin inferred by (a) GRACE<sub>JPL</sub>, (b) GRACE<sub>CSR</sub>, (c) the mean of GRACE<sub>JPL</sub> and GRACE<sub>CSR</sub>, (d) the linear trends of the mean annual TWSA, and (e) mean annual TWSA over the entire basin. Shaded areas in panel (e) show the standard error of each series. Dashed green lines indicate statistically insignificant trends ( $R^2 = 0.68, 0.82, 0.58$ , and  $0.83$ , respectively).

ages between different variables. Data series were detrended by removing the linear trends and deseasonalized by subtracting the multiyear monthly means when calculating the Pearson correlation coefficients. Furthermore, to investigate the interactive role of vegetation growth and water availability, we carried out a lag effect analysis between vegetation parameters and hydroclimate variables. We assume that vegetation growth is subject to water resources availability if the temporal variation of vegetation parameters falls behind that of  $P$  and/or TWSA and vice versa.

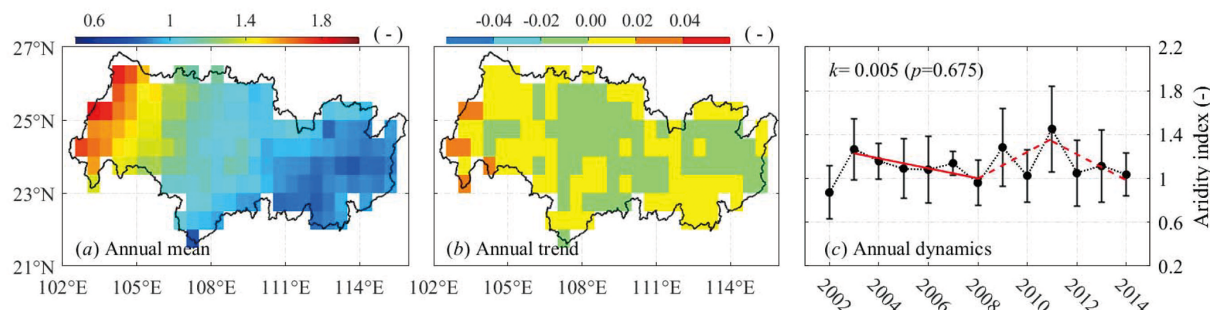
Since the interactions between hydroclimate and vegetation can be different under dry and wet conditions, we selected dry and wet years according to the national drought records, as well as the annual dynamics of TWS, vegetation indices, and AI under the criteria that dry conditions correspond to low negative anomaly values of TWS and GPP in addition to a high positive anomaly of AI. The relationships between hydroclimate dynamics and vegetation greenness and productivity were specifically compared in these dry and wet years. Uncertainties of the data used were estimated by the standard deviation of each variable at the monthly and

annual scales over the entire basin. It is worth mentioning that vegetation growth is usually controlled by two groups of factors, i.e., the demand (e.g., radiation, vapor pressure deficit, temperature, etc.) and the supply (e.g., soil moisture, groundwater, water storage, etc.). The supply control was represented by  $P$  and TWS here, and the demand effect was integrated in  $ET_p$  and embedded in the aridity index. In this sense, we have the impacts of both groups accounted for on vegetation growth.

### 3 Results

#### 3.1 Changes in water storage and dryness

Comparison of the GRACE data from JPL and CSR shows that mean annual TWSA from GRACE<sub>JPL</sub> was overall greater than that of GRACE<sub>CSR</sub> (Fig. 2a and b). Both products showed clear zonal characteristics similar to the average of the two (Fig. 2c) in that TWSA was generally higher in the middle-to-eastern areas than the rest of the basin, especially the western upland, which infers a generally wetting



**Figure 3.** (a) Spatial distribution of the mean annual aridity index across the basin during hydrological years 2002–2014, (b) annual trend of aridity index, and (c) mean annual aridity index over the basin. Red lines show the periodic trends. The dashed red line indicates statistically insignificant trend. The coefficient of determination is 0.71, 0.47, and 0.61, respectively.

condition in comparison to the baseline period. The trends of annual TWSA (Fig. 2d) showed that, over the 13 hydrological years, the TWS in most of the basin has increased at a rate below  $10 \text{ mm yr}^{-1}$ , with 46 % of the total area in the range of  $5.0\text{--}10.0 \text{ mm yr}^{-1}$ . Areas with a low changing rate were mainly located in the west upland where the predominant land cover is grassland with underlying karst limestones. It should be noted that the spatial distribution of water storage change should be interpreted with caution as the GRACE satellites intrinsically provide  $\sim 3^\circ$  resolution coverage, while the products in use are processed with different smoothing and scaling solutions to improve the spatial resolutions. It is suggested to use the spatially averaged values for temporal analysis over a large region. Nonetheless, to detect the possible hot spots for changes in water resources and vegetation, we kept the spatial analysis in this study. Temporally, the basin has generally been becoming wetter since 2002 (Fig. 2e). The TWSA has increased over the 13 hydrological years (statistically insignificant) by  $6.8 \pm 2.6 \text{ mm yr}^{-1}$ , as inferred by GRACE<sub>JPL</sub> and  $4.6 \pm 1.0 \text{ mm yr}^{-1}$  by GRACE<sub>CSR</sub>, with an average of  $5.9 \pm 1.4 \text{ mm yr}^{-1}$ . In the following sections, only the mean TWSA from GRACE<sub>JPL</sub> and GRACE<sub>CSR</sub> was used for analysis. Noticeably, there were three shifts in the drying and wetting tendencies over the study period, i.e., the shift from drying between 2002 and 2005 to wetting between 2005 and 2008, followed by the shift back to drying between 2008 and 2011 and, finally, the shift to wetting after 2011.

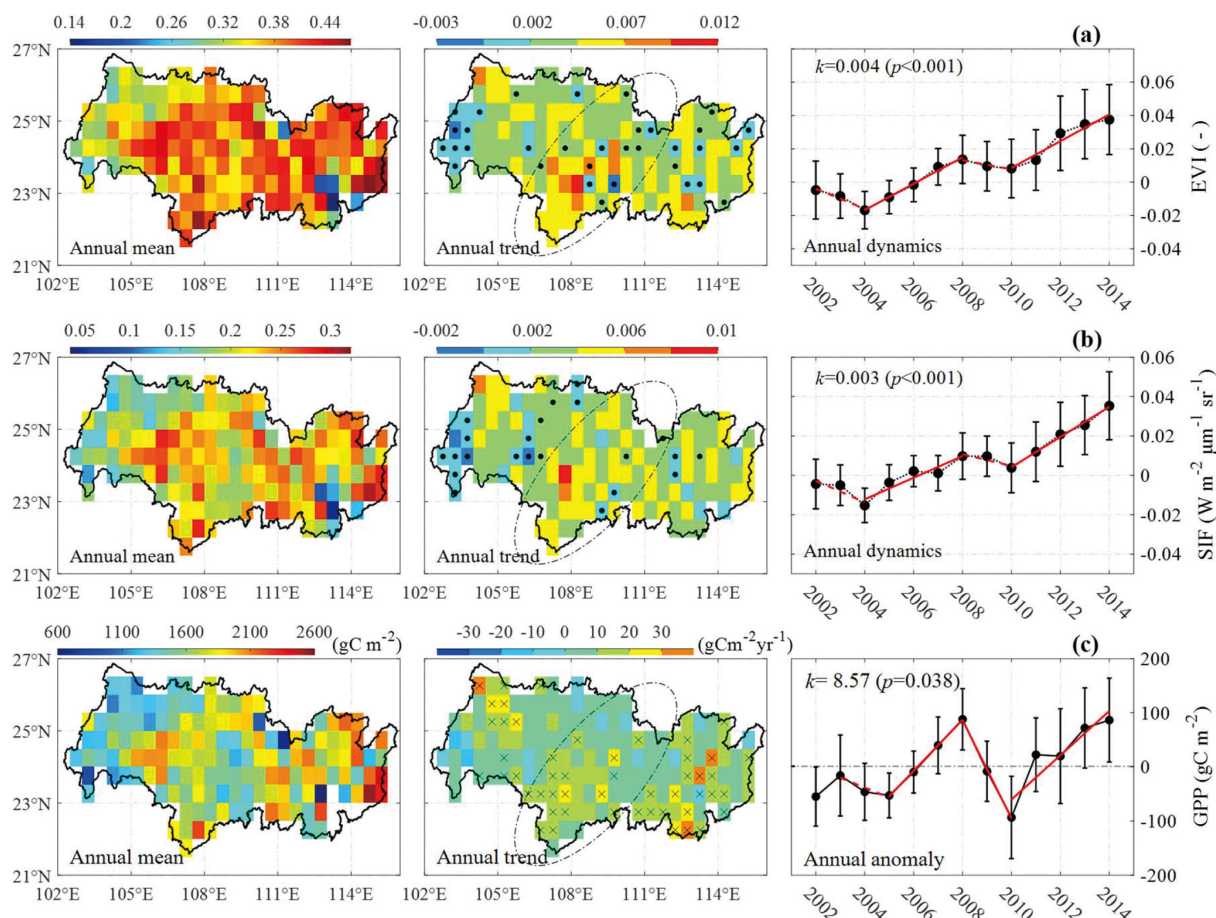
Figure 3 shows the aridity index (AI; ratio of  $\text{ET}_p$  to  $P$ ) characterizing the spatial and temporal patterns of dryness. AI indicates the lump effect of water supply and atmospheric demand. The majority of the basin has a semi-humid climate ( $\text{AI} = 1.0\text{--}1.5$ ); the western upland was clearly drier than the rest of the basin, which is clearly associated with precipitation patterns. Although the dryness condition has not changed significantly over the 13 hydrological years with an overall positive trend spatially ( $0.002 \pm 0.009$ ) and temporally ( $0.005 \pm 0.025$ ), it has some interesting characteristics, such as the wetting tendencies primarily located in the crop-

land areas, and the alternate periodical wetting and drying episodes like TWSA also existed temporally. Areas with low TWS change rates generally coincided with drying climate represented by aridity index. Combining TWSA and AI dynamics, we were able to define the relatively dry and wet years during the study period.

### 3.2 Changes in vegetation greenness and productivity

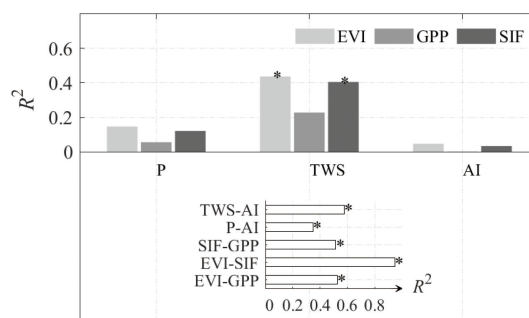
Spatial EVI distributions (Fig. 4a) were highly related to vegetation cover types that the high EVI values coincided with forest covers, and low values corresponded to impervious surfaces, grasslands, and croplands. It clearly reflects the impacts of urbanization on surface greenness, particularly near the basin outlets in the southeast. Over the 13 hydrological years, EVI has shown significant increases across the basin, and the majority ( $\sim 78.7\%$ ) had a MK test  $p < 0.05$  at the pixel level. The areas with greater increases were mostly concentrated in the central south of the basin where croplands are predominant, indicating a possible intensification of crop farming activities over these areas. Temporally, EVI has an overall significant increase trend over the 13 hydrological years at an annual rate of  $0.004 \pm 0.003$  ( $p < 0.001$ ). It is noticeable that the periodical shifts in the EVI trends were just slightly different to TWSA in Fig. 2e. This reflects a tight bond between the vegetation greenness and water availability in this rain-abundant region at the annual scale. Interestingly, in 2004–2005, when water storage continued to decrease following the previous years, EVI did not show a continuity of decreasing but increased instead, which coincided with a slight decrease in aridity index.

As an effective probe for photosynthesis, SIF showed almost identical patterns and trends with EVI (Fig. 4b), i.e., high values distributed in forests and low values in croplands and grassland. Over the years, SIF has increased significantly by  $0.003 \pm 0.012 \text{ W m}^{-2} \mu\text{m}^{-1} \text{ sr}^{-1}$  per year ( $p < 0.001$ ). Another vegetation biomass parameter GPP was also analyzed for the basin (Fig. 4c). It is not surprising to observe that GPP was highly responsive to EVI and SIF, such that areas with low EVI and SIF also had low GPP (e.g., the central



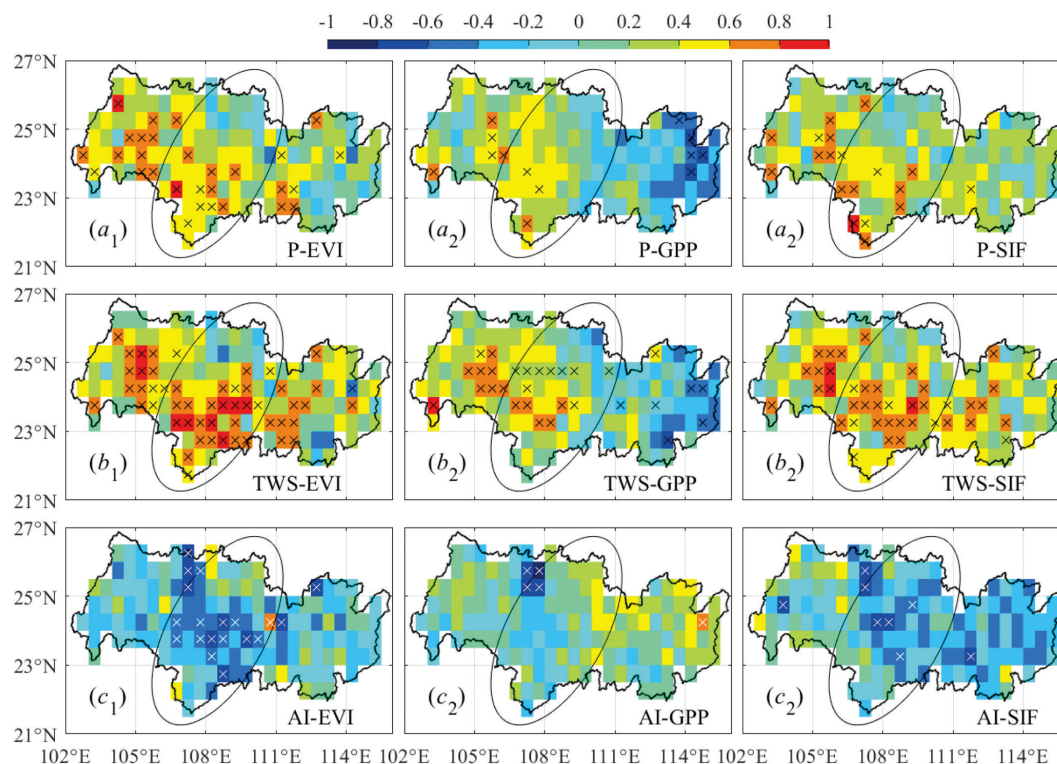
**Figure 4.** Spatial distribution of mean annual values, linear trends, and temporal dynamics for (a) enhanced vegetation index (EVI), (b) solar-induced chlorophyll fluorescence (SIF), and (c) gross primary production (GPP) during hydrologic years 2002–2014. Red lines show the annual trends in different periods. Dashed red lines show statistically insignificant trends ( $p > 0.05$ ). The ellipse marks the areas where croplands dominate. Black dots indicate  $p > 0.05$  for the trend in the relevant pixels, and black crosses indicate  $p < 0.05$  for the trend in the relevant pixels.

agricultural region and upland grassland). The GPP anomaly also showed positive high values in the central southern areas dominated by croplands coincident with EVI and the SIF anomaly. It should be noted that the trends were statistically significant only in 33.6 % of all pixels, many of which are located in the cropland areas. Over the entire basin, annual GPP showed almost the same periodical decreasing and increasing trends as EVI and SIF, except that the first turning point occurred in 2005 rather than 2004. Linear regression gave a coefficient of determination  $R^2 = 0.44$  ( $p = 0.014$ ) between annual TWSA and EVI and of  $R^2 = 0.41$  ( $p = 0.019$ ) between TWSA and SIF, both higher than that between TWSA and GPP ( $R^2 = 0.23$ ;  $p = 0.099$ ), which may imply a more direct and stronger dependence on vegetation greenness than productivity on water storage at an annual scale.



**Figure 5.** Coefficient of determination ( $R^2$ ) from linear regressions between the basin-averaged anomalies of  $P$ , TWS, AI, EVI, SIF, and GPP at the annual scale. The asterisk indicates  $p < 0.05$ .





**Figure 6.** Pearson correlation coefficient between annual anomalies of (**a**<sub>1</sub>–**a**<sub>3</sub>) precipitation, EVI, GPP, and SIF, (**b**<sub>1</sub>–**b**<sub>3</sub>) total water storage, EVI, GPP, and SIF, and (**c**<sub>1</sub>–**c**<sub>2</sub>) aridity index, EVI, GPP, and SIF. The ellipse marks the areas where croplands dominate. Crosses (black and white) indicate  $p < 0.05$ .

### 3.3 Interactions between hydroclimate and vegetation

Combining Figs. 2–4, we found that climate condition, water storage, and vegetation dynamics are tightly interlinked. The coefficient of determination between anomalies of these variables (Fig. 5) shows that the variation in annual EVI can be explained by TWS by 43.7 % ( $p = 0.014$ ), followed by  $P$  (14.7 %;  $p = 0.196$ ) and AI (4.6 %;  $p = 0.479$ ). The influence of these three variables on GPP and SIF followed the same order ( $R^2 = 0.23, 0.06$ , and  $0.02$  for GPP;  $R^2 = 0.41, 0.12$ , and  $0.03$  for SIF) but was not statistically significant ( $p > 0.05$ , except for TWS and SIF). In addition, GPP was positively associated with EVI and SIF ( $R^2 = 0.53$  and  $p = 0.005$ ;  $R^2 = 0.51$  and  $p = 0.006$ ). SIF and EVI are correlated the highest among the three vegetation parameters with a  $R^2 = 0.95$  ( $p < 0.001$ ).  $P$  and TWS were negatively correlated with dryness ( $p < 0.05$ ).

Spatially, precipitation, water storage, and dryness affected vegetation in a similar way compared to temporal characteristics, i.e., the influence of TWS was relatively stronger than  $P$  and AI. The hot spots of the interactions were found in the middle–south areas, and dryness more negatively affected greenness than productivity in these areas (Fig. 6). Atmospheric stress and water stress imposed a more direct and stronger impact on vegetation greenness than pro-

ductivity on a yearly basis, and the water constraint on vegetation was stronger than that of dryness. It should be noted again that, due to the intrinsic resolution of GRACE satellite imaging, the pixel-wise calculation of the correlation coefficient between TWSA and the other parameters cannot necessarily accurately represent their quantitative relationships and, therefore, should be interpreted with caution. Here we kept the figure with the intention of finding the possible hot spots of intensive interactions.

At the monthly scale, over the entire basin, the linear responses of GPP to  $P$  and TWS were slightly weaker than the linear responses of EVI and SIF to  $P$  and TWS (Fig. 7a and b). The response of both EVI, SIF, and GPP to  $P$  was more nonlinear than to TWS, and the sensitivity of EVI, SIF, and GPP to TWS was all stronger than to  $P$ , as indicated by the linear regression slopes, implying a stronger link between vegetation growth and water storage than precipitation. Meanwhile, an increase in dryness resulted in strong nonlinear decreases in all vegetation parameters (Fig. 7c). The relationships show that although precipitation is the main water input to the terrestrial hydrological cycle, it is how much water is stored in the soils that determines vegetation greenness and biomass production, and the atmospheric constraints on vegetation are more complex than water supply. Nonlinear plant–water relationships can be explained by



the lag effect in that the monthly changes in EVI, SIF, and GPP fell behind the changes of  $P$  and TWS to varying degrees (Fig. 8). Based on our assumption, it is more likely that the decline in water resources deteriorates vegetation greenness and productivity, not the opposite. This means that the leading role of water availability on vegetation growth outweighed the impacts of vegetation growth on water resources reduction.

Vegetation response to hydroclimate changes is expected to differ in dry and wet years. Here, we assumed that the annual anomalies of  $TWS < 0$ ,  $EVI < 0$ , and  $AI > 0$  corresponded to dry conditions and, hence, defined 2003, 2005, 2007, 2009, and 2011 as dry years and 2002, 2006, 2008, 2010, and 2012–2014 as relatively wet years. There was evidence of drought occurrences in these dry years (Lin et al., 2017; J. Wang et al., 2014). It can be seen that the dry and wet years were mainly differentiated by the rainfall data in spring–summer months, resulting in obviously lower water storage and higher dryness in autumn–winter in dry years than wet years. Noticeably, although the hydroclimate conditions differed greatly, the vegetation parameters showed similar patterns and ranges (Fig. 8c and d). While the maximum and minimum GPP was slightly higher (14.9 %) and lower (14.3 %) in dry years than wet years, respectively, the EVI and SIF did not show such distinct differences ( $< 5\%$ ). This implies that vegetation greenness is less sensitive to any changes in hydroclimate than productivity, and that GPP during dry periods was relatively higher than that in wet periods, reflecting a positive effect of water stress on biomass production, but this could be mainly attributed to anthropogenic intervention such as probable water surplus via irrigation during dry periods.

Figure 9 gives the  $R^2$  from the linear regression between the monthly climatological means of different variables considering phase shift for lag analysis over all the years, dry years, and wet years, respectively. It shows EVI, SIF, and GPP varied strongest with  $P$ , TWSA and AI in the previous 1, 0, and 1 month, respectively. Comparison of the lag time in dry and wet years shows that the influence of  $P$  on vegetation was more prominent in wet years than in dry years, while TWS influence was greater in dry years than wet years. There was high consistency between the vegetation change and water storage change with zero lags, in comparison to 1-month lag between vegetation parameters and precipitation. Moreover, the response of GPP and SIF to dryness change was 1 month slower in dry years than wet years.

## 4 Discussion

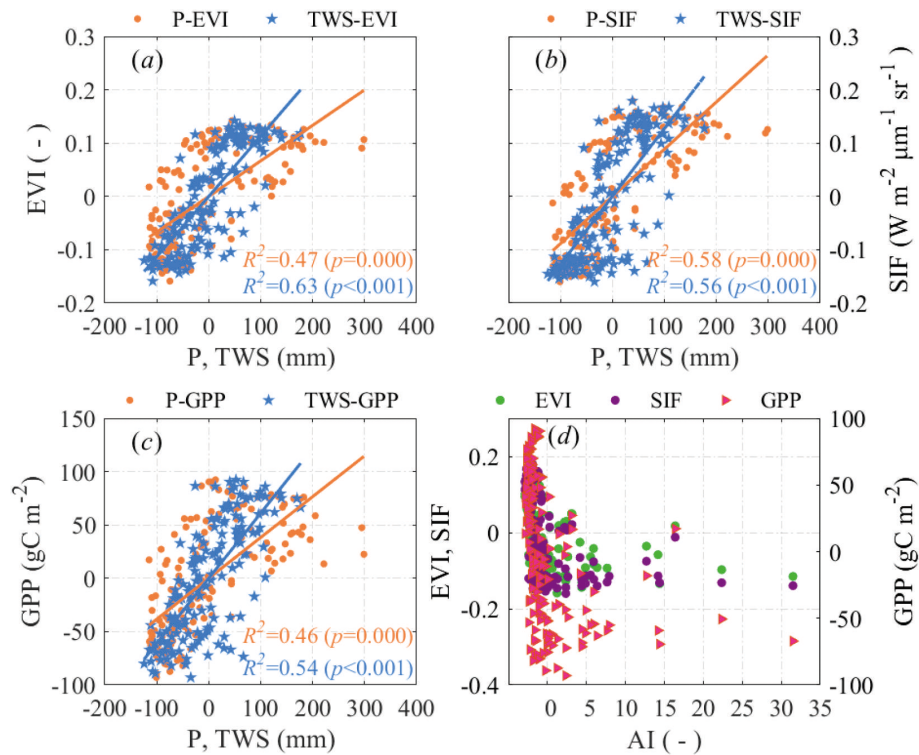
### 4.1 Hot spot for hydroclimate and vegetation changes

The three investigated vegetation parameters shared the same spatial patterns and high GPP corresponded to high EVI and SIF in the forested areas; low values existed in the west-

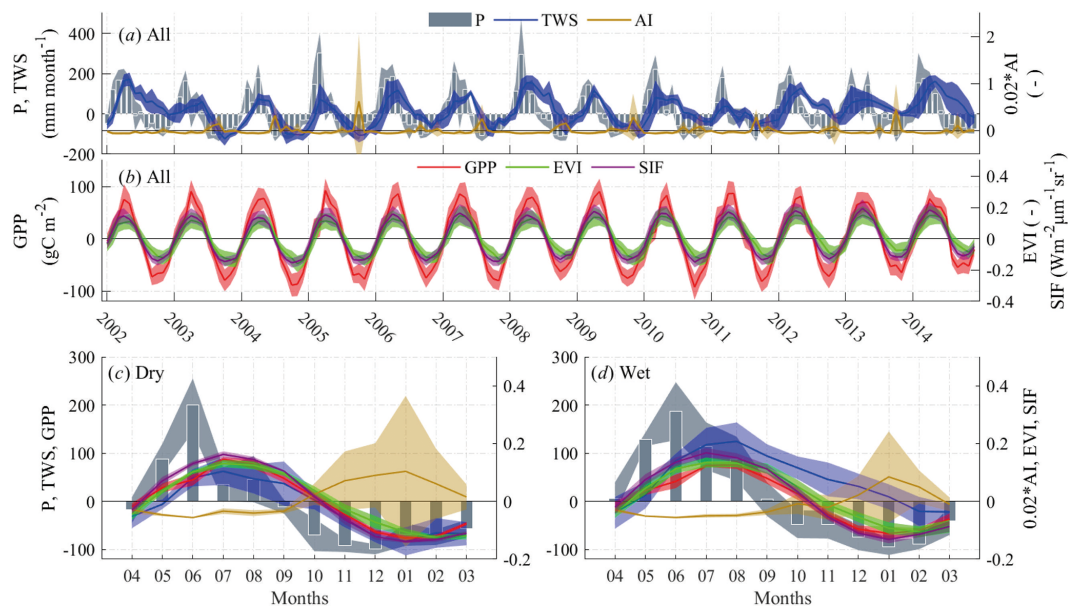
ern upland with grass cover and the central southern areas of croplands. Over the 13 hydrological years, EVI and GPP have increased significantly by 0.004 (unitless;  $p < 0.001$ ) and  $8.57 \text{ gC m}^2 \text{ yr}^{-1}$  ( $p = 0.038$ ), respectively, with periodic decreasing and increasing tendencies. Unlike the north of China where vegetation cover has been deeply affected and is largely recovered through decades of ecological restoration projects (Chen et al., 2019; Feng et al., 2005), vegetation cover, especially the forest cover, which occupies most of the PRB remained near-constant from the early 2000s – at least in the Guangdong province located in the east of the basin (Chen et al., 2015). We identified the areas with a significant increase in the vegetation parameters in the central southern region of the basin where croplands dominate. The changes in TWS, EVI, SIF, and GPP jointly imply that the water storage increase in this hot spot region, which was likely induced by increased precipitation, coincides with the intensification of agricultural activities and has boosted the food production since the early 2000s. That is, the intensive vegetation greening most likely was not only induced by natural hydroclimatic changes but was also intervened by agricultural activities such as planting structure adjustment and irrigation during dry spells. Tong et al. (2018) showed that vegetation greenness and aboveground biomass production have increased in southwestern China despite drought conditions. The increases have been attributed largely to land use type conservation, mainly through ecological engineering such as reforestation, etc. Their study area partly overlapped with this study, and their results support our speculation indirectly that the agricultural activities in this cultivated area have intensified and thus enhanced vegetation growth. Changes in planting structure in these agricultural areas could also result in enhanced greenness and improved productivity compared to the traditional cultivated crops, but this cannot be quantified without detailed crop data throughout the years. Nonetheless, such phenomenon is, for the first time, revealed in studies, and it can be meaningful for the food–water management in this region and indicative of a possible expansion of China's main food production from the north to the south in the context of water and energy richness in the south and shortages in the north (Kuang et al., 2015).

### 4.2 Interactive roles of water supply, demand, and vegetation changes

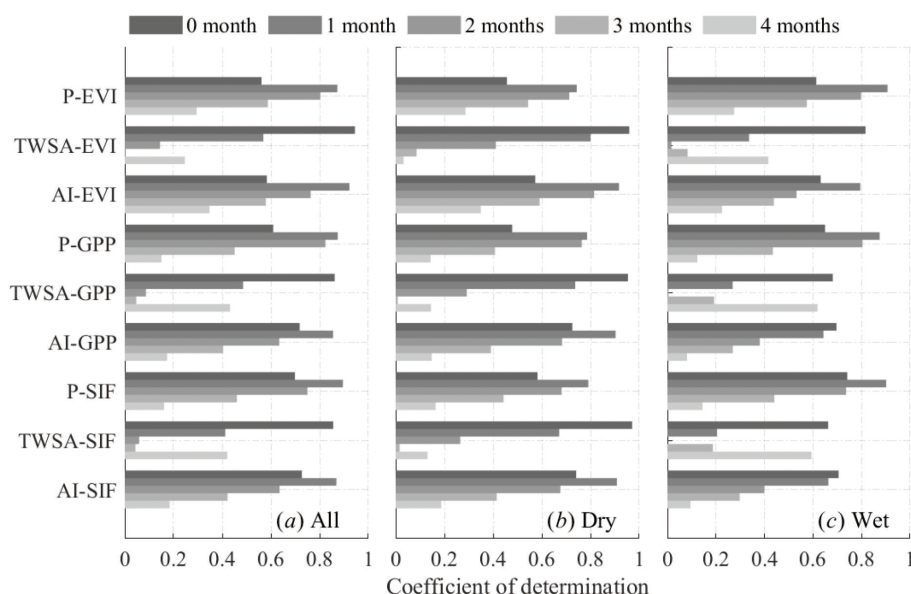
The overall TWS increase is promising for the managers and users of water resources in the PRB; however, the strong correlation with precipitation seasonality restrained the available water in the relatively dry periods, which would raise concerns on water shortage under drought conditions. In fact, previous studies have reported the contribution and restriction of  $P$  to TWS. For instance, Chen et al. (2017) revealed the liability of  $P$  to TWS ( $r = 0.78$ ) in the PRB. Mo et al. (2016) found TWS more strongly explained (60 %) by annual  $P$  in river basins in southern China than in northern



**Figure 7.** Scatterplot of monthly anomalies of precipitation ( $P$ ), total water storage (TWS), aridity index (AI), enhanced vegetation index (EVI), solar-induced chlorophyll fluorescence (SIF), and gross primary production (GPP) over the entire basin. Each point represents a basin-wide averaged monthly data.



**Figure 8.** (a, b) Monthly variations in anomalies of precipitation ( $P$ ), total water storage (TWS), aridity index (AI; scaled for a better view), enhanced vegetation index (EVI), gross primary production (GPP), and solar-induced chlorophyll fluorescence (SIF) in all years. (c) Monthly climatological means of the variables in dry hydrological years and (d) monthly climatological means in wet hydrological years during 2002–2014. Plots (c) and (d) share the same units and legends with plots (a) and (b). Shaded areas show the standard errors of each variable representing the spatial variability.



**Figure 9.** Coefficient of determination between monthly climatological means of the anomalies of precipitation ( $P$ ), total water storage (TWS), aridity index (AI), enhanced vegetation index (EVI), solar-induced chlorophyll fluorescence (SIF), and gross primary production (GPP) in (a) all years, (b) the dry years, and (c) the wet years after shifting a different number of months, as indicated in the legend.

China. In this sense, storage shortage in dry periods subject to seasonal reduction in precipitation would hamper vegetation greening. Analysis in this study shows that EVI was highly correlated with TWS and  $P$  at the annual scale, consistent with previous studies in the PRB and other areas (Guan et al., 2015; Zhao et al., 2016; Zhu et al., 2018), while at the monthly scale EVI, SIF, and GPP were all strongly associated with TWS but slightly less strongly with  $P$ . The differences in EVI and GPP response to hydroclimate variables may lie in the way these two parameters are calculated, especially given that GPP is formulated by atmospheric variables like temperature, vapor pressure deficit, and photosynthetically active radiation (Pei et al., 2020). Because of the asynchrony in the atmospheric variables and vegetation greenness (Kong et al., 2020; Piao et al., 2006), the GPP and EVI would also have some inconsistency in time. This would then further indicate that it should be given more caution when choosing a parameter (EVI, NDVI, SIF, or GPP) to better represent vegetation phenological features, which is still lacking in the literature for discussion (Kong et al., 2020; Wang et al., 2020). The weakened linear influence of  $P$  on vegetation parameters at the monthly scale, found also by others such as Bai et al. (2019) and A et al. (2017), can be explained by the lag effect in that EVI, SIF, and GPP lagged by 1 and 0 months after  $P$  and TWS, respectively.

Comparison of the plant–water relations in dry and wet years showed a slower response of GPP to aridity index in dry years than wet years. A Wilcoxon rank sum test shows that the areal mean EVI and GPP in dry years are not significantly different from those in wet years. In fact, GPP

was slightly higher in the growing seasons in dry years than wet years. These comparisons may imply that a certain degree of drying can stimulate biomass production. This phenomenon is also revealed by other studies (Zhang and Zhang, 2019). The underlying mechanisms could be similar to the principle of regulated irrigation in agricultural practice to increase water use efficiency under a certain degree of water stress (Chai et al., 2016), or that the atmospheric conditions are more favorable for photosynthesis during dry years than wet years (Restrepo-Coupe et al., 2013; Zhang and Zhang, 2019), provided that the soil water or groundwater storage is not depleted severely in these dry years. This dryness effect on ecosystem productivity cannot be detected in the annual scale assessment (Brookshire and Weaver, 2015; Yao et al., 2020). These results imply that pre-growing season hydroclimate conditions play a key role in the follow-on vegetation growth and production (Kong et al., 2020; Piao et al., 2006; Wang et al., 2019), and that vegetation dynamics are subject not only to atmospheric changes but also water resource availability even in this humid subtropical radiation- and rain-abundant region, while vegetation development is not dominant in reducing water availability. The causal role of vegetation in water decline has been reported mostly at a shorter timescale, like daily or sub-daily, such as the studies in a poplar stand in northwestern China (Shen et al., 2015), a pine-dominated catchment in Sierra Nevada, USA (Kirchner et al., 2020), and a mixed forest in the Czech Republic (Deutscher et al., 2016), which demonstrated that sap flow by trees led to decline in groundwater level and streamflow.

The drying episodes confined the vegetation greenness and production. Y. Liu et al. (2014) reported that China's national total annual net ecosystem productivity exhibited declines during 2000–2011, mainly due to the reduction in GPP caused by extensive drought. Although drought is generally associated with declines in vegetation greenness and productivity due to water and heat stresses (Eamus et al., 2013), the magnitude of vegetation reduction, determined by ecosystem sensitivity to drought, can vary dramatically across plant communities and, thus, show different spatial patterns relative to different vegetation types. While Q. Zhang et al. (2017) detected insensitivity of vegetation to droughts in humid southern China, including the lower reach of PRB, this study observed that EVI experienced a recovery in 2004–2005 after drought in the previous year, which may be a result of irrigation during drought in the agricultural regions since forests are more resilient to droughts (DeSoto et al., 2020; Fang and Zhang, 2019). Future climate projections predict increases in temperature and insignificant changes in precipitation in the basin which would trigger more heat-wave-induced flash droughts (Li et al., 2020). This would likely enhance the atmospheric controls on vegetation development. To mitigate the impacts on both water resources and ecosystems, proper plans should be made, such as the conversion of the low resilient ecosystems to forests (Fang and Zhang, 2019; Tong et al., 2018) and the improvement of biodiversity in ecosystems (Isbell et al., 2015; Oliver et al., 2015), in addition to engineering regulations like reservoir operations (Lin et al., 2017).

## 5 Conclusions

Plant–water relations over the Pearl River basin were examined using remote sensing products during the hydrological years of 2002–2014. Results show that water storage has increased across the entire basin at an average rate of  $5.9 \text{ mm yr}^{-1}$ . Vegetation greenness and productivity has also shown some changes, with significant increases concentrated in the cultivated lands. Spatial characterization reveals that the central southern areas of the basin dominated by croplands are the hot spots for the changes in and interactions between hydroclimate and vegetation. This implies that an increase in vegetation is not only a result of natural hydroclimatic controls but also anthropogenic interventions such as securing food production by the intensification of agricultural activities in these areas. Lag effect analysis at the monthly scale reflects that, even in this rain-abundant subtropical basin, the water supply dominance on vegetation dynamics precedes the water resource reduction by vegetation development. Furthermore, a stronger influence of precipitation and a weaker influence of water storage on vegetation were found in wet years than dry years. A slower response of vegetation productivity to the aridity index in dry years than wet years was identified, which may indicate a stimu-

lating role of a certain degree of drying on vegetation production. Therefore, essentially the vegetation growth in this subtropical humid region is more strongly controlled by atmospheric demand factors than water supply factors at the monthly scale. This study reveals the changes in and interplay between plants and water, using readily available remote sensing and assimilated data, and has implications for proper measures regarding land use alterations to mitigate frequent drought impacts on water resources and ecosystems under a warming climate.

**Data availability.** The original data in the study are freely available from the links given in Table 1.

**Supplement.** The supplement related to this article is available online at: <https://doi.org/10.5194/hess-25-4741-2021-supplement>.

**Author contributions.** HW conceptualized the study, developed the methodology, wrote the original draft, and reviewed and edited the paper. KD developed the methodology and reviewed and edited the paper with BL and XC, who also validated the study.

**Competing interests.** The authors declare that they have no conflict of interest.

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**Acknowledgements.** We thank the editor, Patricia Saco, for handling our paper, and Qiang Zhang and two anonymous reviewers for their invaluable comments and suggestions to improve the paper.

**Financial support.** This research has been supported by the Guangdong Provincial Department of Science and Technology, China (grant no. 2019ZT08G090), the Open Research Fund of State Key Laboratory of Simulation and Regulation of Water Cycle in River Basin, China (grant no. IWHR-SKL-201920), and the National Natural Science Foundation of China, China (grant no. 51909285).

**Review statement.** This paper was edited by Patricia Saco and reviewed by two anonymous referees.

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