

Cross-evaluation of modelled and remotely sensed surface soil moisture with in situ data in southwestern France

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Abstract. The SMOSMANIA soil moisture network in Southwestern France is used to evaluate modelled and remotely sensed soil moisture products. The surface soil moisture (SSM) measured in situ at 5 cm permits to evaluate SSM from the SIM operational hydrometeorological model of Météo-France and to perform a cross-evaluation of the normalised SSM estimates derived from coarse-resolution (25 km) active microwave observations from the ASCAT scatterometer instrument (C-band, onboard METOP), issued by EUMETSAT and resampled to the Discrete Global Grid (DGG, 12.5 km gridspacing) by TU-Wien (Vienna University of Technology) over a two year period (2007-2008). A downscaled ASCAT product at one kilometre scale is evaluated as well, together with operational soil moisture products of two meteorological services, namely the ALADIN numerical weather prediction model (NWP) and the Integrated Forecasting System (IFS) analysis of Météo-France and ECMWF, respectively. In addition to the operational SSM analysis of ECMWF, a second analysis using a simplified extended Kalman filter and assimilating the ASCAT SSM estimates is tested. The ECMWF SSM estimates correlate better with the in situ observations than the Météo-France products. This may be due to the higher ability of the multi-layer land surface model used at ECMWF to represent the soil moisture profile. However, the SSM derived from SIM corresponds to a thin soil surface layer and presents good correlations with ASCAT SSM estimates for the very first centimetres of soil. At ECMWF, the use of a new data assimilation technique, which is able to use the AS-CAT SSM, improves the SSM and the root-zone soil moisture analyses.

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1 Introduction

The SMOSMANIA (Soil Moisture Observing System - Meteorological Automatic Network Integrated Application) network is a long-term data acquisition effort of profile soil moisture observations in Southwestern France (Calvet et al., 2007; Albergel et al., 2008). With this project, soil moisture profile measurements at 12 automated weather stations of Météo-France from the RADOME (Réseau d'Acquisition de Données d'Observations Météorologiques Etendu) network, have been obtained since January 2007. The main objective of SMOSMANIA is to assess remotely sensed and modelled soil moisture products. Soil moisture is a key variable for land surface monitoring as it controls hydrological processes (runoff, evaporation from bare soil and transpiration from the vegetation cover) and impacts plant growth and carbon fluxes. As a consequence, a significant amount of studies have been and are currently conducted to obtain soil moisture estimates. For that purpose, land surface modelling (Dirmeyer et al., 1999; Georgakakos and Carpenter, 2006 among others) and remote sensing techniques (Wagner et al., 1999, 2007a; Kerr et al., 2001, 2007; Njoku et al., 2003) are used. In situ soil moisture observations are needed to evaluate soil moisture products derived from either modelling or remote sensing.

At Météo-France, modelled surface soil moisture (SSM) data are obtained through the SIM (SAFRAN, ISBA, MOD-COU) suite of models. The SIM system is a combination of three components: (i) the SAFRAN (Durand et al., 1993) atmospheric analysis provides the atmospheric forcing, (ii) the ISBA (Noilhan and Planton, 1989; Noilhan and Mahfouf, 1996) land surface model (LSM) computes the surface water and energy budgets, and (iii) the MODCOU (Ledoux et al., 1989) hydrological model simulates the river flow. SIM was validated for several large-scale catchments in Europe

(Habets et al., 1999; Etchevers et al., 2001; Voirin-Morel, 2003; Artinyan et al., 2008 among others), and implemented over the whole metropolitan France in 2002. SIM has been used operationally at Météo-France since 2003 to monitor the water resource in near real time (Habets et al., 2008) at a national scale (with a 8×8 km resolution).

Spaceborne microwave instruments are able to provide quantitative information about the water content of a shallow near surface layer (Schmugge, 1983), particularly in the low-frequency microwave region from 1 to 10 GHz. Whereas it was shown that surface soil moisture influences the microwave emission of vegetated surfaces from L-band to Kband (~1.42-23.8 GHz, Calvet et al., 2010), L-band is the optimal wavelength range to observe soil moisture. Apart from a few days of L-band radiometric observations on Skylab between June 1973 and January 1974 (Jackson et al., 2004) current or past instruments have been operating at frequencies above 5 GHz. The Soil Moisture and Ocean Salinity mission (SMOS), is a dedicated soil moisture mission launched in November 2009 (Kerr et al., 2001, 2007). It consists of a spaceborne L-band (~1.42 GHz, 21 cm) interferometric radiometer able to provide global SSM estimates at a spatial resolution of about 40 km, with a sampling time of 2-3 d. Another sensor, the Advanced Scatterometer AS-CAT onboard METOP (launched 2006) also produces SSM estimates with a spatial resolution of 50 km and 25 km (resampled to 25 km and 12.5 km grids in the swath geometry). ASCAT is a radar operating at 5.255 GHz (Wagner et al, 2007b; Bartalis et al., 2007a, b; Albergel et al., 2009).

The verification of the SSM products is not easy, as longterm and large-scale SSM observation networks are sparse. Therefore, it is of interest to conceive new validation methods, complementing the existing soil moisture networks (Wagner et al., 2007b). Land surface models can be used to upscale the in situ SSM observations and complete the evaluation of satellite products, assuming that models, forced with high quality atmospheric forcing data, adequately capture the SSM temporal dynamic. In a previous study, Rüdiger et al. (2009) presented an inter-comparison of remotely sensed (ERS-Scat, Wagner et al., 1999a; AMSR-E, Njoku et al., 2003), observed and modelled SSM over France. For that purpose, the SIM model was used. Their work was motivated by the need to validate remotely sensed products, in particular the representation of the seasonal and interannual variability. They considered a period of three years (2003–2005). They assumed that SSM simulations over France from SIM may be used as credible estimates for the evaluation of remotely sensed SSM. However, they could evaluate the SIM model over one site in Southwestern France only, namely the SMOSREX experimental site (de Rosnay et al., 2006).

Operational soil moisture products are also available from numerical weather prediction (NWP) services such as Météo-France and ECMWF (European Centre for Medium-Range Weather Forecasts), among others. Currently, the soil moisture analysis systems used for NWP applications are based on observed screen-level variables, namely air temperature and relative humidity at two meters above the ground, $T_{2 m}$ and RH_{2 m}, respectively (Drusch et al., 2009; de Rosnay et al., 2009). Simple assimilation techniques were developed for that purpose, such as Optimal Interpolation (OI) (Mahfouf et al., 1991). This technique is used operationally at Météo-France (Giard and Bazile, 2000), ECMWF (Douville et al., 2000) and at the Canadian Meteorological Centre (Bélair et al., 2003). However several studies have showed that while this method improves the forecast skill for surface atmospheric variables, it may not improve the modelled soil moisture content (Drusch and Viterbo, 2007; van den Hurk et al., 2008). Therefore, observations having a more direct link with soil variables than T_{2m} and RH_{2m} are required. New spaceborne observation techniques are able to provide such variables like soil moisture estimates at a global scale. As the Optimal Interpolation (OI) technique developed by Mahfouf (1991) is not flexible enough to easily account for new observation types (Mahfouf et al., 2009), the operational analysis systems need to be modified to make optimal use of satellitebased land surface information. Hence, at ECMWF (Drusch et al., 2009) and Météo-France (Mahfouf et al., 2009), new Extended Kalman Filter (EKF) analysis systems are under development, which are able to assimilate T_{2m} and RH_{2m} together with soil moisture estimates from remote sensing.

In this study, the operational soil moisture product from ECMWF (from the Integrated Forecasting System, IFS, with the OI analysis based on T_{2m} and RH_{2m}) and Météo-France (from ALADIN, Aire Limitée Adaptation Dynamique et développement InterNational, with the OI analysis based on T_{2m} and RH_{2m}) are evaluated thanks to in situ measurements. Furthermore, another soil moisture product from ECMWF is also evaluated, which uses a simplified EKF for soil moisture analysis (Drusch et al., 2009) to assimilate AS-CAT SSM estimates, in addition to T_{2m} and RH_{2m} .

This study presents a cross-evaluation of in situ, remotely sensed and simulated SSM estimates, in Southwestern France. After a description of the different SSM data sets used in this study, the SIM estimates of SSM are evaluated over a two-year period (2007–2008) using the in situ SSM observations of the twelve stations of the SMOSMA-NIA network and of the SMOSREX experimental site. Then the ASCAT SSM estimates are compared with the in situ and SIM SSM estimates. A downscaling method is applied to the ASCAT SSM in order to obtain a one-kilometre scale product and the added value of this new data set is assessed through the spatial correlation with the SMOSMANIA network. Finally, an evaluation of the NWP SSM of ECMWF and Météo-France is presented. The different soil moisture data sets used in this study are presented in Table 1.

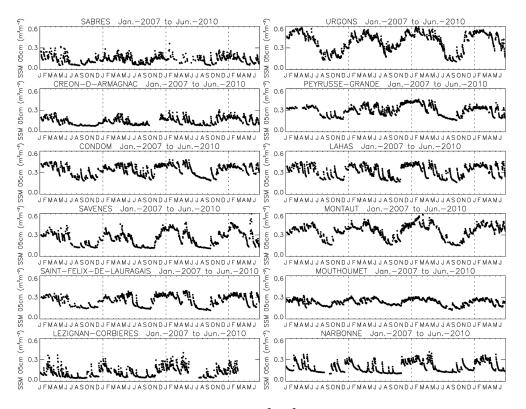


Fig. 1. Daily average 5 cm (SSM) volumetric soil moisture content ($m^3 m^{-3}$) for the twelve stations of the SMOSMANIA network over a two year and six month period (January 2007–June 2009).

2 Material and methods

2.1 In situ soil moisture observations

2.1.1 The SMOSMANIA network

The SMOSMANIA soil moisture network has several objectives including: (i) the validation of the operational soil moisture products of Météo-France, produced by the hydrometeorological SIM model (Habets et al., 2005, 2008), (ii) the validation of new versions of the ISBA land surface model of Météo-France, (iii) ground-truthing of airborne Cal/Val campaigns in support of the SMOS mission and (iv) the evaluation of remotely sensed soil moisture products. Twelve stations of the existing automatic weather station network of Météo-France (RADOME) in Southwestern France were equipped with soil moisture probes at four depths (5, 10, 20 and 30 cm). The RADOME stations observe air temperature and relative humidity, wind speed and precipitation. Downwelling shortwave radiation is also measured at some stations. The twelve stations of the SMOSMANIA network are located along a 400 km transect between the Mediterranean Sea and the Atlantic Ocean following the climatic gradient between the two coastlines. The soil moisture measurements are in units of $m^3 m^{-3}$, they are derived from capacitance probes: ThetaProbe ML2X of Delta-T Devices, easily interfaced with the RADOME stations. A ThetaProbe provides a signal in units of volt and its variations is virtually proportional to changes in the soil moisture content over a large dynamic range (White et al., 1994). In this study, in order to convert the voltage signal into a volumetric soil moisture content, site-specific calibration curves were developed using in situ gravimetric soil samples, for each station, and each depth i.e., 48 calibrations curves (Calvet et al., 2007; Albergel et al., 2008). The ThetaProbes were installed in 2006 and have produced continuous observations since then, with a sampling time of 12 min. In this study, data acquired from January 2007 to June 2009 are used. Along with soil moisture measurements, soil temperature is also measured. Figure 1 shows the daily average 5 cm (SSM) volumetric soil moisture content for the twelve stations over a 30-month period (January 2007 to June 2009).

While SMOSMANIA was designed to support the validation of soil moisture estimates from SMOS, other satellitederived SSM products may be considered, together with model soil moisture estimates over France (Rüdiger et al., 2009; Albergel et al., 2008), e.g. AMSR-E (Advanced Microwave Scanning Radiometer for Earth Observing System), WindSAT (a multi-frequency polarimetric microwave radiometer), or ASCAT.

Soil moisture data set	Туре	Soil layer depth	Considered period	Spatial resolution
SMOSMANIA	In situ observation	5 cm	January 2007 to December 2008; July 2008 to June 2009	Local scale
SMOSREX	In situ observation	0–6 cm	January 2007 to December 2008	Local scale
SIM	Hydro- meteorological model	Thin surface layer (ISBA LSM)	January 2007 to December 2008; July 2008 to June 2009	8 km
ASCAT	Remotely sensed (Active radar at C band 5.255 GHz)	0.5–2 cm	January 2007 to December 2008	25 km resampled to a Discrete Global Grid: DGG, 12.5 km grid spacing
ALADIN	NWP model (using the ISBA LSM)	Thin surface layer	July 2008 to June 2009	9.5 km
IFS_ECMWF (using the HTESSEL LSM)	NWP model	0–7 cm and 7–28 cm	July 2008 to June 2009	23 km (T799)
IFS_f6ui (using the HTESSEL LSM)	NWP model	0–7 cm and 7–28 cm	July 2008 to June 2009	23 km (T799)

Table 1. Presentation of the different soil moisture products used in this study. NWP and LSM stand for numerical weather prediction, and land surface model, respectively.

2.1.2 The SMOSREX experimental site

Located along the SMOSMANIA transect, the SMOSREX experimental site (de Rosnay et al., 2006) is also used in this study as it includes profile soil moisture observations since 2001. SSM measurements are performed with a vertically installed ThetaProbe (0–6 cm) and every ten centimetres until almost one meter depth (10, 20, 30, 40, 50, 60, 70, 80 and 90 cm). Additionally to those measurements, all the atmospheric forcing data required to run a LSM such as ISBA are observed, as well as energy and carbon fluxes. An L-Band radiometer (Lemaître et al., 2004) placed 15 m above the soil observes the L-band brightness temperature of the grassland. It was found that water, energy and carbon fluxes measured at SMOSREX correlate well with simulations of the CO₂ responsive version of ISBA, ISBA-A-gs (Albergel et al., 2010).

Figure 2 presents the 12 stations of the SMOSMANIA network and the SMOSREX experimental site in south-western France. Most stations are located in relative flat areas.

2.2 ASCAT soil moisture estimates

The Advanced SCAT terometer ASCAT onboard METOP (launched in 2006) is, like ERS-1&2, a real aperture radar instrument measuring radar backscatter with a good accuracy

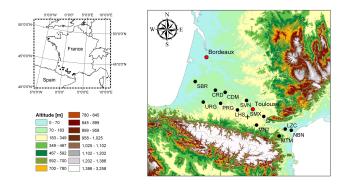


Fig. 2. SMOSMANIA stations in southwestern France (black dots), forming a 400 km transect between the Atlantic Ocean and the Mediterranean sea. The + symbol is for the SMOSREX site.

and stability (Bartalis et al., 2007b). ASCAT uses a VV polarization in the C-band at about 5.255 GHz and observes the Earth surface with a spatial resolution of 50 km and 25 km. Similar to the predecessors ERS-1&2, three antenna beams measure the radar backscatter at each sampling node, but at two sub-swaths with nearly 500 km in total width. The result is three independent backscatter measurements at the nodes of a 25 km orbit grid at different viewing angles and separated by a short time delay (Attema, 1991). Land cover and vegetation phenology affect the spatial and temporal behaviour of the scatterometer. Wagner et al. (1999b) demonstrated that using a time series-based approach could minimize the influence of the vegetation for soil moisture retrieval. They proposed to scale the backscatter coefficient, extrapolated to a reference incidence angle of 40°, between the lowest and highest values measured over a 15-yr long period. In this study, the change-detection model parameters used for retrieving SSM from ASCAT backscatter observations are ERS-derived parameters. A new ASCAT data set is now available and parameters (Brocca et al., 2010b). However, as the former is considered in the ECMWF algo-

rithm, all the results presented in this study are based on the

ERS-based ASCAT product. In a previous study, Albergel et al. (2009) found an estimate of the average error of ASCAT SSM retrieval of $0.06 \,\mathrm{m^3 \,m^{-3}}$ when comparing ASCAT estimates to in situ SSM observations at 11 stations of the SMOSMANIA network over a 6-month period (April to September 2007). This value is consistent with the estimate given by Pellarin et al. (2006) for ERS-Scat, over a region in Southwestern France. While Albergel et al. (2009) used orbit data delivered by EUMETSAT, the ASCAT data used in this study (also issued by EUMETSAT) were resampled to the Discrete Global Grid (DGG, 12.5 km grid spacing) by TU-Wien (Institute of Photogrammetry and Remote Sensing, Vienna University of Technology) over a two year period, 2007–2008, generated by their new processor (WAter Retrieval Package, WARP-5, Naeimi et al., 2009). This re-sampling was done in order to get time series and to facilitate the comparison with single point soil moisture products. Albergel et al. (2009) confirmed that along the SMOSMANIA transect, soil moisture measured at a specific location is correlated with the mean soil moisture content derived from the very low resolution ASCAT data. Indeed, several studies have shown that soil moisture variations in space and time can be related to small scale and large-scale components (Entin et al., 2000). The large-scale component is related to the atmospheric forcing (precipitation and evaporation processes) and the small-scale component is mainly due to soil properties, land cover attributes and local topography. The temporal stability concept proposed by Vachaud et al. (1985) indicates that soil moisture patterns tend to persist in time and therefore that soil moisture observed at a single point is often highly correlated with the mean soil moisture content over an area. To some extent, it is possible to estimate soil moisture over an area from local measurements. Conversely, Wagner et al. (2008) showed that downscaling very low resolution SSM using remote sensing techniques is possible. The ASAR (Advanced Synthetic Aperture Radar) spaceborne instrument onboard ENVISAT provides measurements sensitive to soil moisture at a kilometre scale every ten days, and one can assume that the temporally stable soil moisture patterns are reflected in

the radar backscatter measurements. It represents the local scale, mostly driven by local conditions (see above) whereas ASCAT data represents the large scale, driven by the atmospheric forcing.

2.3 Soil moisture products from land surface models

2.3.1 SIM

In this study, the SIM model suite SAFRAN-ISBA-MODCOU provides a SSM data set, from January 2007 to December 2008. SAFRAN (Système d'analyse fournissant des renseignements atmosphériques à la neige, Durand et al., 1993) is a mesoscale atmospheric analysis system providing gridded surface meteorological variables. It was initially developed to provide an analysis of the atmospheric forcing in French mountainous areas for avalanche forecasting. SAFRAN analyses eight parameters: 10-m wind speed, 2-m relative humidity, 2-m air temperature, cloudiness, incoming solar and atmospheric radiations, snowfall and rainfall. Hence, it provides an analysis for the main atmospheric forcing parameters using information from more than 1000 meteorological stations and more than 3500 daily rain gauges throughout France. For each variable analysed, an optimal interpolation method is used to assign values to given altitudes within the zone. A detailed description of the SAFRAN analysis over France is presented in Quintana-Segui et al. (2008). They also show that a good correlation between the SAFRAN database and in situ observations exists. The land surface scheme used in SIM is ISBA. It is the land surface model used in the NWP, research and climate models of Météo-France. In the ISBA version used in this study, the soil hydrology is based on the force restore approach. The soil is represented by one bulk reservoir corresponding to the maximum rooting depth, including a thin surface layer, and regardless of the actual root development, according to Deardorff (1978). In the SIM system, the soil layer and soil moisture dynamics are modelled within a three soil-layer model (Boone et al., 1999), together with the explicit multilayer snow model (Boone and Etchevers, 2001). The soil and vegetation parameters used by ISBA are derived from a global database of soils and ecosystems, the ECOCLIMAP database (Masson et al., 2003). In SIM, the ISBA parameters, provided at a resolution of 1 km by ECOCLIMAP, are aggregated at the spatial resolution of the model, i.e. 8 km.

MODCOU is a hydrogeological model that computes the spatial and temporal evolution of the piezometric level of multilayer aquifers (Ledoux et al., 1989). However it was not used in the current study.

2.3.2 ALADIN

The ISBA land surface model parameterization is used in the French NWP models – the global variable resolution model ARPEGE (Action de Recherche Petite Echelle Grande Echelle) and the embedded limited area model ALADIN (Aire Limitée Adaptation Dynamique Développement International). ALADIN is a spectral limited area model with a spatial resolution of 9.5 km, using a 6-h window 3-D-Var assimilation system (forecast range: 54 h). The surface analysis is independent from the 3-D-VAR atmospheric analysis (Fischer et al., 2006). Observations of T_{2m} and RH_{2m} are used to analyse soil temperature and soil moisture following Mahfouf (1991) and using the OI coefficients determined by Giard and Bazile (2000) for the ISBA model. Before February 2009, the ALADIN analysis consisted of a simple interpolation of the global ARPEGE analysis to the ALADIN grid.

2.3.3 IFS

The IFS cycles used in operations at ECMWF in 2009 are 35r1, 35r2 and 35r3. In these cycles the soil moisture analysis is based on observed screen-level parameters (T_{2m} and RH_{2m}) and the assimilation technique used is the optimal interpolation as described at http://www.ecmwf.int/research/ ifsdocs/CY33r1/. Within the ECMWF's IFS, an advanced surface data assimilation system has also been developed and is under implementation in operations, in order to optimally combine conventional observations with satellite measurements. It is based on a Simplified Extended Kalman Filter (SEKF). The SEKF is described in Drusch et al. (2009) and its implementation and evaluation are described in de Rosnay et al. (2009). The IFS land surface model is H-TESSEL, a multilayer model considering four soil layers (0-7, 7-28, 28-100, 100-289 cm) (Balsamo et al., 2009). The operational IFS soil moisture analysis is produced daily at 00:00, 06:00, 12:00 and 18:00 UTC, at a spatial resolution of 23 km (T799). As for ALADIN, the surface analysis is independent from the 4-D-VAR atmospheric analysis. In this study, the 00:00 UTC analysis is considered. The operational SSM product (OI, T_{2m} and RH_{2m}) is evaluated together with the IFS F6ui research product based on the SEKF assimilation of T_{2m} , RH_{2m}) and ASCAT SSM observations. It is not the first attempt to use satellite derived soil moisture at ECMWF. In a previous study, Scipal et al. (2008) examined the potential of ASCAT SSM based on data from its predecessor instruments, the ERS-1&2. They used a nudging scheme to assimilate those data and found an increase in correlations and a decrease in RMSE when comparing the resulting soil moisture to in situ data of the Oklahoma Mesonet.

2.4 Data preparation

ASCAT SSM estimates represent a relative measure of the soil moisture content in the first few centimetres of the soil which are sensed by C-band microwaves, about 0.5 to 2 cm according to Schmugge (1983). Those data correspond to the degree of saturation of the topmost soil layer and are given in

units of percent, ranging between 0 (dry) to 100 (wet). The ASCAT SSM data were rescaled following the approach presented by Rüdiger et al. (2009). The 90% confidence interval was chosen to define the upper and lower values to exclude any abnormal outliers due to instrument noise using Eqs. (1) and (2):

$$Int^{+}(SSM_{sim}) = \mu(SSM_{sim}) + 1.64\sigma(SSM_{sim})$$
(1)

$$Int^{-}(SSM_{sim}) = \mu(SSM_{sim}) - 1.64\sigma(SSM_{sim})$$
(2)

Where Int+ and Int- are the upper and lower 90% limits of the confidence interval. Then a new ASCAT SSM data set is obtained using Eq. (3):

$$SSM = \frac{SSM - Int^{-}}{Int^{+} - Int^{-}}$$
(3)

It is assumed that both modelled and in situ data sets do not have such outliers problem and they were rescaled using the maximum and minimum values of each individual times series considering the whole 2007–2008 period.

The SIM spatial resolution is 8 km and continental France is covered with 9892 grid points. The nearest neighbour technique is used to co-locate the SMOSMANIA stations with the closest SIM grid-point. The same technique is used to make a correspondence between ASCAT grid-points and SIM, ASCAT grid-points and the SMOSMANIA stations and also for the operational products from ECMWF and Météo-France. As in Albergel et al. (2009), only the descending (morning) ASCAT passes are used in this study, as better scores are obtained with those data (Wagner et al., 1999a, 2007a; Albergel et al., 2009). When considering AS-CAT data, Kendall statistics (τ) and p-value (a measure of the correlation significance) are calculated. The Kendall τ is a non-parametric measure of correlation that assesses how well an arbitrary monotonic function could describe the relationship between two variables, without making any assumptions about the frequency distribution of the variables. It is used to measure the degree of correspondence between two rankings and assessing the significance of this correspondence. AS-CAT data are downscaled from the 12.5 km equal grid spacing to a one kilometre scale thanks to the linear relation expressed by Eq. (4):

$$SSM^{local}(x, y, t) = c(x, y) + d(x, y) \times SSM^{regional}(t)$$
(4)

where local SSM at point (x, y) is obtained using downscaling parameters c and d (previously derived from ASAR measurements) at point (x, y) and using the regional SSM at time t. The downscaling parameters c and d were provided by TU-Wien and more details can be found in Wagner et al. (2008).

The two years 2007–2008 period is used for the evaluation of SIM and ASCAT soil moisture products. The common period for ALADIN, IFS, SIM and in situ data is from July 2008 to June 2009. All these SSM products are in units of $m^3 m^{-3}$, but they may correspond to soil surface layers

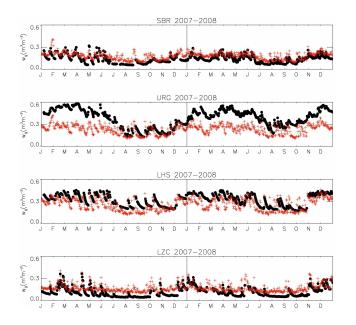


Fig. 3. Comparison between modelled SSM from the SIM model (red crosses) and in situ SSM (black dots) for four stations of the SMOSMANIA network (Sabres, Urgons, Lahas, and Lézignan-Corbières – SBR, URG, LHS, LZC, respectively) over a two year period (2007–2008).

with different thicknesses (a very thin surface layer for AL-ADIN and SIM, 0–7 cm for IFS, 5 cm for the SMOSMANIA stations). As the IFS uses a multilayer model (the H-TESSEL land surface scheme of ECMWF), the simulated root-zone soil moisture content (7–28 cm) can be compared to the in situ observations at 20 cm.

3 Results and discussion

3.1 Evaluation of the SIM model

Figure 3 presents a comparison between the SSM values from the SIM model and the in situ SSM observations for four stations of the SMOSMANIA network over a twoyear period (2007-2008). The statistical scores are presented in Table 2 for all the stations. The comparison of the SIM with in situ data shows a good temporal correlation with r values ranging from 0.60 to 0.78, with an average of 0.70 and a standard deviation of 0.06. Biases are ranging from -0.080 to $0.149 \text{ m}^3 \text{m}^{-3}$ (in situ minus model, i.e. the model tends to underestimate SSM) with an average of $0.030 \text{ m}^3 \text{ m}^{-3}$ and standard deviation of $0.066 \text{ m}^3 \text{ m}^{-3}$. The RMSE ranges from 0.053 to $0.174 \text{ m}^3 \text{ m}^{-3}$, with an average value of $0.085 \text{ m}^3 \text{ m}^{-3}$, and a standard deviation of $0.035 \text{ m}^3 \text{ m}^{-3}$. Figure 3 shows that the SSM temporal variability of SIM is high, compared with the observations. A possible explanation is that the thickness of the surface soil layer modelled by SIM is less than 1 cm, hence more sub-

Table 2. Main statistical scores for the comparison between modelled SSM from the SIM model and in situ SSM (5 cm) for the twelve stations of the SMOSMANIA network and for the SMOSREX site over a two-year period (2007–2008).

Stations	r	Bias (m ³ m ⁻³)	RMSE (m ³ m ⁻³)
SBR	0.78	-0.041	0.053
URG	0.67	0.149	0.174
CRD	0.70	-0.080	0.090
PRG	0.73	0.042	0.067
CDM	0.77	0.085	0.098
LHS	0.71	0.060	0.085
SVN	0.64	0.027	0.073
MNT	0.64	0.118	0.137
SFL	0.77	0.001	0.052
MTM	0.60	0.058	0.075
LZC	0.77	-0.055	0.068
NBN	0.66	0.004	0.049
SMX	0.64	0.031	0.082
All stations	0.70	0.030	0.085

jected to rapid variations in response to rather small rain events than the in situ observations at 5 cm. Also, the spatial interpolation process within SAFRAN may generate precipitation events, which are not observed at the local scale. However, on the basis of these results, it can be assumed that the SIM predictions may be used as a credible SSM data set to evaluate remotely sensed SSM estimates.

3.2 Evaluation of ASCAT data

3.2.1 Using in situ data

The statistical scores are presented in Table 3. As in Albergel et al. (2009) one station, MTM, located in a rather mountainous area (538 m a.s.l.) is not used because of the lack of usable satellite measurements. For the eleven remaining stations of the SMOSMANIA network and for the SMOSREX site used in this comparison, the correlation between the in situ observations and the satellite SSM estimates over the 2007-2008 2-yr period is very significant (Kendall p-values lower than 10^{-4}). The usage of ASCAT data reprocessed over a grid (WARP-5), instead of raw orbit data, seems to limit the effect of the proximity of the Mediterranean Sea found by Albergel et al. (2009) for the two most eastward stations, LZC and NBN, which now have significant correlations. The r values range from 0.47 to 0.71 with an average of 0.59 and a standard deviation of 0.07. The lowest correlation is found for the station of MNT, and the presence of hilly terrains and forests may explain this result. Among the 12 stations, seven stations have r values greater than 0.6. No systematic dry or wet bias is observed, with values ranging from -0.329 to 0.076 (dimensionless) and an average

Stations- (distance between in situ station and the nearest ASCAT grid- point in km)	,		Bia	s (–)	RMS	E (–)
	ASCAT	ASCAT	ASCAT	ASCAT	ASCAT	ASCAT
	vs in situ	vs SIM	vs in situ	vs SIM	vs in situ	vs SIM
SBR - 5.8	0.71	0.73	-0.192	-0.177	0.229	0.205
URG – 4.4	0.64	0.69	-0.115	-0.284	0.229	0.320
CRD – 4.2	0.63	0.71	-0.329	-0.175	0.357	0.224
PRG – 5.4	0.59	0.71	-0.051	-0.112	0.209	0.197
CDM – 4.5	0.63	0.69	0.033	-0.066	0.195	0.179
LHS – 3.6	0.62	0.64	0.040	-0.011	0.217	0.188
SVN - 6.8	0.60	0.65	-0.220	-0.107	0.278	0.199
MNT – 5.2	0.47	0.57	0.031	-0.085	0.219	0.210
SFL – 2.3	0.51	0.59	-0.051	-0.023	0.245	0.204
MTM	n/a	n/a	n/a	n/a	n/a	n/a
LZC – 6.5	0.61	0.63	-0.121	-0.067	0.202	0.157
NBN - 5.4	0.54	0.56	-0.052	-0.072	0.211	0.170
SMX - 6.8	0.52	0.64	0.076	-0.084	0.253	0.198
Averaged scores	0.59	0.65	-0.079	-0.105	0.237	0.204

Table 3. Statistical scores for the comparison between either ASCAT and in situ (5 cm) or ASCAT and SIM surface soil moisture (dimensionless as SSM data where rescaled, see paragraph 2.4 Data preparation) over a two year period (2007–2008) for 11 stations of the SMOSMANIA network and for the SMOSREX site. The last row is for the average of the 12 stations.

of -0.079. As the average RMSE is 0.237 (dimensionless), and given the average dynamic range of $0.24 \text{ m}^3 \text{ m}^{-3}$ observed for the SMOSMANIA stations at a depth of 5 cm, the average RMSE of the soil moisture retrieval is about $0.057 \text{ m}^3 \text{ m}^{-3}$, close to the value of $0.06 \text{ m}^3 \text{ m}^{-3}$ found in Albergel et al. (2009). The same analysis was performed for each season (Table 4) with similar results except for summer, presenting lower *r* values (0.43 on average). In summer, more localised convective precipitation may occur in Southwestern France. Moreover, high temperatures and enhanced evaporation rates observed at summer can lead to quick variations of soil moisture as seen by ASCAT (thin soil surface layer) after a rainfall event. Better correlations are obtained in autumn with an average *r* of 0.61.

3.2.2 Using data from land surface models

In this section, the ASCAT SSM data set is compared with the SIM data set over the same period as in the previous Sect. 3.2.1 (2007–2008). Table 3 presents the statistical scores. The *r* values range from 0.56 to 0.73 with an average of 0.65 and a standard deviation of 0.06. The mean bias is always negative with an average value of -0.105 (SIM minus ASCAT, i.e. the SIM SSM tends to be drier) and a mean error RMSE = 0.204. As the average dynamic

range of SSM modelled by SIM for the considered stations is $0.31 \text{ m}^3 \text{ m}^{-3}$, an average RMSE of the soil moisture retrieval is about $0.063 \text{ m}^3 \text{ m}^{-3}$.

At the location of the SMOSMANIA stations, the ASCAT SSM always correlates better with the SIM estimates than with the in situ observations, over the whole 2007-2008 period and also per season. The two main factors that may decrease the correlation between in situ and SIM SSM are less critical for ASCAT vs. SIM: (1) the thin surface layer used in SIM (less than 1 cm) is more consistent with the thin remotely sensed depth by ASCAT at C-band (0.5 to 2 cm), than the in situ observations at a depth of 5 cm; (2) the interpolated atmospheric forcing (e.g. precipitation) provided by the SAFRAN analysis and used in SIM (8 km resolution) may be more representative of the area observed in an AS-CAT pixel than local observations. Moreover, it was shown in Sect. 3.1 that SIM is able to capture the SSM dynamic with a good accuracy. It can be used as an additional tool for the evaluation of remotely sensed soil moisture estimates at a larger scale. Figure 4 illustrates the comparison between ASCAT and SIM SSM. Over this two year period, it is possible to appreciate the seasonal cycles of SSM, i.e. with dry (summer) and wet (winter) periods for both SSM data sets and for all the considered stations. The seasonal cycle seems to be more marked for the SIM SSM.

Table 4. Averaged seasonal statistical scores for 11 stations of the SMOSMANIA network and for the SMOSREX site for the comparison between (i) ASCAT vs. in situ (5 cm) SSM and (ii) ASCAT vs. SIM SSM over a two year period.

	r		Bias (-)		RM	SE (-)	Estimated Error (m^3m^{-3})		
	In situ vs. ASCAT	SIM vs. ASCAT	In situ vs. ASCAT	SIM vs. AASCAT	In situ vs. SCAT	SIM vs. ASCAT	In situ vs. ASCAT	SIM vs. ASCAT	
Winter	0.53	0.61	-0.022	-0.069	0.197	0.177	0.047	0.055	
Spring	0.51	0.57	0.036	-0.040	0.221	0.177	0.053	0.055	
Summer	0.43	0.53	-0.161	-0.126	0.260	0.235	0.062	0.073	
Autumn	0.61	0.69	-0.146	-0.117	0.239	0.203	0.057	0.063	
All	0.59	0.65	-0.079	-0.105	0.237	0.204	0.058	0.062	

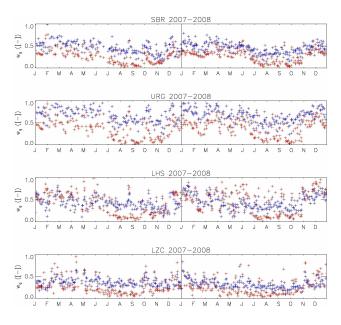


Fig. 4. Comparison between modelled SSM from the SIM model (red crosses) and ASCAT SSM estimates (blue crosses) for four stations of the SMOSMANIA network (Sabres, Urgons, Lahas, and Lézignan-Corbières – SBR, URG, LHS, LZC, respectively) over a two year period (2007–2008).

Finally, this representation of the seasonal cycle (Figs. 3 and 4) is completed by Fig. 5. The latter presents the probability density function of the three SSM data sets (in situ, AS-CAT, SIM) over the LHS station. A bi-modal shape, characteristic of long SSM time series, is observed for the three data sets. A similar bi-modal pdf is observed for the other stations (not shown). It is caused by the accumulation of high SSM values in wet conditions (e.g. winter and springtime) and of low SSM values in dry conditions (e.g. summertime and the autumn) illustrated by Figs. 3 and 4.

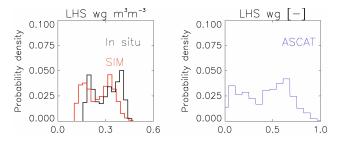


Fig. 5. Probability density function of SSM for the three data sets used in this study, (left) in situ and SIM $(m^3 m^{-3})$ and (right) AS-CAT (relative humidity) over a two year period 2007–2008 for the Lahas (LHS) station.

3.2.3 ASCAT downscaled product

Over the 2007–2008 period, the comparison could be made only for nine of the twelve SMOSMANIA stations and the SMOSREX site. As in Sect. 3.2.1, the station of MTM is not used. Moreover, the covered area is limited by the availability of the downscaling parameter database derived from ASAR. The area close to the Mediterranean sea is not covered, and therefore the stations of LZC and NBN could not be considered. A total of 150 ASCAT swaths covering all the considered stations at 150 dates in 2007 or 2008 are used for this analysis. As Eq. (4), used to downscale the ASCAT products at a one kilometre scale, is linear, it is not of interest to reproduce the same comparison as in Sect. 3.2.1 with the in situ data. However, bias and RMSE were investigated. This analysis was done through different steps:

- step 1: Over the considered 2-year period, the ASCAT swaths covering the 10 considered stations (9 SMOS-MANIA + SMOSREX) are isolated and analyzed separately.
- step 2: For each of these swaths (a total of 150), spatial correlations are calculated between the available in situ data (always 10 stations) and either ASCAT coarse resolution or downscaled estimates, resulting in 150 correlation values in both cases.

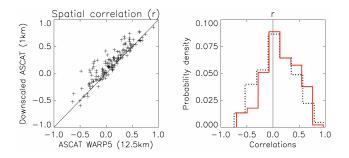


Fig. 6. Spatial correlation between ASCAT SSM estimates and in situ SSM vs. spatial correlation between downscaled ASCAT SSM estimates and in situ SSM, 150 ASCAT swaths (i.e. days) are considered: (left) scatterplot, (right) probability densities of for the ASCAT SSM (dotted line), and for the downscaled ASCAT SSM (red line).

 step 3: The 150 correlations values between in situ and coarse resolution ASCAT estimates are compared with the 150 correlations values between in situ and downscaled ASCAT estimates.

It permits to appreciate the added value of the downscaled product. For the considered group of stations (10 stations), the following scores are obtained with the low resolution (WARP-5, 12.5 km) ASCAT grid point (averaged for all stations): bias = -0.078 and RMSE = 0.243. With the down-scaled product, similar scores are obtained: bias = -0.071 and RMSE = 0.257.

The same spatial correlation is calculated for each considered date and the nearest low resolution (WARP-5, 12.5 km) ASCAT grid point. The spatial correlations derived from the low resolution product are compared with those derived from the downscaled product in Fig. 6 (left). In 115 out of the 150 swaths (about 77%), correlations are greater when downscaled ASCAT estimates are used. This result underlines the added value of the downscaled product. However, while for most swaths, spatial correlations are improved, the average score for all swaths is not very different. This is illustrated by Fig. 6 (right) where the probability density of the correlation between in situ SSM and either low resolution (WARP-5, 12.5 km) or downscaled ASCAT products is presented.

3.3 Evaluation of operational NWP soil moisture products

In this section, three different NWP soil moisture analyses from ECMWF and Météo- France are evaluated thanks to in situ soil moisture measurements at twelve stations of the SMOSMANIA network over a one year period (July 2008– June 2009). The operational analyses from Météo-France and ECMWF are based on the OI technique and the use of $T_{2 m}$ and RH_{2 m}. However, the LSM is different: the H-TESSEL LSM used in the ECMWF IFS is a multilayer model with a surface layer of 7 cm, whereas ISBA in the ALADIN

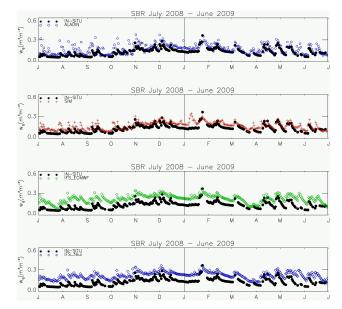


Fig. 7. Comparison of in situ surface soil moisture at Sabres (SBR) with simulations provided by (from top to bottom): (i) the ALADIN operational NWP model (OI analysis, $T_{2 \text{ m}}$, $\text{RH}_{2 \text{ m}}$), (ii) the SIM hydro-meteorological model, (iii) the ECMWF IFS (OI analysis, $T_{2 \text{ m}}$, $\text{RH}_{2 \text{ m}}$) and (iv) the ECMWF IFS using a SEKF analysis (AS-CAT SSM, $T_{2 \text{ m}}$, $\text{RH}_{2 \text{ m}}$).

system of Météo-France considers a very thin surface layer. The in situ SSM is observed at a depth of 5 cm, which is more in line with the physics of H-TESSEL. In addition to these operational data, a third analysis is evaluated, derived from the research IFS F6ui ECMWF system, based on a simplified Extended Kalman Filter (Drusch et al., 2009) assimilating T_{2m} and RH_{2m} and ASCAT SSM estimates. Time series of SSM are shown in Fig. 7, for the most westward station of the SMOSMANIA network (SBR), over the one year period considered for this study. The SSM derived from SIM is shown as well. The temporal SSM dynamic is well captured by the different models. The ALADIN SSM presents a higher variability than the in situ observations and the other analyses products. The two ECMWF products present a higher bias while correlating better with the in situ observations. Standard deviations for ALADIN, SIM, new product of ECMWF (IFS F6ui) and the operational one (IFS ECMWF) are 0.059, 0.050, 0.044 and 0.049 $\text{m}^3 \text{m}^{-3}$, respectively. The statistical scores are presented in Table 5. For nine stations over a total of twelve, the IFS F6ui product gives better results compared to the IFS ECMWF, with r values higher than 0.79. An evaluation of the analysed SSM values is shown in Fig. 8, presenting r values, mean bias and RMSE scores. The two ECMWF SSM products correlate better with in situ data than all other products, while the Météo-France ALADIN product is slightly less biased, with mean bias values of 0.022, 0.037, -0.036 and -0.041 m³ m⁻³ for ALADIN, SIM, IFS

Table 5. Evaluation of operational surface soil moisture products from meteorological services using the SMOSMANIA surface soil moisture
(5 cm depth) over a one year period (July 2008–June 2009). The last row shows the average of the 12 stations.

		r				Bias (m ³ m ⁻³)				RMSE $(m^3 m^{-3})$				
	ALADIN	IFS ECMWF	IFS F6ui	SIM	ALADIN	IFS ECMWF	IFS F6ui	SIM	ALADIN	IFS ECMWF	IFS F6ui	SIM		
SBR	0.73	0.77	0.83	0.80	-0.040	-0.089	-0.089	-0.043	0.059	0.095	0.093	0.053		
URG	0.56	0.75	0.83	0.72	0.187	0.060	0.060	0.180	0.204	0.092	0.090	0.192		
CRD	0.69	0.81	0.82	0.78	-0.092	-0.214	-0.214	-0.073	0.108	0.216	0.216	0.083		
PRG	0.61	0.83	0.80	0.80	0.062	-0.022	-0.024	0.067	0.097	0.049	0.052	0.082		
CDM	0.60	0.78	0.84	0.78	0.074	0.004	0.001	0.087	0.106	0.045	0.042	0.100		
LHS	0.65	0.86	0.86	0.82	0.022	-0.028	-0.038	0.033	0.083	0.061	0.069	0.064		
SVN	0.50	0.75	0.79	0.69	-0.022	-0.077	-0.086	0.037	0.105	0.108	0.115	0.088		
MNT	0.61	0.83	0.83	0.75	0.032	0.121	0.100	0.097	0.132	0.078	0.077	0.141		
SFL	0.69	0.90	0.89	0.80	0.005	-0.049	-0.060	0.000	0.070	0.060	0.071	0.053		
MTM	0.59	0.85	0.84	0.75	0.015	-0.057	-0.059	0.046	0.070	0.079	0.080	0.069		
LZC	0.66	0.80	0.86	0.85	-0.044	-0.142	-0.146	-0.039	0.078	0.151	0.152	0.057		
NBN	0.62	0.88	0.89	0.74	0.070	0.062	0.066	0.057	0.070	0.062	0.066	0.057		
SMX	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a		
Averaged scores	0.63	0.82	0.84	0.77	0.022	-0.036	-0.041	0.037	0.099	0.091	0.094	0.087		

ECMWF and IFS F6ui, respectively. Figure 9 completes the evaluation by presenting the r values, mean bias and RMSE scores of the two ECMWF products for the second (7–28 cm) layer of soil, based on in situ observations at 20 cm. The IFS F6ui analysis correlates better with in situ data than the IFS ECMWF product for both SSM and root zone soil moisture (Table 6). The average r between the IFS ECMWF root-zone soil moisture and in situ observations (at 20 cm) is 0.81, against 0.84 for IFS F6ui.

The biases observed for both ECMWF and Météo-France products might be caused by shortcomings in the employed soil characteristics and pedotransfer functions, and also by the difficulty to represent the spatial heterogeneity of these properties. In particular, the soil texture map currently used at ECMWF is from the Food and Agricultural Organization (FAO) dataset (FAO, 2003) and the implementation of a new map such as the new comprehensive Harmonized World Soil Database (HWSD) (FAO, 2009) could lead to better results. For example, the station of CRD corresponds to a sandy soil, whereas the texture used by the model for the corresponding pixel is loamy. This may explain the high bias and RMSE for this station (see Fig. 8).

Considering the second layer of soil of the ECMWF analysis, the correlation is better for the IFS F6ui products using ASCAT SSM estimates, except for the station of MTM. This station, located in a mountainous area, is not used in Sect. 3.2.1 for the comparison between in situ and ASCAT due to the lack of satellite measurements. This may explain the low scores for this station with the new product of ECMWF.

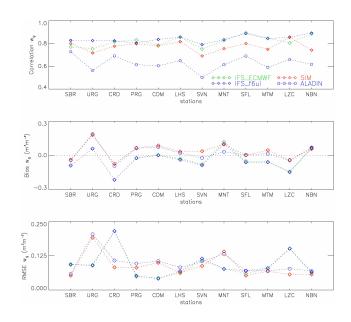


Fig. 8. Score of the Météo-France and ECMWF SSM analyses using the twelve stations of the SMOSMANIA network. From top to bottom: correlation, mean bias and RMSE. In situ SSM observations are used to evaluate SSM analysis from Météo-France (ALADIN in blue dots and SIM in red diamonds) and ECMWF (Optimal Interpolation with $T_{2 m}$ and RH_{2 m} in green diamonds, SEKF with $T_{2 m}$ and RH_{2 m} and ASCAT SSM estimates in blue diamonds).

	r		Bias (m	Bias (m ³ m ⁻³)			RMSE $(m^3 m^{-3})$		
	IFS ECMWF	IFS F6ui	IFS ECMWF	IFS F6ui		IFS ECMWF	IFS F6ui		
SBR	0.75	0.80	-0.063	-0.058		0.100	0.094		
URG	0.79	0.86	-0.010	-0.007		0.032	0.027		
CRD	0.73	0.78	-0.213	-0.214		0.219	0.219		
PRG	0.70	0.71	-0.040	-0.041		0.050	0.050		
CDM	0.71	0.82	0.039	0.038		0.071	0.065		
LHS	0.78	0.83	0.048	0.038		0.065	0.056		
SVN	0.85	0.89	-0.106	-0.115		0.111	0.118		
MNT	0.85	0.89	-0.059	-0.067		0.079	0.076		
SFL	0.93	0.94	-0.068	-0.079		0.074	0.082		
MTM	0.86	0.77	0.005	-0.002		0.032	0.039		
LZC	0.87	0.86	-0.109	-0.116		0.117	0.124		
NBN	0.96	0.96	-0.035	-0.045		0.043	0.051		
SMX	n/a	n/a	n/a	n/a		n/a	n/a		
Averaged scores	0.81	0.84	-0.051	-0.056		0.083	0.083		

Table 6. Evaluation of operational soil moisture products from ECMWF for the second layer of soil (7–28 cm) using the SMOSMANIA in situ soil moisture (20 cm depth) over a one year period (July 2008–June 2009). The last row is for the average of the 12 stations.

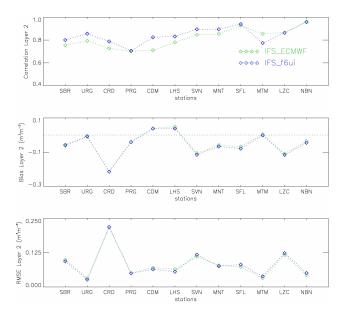


Fig. 9. Evaluation of the ECMWF root-zone (7–28 cm) soil moisture analysis using the soil moisture observations at 20 cm of the twelve stations of the SMOSMANIA network. From top to bottom: correlation, mean bias and RMSE.

4 Conclusions

In this study, several surface soil moisture (SSM) data sets were evaluated using in situ observations in Southwestern France. In situ observations at a depth of 5 cm for the stations of the SMOSMANIA network, and surface soil moisture integrated from 0 to 6 cm at the SMOSREX station, were used to evaluate ASCAT and SIM soil moisture estimates. SIM generally was in good agreement with ground observations. Although SIM does not consider any data assimilation into the land surface model, SIM uses an atmospheric analysis (SAFRAN) based on numerous observations from more than 1000 meteorological stations and more than 3500 daily rain gauges. Regarding ASCAT estimates, this study confirms that even if local in situ observations of surface soil moisture do not measure the same quantity as coarse resolution remotely sensed products, significant correlations can be observed between the two measures. These correlations can be used to monitor the quality of satellite SSM estimates. The soil moisture analysis from the SIM model could be used to complete the evaluation as the SSM temporal dynamic was well represented by SIM. Estimates of the RMSE of the AS-CAT SSM product using either in situ or modelled SSM values as a reference are very close: 0.057 and 0.063 $\text{m}^3 \text{m}^{-3}$ respectively. The downscaled ASCAT product is promising as it appeared that the downscaling improved the spatial correlation with in situ data.

Finally, NWP SSM analyses from ECMWF (IFS) and Météo-France (ALADIN) were assessed. In general, they reproduced well the temporal dynamic of the observed SSM, with a higher variability of the ALADIN analysis. The physics of the land surface scheme used in the IFS, a multilayer model with a first soil layer of a few centimetres (0–7 cm), was more consistent with the characteristics of the in situ observations. This may explain why the IFS SSM correlated better with in situ data compared to the ALADIN SSM, which uses the force-restore version of the ISBA LSM with

a thin surface layer. The NWP models used in this study do not assimilate rainfall (the main driver of the soil moisture temporal pattern), but the information contained in meteorological observations of air temperature and air humidity close to the surface is used to analyse soil moisture. This analysis is more efficient in data-rich areas like southwestern France. In the ECMWF IFS used in operation in 2009, the soil moisture analysis was based on observed screen-level parameters (T_{2m}, RH_{2m}) and the assimilation technique used was the optimal interpolation (OI). An advanced surface data assimilation system (IFS_f6ui), based on a simplified extended Kalman filter (SEKF), screen-level parameters and ASCAT estimates, was evaluated, also. The differences between both analyses were the technique (OI or SEKF) and, for the latter, the use of ASCAT estimates. For the considered period and spatial resolution (T799: 23 km), only those products were available. Therefore, while the global added-value of the new analysis and observations was demonstrated, the contribution of the new algorithm was not distinguished from the ASCAT contribution. At a coarser spatial resolution (T255: 80 km) and over a one-year period (December 2008 to November 2009) de Rosnay et al. (2009, 2010) found that while the ECMWF soil moisture was in good agreement with the SMOSMANIA ground observations (mean correlation values higher than 0.78 for the two first soil layers) the effect of SEKF vs. OI was much stronger than that related to the assimilation of the ASCAT SSM product (mean correlations values higher than 0.84 for ECMWF IFS and IFS_f6ui). The ASCAT data set used in this study for the cross evaluation, and in the ECMWF analysis, was processed with ERSderived parameters. Very recent studies (Brocca et al., 2010a, b) reported that new ASCAT SSM estimates processed using model parameters calculated from ASCAT observations provided more consistent soil moisture estimates. The use of this new data set is under way at Météo-France.

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