



## Supplement of

## **Canopy-scale biophysical controls of transpiration and evaporation in the Amazon Basin**

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## 1 S1. Derivations of evaporative fraction ( $\Lambda$ ) 'state equation' in STIC1.2

In order to express  $\Lambda$  in terms of  $g_A$  and  $g_C$ , we had adopted the Advection–Aridity (AA) hypothesis (Brutsaert and Stricker, 1979) with a modification introduced by Mallick et al. (2015). Although the AA hypothesis leads to an assumed link between  $g_A$  and  $T_0$ , the effects of surface moisture (or water stress) were not explicit in the AA equation. Mallick et al. (2015) implemented a moisture constraint in the original AA hypothesis for deriving an expression of  $\Lambda$ . A modified form of the original advection-aridity hypothesis is written as follows.

$$E_{PM}^{*} = 2E_{PT}^{*} - E \tag{S1}$$

9 Here  $E_{PM}^*$  is the potential evapotranspiration according to Penman-Monteith (Monteith, 1965) 10 for any surface, and  $E_{PT}^*$  is the potential evapotranspiration according to Priestley-Taylor 11 (Priestley and Taylor, 1972). Dividing both sides by *E* we get,

$$\frac{E}{E_{PM}^*} = \frac{E}{2E_{PT}^* - E} \tag{S2}$$

12 and dividing the numerator and denominator of the right hand side of eqn. (S2) by  $E_{PT}^*$  we 13 get,

$$\frac{E}{E_{PM}^*} = \frac{\frac{E}{E_{PT}^*}}{2 - \frac{E}{E_{PT}^*}}$$
(S3)

Again assuming the Priestley-Taylor equation for any surface is a variant of the PM potential evapotranspiration equation, we will derive an expression of  $E_{PT}^*$  for any surface.

$$E_{PM}^{*} = \frac{s\phi + \rho c_{P} g_{A} D_{A}}{s + \gamma \left(1 + \frac{g_{A}}{g_{cmax}}\right)}$$
(S4)

$$= \frac{s\phi}{s + \gamma \left(1 + \frac{g_A}{g_{cmax}}\right)} \left(1 + \frac{\rho c_P g_A D_A}{s\phi}\right)$$
$$= \frac{\alpha s\phi}{s + \gamma \left(1 + \frac{g_A}{g_{cmax}}\right)}$$
$$= E_{PT}^*$$
(S5)

Here  $\gamma$  is the psychrometric constant (hPa K<sup>-1</sup>), s is the slope of the saturation vapor pressure 1 versus air temperature (hPa  $K^{-1}$ ),  $\alpha$  is the Priestley-Taylor parameter ( $\alpha = 1.26$  under non-2 3 limiting moisture conditions),  $D_A$  is the vapor pressure deficit of air (hPa).  $g_{Cmax}$  is defined as the maximum possible  $g_C$  under the prevailing atmospheric conditions whereas  $g_C$  is limited 4 5 due to the moisture availability (M) and hence  $g_{Cmax} = g_C/M$  (Monteith, 1995; Raupach, 6 1998). We assume that M is a significant controlling factor for the ratio of actual and 7 potential evapotranspiration (or transpiration for a dry canopy), and the interactions between 8 the land and environmental factors are substantially reflected in M. Since, Penman (1948) 9 derived his equation over the open water surface and  $g_{Cmax}$  over the water surface is very high (Monteith, 1965; 1981),  $g_A/g_{Cmax}$  was assumed to be negligible. 10

11 Expressing  $\phi$  as  $\phi = E/\Lambda$  and expressing  $E_{PT}^*$  according to eqn. (S5) gives the following 12 expression of  $E/E_{PT}^*$ .

$$\frac{E}{E_{PT}^*} = \frac{\Lambda \left[ s + \gamma \left( 1 + \frac{g_A}{g_{Cmax}} \right) \right]}{\alpha s}$$
(S6)

Now substituting  $E/E_{PT}^*$  from eqn. (S6) into eqn. (S3) and after some algebra we obtain the following expression.

$$\frac{E}{E_{PM}^{*}} = \frac{\Lambda \left[ s + \gamma \left( 1 + \frac{g_{A}}{g_{cmax}} \right) \right]}{2\alpha s - \Lambda \left[ s + \gamma \left( 1 + \frac{g_{A}}{g_{cmax}} \right) \right]}$$
(S7)

1 According to the PM equation (Monteith, 1965) of actual and potential evapotranspiration,

$$\frac{E}{E_{PM}^{*}} = \frac{\frac{s\phi + \rho c_{p}g_{A}D_{A}}{s + \gamma \left(1 + \frac{g_{A}}{g_{C}}\right)}}{\frac{s\phi + \rho c_{p}g_{A}D_{A}}{s + \gamma \left(1 + \frac{g_{A}}{g_{Cmax}}\right)}}$$
(S8)

- 2 Combining eqn. (S7) and (S8) (eliminating  $E/E_{PM}^*$ ) gives an expression for  $\Lambda$  in terms of the
- 3 conductances.

$$\frac{s+\gamma\left(1+\frac{Mg_A}{g_C}\right)}{s+\gamma\left(1+\frac{g_A}{g_C}\right)} = \frac{\Lambda\left[s+\gamma\left(1+\frac{Mg_A}{g_C}\right)\right]}{2\alpha s-\Lambda\left[s+\gamma\left(1+\frac{Mg_A}{g_C}\right)\right]}$$
(S9)

4 After some algebra the final expression of  $\Lambda$  is as follows.

$$\Lambda = \frac{2\alpha s}{2s + 2\gamma + \gamma \frac{g_A}{g_c}(1+M)}$$
(S10)

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